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## Relative Privacy Threats and Learning From Anonymized Data

Michele Boreale, Fabio Corradi<sup>®</sup>, and Cecilia Viscardi

Abstract—We consider group-based anonymization schemes, 1 a popular approach to data publishing. This approach aims 2 at protecting privacy of the individuals involved in a dataset, 3 by releasing an obfuscated version of the original data, where 4 the exact correspondence between individuals and attribute 5 values is hidden. When publishing data about individuals, one 6 must typically balance the learner's utility against the risk 7 8 posed by an attacker, potentially targeting individuals in the dataset. Accordingly, we propose a unified Bayesian model of 9 group-based schemes and a related MCMC methodology to learn 10 the population parameters from an anonymized table. This allows 11 one to analyze the risk for any individual in the dataset to be 12 linked to a specific sensitive value, when the attacker knows 13 the individual's nonsensitive attributes, beyond what is implied 14 for the general population. We call this relative threat analysis. 15 Finally, we illustrate the results obtained with the proposed 16 17 methodology on a real-world dataset.

Index Terms—Privacy, anonymization, k-anonymity, MCMC
 methods.

#### 20

AQ:1

AQ:2

AQ:3

### I. INTRODUCTION

**XT**E CONSIDER a scenario where datasets containing 21 personal microdata are released in anonymized form. 22 The goal here is to enable the computation of general popula-23 tion characteristics with reasonable accuracy, at the same time 24 preventing leakage of sensitive information about individuals 25 in the dataset. The Database of Genotype and Phenotype [32], 26 the U.K. Biobank [36] and the UCI Machine Learning repos-27 itory [47] are well-known examples of repositories providing 28 this type of datasets. 29

Anonymized datasets always have "personal identifiable 30 information", such as names, SSNs and phone numbers, 31 removed. At the same time, they include information 32 derived from nonsensitive (say, gender, ZIP code, age, 33 nationality) as well as sensitive (say, disease, income) 34 attributes. Certain combinations of nonsensitive attributes, like 35 (gender, date of birth, ZIP code), may be used to uniquely 36 identify a significant fraction of the individuals in a population, 37 thus forming so-called quasi-identifiers. For a given target 38 individual, the victim, an attacker might easily obtain this piece 39 of information (e.g. from personal web pages, social networks 40

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etc.), use it to identify him/her within a dataset and learn the corresponding sensitive attributes. This attack was famously demonstrated by L. Sweeney, who identified Massachusetts' Governor Weld medical record within the Group Insurance Commission (GIC) dataset [46]. Note that *identity disclosure*, that is the precise identification of an individual's record in a dataset, is not necessary to arrive at a privacy breach: depending on the dataset, an attacker might infer the victim's sensitive information, or even a few highly probable candidate values for it, without identity disclosure involved. This more general type of threat, *sensitive attribute disclosure*, is the one we focus on here.<sup>1</sup>

In an attempt to mitigate such threats for privacy, regulatory bodies mandate complex, often baroque syntactic constraints on the published data. As an example, here is an excerpt from the HIPAA *safe harbour* deidentification standard [48], which prescribes a list of 18 identifiers that should be removed or obfuscated, such as

all geographic subdivisions smaller than a state, including street address, city, county, precinct, ZIP code, and their equivalent geocodes, except for the initial three digits of the ZIP code if, according to the current publicly available data from the Bureau of the Census: (1) the geographic unit formed by combining all ZIP codes with the same three initial digits contains more than 20,000 people; and (2) the initial three digits of a ZIP code for all such geographic units containing 20,000 or fewer people is changed to 000.

There exists a large body of research, mainly in 70 Computer Science, on syntactic methods. In particular, 71 group-based anonymization techniques have been systemat-72 ically investigated, starting with L. Sweeney's proposal of 73 k-anonymity [46], followed by its variants, like  $\ell$ -diversity [30] 74 and Anatomy [49]. In group-based methods, the anonymized -75 or obfuscated - version of a table is obtained by partitioning 76 the set of records into groups, which are then processed to 77 enforce certain properties. The rationale is that, even knowing 78 that an individual belongs to a group of the anonymized 79 table, it should not be possible for an attacker to link that 80 individual to a specific sensitive value in the group. Two 81 examples of group based anonymization are in Table I, adapted 82

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<sup>&</sup>lt;sup>1</sup>Depending on the nature of the dataset, the mere *membership disclosure*, i.e. revealing that an individual is present in a dataset, may also be considered as a privacy breach: think of data about individuals who in the past have been involved in some form of felony. We will not discuss membership disclosure privacy breaches in this paper.

