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RESEARCH ARTICLE

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RANK and RANK Ligand Expression in Parotid Gland Carcinomas

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Alessandro Franchi, MD,* Cecilia Taverna,* Antonella Simoni,* Monica Pepi,* Giuditta Mannelli,† Martina Fasolati,† and Oreste Gallo†

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Abstract: Recently, it has been reported that deregulation of the 13 receptor activator of NFkB ligand (RANKL)/RANK signaling 15 axis results in salivary gland tumor development in a mouse transgenic model. The aim of this study was to ascertain 17 RANKL and RANK protein expression in a series of primary parotid gland carcinomas and to correlate it with clinicopathologic parameters. Formalin-fixed paraffin-embedded 10 tumor samples from 46 consecutive cases of parotid gland carcinoma were selected for this study. For comparison, we ex-21 amined a group of 40 randomly chosen parotid gland adenomas, including 20 pleomorphic adenomas, 10 myoepitheliomas, and 23 10 Warthin tumors. Immunohistochemical analysis for RANK 25 and RANKL was conducted on tissue microarrays. Overall, 33 carcinomas (71.7%) were scored as positive for RANK and 25 (54.3%) for RANKL. The expression of both RANK and 27 RANKL was significantly higher in carcinomas than in adenomas as only 6 (15%) adenomas were positive for RANK, 29 and RANKL was negative in all benign tumors (P < 0.001 for both, Fisher exact test). Some histologic types, including sali-31 vary duct carcinoma, mucoepidermoid carcinoma, and carci-33 noma expleomorphic adenoma presented a high frequency of RANK and RANKL expression. No significant correlation was

- 35 observed between RANK/RANKL expression and clinical parameters. Our study indicates that the expression of RANK and
- 37 RANKL in parotid gland neoplasms is associated with the acquisition of a malignant phenotype and this pathway may rep-39 resent an attractive therapeutic target in patients with parotid
- gland carcinomas. 41 Key Words: parotid gland carcinoma RANK RANKI in
- 41 Key Words: parotid gland, carcinoma, RANK, RANKL, immunohistochemistry
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- 45
- 47 S alivary gland carcinomas are rare tumors representing <5% of all head and neck malignancies, with an es-49 timated age-adjusted incidence rate of 11.95/1,000,000

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person-years in the United States.¹ The majority of sali-71 vary gland carcinomas occur in the parotid gland, and currently over 20 different histologic subtypes are recog-73 nized in the WHO Classification, which show a highly variable clinical behavior. Surgery remains the mainstay 75 for the treatment of parotid gland carcinomas, and radiation therapy is administered on the basis of evaluation 77 of clinicopathologic risk factors. Chemotherapy may be utilized as palliative treatment, although its efficacy has 79 not been proven in clinical trials.^{2,3} However, in the past years, no significant improvement has been obtained in 81 the overall survival of patients affected by parotid gland carcinomas. Therefore, there is urgent need of new 83 treatment strategies possibly based on the identification 85 of specific targets.

Recently, it has been reported that deregulation of the receptor activator of NFkB ligand (RANKL)/RANK 87 signaling axis results in salivary gland tumor development in a mouse transgenic model.⁴ Moreover, the RANKL/ 89 RANK signaling axis could elicit an aggressive salivary gland tumor phenotype at both the histologic and mo-91 lecular level, whereas early blockade of RANKL/RANK signaling markedly attenuated the development of ma-93 lignant salivary gland neoplasms in this model.⁴ On the basis of these results, the RANK/RANKL pathway may 95 represent a novel therapeutic target in salivary gland carcinomas. As there are no data currently available on 97 the status of this signaling pathway in human salivary tumors, we aimed to ascertain RANKL and RANK 99 protein expression in a series of primary parotid gland carcinomas in this study. In addition, we examined the 101 impact of RANK and RANKL expression on patients' outcome. 103

