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# Early Pleistocene enamel proteome sequences from Dmanisi resolve *Stephanorhinus* phylogeny

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# 53 **ABSTRACT**

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Ancient DNA (aDNA) sequencing has enabled unprecedented reconstruction of speciation, 55 56 migration, and admixture events for extinct taxa<sup>1</sup>. Outside the permafrost, however, irreversible 57 aDNA post-mortem degradation<sup>2</sup> has so far limited aDNA recovery within the ~0.5 million years (Ma) time range<sup>3</sup>. Tandem mass spectrometry (MS)-based collagen type I (COL1) sequencing provides 58 59 direct access to older biomolecular information<sup>4</sup>, though with limited phylogenetic use. In the absence of molecular evidence, the speciation of several Early and Middle Pleistocene extinct 60 species remain contentious. In this study, we address the phylogenetic relationships of the Eurasian 61 Pleistocene Rhinocerotidae<sup>5-7</sup> using ~1.77 million years (Ma) old dental enamel proteome sequences 62 63 of a *Stephanorhinus* specimen from the Dmanisi archaeological site in Georgia (South Caucasus)<sup>8</sup>. 64 Molecular phylogenetic analyses place the Dmanisi *Stephanorhinus* as a sister group to the woolly (Coelodonta antiquitatis) and Merck's rhinoceros (S. kirchbergensis) clade. We show that 65 *Coelodonta* evolved from an early *Stephanorhinus* lineage and that this genus includes at least two 66 distinct evolutionary lines. As such, the genus *Stephanorhinus* is currently paraphyletic and its 67 systematic revision is therefore needed. We demonstrate that Early Pleistocene dental enamel 68 69 proteome sequencing overcomes the limits of ancient collagen- and aDNA-based phylogenetic inference, and also provides additional information about the sex and taxonomic assignment of the 70 71 specimens analysed. Dental enamel, the hardest tissue in vertebrates, is highly abundant in the fossil 72 record. Our findings reveal that palaeoproteomic investigation of this material can push 73 biomolecular investigation further back into the Early Pleistocene.

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# 76 MAIN TEXT

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Phylogenetic placement of extinct species increasingly relies on aDNA sequencing. Relentless 78 79 efforts to improve the molecular tools underlying aDNA recovery have enabled the reconstruction of ~0.4 Ma and ~0.7 Ma old DNA sequences from temperate deposits<sup>9</sup> and subpolar regions<sup>10</sup> 80 81 respectively. However, no aDNA data have so far been generated from species that became 82 extinct beyond this time range. In contrast, ancient proteins represent a more durable source of genetic information, reported to survive, in eggshell, up to 3.8 Ma<sup>11</sup>. Ancient protein sequences 83 can carry taxonomic and phylogenetic information useful to trace the evolutionary relationships 84 between extant and extinct species<sup>12,13</sup>. However, so far, the recovery of ancient mammal proteins 85 86 from sites too old or too warm to be compatible with aDNA preservation is mostly limited to 87 collagen type I (COL1). Being highly conserved<sup>14</sup>, this protein is not an ideal marker. For example, regardless of endogeneity<sup>15</sup>, collagen-based phylogenetic placement of Dinosauria in relation to 88 extant Aves appears to be unstable<sup>16</sup>. This suggests the exclusive use of COL1 in deep-time 89 90 phylogenetics is constraining. Here, we aimed at overcoming these limitations by testing whether dental enamel, the hardest tissue in vertebrates<sup>17</sup>, can better preserve a richer set of ancient 91 92 protein residues. This material, very abundant in the fossil record, would provide unprecedented 93 access to biomolecular and phylogenetic data from Early Pleistocene animal remains.

Dated to ~1.77 Ma by a combination of Ar/Ar dating, paleomagnetism and biozonation<sup>18,19</sup>,
the archaeological site of Dmanisi (Georgia, South Caucasus; Fig 1a) represents a context currently
considered outside the scope of aDNA recovery. This site has been excavated since 1983, resulting
in the discovery, along with stone tools and contemporaneous fauna, of almost one hundred
hominin fossils, including five skulls representing the *georgicus* paleodeme within *Homo erectus*<sup>8</sup>.
These are the earliest fossils of the first *Homo* species leaving Africa.

100 The geology of the Dmanisi deposits provides an ideal context for the preservation of 101 faunal materials. The primary deposits at Dmanisi are aeolian, providing for rapid, gentle burial in 102 fine-grained, calcareous sediments. We collected 23 bone, dentine, and dental enamel specimens 103 of large mammals (Tab. 1) from multiple excavation units within stratum B1 (Fig. 1b, Fig. 2, Tab. 1). 104 This is an ashfall deposit that contains thousands of faunal remains, as well as all hominin fossils, 105 in different geomorphic contexts including pipes, shallow gullies and carnivore dens. All of these

are firmly dated between 1.85-1.76 Ma<sup>18</sup>. High-resolution tandem MS was used to confidently
 sequence ancient protein residues from the set of faunal remains, after digestion-free
 demineralisation in acid (see Methods). Ancient DNA analysis was unsuccessfully attempted on a
 subset of five bone and dentine specimens (see Methods).

110 While the recovery of proteins from bone and dentine specimens was sporadic and limited 111 to collagen fragments, the analysis of dental enamel consistently returned sequences from most of its proteome, with occasional detection of multiple isoforms of the same protein<sup>20</sup> (Tab. 2, Fig. 112 3). The small proteome<sup>21</sup> of mature dental enamel consists of structural enamel proteins, i.e. 113 amelogenin (AMELX), enamelin (ENAM), amelotin (AMTN), and ameloblastin (AMBN), and enamel-114 115 specific proteases secreted during amelogenesis, i.e. matrix metalloproteinase-20 (MMP20) and kallikrein 4 (KLK4). The presence of non-specific proteins, such as serum albumin (ALB), has also 116 117 been previously reported in mature dental enamel<sup>21,22</sup> (Tab. 2).

118 Multiple lines of evidence support the authenticity and the endogenous origin of the 119 sequences recovered. There is full correspondence between the source material and the composition of the proteome recovered. Dental enamel proteins are extremely tissue-specific and 120 confined to the dental enamel mineral matrix<sup>21</sup>. The amino acid composition of the intra-121 122 crystalline protein fraction, measured by chiral amino acid racemisation analysis, indicates that the 123 dental enamel has behaved as a closed system, unaffected by amino acid and protein residues exchange with the burial environment (Fig. 4). The measured rate of asparagine and glutamine 124 125 deamidation, a spontaneous form of hydrolytic damage consistently observed in ancient 126 samples<sup>23</sup>, is particularly high, in some cases close to 100%, in full agreement with the age of the 127 specimens investigated. (Fig. 2a). Other forms of non-enzymatic modifications are also present. 128 Tyrosine (Y) experienced mono- and di-oxidation while tryptophan (W) was extensively converted 129 into multiple oxidation products. (Fig. 5b). Oxidative degradation of histidine (H) and conversion of 130 arginine (R) leading to ornithine accumulation were also observed. These modifications are 131 absent, or much less frequent, in a medieval ovicaprine dental enamel control sample, further 132 confirming the authenticity of the sequences reconstructed. Similarly, unlike in the control, the 133 peptide length distribution in the Dmanisi dataset is dominated by short overlapping fragments, generated by advanced, diagenetically-induced, terminal hydrolysis (Fig. 5c and d). 134

Lastly, we confidently detect phosphorylation (Fig. 6 and Fig. 7), a tightly regulated
physiological post-translational modification (PTM) occurring *in vivo*. Recently observed in ancient
bone<sup>24</sup>, phosphorylation is known to be a stable PTM<sup>25</sup> present in dental enamel proteins<sup>26,27</sup>.
Altogether, these observations demonstrate, beyond reasonable doubt, that the heavily
diagenetically modified dental enamel proteome retrieved from the ~1.77 Ma old Dmanisi faunal
material is endogenous and almost complete.