TABLE I A TABLE (TOP) ANONYMIZED ACCORDING TO 2-ANONYMITY VIA LOCAL Recoding (Middle) and Anatomy (Bottom)

_							
	ID Nat.		ZIP		Dis.		
Г	1	Malaysia		45501		Heart	
	2	Japan	45502			Flu	
	3	Japan	55503			Flu	
	4	Japan	55504			Stomach	
	5	China		66601		HIV	
	6	Japan		66601		Diabetes	
	7	India	77701			Flu	
	8	Malaysia		77701		Heart	
	a) Original table			le			
	ID	Nat.		ZIP		Dis.	
	1	$\{M, J\}$		4550*		Heart	
	2	$\{M,J\}$		4550*		Flu	
	3	Japan	5550*			Flu	
	4	Japan	5550* 3		Stomach		
	5	$\{C, J\}$	66601			HIV	
	6	$\{C, J\}$	,	66601	]	Diabetes	
	7	$\{I, M\}$	77701			Flu	
	8	$\{I,M\}$	,	77701		Heart	
	b) 2-anonymity via local recoding					recoding	
(	GID	Nat.		ZIP		Dis.	
	1	Japan		45502		Heart	
	1	Malaysia		45501		Flu	
2		Japan		55504		Flu	
2		Japan		55503		Stomach	
	3	Japan		66601		HIV	
	3	China		66601		Diabetes	
	4	Malaysia		77701		Flu	
4		India		77701		Heart	

c) Anatomy

from [9]. The topmost, original table collects medical data 83 from eight individuals; here Disease is considered as the 84 only sensitive attribute. The central table is a 2-anonymous, 85 2-diverse table: within each group the nonsensitive attribute 86 values have been generalized following group-specific rules 87 (local recoding) so as to make them indistinguishable; more-88 over, each group features 2 distinct sensitive values. In general, 89 each group in a k-anonymous table consists of at least k 90 records, which are indistinguishable when projected on the 91 nonsensitive attributes;  $\ell$ -diversity additionally requires the 92 presence in each group of at least  $\ell$  distinct sensitive values, 93 with approximately the same frequency. This is an example 94 of horizontal scheme. Table I (c) is an example of application 95 of the Anatomy scheme: within each group, the nonsensitive 96 part of the rows are *vertically* and *randomly* permuted, thus 97 breaking the link between sensitive and nonsensitive values. 98 Again, the table is 2-diverse. 99

In recent years, the effectiveness of syntactic anonymization methods has been questioned, as offering weak guarantees against attackers with strong background knowledge – very precise contextual information about their victims. *Differential privacy* [18], which promises protection in the face of *arbitrary* background knowledge, while valuable in the release of summary statistics, still appears not of much use when it comes to data publishing (see the Related works paragraph). <sup>106</sup> As a matter of fact, release of syntactically anonymized tables appears to be the most widespread data publishing practice, with quite effective tool support (see e.g. [37]). <sup>110</sup>

In the present paper, discounting the risk posed by attackers 111 with strong background knowledge, we pose the problem in 112 relative terms: given that whatever is learned about the general 113 population from an anonymized dataset represents legitimate 114 and useful information ("smoke is associated with cancer"), 115 one should prevent an attacker from drawing conclusions about 116 specific individuals in the table ("almost certainly the target 117 individual has cancer"): in other words, learning sensitive 118 information for an individual in the dataset, beyond what is 119 implied for the general population. To see what is at stake 120 here, consider dataset (b) in Table I. Suppose that the attacker's 121 victim is a Malaysian living at ZIP code 45501, and known 122 to belong to the original table. The victim's record must 123 therefore be in the first group of the anonymized table. The 124 attacker may reason that, with the exception of the first group, 125 a Japanese is never connected to Heart Disease; this hint 126 can become a strong evidence in a larger, real-world table. 127 Then the attacker can link with high probability the Malaysian 128 victim in the first group to Heart Disease. In this attack, 129 the attacker combines knowledge of the nonsensitive attributes 130 of the victim (Malaysian, ZIP code 45501) with the group 131 structure and the knowledge learned from the anonymized 132 table. 133