PATIENTS AND METHODS

Patients

A total of 46 consecutive patients treated at our hospital for parotid gland carcinoma between 2001 and 109 2011 were selected for this study. In each case, all available histologic slides were reviewed and tumors were classified 111 according to the 2005 WHO Classification scheme⁵ and assigned to low-risk and high-risk categories as previously 113 described.⁶ The study group included 9 mucoepidermoid carcinomas, 9 carcinomas expleomorphic adenoma, 7 115 adenoid cystic carcinomas, 5 acinic cell carcinomas, 4 salivary duct carcinomas, 3 squamous cell carcinomas, 3 117 myoepithelial carcinomas, 2 basal cell adenocarcinomas, 2

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⁵¹ Received for publication June 6, 2016; accepted September 28, 2016. From the *Section of Anatomic Pathology; and †Section of Otolar-

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¹ **TABLE 1.** Summary of the Main Clinical Features of 46 Parotid Carcinomas

3	Age (Mean)	61.3 y (Range, 26-87 y)
5	Sex (male:female)	31:15
	T stage	
7	I-II	27
/	III-IV	19
	Lymph node metastasis	2
9	Distant metastasis	11
	Local recurrence	5
11	Proportion disease free at 5 y (%)	72.1
11	Proportion surviving at 5 y (%)	73.9

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 adenocarcinomas NOS, 1 undifferentiated carcinoma, and
 1 epithelial-myoepithelial carcinoma. The salient clinicopathologic characteristics of this series are summarized

- 17 in Table 1. For comparison, we examined a group of 40 randomly chosen parotid gland adenomas, including 20
 19 pleomorphic adenomas, 10 myoepitheliomas, and 10 Warthin tumors.
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Immunohistochemistry

- For tissue microarray construction, areas of interest rich in non-necrotic tumor were identified on corresponding hematoxylin and eosin-stained sections and marked on the source paraffin block. The source block
- 27 was cored and a 1-mm core was transferred to the recipient master block using the Beecher Tissue Microarrayer
- (Beecher Instruments, Silver Spring, MD). Three cores from different areas of the same tissue block were arrayed

31 for each case. Sections (5-μm thick) were obtained from the block, which were stained with hematoxylin and eosin,

33 or utilized for the immunohistochemical analysis. For immunohistochemical staining, tissue sections

35 (5 μm) were deparaffinized, hydrated, and after endogenous peroxidase inactivation immunostained with

- 37 BenchMark Ultra stainer (Ventana, Tucson, AZ), and revealed with iVIEW DAB detection kit, providing a
- 39 brown reaction product. Table 2 shows the antibody source, dilution, and antigen retrieval protocol. After 41 completing the staining process the slides were removed
- 41 completing the staining process, the slides were removed from the autostainer, counterstained with hematoxylin,
- 43 dehydrated, and mounted with a permanent medium. As a negative control, we substituted the primary antibody
- with a Ventana dispenser filled with nonimmune serum at the same concentration for each immunohistochemical
 reaction. As a positive control, we used reactive bone and bone fracture specimens.

The semiquantitative evaluation of the results of the immunohistochemical studies was conducted considering
 both the staining intensity and the percentage of positive

cells, as previously described.⁶ The staining intensity was evaluated on a 4-point scale (0 to 3), and the proportion of positive cells was evaluated according to the scale 0, 1 (1%), 2 (2% to 10%), 3 (11% to 30%), 4 (31% to 60%), and 5 (100%).⁷ The 2 values were summed to obtain a total score ranging between 0 and 8. For statistical analysis, cases with score ≥ 4 were considered positive.