Next, we used the palaeoproteomic sequence information to improve taxonomic
assignment and achieve sex attribution for some of the Dmanisi faunal remains. For example, the
bone specimen 16857, described morphologically as an "undetermined herbivore", could be
assigned to the Bovidae family based on COL1 sequences (Fig. 8). In addition, confident
identification of peptides specific for the isoform Y of amelogenin, coded on the non-recombinant
portion of the Y chromosome, indicates that four tooth specimens, namely 16630, 16631, 16639,
and 16856, belonged to male individuals<sup>22</sup> (Fig. 9a-d).

An enamel fragment, from the lower molar of a Stephanorhinus ex gr. etruscus-148 149 hundsheimensis (16635, Fig. 1c), returned the highest proteomic sequence coverage, 150 encompassing a total of 875 amino acids, across 987 peptides (6 proteins). Following alignment of 151 the enamel protein sequences retrieved from 16635 against their homologues from all the extant 152 rhinoceros species, plus the extinct woolly rhinoceros (*†Coelodonta antiquitatis*) and Merck's rhinoceros (*†Stephanorhinus kirchbergensis*), phylogenetic reconstructions place the Dmanisi 153 154 specimen closer to the extinct woolly and Merck's rhinoceroses than to the extant Sumatran 155 rhinoceros (Dicerorhinus sumatrensis), as an early divergent sister lineage (Fig. 10).

Our phylogenetic reconstruction confidently recovers the expected differentiation of the 156 Rhinoceros genus from other genera considered, in agreement with previous cladistic<sup>28</sup> and 157 genetic analyses<sup>29</sup>. This topology defines two-horned rhinoceroses as monophyletic and the one-158 horned condition as plesiomorphic, as previously proposed<sup>30</sup>. We caution, however, that the 159 higher-level relationships we observe between the rhinoceros monophyletic clades might be 160 161 affected by demographic events, such as incomplete lineage sorting<sup>31</sup> and/or gene flow between groups<sup>32</sup>, due to the limited number of markers considered. A previous phylogenetic 162 reconstruction, based on two collagen (COL1 $\alpha$ 1 and COL1 $\alpha$ 2) partial amino acid sequences, 163 164 supported a different topology, with the African clade representing an outgroup to Asian

165 rhinoceros species<sup>6</sup>. Most probably, a confident and stable reconstruction of the structure of the 166 Rhinocerotidae family needs the strong support only high-resolution whole-genome sequencing can provide. Regardless, the highly supported placement of the Dmanisi rhinoceros in the 167 (Stephanorhinus, Woolly, Sumatran) clade will likely remain unaffected, should deeper 168 169 phylogenetic relationships between the *Rhinoceros* genus and other family members be revised. 170 The phylogenetic relationships of the genus *Stephanorhinus* within the family Rhinocerotidae, as well as those of the several species recognized within this genus, are 171 172 contentious. Stephanorhinus was initially included in the extant South-East Asian genus Dicerorhinus represented by the Sumatran rhinoceros species (D. sumatrensis)<sup>33</sup>. This hypothesis 173 174 has been rejected and, based on morphological data, Stephanorhinus has been identified as a sister taxon of the woolly rhinoceros<sup>34</sup>. Furthermore, ancient DNA analysis supports a sister 175 176 relationship between the woolly rhinoceros and *D. sumatrensis* <sup>5,35,36</sup>. 177 Recently, MS-based sequencing of collagen type I from a Middle Pleistocene European Stephanorhinus sp. specimen, ~320 ka (thousand years) old, was not able to resolve the 178 relationships between *Stephanorhinus*, *Coelodonta* and *Dicerorhinus*<sup>6</sup>. Instead, the complete 179 180 mitochondrial sequence of a terminal, 45-70 ka old, Siberian S. kirchbergensis specimen placed this species closer to *Coelodonta*, with *D. sumatrensis* as a sister branch<sup>7</sup>. Our results confirm the 181 182 latter reconstruction. As the Stephanorhinus ex gr. etruscus-hundsheimensis sequences from Dmanisi branch off basal to the common ancestor of the woolly and Merck's rhinoceroses, these 183 184 two species most likely derived from an early Stephanorhinus lineage expanding eastward from 185 western Eurasia. Throughout the Plio-Pleistocene, Coelodonta adapted to continental and later 186 cold-climate habitats in central Asia. Its earliest representative, C. thibetana, displayed some clear Stephanorhinus-like anatomical features<sup>34</sup>. The presence in eastern Europe and Anatolia of the 187 genus Stephanorhinus<sup>35</sup> is documented at least since the late Miocene, and the Dmanisi specimen 188 189 most likely represents an Early Pleistocene descendent of the Western-Eurasian branch of this 190 genus.

Ultimately, our phylogenetic reconstructions show that, as currently defined, the genus
 *Stephanorhinus* is paraphyletic, in line with previous conclusions<sup>37</sup> based on morphological
 characters and the palaeobiogeographic fossil distribution. Accordingly, a systematic revision of
 the genera *Stephanorhinus* and *Coelodonta*, as well as their closest relatives, is needed.

195 In this study, we show that enamel proteome sequencing can overcome the time limits of 196 ancient DNA preservation and the reduced phylogenetic content of COL1 sequences. Dental 197 enamel proteomic sequences can be used to study evolutionary process that occurred in the Early 198 Pleistocene. This posits dental enamel as the material of choice for deep-time palaeoproteomic 199 analysis. Given the abundance of teeth in the palaeontological record, the approach presented here holds the potential to address a wide range of questions pertaining to the Early and Middle 200 201 Pleistocene evolutionary history of a large number of mammals, including hominins, at least in 202 temperate climates.

# 204 **METHODS**

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### 206 Dmanisi & sample selection

207 Dmanisi is located about 65 km southwest of the capital city of Tbilisi in the Kvemo Kartli region of 208 Georgia, at an elevation of 910 m MSL (Lat: 41° 20' N, Lon: 44° 20' E)<sup>8,19</sup>. The 23 fossil specimens 209 we analysed were retrieved from stratum B1, in excavation blocks M17, M6, block 2, and area R11 210 (Tab. 1 and Fig. 2). Stratum B deposits date between 1.78 Ma and 1.76 Ma<sup>18</sup>. All the analysed 211 specimens were collected between 1984 and 2014 and their taxonomic identification was based 212 on traditional comparative anatomy.

213 After the sample preparation and data acquisition for all the Dmanisi specimens was concluded, we applied the whole experimental procedure to a medieval ovicaprine (sheep/goat) 214 215 dental enamel specimen that was used as control. For this sample, we used extraction protocol "C", and generated tandem MS data using a Q Exactive HF mass spectrometer (Thermo Fisher 216 Scientific). The data were searched against the goat proteome, downloaded from the NCBI 217 Reference Sequence Database (RefSeq) archive<sup>38</sup> on 31<sup>st</sup> May 2017. The ovicaprine specimen was 218 found at the "Hotel Skandinavia" site in the city of Århus, Denmark and was stored at the Natural 219 220 History Museum of Denmark.

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#### 222 Biomolecular preservation

We assessed the potential of ancient protein preservation prior to proteomic analysis by
measuring the extent of amino acid racemisation in a subset of samples (6/23)<sup>39</sup>. Enamel chips
were powdered, and two subsamples per specimen were subject to analysis of their free (FAA)
and total hydrolysable (THAA) amino acid fractions. Samples were analysed in duplicate by RPHPLC, with standards and blanks run alongside each one of them. The D/L values of aspartic
acid/asparagine, glutamic acid/glutamine, phenylalanine and alanine (D/L Asx, Glx, Phe, Ala) were
assessed (Fig. 4) to provide an overall estimate of intra-crystalline protein decomposition (IcPD).