We propose a unified probabilistic model to reason about 134 such forms of leakage. In doing so, we clearly distinguish the 135 position of the *learner* from that of the *attacker*: the resulting 136 notion is called *relative privacy threat*. In our proposal, both 137 the learner and the attacker activities are modeled as forms 138 of Bayesian inference: the acquired knowledge is represented 139 as a joint posterior probability distribution over the sensitive 140 and nonsensitive values, given the anonymized table and, 141 in the case of the attacker, knowledge of the victim's presence 142 in the table. A comparison between these two distributions 143 determines what we call relative privacy threat. Since posterior 144 distributions are in general impossible to express analytically, 145 we also put forward a MCMC method to practically estimate 146 such posteriors. We also illustrate the results of applying our 147 method to the Adult dataset from the UCI Machine Learn-148 ing repository [47], a common benchmark in anonymization 149 research. 150

#### A. Related Works

Sweeney's k-anonymity [46] is among the most popu-152 lar proposals aiming at a systematic treatment of syntactic 153 anonymization of microdata. The underlying idea is that every 154 individual in the released dataset should be hidden in a 155 "crowds of k". Over the years, k-anonymity has proven to 156 provide weak guarantees against attackers who know much 157 about their victims, that is have a strong background knowl-158 edge. For example, an attacker may know from sources other 159 than the released data that his victim does not suffer from 160 certain diseases, thus ruling out all possibilities but one in 161

the victims's group. Additional constraints may be enforced 162 in order to mitigate those attacks, like  $\ell$ -diversity [30] and 163 t-closeness [27]. Differential Privacy [18] promises protec-164 tion in the face of arbitrary background knowledge. In its 165 basic, interactive version, this means that, when querying a 166 database via a differentially private mechanism, one will get 167 approximately the same answers, whether the data of any 168 specific individual is included or not in the database. This is 169 typically achieved by injecting controlled levels of noise in the 170 reported answer, e.g. Laplacian noise. Differential Privacy is 171 very effective when applied to certain summary statistics, such 172 as histograms. However, it raises a number of difficulties when 173 applied to table publishing: in concrete cases, the level of noise 174 necessary to guarantee an acceptable degree of privacy would 175 destroy utility [12], [13], [44]. Moreover, due to correlation 176 phenomena, it appears that Differential Privacy cannot in 177 general be used to control evidence about the participation 178 of individuals in a database [4], [26]. In fact, the no-free-179 lunch theorem of Kifer and Machanavajjhala [26] implies that 180 it is impossible to guarantee both privacy and utility, without 181 making assumptions about how the data have been generated 182 (e.g., independence assumptions). Clifton and Tassa [10] crit-183 ically review issues and criticisms involved in both syntactic 184 methods and Differential Privacy, concluding that both have 185 their place, in Privacy Preserving- Data Publishing and Data 186 Mining, respectively. Both approaches have issues that call 187 for further research. A few proposals involve blending the 188 two approaches, with the goal to achieve both strong privacy 189 guarantees and utility, see e.g. [28]. 190

A major source of inspiration for our work has been 191 Kifer's [25]. The main point of [25] is to demonstrate a pitfall 192 of the random worlds model, where the attacker is assumed 193 to assign equal probability to all cleartext tables compatible 194 with the given anonymized one. Kifer shows that a Bayesian 195 attacker willing to learn from the released table can draw 196 sharper inferences than those possible in the random worlds 197 model. In particular, Kifer shows that it is possible to extract 198 from (anatomized)  $\ell$ -diverse tables belief probabilities greater 199 than  $1/\ell$ , by means of the so-called deFinetti attack. While 200 pinpointing a deficiency of the random worlds model, it is 201 questionable if this should be considered an attack, or just 202 a legitimate learning strategy. Quoting [10] on the deFinetti 203 attack: 204

The question is whether the inference of a general 205 behavior of the population in order to draw belief 206 probabilities on individuals in that population con-207 stitutes a breach of privacy (...). To answer this 208 question positively for an attack on privacy, the suc-209 cess of the attack when launched against records that 210 are part of the table should be significantly higher 211 than its success against records that are not part of 212 the table. We are not aware of such a comparison 213 for the deFinetti attack. 214

It is this very issue that we tackle in the present paper.
Specifically, our main contribution here is to put forward a
concept of relative privacy threat, as a means to assess the
risks implied by publishing tables anonymized via group-based

methods. To this end, we introduce: (a) a unified probabilistic 219 model for group-based schemes; (b) rigorous characterizations 220 of the learner and the attacker's inference, based on Bayesian reasoning; and, (c) a related MCMC method, which generalizes 222 and systematizes that proposed in [25]. 223