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Statistical Analysis

All statistical tests were performed using SPSS software (release 12.0). Associations between categorical variables were assessed by means of the χ^2 test. For analysis of survival, the endpoints considered in this study were rates of developing first local recurrence and rate of any disease-related mortality. Local recurrence-free survival and disease-specific survival were modeled using the Kaplan-Meier method and analyzed by the log-rank test. $\chi^2 P < 0.05$ were considered significant. 77

RESULTS

The intensity score for RANKL was weaker than thatof RANK, whereas the proportion of positive cells was81similar for the 2 factors. Overall, 33 carcinomas (71.7%)83were scored as positive for RANK and 25 (54.3%) for83RANKL. The expression of both factors was significantly85higher in carcinomas than in adenomas as only 6 (15%)85adenomas were positive for RANK, whereas RANKL was87negative in all cases (P < 0.001 for both, Fisher exact test).87

The distribution of RANK and RANKL positivity 89 in carcinomas according to histologic type is shown in Table 3. Although no significant difference was observed, some histologic types, including salivary duct 91 carcinoma, mucoepidermoid carcinoma, and carcinoma expleomorphic adenoma, presented a high frequency of 93 RANK and RANKL expression. Figure 1 illustrates the 95 results of the immunohistochemical studies in the main histologic types. Moreover, high-grade tumors tended to express RANKL more frequently than low-grade ones 97 (66.6% vs. 36.8%; P = 0.07, Table 4).

In 5 of 9 cases of carcinoma expleomorphic adenoma, we also examined RANK and RANKL expression in whole histologic sections including both the benign and the malignant components of the tumor. In 2 cases, both the adenoma and the carcinoma were scored as negative for RANK and RANKL. In 3 cases, the malignant component of the tumor showed positive staining for RANK whereas the adenoma was negative, and an increase in RANKL expression from adenoma to carcinoma was observed in 2 of these cases (Fig. 2).

As summarized in Table 4, no significant correlation 109 was observed between RANK/RANKL expression and

53 TABLE 2. Features of the Antibodies Used in This Study 113 Antibody **Clone and Provider Species and Dilution** Antigen Retrieval 55 115 RANKL Polyclonal, Abcam, Cambridge, UK Citrate buffer, pH 6 Rabbit, 1:2000 RANK 64C1385, Abcam, Cambridge, UK Mouse, 1:200 Citrate buffer, pH 6 57 117 RANKL indicates receptor activator of NFkB ligand. 59

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5	Histologic Type	RANK + (%)	RANKL + (%)
5	Mucoepidermoid carcinoma ($N = 9$)	7 (77.8)	5 (55.6)
7	Carcinoma expleomorphic adenoma $(N = 9)$	8 (88.9)	7 (77.8)
/	Adenoid cystic carcinoma ($N = 7$)	2 (28.6)	1 (14.3)
	Acinic cell carcinoma $(N = 5)$	4 (80)	1 (20)
9	Salivary duct carcinoma $(N = 4)$	4 (100)	3 (75)
	Squamous cell carcinoma $(N = 3)$	2 (66.7)	2 (66.7)
1	Myoepithelial carcinoma ($N = 3$)	2 (66.7)	2 (66.7)
11	Basal cell adenocarcinoma $(N = 2)$	0	0
13	Adenocarcinoma NOS ($N = 2$)	2 (100)	2 (100)
	Undifferentiated carcinoma $(N = 1)$	1 (100)	1 (100)
	Epithelial-myoepithelial carcinoma $(N = 1)$	1 (100)	1 (100)

TABLE 3. Correlation Between Histologic Type and RANK and

S RANKL indicates receptor activator of NFkB ligand

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clinical parameters, although the majority of patients with facial nerve involvement (85.7%) had tumors positive for both factors. Similarly, no correlation was found between the expression of the 2 factors and disease-free interval (P = 0.13 for RANK and P = 0.76 for RANKL) as well as with overall survival (P = 0.18 for RANK and P = 0.81 for RANKL). 65

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DISCUSSION

To the best of our knowledge, this is the first analysis of the immunohistochemical expression of RANK and RANKL in parotid gland tumors. Our study indicates that the expression of RANK and RANKL is associated with the acquisition of a malignant phenotype, as we observed that parotid carcinomas present a significantly higher expression than adenomas. Accordingly, we also observed an increased expression of both factors in the