#### 231 **PROTEOMICS**

All the sample preparation procedures for palaeoproteomic analysis were conducted in

233 laboratories dedicated to the analysis of ancient DNA and ancient proteins in clean rooms fitted

- with filtered ventilation and positive pressure, in line with recent recommendations for ancient
- 235 protein analysis<sup>40</sup>. A mock "extraction blank", containing no starting material, was prepared,
- 236 processed and analysed together with each batch of ancient samples.
- 237

## 238 Sample preparation

The external surface of bone and dentine samples was gently removed, and the remaining material was subsequently powdered. Enamel fragments, occasionally mixed with small amounts of dentine, were removed from teeth with a cutting disc and subsequently crushed into a rough powder. Ancient protein residues were extracted from approximately 180-220 mg of mineralised material, unless otherwise specified, using three different extraction protocols, hereafter referred to as "A", "B" and "C":

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- EXTRACTION PROTOCOL A FASP. Tryptic peptides were generated using a filter-aided sample
   preparation (FASP) approach<sup>41</sup>, as previously performed on ancient samples<sup>42</sup>.
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249 **EXTRACTION PROTOCOL B - GuHCI SOLUTION AND DIGESTION.** Bone or dentine powder was demineralised 250 in 1 mL 0.5 M EDTA pH 8.0. After removal of the supernatant, all demineralised pellets were re-251 suspended in a 300  $\mu$ L solution containing 2 M guanidine hydrochloride (GuHCl, Thermo Scientific), 100 mM Tris pH 8.0, 20 mM 2-Chloroacetamide (CAA), 10 mM Tris (2-252 carboxyethyl)phosphine (TCEP) in ultrapure  $H_2O^{43,44}$ . A total of 0.2 µg of mass spectrometry-grade 253 rLysC (Promega P/N V1671) enzyme was added before the samples were incubated for 3-4 hours 254 255 at 37°C with agitation. Samples and negative controls were subsequently diluted to 0.6 M GuHCl, 256 and 0.8 µg of mass spectrometry-grade Trypsin (Promega P/N V5111) was added. The entire 257 amount of extracted proteins was digested. Next, samples and negative controls were incubated overnight under mechanical agitation at 37°C. On the following day, samples were acidified, and 258 259 the tryptic peptides were immobilised on Stage-Tips, as previously described<sup>45</sup>. 260 261 EXTRACTION PROTOCOL C - DIGESTION-FREE ACID DEMINERALISATION. Dental enamel powder was demineralised in 1.2 M HCl at room temperature, after which the solubilised protein residues were 262

263 directly cleaned and concentrated on Stage-Tips, as described above. The sample prepared on

Stage-Tip "#1217" was processed with 10% TFA instead of 1.2 M HCl. All the other parameters and
 procedures were identical to those used for all the other samples extracted with protocol "C".

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#### 267 Tandem mass spectrometry

Different sets of samples were analysed by nanoflow liquid chromatography coupled to tandem 268 269 mass spectrometry (nanoLC-MS/MS) on an EASY-nLC<sup>™</sup> 1000 or 1200 system connected to a Q-270 Exactive, a Q-Exactive Plus, or to a Q-Exactive HF (Thermo Scientific, Bremen, Germany) mass 271 spectrometer. Before and after each MS/MS run measuring ancient or extraction blank samples, 272 two successive MS/MS run were included in the sample queue in order to prevent carryover 273 contamination between the samples. These consisted, first, of a MS/MS run ("MS/MS blank" run) 274 with an injection exclusively of the buffer used to re-suspend the samples (0.1% TFA, 5% ACN), 275 followed by a second MS/MS run ("MS/MS wash" run) with no injection.

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#### 277 Data analysis

Raw data files generated during MS/MS spectral acquisition were searched using MaxQuant<sup>46</sup>,
version 1.5.3.30, and PEAKS<sup>47</sup>, version 7.5. A two-stage peptide-spectrum matching approach was
adopted. Raw files were initially searched against a target/reverse database of collagen and
enamel proteins retrieved from the UniProt and NCBI Reference Sequence Database (RefSeq)
archives<sup>38,48</sup>, taxonomically restricted to mammalian species. A database of partial "COL1A1" and
"COL1A2" sequences from cervid species<sup>13</sup> was also included. The results from the preliminary
analysis were used for a first, provisional reconstruction of protein sequences.

285 For specimens whose dataset resulted in a narrower, though not fully resolved, initial 286 taxonomic placement, a second MaxQuant search (MQ2) was performed using a new protein 287 database taxonomically restricted to the "order" taxonomic rank as determined after MQ1. For 288 the MQ2 matching of the MS/MS spectra from specimen 16635, partial sequences of serum 289 albumin and enamel proteins from Sumatran (Dicerorhinus sumatrensis), Javan (Rhinoceros 290 sondaicus), Indian (Rhinoceros unicornis), woolly (Coelodonta antiquitatis), Mercks 291 (Stephanorhinus kirchbergensis), and Black rhinoceros (Diceros bicornis), were also added to the 292 protein database. All the protein sequences from these species were reconstructed from draft 293 genomes for each species (Dalen and Gilbert, unpublished data).

294 For each MaxQuant and PEAKS search, enzymatic digestion was set to "unspecific" and the 295 following variable modifications were included: oxidation (M), deamidation (NQ), N-term Pyro-Glu 296 (Q), N-term Pyro-Glu (E), hydroxylation (P), phosphorylation (S). The error tolerance was set to 5 297 ppm for the precursor and to 20 ppm, or 0.05 Da, for the fragment ions in MaxQuant and PEAKS 298 respectively. For searches of data generated from sample fractions partially or exclusively digested 299 with trypsin, another MaxQuant and PEAKS search was conducted using the "enzyme" parameter set to "Trypsin/P". Carbamidomethylation (C) was set: (i) as a fixed modification, for searches of 300 301 data generated from sets of sample fractions exclusively digested with trypsin, or (ii) as a variable 302 modification, for searches of data generated from sets of sample fractions partially digested with 303 trypsin. For searches of data generated exclusively from undigested sample fractions, carbamidomethylation (C) was not included as a modification, neither fixed nor variable. 304

The datasets re-analysed with MQ2 search, were also processed with the PEAKS software using the entire workflow (PEAKS *de novo* to PEAKS SPIDER) in order to detect hitherto unreported single amino acid polymorphisms (SAPs). Any amino acid substitution detected by the "SPIDER" homology search algorithm was validated by repeating the MaxQuant search (MQ3). In MQ3, the protein database used for MQ2 was modified to include the amino acid substitutions detected by the "SPIDER" algorithm.

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#### 312 Ancient protein sequence reconstruction

313 The peptide sequences confidently identified by the MQ1, MQ2, MQ3 were aligned using the 314 software Geneious<sup>49</sup> (v. 5.4.4, substitution matrix BLOSUM62, gap open penalty 12 and gap 315 extension penalty). The peptide sequences confidently identified by the PEAKS searches were 316 aligned using an in-house R-script. A consensus sequence for each protein from each specimen 317 was generated in FASTA format, without filtering on depth of coverage. Amino acid positions that were not confidently reconstructed were replaced by an "X". We took into account variable 318 319 leucine/isoleucine, glutamine/glutamic acid, and asparagine/aspartic acid positions through 320 manual interpretation of possible conflicting positions (leucine/isoleucine) and replacement of possibly deamidated positions into "X" for phylogenetically informative sites. The output of the 321 322 MQ2 and 3 peptide-spectrum matching was used to extend the coverage of the ancient protein 323 sequences initially identified in the MQ1 iteration.