Very recently, partly inspired by differential privacy, a 224 few authors have considered what might be called a rel-225 ative or *differential* approach to assessing privacy threats, 226 in conjunction with some notion of learning or inference 227 from the anonymized data. Especially relevant to our work 228 is differential inference, introduced in a recent paper by 229 Kassem et al. [24]. These authors make a clear distinction 230 between two different types of information that can be inferred 231 from anonymized data: learning of "public" information, con-232 cerning the population, should be considered as legitimate; 233 on the contrary, leakage of "private" information about indi-234 viduals should be prevented. To make this distinction formal, 235 given a dataset, they compare two probability distributions 236 that can be machine-learned from two distinct training sets: 237 one including and one excluding a target individual. An attack 238 exists if there is a significant difference between the two dis-239 tributions, measured e.g. in terms of Earth Moving Distance. 240 While similar in spirit to ours, this approach is conceptually 241 and technically different from what we do here. Indeed, in our 242 case the attacker explicitly takes advantage of the extra piece 243 of information concerning the presence of the victim in the 244 dataset to attack the target individual, which leads to a more 245 direct notion of privacy breach. Moreover, in [24] a Bayesian 246 approach to inference is not clearly posed, so the obtained 247 results lack a semantic foundation, and strongly depend on the 248 adopted learning algorithm. Pyrgelis et al. [39] use Machine 249 Learning for membership inference on aggregated location 250 data, building a binary classifier that can be used to predict 251 if a target user is part of the aggregate data or not. A similar 252 goal is pursued in [35]. Again, a clear semantic foundation 253 of these methods is lacking, and the obtained results can be 254 validated only empirically. In a similar vein, [3] and [17] have 255 proposed statistical techniques to detect privacy violations, 256 but they only apply to differential privacy. Other works, such 257 as [23] and [33], have just considered the problem of how 258 to effectively learn from anonymized datasets, but not of 259 how to characterize legitimate, as opposed to non-legitimate, 260 inference. 261

On the side of the random worlds model, Chi-Wing Wong 262 et al.'s work [9] shows how information on the population 263 extracted from the anonymized table - in the authors' words, 264 the *foreground* knowledge – can be leveraged by the attacker 265 to violate the privacy of target individuals. The underlying rea-266 soning, though, is based on the random worlds model, hence 267 is conceptually and computationally very different from the 268 Bayesian model adopted in the present paper. Bewong et al. [2] 269 assess relative privacy threat for transactional data by a suitable 270 extension of the notion of t-closeness, which is based on com-271 paring the relative frequency of the victim's sensitive attribute 272 in the whole table with that in the victim's group. Here the 273 underlying assumption is that the attacker's prior knowledge 274 about sensitive attributes matches the public knowledge, and 275 that the observed sensitive attributes frequencies provide good 276

estimates both for the public knowledge and the attacker's 277 belief. Our proposal yields more sophisticated estimates via a 278 Bayesian inferential procedure. Moreover, in our scenario the 279 assumption on the attacker's knowledge is relaxed requiring 280 only the knowledge of the victim's presence in whatever group 281 of the table. 282

A concept very different from the previously discussed pro-283 posals is Rubin's multiple imputation approach [43], by which 284 only tables of synthetic data, generated sampling from a 285 predictive distribution learned from the original table, are 286 released. This avoids syntactic masking/obfuscation, whose 287 analysis requires customized algorithms on the part of the 288 learner, and leaves to the data producer the burden of synthesis. 289 Note that this task can be nontrivial and raises a number of 290 difficulties concerning the availability of auxiliary variables 291 for non-sampled units, see [42]. In Rubin's view, synthetic 292 data overcome all privacy concerns, in that no real individual's 293 data is actually released. However, this position has been ques-294 tioned, on the grounds that information about participants may 295 leak through the chain: original table  $\rightarrow$  posterior parameters 296  $\rightarrow$  synthetic tables. In particular, Machanavajjhala *et al.* [31] 297 study Differential Privacy of synthetic categorical data. They 298 show that the release of such data can be made differen-299 tially private, at the cost of introducing very powerful priors. 300 However, such priors can lead to a serious distortion in 301 whatever is learned from the data, thus compromising utility. 302 In fact, [50] argues that, in concrete cases, the required pseudo 303 sample size hyperparameter could be larger than the size of 304 the table. Experimental studies [7], [8] appear to confirm 305 that such distorting priors are indeed necessary for released 306 synthetic data to provide acceptable guarantees, in the sense 307 of Differential Privacy. See [50] for a recent survey of results 308 about synthetic data release and privacy. An outline of the 309 model presented here, with no proofs of correctness, appeared 310 in the conference paper [5]. 311