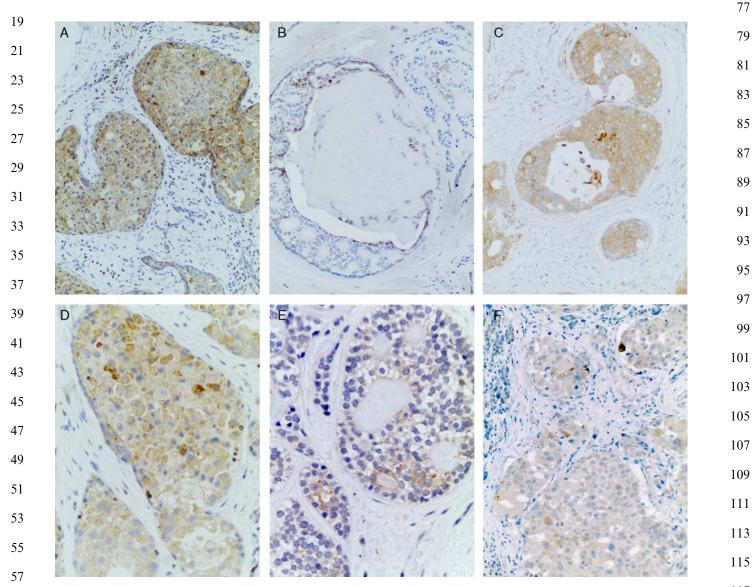


FIGURE 1. Immunohistochemical expression of RANK (A–C) and RANKL (D–F). Representative images from mucoepidermoid 117 59 carcinoma (A, D), adenoid cystic carcinoma (B, E), and salivary duct carcinoma (C, F).

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	RANK + N (%)	Р	RANKL+N (%)	Р
Histologic grade	2			
Low (19)	12 (63.1)		7 (36.8)	
High (27)	21 (77.7)	0.3	18 (66.6)	0.07
T stage				
I-II (27)	19 (70.3)		14 (51.8)	
III-IV (19)	14 (73.6)	1.0	11 (57.8)	0.7
Local recurrenc	e			
No (41)	31 (75.6)		23 (56.1)	
Yes (5)	2 (40.0)	0.15	2 (40.0)	0.65
Lymph node me	etastases			
No (44)	31 (70.4)		24 (54.5)	
Yes (2)	2 (100)	1.0	1 (100)	0.48
Distant metasta	ses			
No (35)	28 (80.0)		21 (60.0)	
Yes (11)	5 (45.5)	0.67	4 (36.4)	0.30
Facial nerve inv	olvement			
No (39)	27 (69.2)		19 (48.7)	
Yes (7)	6 (85.7)	0.65	6 (85.7)	0.11

I	TABLE 4. Correlation Between Clinicopathologic Features and
2	Expression of RANK and RANKL in 46 Parotid Gland
3	Carcinomas

malignant component of 2 cases of carcinoma expleomorphic adenoma, and of RANK only in a further example. Moreover, some histologic types presented a high prevalence of positive cases, including salivary duct carcinoma, mucoepidermoid carcinoma, and carcinoma expleomorphic adenoma, although the differences were not statistically significant, probably because of the high number of histologic variants and the low number of cases in each category. 67

These results are in keeping with recent ex-69 perimental studies showing that activation of the RANKL/RANK signaling axis in a transgenic mouse 71 model results in rapid tumor development in major salivary glands.⁴ These tumors were histologically similar to 73 poorly differentiated mucoepidermoid carcinomas, and expressed several molecular markers associated with 75 advanced-stage malignancies and poor prognosis.⁴ Moreover, RANKL elicited tumor cell proliferation with 77 overexpression of markers of cell division, including cyclin D1 and proliferation cell nuclear antigen.⁴ 79

RANK and RANKL are members of the TNF superfamily and are key regulators of immunity and bone 81