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#### 325 **Post translational modifications**

326 **DEAMIDATION.** After removal of likely contaminants, the extent of glutamine and asparagine

- 327 deamidation was estimated for individual specimens, by using the MaxQuant output files as
- 328 previously published<sup>44</sup>.
- **OTHER SPONTANEOUS CHEMICAL MODIFICATIONS.** Spontaneous post-translational modifications (PTMs)
- associated with chemical protein damage were searched using the PEAKS PTM tool and the
- dependent peptides search mode<sup>50</sup> in MaxQuant. In the PEAKS PTM search, all modifications in
- the Unimod database were considered. The mass error was set to 5.0 ppm and 0.5 Da for
- 333 precursor and fragment, respectively. For PEAKS, the *de novo* ALC score was set to a threshold of
- 334 15 % and the peptide hit threshold to 30. The results were filtered by an FDR of 5 %, *de novo* ALC
- score of 50 %, and a protein hit threshold of  $\geq$  20. The MaxQuant dependent peptides search was
- carried out with the same search settings as described above and with a dependent peptide FDR
- of 1 % and a mass bin size of 0.0065 Da. For validation purposes, up to 10 discovered modifications
- 338 were specified as variable modifications and re-searched with MaxQuant. The peptide FDR was
- manually adjusted to 5 % on PSM level and the PTMs were semi-quantified by relative spectralcounting.
- 341 **PHOSPHORYLATION.** Class I phosphorylation sites were selected with localisation probabilities of 342  $\geq$ 0.98 in the Phosph(ST)Sites MaxQuant output file. Sequence windows of ±6 aa from all identified 343 sites were compared against a background file containing all non-phosphorylated peptides using a 344 linear kinase sequence motif enrichment analysis in IceLogo<sup>51</sup>.
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### 346 PHYLOGENETIC ANALYSIS

### 347 **Reference datasets**

- 348 We assembled a reference dataset consisting of publicly available protein sequences from
- 349 representative ungulate species belonging to the following families: Equidae, Rhinocerotidae,
- 350 Suidae and Bovidae. We extended this dataset with the protein sequences from extinct and extant
- 351 rhinoceros species including: the woolly rhinoceros (*†Coelodonta antiquitatis*), the Merck's
- 352 rhinoceros († Stephanorhinus kirchbergensis), the Sumatran rhinoceros (Dicerorhinus sumatrensis),
- 353 the Javan rhinoceros (*Rhinoceros sondaicus*), the Indian rhinoceros (*Rhinoceros unicornis*), and the

354 Black rhinoceros (Diceros bicornis). Their corresponding protein sequences were obtained 355 following translation of high-throughput DNA sequencing data, after filtering reads with mapping quality lower than 30 and nucleotides with base quality lower than 20, and calling the majority 356 357 rule consensus sequence using ANGSD<sup>52</sup> For the woolly and Merck's rhinoceroses we excluded the 358 first and last five nucleotides of each DNA fragment in order to minimize the effect of postmortem ancient DNA damage<sup>53</sup>. Each consensus sequence was formatted as a separate blast 359 nucleotide database. We then performed a tblastn<sup>54</sup> alignment using the corresponding white 360 rhinoceros sequence as a query, favouring ungapped alignments in order to recover translated 361 and spliced protein sequences. Resulting alignments were processed using ProSplign algorithm 362 from the NCBI Eukaryotic Genome Annotation Pipeline<sup>55</sup> to recover the spliced alignments and 363 364 translated protein sequences.

365

#### 366 **Construction of phylogenetic trees**

For each specimen, multiple sequence alignments for each protein were built using mafft<sup>56</sup> and 367 concatenated onto a single alignment per specimen. These were inspected visually to correct 368 369 obvious alignment mistakes, and all the isoleucine residues were substituted with leucine ones to 370 account for indistinguishable isobaric amino acids at the positions where the ancient protein carried one of such amino acids. Based on these alignments, we inferred the phylogenetic 371 372 relationship between the ancient samples and the species included in the reference dataset by 373 using three approaches: distance-based neighbour-joining, maximum likelihood and Bayesian 374 phylogenetic inference.

Neighbour-joining trees were built using the phangorn<sup>57</sup> R package, restricting to sites 375 376 covered in the ancient samples. Genetic distances were estimated using the JTT model, 377 considering pairwise deletions. We estimated bipartition support through a non-parametric 378 bootstrap procedure using 500 pseudoreplicates. We used PHyML 3.1<sup>58</sup> for maximum likelihood 379 inference based on the whole concatenated alignment. For likelihood computation, we used the JTT substitution model with two additional parameters for modelling rate heterogeneity and the 380 381 proportion of invariant sites. Bipartition support was estimated using a non-parametric bootstrap 382 procedure with 500 replicates. Bayesian phylogenetic inference was carried out using MrBayes 383 3.2.6<sup>59</sup> on each concatenated alignment, partitioned per gene. While we chose the JTT

substitution model in the two approaches above, we allowed the Markov chain to sample
parameters for the substitution rates from a set of predetermined matrices, as well as the shape
parameter of a gamma distribution for modelling across-site rate variation and the proportion of
invariable sites. The MCMC algorithm was run with 4 chains for 5,000,000 cycles. Sampling was
conducted every 500 cycles and the first 25% were discarded as burn-in. Convergence was
assessed using Tracer v. 1.6.0, which estimated an ESS greater than 5,500 for each individual,
indicating reasonable convergence for all runs.

391

#### 392 ANCIENT DNA ANALYSIS

393 The samples were processed using strict aDNA guidelines in a clean lab facility at the Centre for 394 GeoGenetics, Natural History Museum of Denmark, University of Copenhagen. DNA extraction was 395 attempted on five of the ancient animal samples. Powdered samples (120-140 mg) were extracted using a silica-in-solution method  $^{10,60}.$  To prepare the samples for NGS sequencing, 20  $\mu L$  of DNA 396 397 extract was built into a blunt-end library using the NEBNext DNA Sample Prep Master Mix Set 2 (E6070) with Illumina-specific adapters. The libraries were PCR-amplified with inPE1.0 forward 398 399 primers and custom-designed reverse primers with a 6-nucleotide index<sup>61</sup>. Two extracts (MA399 400 and MA2481, from specimens 16859 and 16635 respectively) yielded detectable DNA 401 concentrations. These extracts were used to construct three individual index-barcoded libraries 402 (MA399 L1, MA399 L2, MA2481 L1) whose amplification required a total of 30 PCR cycles in a 2-403 round setup (12 cycles with total library + 18 cycles with a 5 µL library aliquot from the first 404 amplification). The libraries generated from specimen 16859 and 16635 were processed on 405 different flow cells. They were pooled with others for sequencing on an Illumina 2000 platform 406 (MA399 L1, MA399 L2) using 100bp single read chemistry and on an Illumina 2500 platform 407 (MA2481 L1) using 81bp single read chemistry.