#### B. Structure of the Paper 312

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The rest of the paper is organized as follows. In Section 313 II we propose a unified formal definition of vertical and 314 horizontal schemes. In Section III we put forward a probabilis-315 tic model to reason about learner's and attacker's inference; 316 the case of prior partial knowledge of the victim's attributes 317 on the part of the attacker is also covered. Based on that, 318 measures of (relative) privacy threats and utility are introduced 319 in Section IV. In Section V, we study a MCMC algorithm to 320 learn the population parameters posterior and the attacker's 321 probability distribution from the anonymized data. In Section 322 VI, we illustrate the results of an experiment conducted on a 323 real-world dataset. A few concluding remarks and perspectives 324 for future work are reported in Section VII. Some technical 325 material has been confined to Appendix A. 326

#### **II. GROUP BASED ANONYMIZATION SCHEMES**

A dataset consists of a collection of rows, where each row 328 corresponds to an individual. Formally, let  $\mathcal{R}$  and  $\mathcal{S}$ , ranged 329 over by r and s respectively, be finite non-empty sets of 330 nonsensitive and sensitive values, respectively. A row is a pair 331

 $(s, r) \in \mathcal{S} \times \mathcal{R}$ . There might be more than one sensitive and 332 nonsensitive characteristic, so s and r can be thought of as 333 vectors. 334

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A group-based anonymization algorithm A is an algorithm that takes a multiset of rows as input and yields an obfuscated 336 table as output, according to the scheme

multiset of rows  $\rightarrow$  cleartext table  $\rightarrow$  obfuscated table. 338

Formally, fix  $N \ge 1$ . Given a multiset of N rows, d =339  $\{(s_1, r_1), \ldots, (s_N, r_N)\}, \mathcal{A}$  will first arrange d into a sequence 340 of groups,  $t = g_1, \ldots, g_k$ , the *cleartext table*. Each group in 341 turn is a sequence of  $n_i$  rows,  $g_i = (s_{i,1}, r_{i,1}), \dots, (s_{i,n_i}, r_{i,n_i}),$ 342 where  $n_i$  can vary from group to group. Note that both the 343 number of groups,  $k \ge 1$ , and the number of rows in each 344 group,  $n_i$ , depend in general on the original multiset d as well 345 as on properties of the considered algorithm – such as ensuring 346 k-anonymity and  $\ell$ -diversity (see below). The obfuscated table 347 is then obtained as a sequence  $t^* = g_1^*, \ldots, g_k^*$ , where the 348 obfuscation of each group  $g_i$  is a pair  $g_i^* = (m_i, l_i)$ . Here, 349 each  $m_i = s_{i,1}, \ldots, s_{i,n_i}$  is the sequence of sensitive values 350 occurring in  $g_i$ ; each  $l_i$ , called generalized nonsensitive value, 35 is one of the following: 352

- for *horizontal* schemes, a *superset* of  $g_i$ 's nonsensitive 353 values:  $l_i \supseteq \{r_{i,1}, ..., r_{i,n_i}\};$ 354
- for vertical schemes, the multiset of  $g_i$ 's nonsensitive 355 values:  $l_i = \{ | r_{i,1}, \dots, r_{i,n_i} | \}.$ 356

Note that the generalized nonsensitive values in vertical 357 schemes include all and only the values, with multiplicities, 358 found in the corresponding original group. On the other hand, 359 generalized nonsensitive values in horizontal schemes may 360 include additional values, thus generating a superset. What 361 values enter the superset depends on the adopted technique, 362 e.g. micro-aggregation, generalization or suppression; in any 363 case this makes the rows in each group indistinguishable when 364 projected onto the nonsensitive attributes. For example, each 365 of 45501, 45502 is generalized to the superset 4550\* =366 {45500, 45501, ..., 45509} in the first group of Table I(b). 367

Sometimes it will be notationally convenient to ignore the 368 group structure of t altogether, and regard the cleartext table t simply as a sequence of rows,  $(s_1, r_1), (s_2, r_2), \ldots, (s_1, s_N)$ . 370 Each row  $(s_i, r_i)$  is then uniquely identified within the table t by its index  $1 \le j \le N$ .