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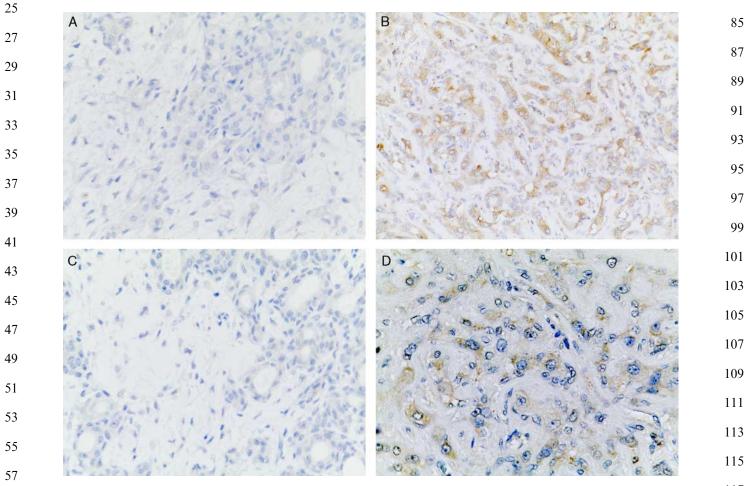


FIGURE 2. Comparison of RANK (A, B) and RANKL (C, D) expression in carcinoma expleomorphic adenoma. A–C, Absent 117 expression in the adenoma. B–D, High expression in the malignant component. Full component.

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- 1 remodeling.^{8–10} This signaling system is involved in the control of osteoclast activity and in the development of
- 3 skeletal disorders such as osteoporosis and bone metastasis. The RANK/RANKL pathway is also involved in
- 5 the growth of giant cell tumor of bone.¹¹ However, there is increasing evidence that the RANK/RANKL system is
- 7 involved in the regulation of several key aspects of the development of epithelial tissues such as the mammary9 gland, and it is implicated in the acquisition of aggressive
- malignant features in other epithelial tumors, including 11 breast and prostate carcinomas,^{12,13} renal cell carcino-
- ma,¹⁴ and lung carcinoma.¹⁵ In particular, considering the
- 13 similarities between mammary gland tumors and salivary gland tumors, with significant overlaps in their histo-
- 15 pathologic features and regulatory mechanisms, it is of interest that expression studies in human breast carcino-
- 17 ma have shown that RANK protein expression is associated with hormone receptor-negative status, high-
- 19 pathologic grade, and poor survival.¹⁶ In the present study, no correlation could be found between RANK/
- 21 RANKL expression and clinical parameters of tumor aggressiveness, including stage, facial nerve involvement,
- 23 nodal and distal metastases, as well as survival. This may be due to the intrinsic variability of clinical behavior
- 25 among the several different histologic subtypes of parotid gland carcinomas and the relatively small number of cases 27 analyzed.
- In the recently reported preclinical mouse model, 29 early therapeutic targeting of the RANK/RANKL sig-
- naling axis significantly attenuated salivary gland tumor 31 progression,⁴ suggesting that this pathway may represent
- a novel area of therapeutic intervention in salivary gland 33 carcinomas. Denosumab, a monoclonal antibody directed
- against RANKL, is currently used in the management of 35 osteoporosis, bone metastases, and giant cell tumor of
- bone, mainly for its role of inhibitor of bone resorption 37 through its effects on osteoclastogenesis.¹⁷ However,
- there is increasing evidence that inhibition of the RANK/ 39 RANKL axis may offer new therapeutic chances in cancer
- patients. Indeed, in a phase III clinical trial of patients 41 affected by lung cancer, Denosumab determined an in-
- crease not only in bone metastasis–free survival but also 43 in overall survival,¹⁵ indicating a possible direct anti-
- tumor effect of this drug. In addition, 1 patient with metastatic adenocarcinoma of the lung with ALK gene rearrangement responded to treatment with Denosu-
 - 47 mab.¹⁸ In this context, our preliminary results support the hypothesis that targeting the RANK/RANKL signaling
 - 49 pathway may represent a valid option to be tested either alone or in combination with other therapies for the
 - 51 treatment of parotid gland carcinomas. Further studies

on larger series of patients are needed to explore this attractive hypothesis. 53

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