The data were base-called using the Illumina software CASAVA 1.8.2 and sequences were demultiplexed with a requirement of a full match of the six nucleotide indexes that were used. Raw reads were processed using the PALEOMIX pipeline following published guidelines<sup>62</sup>, mapping against the cow nuclear genome (*Bos taurus* 4.6.1, accession GCA\_000003205.4), the cow mitochondrial genome (*Bos taurus*), the red deer mitochondrial genome (*Cervus elaphus*, accession AB245427.2), and the human nuclear genome (GRCh37/hg19), using BWA backtrack<sup>63</sup>

- 414 v0.5.10 with the seed disabled. All other parameters were set as default. PCR duplicates from
- 415 mapped reads were removed using the picard tool *MarkDuplicate*
- 416 [http://picard.sourceforge.net/].
- 417

# 418 SAMPLE 16635 MORPHOLOGICAL MEASUREMENTS

- 419 We followed the methodology introduced by Guérin<sup>33</sup>. The maximal length of the tooth is
- 420 measured with a digital calliper at the lingual side of the tooth and parallel to the occlusal surface.
- 421 All measurements are given in mm.
- 422

# 423 DATA DEPOSITION

- 424 All the mass spectrometry proteomics data have been deposited in the ProteomeXchange
- 425 Consortium (http://proteomecentral.proteomexchange.org) via the PRIDE partner repository with
- 426 the data set identifier PXD011008.
- 427
- 428

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### 596 ACKNOWLEDGEMENTS

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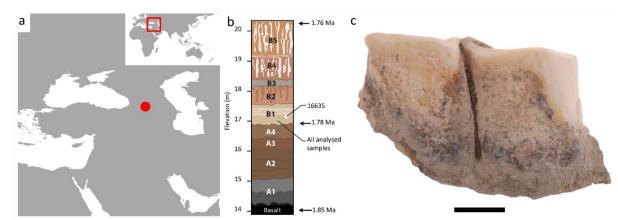
598 We would like to thank, Kristian Murphy Gregersen, for providing the medieval control specimen, 599 Marcus Anders Krag for the photographs used in Fig. 1c, Fedor Shidlovskiy for providing access to 600 the Merck's rhino sample, Beatrice Triozzi for technical help, Ashot Margaryan and Shyam 601 Gopalakrishnan for their precious comments during data interpretation. EC and FW are supported 602 by VILLUM Fonden (grant number 17649). EC, CK, JVO, PR and DS are supported by the Marie Skłodowska Curie European Training Network "TEMPERA" (grant number 722606). MM is 603 604 supported by the University of Copenhagen KU2016 (UCPH Excellence Programme) grant and by 605 the Danish National Research Foundation award PROTEIOS (DNRF128). Work at the Novo Nordisk 606 Foundation Center for Protein Research is funded in part by a generous donation from the Novo 607 Nordisk Foundation (Grant number NNF14CC0001). MTPG is supported by ERC Consolidator Grant "EXTINCTION GENOMICS" (grant number 681396). LP was supported by the EU-SYNTHESYS project 608 609 (AT-TAF-2550, DE-TAF-3049, GB-TAF-2825, HU-TAF-3593, ES-TAF-2997) funded by the European 610 Commission. LO is supported by the ERC Consolidator Grant "PEGASUS" (grant agreement No 681605). BM-N is supported by the Spanish Ministry of Sciences (grant number CGL2016-80975-P). 611 612 BS, JK and PH are supported by the Gordon and Betty Moore foundation. The aDNA analysis was carried out using the HPC facilities of the University of Luxembourg. 613 614

615

# 616 AUTHOR CONTRIBUTIONS

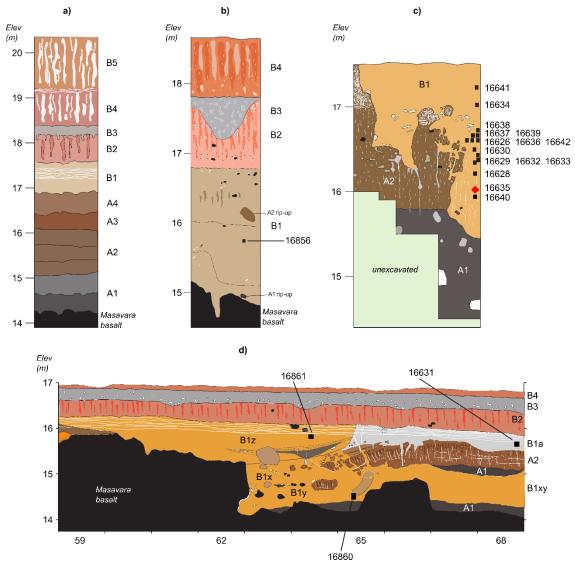
- 618 E.C., D.Lo., and E.W. designed the study. A.K.F., M.M., R.R.J.-C., M.E.A., M.D., K.P., and E.C.
- 619 performed laboratory experiments. M.Bu., M.T., R.F., E.P., T.S., Y.L.C., A.Gö., S.N., P.H., J.K., I.K.,
- 620 Y.M., J.A., R.-D.K., G.K., B.M.-N., M.-H.S.S., S.L., M.S.V., B.S., L.D., M.T.P.G., and D.Lo., provided
- ancient samples or modern reference material. E.C., F.W., L.P., J.R.M., D.Ly, V.J.M.M., A.K., D.S.,
- 622 C.K., A.Gi., L.O., L.R., J.V.O., P.R., M.D., and K.P. performed analyses and data interpretation. E.C.,
- 623 F.W., J.R.M., L.P. and E.W. wrote the manuscript with contributions of all authors.

# 625 **FIGURES**



628
 629 Figure 1. Dmanisi location, stratigraphy, and rhinoceros sample 16635. a) Geographic location of

- 630 Dmanisi in the South Caucasus. **b)** Generalized stratigraphic profile indicating origin of the analysed
- 631 specimens, recovered in layer B1 and dated to between 1.76 and 1.78 Ma. c) Isolated left lower
- 632 molar (m1 or m2; GNM Dm.5/157-16635) of *Stephanorhinus* ex gr. *etruscus-hundsheimensis*, from
- 633 Dmanisi (labial view). Scale bar: 1 cm.
- 634



635 Figure 2. Generalized stratigraphic profiles for Dmanisi, indicating sample origins. a) Type section 636 637 of Dmanisi in the M5 Excavation block. b) Stratigraphic profile of excavation area M6. M6 preserves 638 a larger gully associated with the pipe-gully phase of stratigraphic-geomorphic development in 639 Stratum B1. The thickness of Stratum B1 gully fill extends to the basalt surface, but includes "rip-640 ups" of Strata A1 and A2, showing that B1 deposits post-date Stratum A. c) Stratigraphic section of excavation area M17. Here, Stratum B1 was deposited after erosion of Stratum A deposits. The 641 stratigraphic position of the Stephanorhinus sample 16635 is highlighted with a red diamond. The 642 Masavara basalt is ca. 50 cm below the base of the shown profile. d) Northern section of Block 2. 643 644 Following collapse of a pipe and erosion to the basalt, the deeper part of this area was filled with 645 local gully fill of Stratum B1/x/y/z. Note the uniform burial of all Stratum B1 deposits by Strata B2-B4. Sampled specimens are indicated by five-digit numbers (Tab. 1). Note differences in y-axis for 646 647 elevation. Five additional samples were studied from excavation area R11, stratigraphic unit B1, not 648 shown in a stratigraphic profiles here.