An instance of horizontal schemes is k-anonymity [46]: 373 in a k-anonymous table, each group consists of at least  $k \ge k$ 374 1 rows, where the different nonsensitive values appearing 375 within each group have been generalized so as to make them 376 indistinguishable. In the most general case, different occur-377 rences of the same nonsensitive value might be generalized in 378 different ways, depending on their position (index) within the 379 table t: this is the case of *local recoding*. Alternatively, each 380 occurrence of a nonsensitive value is generalized in the same 381 way, independently of its position: this is the case of global 382 recoding. Further conditions may be imposed on the resulting 383 anonymized table, such as *l-diversity*, requiring that at least 384  $\ell > 1$  distinct values of the sensitive attribute appear in each 385 group. Table I (center) shows an example of k=2-anonymous 386 and  $\ell = 2$ -diverse table: in each group the nonsensitive 387

TABLE II

Symbol	Description	Symbol	Description	
A	A attacker		$\pi_{R S}$ hyperparameters	
α	$\pi_S$ hyperparameters	δ	nonsensitive freq.	
γ	$\gamma$ sensitive freq.		obfuscated group i	
<i>g</i> i	group <i>i</i>	$\mathbf{GT}_A$	global threat level	
ETV	emp. total variation	k	number of groups	
I	evaluator (ideal)	k	min size of groups s	
$l_i$	group <i>i</i> nonsens. values	L	learner	
l	min n. of sens. val.	$m_i$	group <i>i</i> sens. values	
N	n. of rows in the table	π	parameters of R, S	
$\pi_{R s}$	parameters of R s	$\pi_S$	parameters of S	
R	nonsensitive r.v.	S	sensitive r.v.	
t	clear text table	<i>t</i> *	obfuscated table	
Ti	rel. threat level	TV	total variation	
RF	rel. faithfulness level	v	victim	

values are indistinguishable and two different sensitive values
 (diseases) appear in each group.

An instance of vertical schemes is Anatomy [49]: within 390 each group, the link between the sensitive and nonsensitive 391 values is hidden by randomly permuting one of the two 392 parts, for example the nonsensitive one. As a consequence, 393 an anatomized table may be seen as consisting of two sub-394 tables: a sensitive and a nonsensitive one. Table I (c) shows 395 an example of anatomized table: in the nonsensitive sub-table, 396 the reference to the corresponding sensitive values is lost; only 397 the multiset of nonsensitive values appears for each group. 398

Remark 1 (disjointness): Some anonymization schemes enforce the following disjointness property on the obfuscated table t\*:

Any two generalized nonsensitive values in 
$$t^*$$
 are  
disjoint:  $i \neq j$  implies  $l_i \cap l_j = \emptyset$ .

We need not assume this property in our treatment – although assuming it may be computationally useful in practice (see Section III).

For ease of reference, we provide a summary of the notation that will be used throughout the paper in Table II.

### III. A UNIFIED PROBABILISTIC MODEL

We provide a unified probabilistic model for reasoning on group-based schemes. We first introduce the random variables of the model together with their joint density function. On top of these variables, we then define the probability distributions on  $S \times R$  that formalize the *learner* and the *attacker* knowledge, given the obfuscated table.

#### 416 A. Random Variables

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<sup>417</sup> The model consists of the following random variables.

- 418 Π, taking values in the set of full support probability 419 distributions  $\mathcal{D}$  over  $\mathcal{S} \times \mathcal{R}$ , is the joint probability 420 distribution of the sensitive and nonsensitive attributes in 421 the population.
- 422  $T = G_1, \ldots, G_k$ , taking values in the set of 423 cleartext tables  $\mathcal{T}$ . Each group  $G_i$  is in turn a 424 sequence of  $n_i \geq 1$  consecutive rows in T,  $G_i =$ 425  $(S_{i,1}, R_{i,1}), \ldots, (S_{i,n_i}, R_{i,n_i})$ . The number of groups k is

not fixed, but depends on the anonymization scheme and the specific tuples composing T.

•  $T^* = G_1^*, \dots, G_k^*$ , taking values in the set of obfuscated tables  $T^*$ .