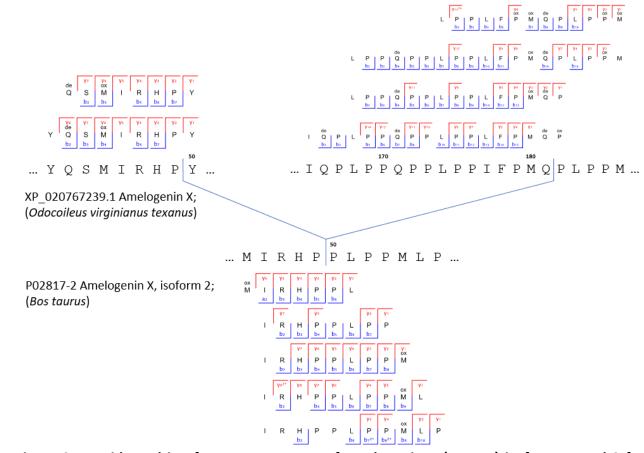
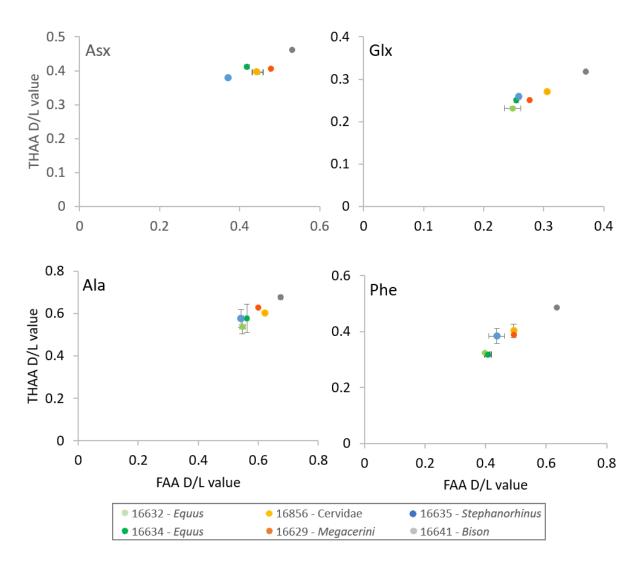


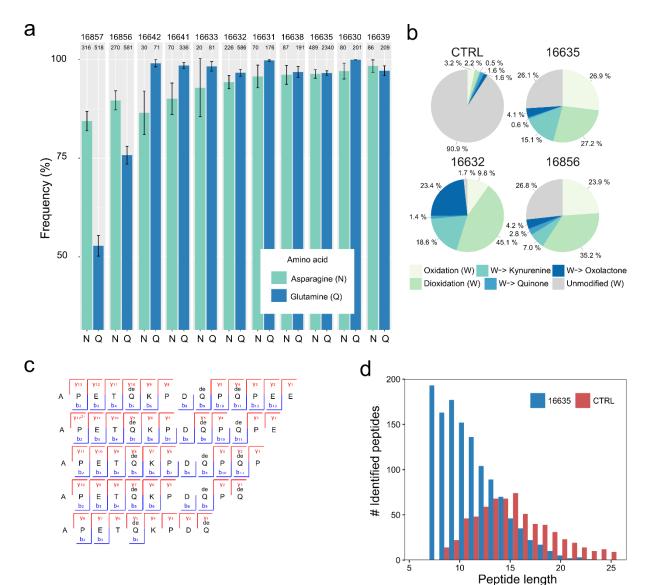
Figure 3. Peptide and ion fragment coverage of amelogenin X (AMELX) isoforms 1 and 2 from 650 specimen 16856 (Cervidae). Peptides specific for amelogenin X (AMELX) isoforms 1 and 2 appear in 651 the upper and lower parts of the figure respectively. No amelogenin X isoform 2 is currently reported 652 in public databases for the Cervidae group. Accordingly, the amelogenin X isoform 2-specific 653 peptides were identified by MaxQuant spectral matching against bovine (Bos Taurus) amelogenin X 654 isoform 2 (UniProt accession number P02817-2). Amelogenin X isoform 2, also known as leucine-655 656 rich amelogenin peptide (LRAP), is a naturally occurring alternative Amelogenin X isoform from the 657 translation product of an alternatively spliced transcript.

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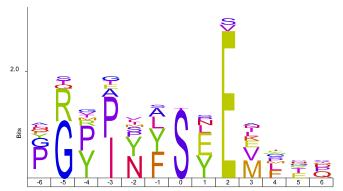
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Figure 4. Amino Acid Racemisation. Extent of intra-crystalline racemization for four amino acids
 (Asx, Glx, Ala and Phe). Error bars indicate one standard deviation based on preparative replicates
 (n=2). "Free" amino acids (FAA) on the x-axis, "total hydrolysable" amino acids (THAA) on the y-axis.
 Note differences in axes for the four separate amino acids.

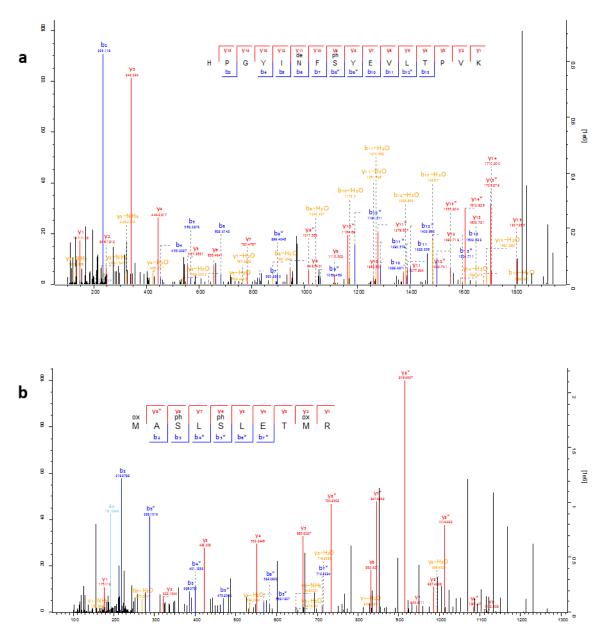


#### 665

666 Figure 5. Enamel proteome degradation. a) Deamidation of asparagine (N) and glutamine (Q) amino 667 acids. Error bars indicate confidence interval around 1000 bootstrap replicates. Numeric sample identifiers are shown at the very top, while the number of peptides used for the calculation are 668 indicated for each bar. b) Extent of tryptophan (W) oxidation leading to several diagenetic products, 669 measured as relative spectral counts. c) Peptide alignment (positions 124-137, enamelin) for acid 670 671 demineralisation without enzymatic digestion. d) Barplot of peptide length distribution of Pleistocene Stephanorhinus ex gr. etruscus-hundsheimensis (16635) and Medieval (CTRL) 672 673 undigested ovicaprine dental enamel proteomes, extracted and analysed in an identical manner.

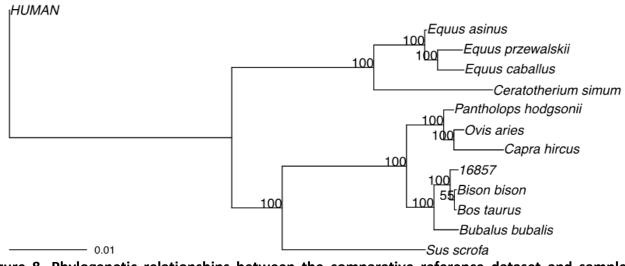


- 675 676 **Figure 6. Sequence motif analysis of ancient enamel proteome phosphorylation.** The identified S-
- 677 x-E/phS motif is recognised by the secreted kinases of the Fam20C family, which are dedicated to
- 678 the phosphorylation of extracellular proteins and involved in regulation of biomineralization<sup>26</sup>. See
- 679 Fig. 7 for spectral examples of both S-x-E and S-x-phS phosphorylated motifs.



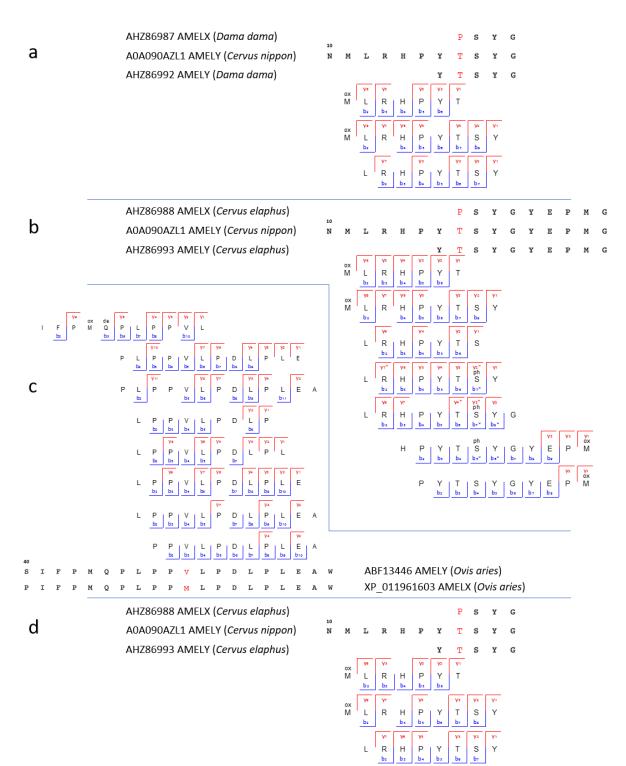
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**Figure 7. Ancient enamel proteome phosphorylation.** Annotated example spectra including phosphorylated serines (phS) in the S-x-E motif (a; AMEL), and in the S-x-phS motif (b; AMBN), as well as deamidated asparagine (deN). Icelogo analysis of all phosphorylated amino acids indicates the majority derive from Fam20C kinase activity with a specificity for the phosphorylation of S-x-E or S-x-phS motifs (see Fig. 6).



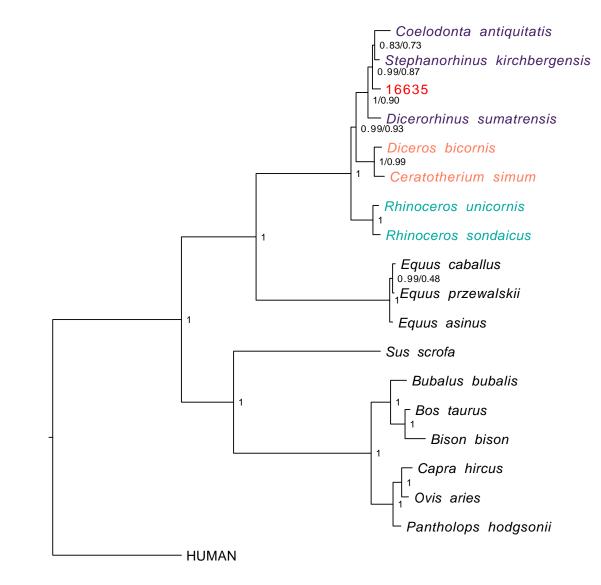
689 Figure 8. Phylogenetic relationships between the comparative reference dataset and sample

- 690 **16857.** Consensus tree from Bayesian inference. The posterior probability of each bipartition is 691 shown as a percentage to the left of each node. For all panels, we show a scale for estimated branch
- 692 lengths.
- 693



694

Figure 9. Amelogenin Y-specific matches. a) Sample 16630, Cervidae. b) Sample 16631, Cervidae.
c) Sample 16639, Bovidae. d) Sample 16856, Cervidae. Note the presence of deamidated glutamines
(deQ) and asparagines (deN), oxidated methionines (oxM), and phosphorylated serines (phS) in
several of the indicated y- and b-ion series.



701



Figure 10. Phylogenetic relationships between the comparative enamel proteome dataset and 702 specimen 16635 (Stephanorhinus ex gr. etruscus-hundsheimensis). Consensus tree from Bayesian 703 704 inference on the concatenated alignment of six enamel proteins and using Homo sapiens as an outgroup. For each bipartition, we show the posterior probability obtained from the Bayesian 705 706 inference. Additionally, for bipartitions where the Bayesian and the Maximum-likelihood inference 707 support are different, we show (right) the support obtained in the latter. Scale indicates estimated 708 branch lengths. Colours indicate the three main rhinoceros clades: Sumatran-extinct (purple), 709 African (orange) and Indian-Javan (green), as well as the specimen 16635 (red).

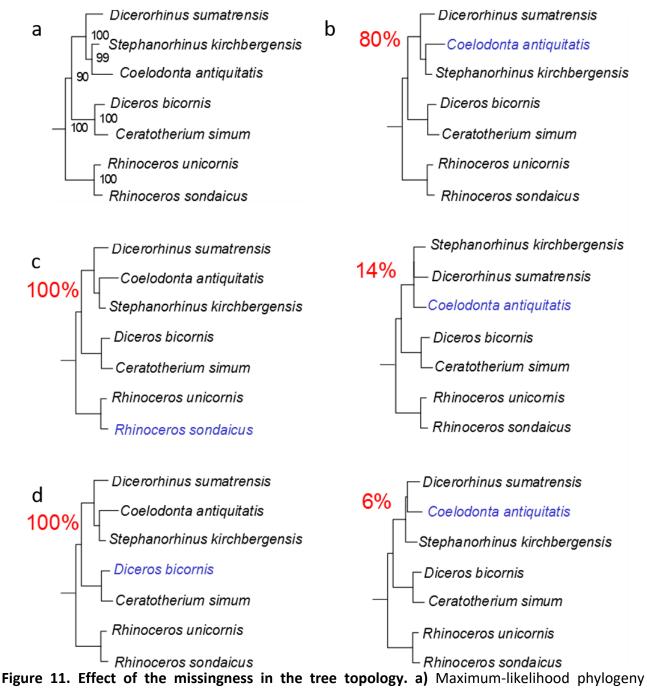


Figure 11. Effect of the missingness in the tree topology. a) Maximum-likelihood phylogeny obtained using PhyML and the protein alignment excluding the ancient Dmanisi rhinoceros. b) Topologies obtained from 100 random replicates of the Woolly rhinoceros (*Coelodonta antiquitatis*). Each replicate was added a similar amount of missing sites as in the Dmanisi sample (72.4% missingness). The percentage shown for each topology indicates the number of replicates in which that particular topology was recovered. c) Similar to b, but for the Javan rhinoceros (*Rhinoceros sondaicus*). d) Similar to b, but for the black rhinoceros (*Diceros bicornis*).

719

720 **TABLES** 

721 722

n. CGG reference	GNM specimen field	Year of	Anatomical identification	Order	Family	Species*
number	number	finding				
1 CGG_1_016486	Dm.bXI.sqA6.V	1984	P4 sin.	Carnivora	Canidae	Canis etruscus
2 CGG_1_016626	Dm.6/154.2/4.A4.17	2014	tibia sin.	Artiodactyla	Indet.	Indet.
3 CGG_1_016628	Dm.7/154.2.A2.27	2014	mc III&IV dex.	Artiodactyla	Cervidae	Tribe Megacerini
4 CGG_1_016629	Dm.5/154.3.A4.32	2014	hemimandible sin. with dp2, dp3, dp4, m1	Artiodactyla	Cervidae	Tribe Megacerini
5 CGG_1_016630	Dm.6/151.4.A4.12	2014	hemimandible dex. with p2-m3	Artiodactyla	Cervidae	Pseudodama nestii
6 CGG_1_016631	Dm.69/64.3.B1.53	2014	maxilla sin. with P3	Artiodactyla	Cervidae	Tribe Megacerini
7 CGG_1_016632	Dm.5/154.2.A4.38	2014	i3 dex.	Perissodactyla	Equidae	Equus stenonis
8 CGG_1_016633	Dm.5/153.3.A2.33	2014	mc III & mc II sin.	Perissodactyla	Equidae	Equus stenonis
9 CGG_1_016634	Dm.7/151.2.B1/A4.1	2014	m/1 or m/2 dex.	Perissodactyla	Equidae	Equus stenonis
10 CGG_1_016635	Dm.5/157.profile cleaning	2014	m/1 sin.	Perissodactyla	Rhinocerotidae	Stephanorhinus sp.
11 CGG_1_016636	Dm.6/153.1.A4.13	2014	tibia dex.	Perissodactyla	Rhinocerotidae	Rhinocerotini indet.
12 CGG_1_016637	Dm.7/154.2.A4.8	2014	mt III&IV sin.	Artiodactyla	Bovidae	Tribe Ovibovini? Nemorhaedin
13 CGG_1_016638	Dm.5/154.1.B1.1	2014	hemimandible dex. with p3-m3	Artiodactyla	Bovidae	Tribe Nemorhaedini
14 CGG_1_016639	Dm.8/154.4.A4.22	2014	maxilla dex. with P2-M2	Artiodactyla	Bovidae	Tribe Ovibovini? Nemorhaedin
15 CGG_1_016640	Dm.6/151.2.A4.97	2014	mt III&IV sin.	Artiodactyla	Bovidae	Bison georgicus
16 CGG_1_016641	Dm.8/152.3.B1.2	2014	m3 dex.	Artiodactyla	Bovidae	Bison georgicus
17 CGG_1_016642	Dm.8/153.4.A4.5	2014	hemimandible sin. with p1-m2	Carnivora	Canidae	Canis etruscus
18 CGG_1_016856	Dm.M6/7.II.296	2006	m2 sin.	Artiodactyla	Cervidae	Tribe Megacerini
19 CGG_1_016857	Dm.bXI.profile cleaning		long bone fragment of a herbivore	Indet.	Indet.	Indet.
20 CGG_1_016858	Dm.bXI.North.B1a.colleciton	2006	metapodium fragment	Artiodactyla	Cervidae	Tribe Megacerini
21 CGG_1_016859	D4.collection		fragments of pelvis and ribs of a large mammal	Indet.	Indet.	Indet.
22 CGG_1_016860	Dm.65/62.1.A1.collection	2011	P4 sin.	Artiodactyla	Cervidae	Tribe Megacerini
23 CGG_1_016861	Dm.64/63.1.B1z.collection	2010	fragment of an upper tooth	Perissodactyla	Equidae	Equus stenonis

724 Table 1. Fossil specimens selected for ancient protein and DNA extraction. For each specimen, the 725 Centre for GeoGenetics (CGG) reference number and the Georgian National Museum (GNM) 726 specimen field number are reported. \*or the narrowest possible taxonomic identification 727 achievable using traditional comparative anatomy methods.

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Specimen	Protein Name	Sequence length (aa)	Razor and unique peptides	Matched spectra*	Coverage after MaxQuant searches (%)	Final coverage after MaxQuant and PEAKS searches (%)	Final coverage (a
16628	Collagen alpha-1(I)	1158	5	8	3.2	3.2	37
16629	Amelogenin X	209	79	190	36.8	36.8	77
	Ameloblastin	440	51	84	25.0	25.0	110
	Enamelin	1129	58	133	6.2	6.5	73
	Collagen alpha-1(I)	1453	3	3	2.0	2.0	29
	Collagen alpha-1(III)	1464	2	3	1.4	1.4	20
		212	2	2	4.7	4.7	10
40000	Amelotin						
	Enamelin	1129	180   3	530   5	11.8   2.7	15.4	174
	Ameloblastin	440	105	231	30.9	31.4	138
	Amelogenin X	213	116	529	62.0	62.9	134
	Amelogenin Y	192	4	9	13.0	22.9	44
	Amelotin	212	5	6	8.0	8.0	17
16631	Enamelin	916	175	751	11.0	11.7	107
10031	Amelogenin X	213	156	598	48.8	61.5	131
	Amelogenin Y	90	5	18	15.6	25.6	23
	Ameloblastin	440	71	133	24.1	25.2	111
	MMP20	482	2	2	3.9	3.9	19
16632	Enamelin	1144	401	2160	17.9	19.1	219
	Amelogenin X	192	280	960	84.4	84.4	162
	MMP20	424	49	67	33.3	33.3	141
	Serum albumin	607	11	18	6.1	6.1	37
10001							
	Collagen alpha-1(I)	1513	4	4	2.6	2.6	40
16634	Amelogenin X	185	68	157	53.5	53.5	99
	Ameloblastin	440	47	58	23.4	23.4	103
	Enamelin	920	33	87	4.5	4.5	41
	MMP20	483	4	4	5.6	5.6	27
16635	Amelogenin X	206	394   3	2793   5	73.8   7.8	85.9	177
10055	•	1150					289
	Enamelin		382  2	2966   2	18.3   1.6	25.1	
	Ameloblastin	442	131	463	31.3	39.3	166
	Amelotin	267	26	148	9.9	9.9	20
	Serum albumin	607	34	64	18.5	24.5	149
	MMP20	483	15	25	11.8	15.3	74
16637	Collagen alpha-1(I)	1453	2	2	1.7	1.7	25
10007		1421	2	2	1.9	1.9	23
	Collagen alpha-1(II)						
	Collagen alpha-1(III)	1464	2	2	1.6	1.6	23
	Enamelin	1142	2   5	2 5	3.6   3.0	3.6	41
16638	Enamelin	1129	235   7	1155   13	11.8   4.7	12.9	146
	Amelogenin X	192	185 3	734   5	52.0   10.9	60.4	116
	Ameloblastin	440	64 2	120   4	30.0 5.7	36.4	160
	MMP20	481	6	7	8.1	9.1	44
40000							142
16639	Enamelin	1129	202	726	12.0	12.6	
	Amelogenin X	213	167	624	59.2	67.6	144
	Ameloblastin	440	88	155	26.8	30.5	134
	Amelogenin Y	192	13	13	18.8	18.8	36
16641	Amelogenin X	213	91	251	64.3	65.3	139
	-	440		122	28.9		
	Ameloblastin		69			28.9	127
	Enamelin	1129	24	75	7.8	7.8	88
	Amelotin	212	3	3	7.1	7.1	15
16642	Amelogenin X	185	89	245	42.7	42.7	79
	Enamelin	733	14	19	2.5	2.5	18
	Ameloblastin	421	3	3	7.1	7.1	30
			2	2			
	MMP20	483			3.5	3.5	17
16856	Amelogenin X	209	66   4	365   25	38.8	45.5	95
	Enamelin	916	58   13	153   70	8.2	10.2	93
	Ameloblastin	440	21	31	14.8	14.8	65
	Collagen alpha-1(I)	1047	8   10	9 11	14.5	16.9	177
	Collagen alpha-2(I)	1054	4   8	5 9	10.6	10.6	112
	Serum albumin	583	0 8		16.6	16.6	97
				0   12			
	Amelogenin Y	90	3	7	10.0	10.0	9
16860	Collagen alpha-1(I)	1047	18   14	24   18	21.7	23.4	245
	Collagen alpha-2(I)	1274	16   11	17   11	17.7	24.3	310
	Amelogenin X	192	46	98	30.7	32.3	62
	Ameloblastin	440	19	37	9.1	9.1	40
	Enamelin	900	15	25	3.8	3.8	34
	Amelogenin X	185	14	15	36.8	38.9	72
	Ameloblastin	343	2	2	4.4	4.4	15
	Enamelin	915	2	2	1.2	1.2	11
g. Contr. Gr. 1:							
235, 275, 706	ND						
g. Contr. Gr. 2:							
30, 875, 889	ND						
g. Contr. Gr. 3:							

729 <u>1214, 1218 Amelogenin X</u> 122 <u>5</u> 7 <u>18.0</u> <u>18.0</u> <u>22</u>
730 **Table 2. Proteome composition and coverage.** In those cells reporting two values separated by the
731 "|" symbol, the first value refers to MaxQuant (MQ) searches performed selecting unspecific
732 digestion, while the second value refers to MQ searches performed selecting trypsin digestion. For
733 those cells including one value only, it refers to MaxQuant (MQ) searches performed selecting
734 unspecific digestion. Final amino acid coverage, incorporating both MQ and PEAKS searches, is
735 reported in the last column. \*supporting all peptides.