We assume that the above three random variables form a 430 Markov chain: 431

$$\Pi \longrightarrow T \longrightarrow T^*. \tag{1} 432$$

In other words, uncertainty on T is driven by  $\Pi$ , and  $T^*$ solely depends on the table T and the underlying obfuscation algorithm. As a result,  $T^* \coprod \Pi \mid T$ . Equivalently, the joint probability density function f of these variables can be factorized as follows, where  $\pi$ , t,  $t^*$  range over  $\mathcal{D}$ , T and  $T^*$ , respectively:

$$f(\pi, t, t^*) = f(\pi)f(t|\pi)f(t^*|t).$$
(2) 439

Additionally, we shall assume the following:

•  $\pi \in \mathcal{D}$  is encoded as a pair  $\pi = (\pi_S, \pi_{R|S})$  where  $\pi_{R|S} = 441$   $\{\pi_{R|s} : s \in S\}$ . Here,  $\pi_S$  are the parameters of a full support categorical distribution over S, and, for each  $s \in S$ ,  $\pi_{R|s}$  are the parameters of a full support categorical distribution over  $\mathcal{R}$ . For each  $(s, r) \in S \times \mathcal{R}$ 

$$f(s,r|\pi) = f(s|\pi) \cdot f(r|\pi_{R|s})$$

$$446$$

We also posit that the  $\pi_S$  and the  $\pi_{R|s}$ 's are chosen independently, according to Dirichlet distributions of hyperparameters  $\boldsymbol{\alpha} = (\alpha_1, \dots, \alpha_{|S|})$  and  $\boldsymbol{\beta}^s = (\beta_1^s, \dots, \beta_{|\mathcal{R}|}^s)$ , 449 respectively. In other words 450

$$f(\pi) = \operatorname{Dir}(\pi_{S} \mid \boldsymbol{\alpha}) \cdot \prod_{s \in \mathcal{S}} \operatorname{Dir}(\pi_{R|s} \mid \boldsymbol{\beta}^{s}).$$
(3) 45

The hyperparameters  $\alpha$  and  $\beta$  may incorporate prior (background) knowledge on the population, if this is available. Otherwise, a uniformative prior can be chosen setting  $\alpha_i = \beta_j^s = 1$  for each *i*, *s*, *j*. When  $r \in \mathcal{R}$  455 is a tuple of attributes, we shall assume conditional independence of those attributes given *s*, so that the joint probability of r|s can be determined by factorization. 458

• The N individual rows composing the table t, say  $(s_1, r_1), \ldots, (s_N, r_N)$ , are assumed to be drawn i.i.d. 460 according to  $f(\cdot|\pi)$ . Equivalently 461

$$f(t|\pi) = f(s_1, r_1|\pi) \cdots f(s_N, r_N|\pi).$$
(4) 462

Instances of the above model can be obtained by specifying an anonymization mechanism A. In particular, the distribution  $f(t^*|t)$  only depends on the obfuscation algorithm that is adopted, say obf(t). In the important special case obf(t) acts as a deterministic function on tables,  $f(t^*|t) = 1$  if and only if  $obf(t) = t^*$ , otherwise  $f(t^*|t) = 0$ .

#### B. Learner and Attacker Knowledge

We shall denote by  $p_{\rm L}$  the probability distribution over  $S \times {}^{470}$  $\mathcal{R}$  that can be learned given the anonymized table  $t^*$ . This  ${}^{471}$ distribution we take to be the average of  $f(s, r|\pi)$  with respect  ${}^{472}$ 

440

to the density  $f(\Pi = \pi | T^* = t^*)$ . Formally, for each  $(s, r) \in \mathcal{S} \times \mathcal{R}$ :

475 
$$p_{\rm L}(s,r|t^*) \stackrel{\triangle}{=} E_{\pi \sim f(\pi|t^*)}[f(s,r|\pi)] = \int_{\mathcal{D}} f(s,r|\pi) f(\pi|t^*) \,\mathrm{d}\pi.$$
  
476 (5)

<sup>477</sup> Of course, we can condition  $p_{\rm L}$  on any given r and obtain <sup>478</sup> the conditional probability  $p_{\rm L}(s|r, t^*)$ . Equivalently, we can <sup>479</sup> compute

480 
$$p_{\rm L}(s|r,t^*) \stackrel{\triangle}{=} E_{\pi \sim f(\pi|t^*)}[f(s|r,\pi)] = \int_{\mathcal{D}} f(s|r,\pi)f(\pi|t^*) \,\mathrm{d}\pi.$$
  
481 (6)

In particular, one can read off this distribution on a victim's nonsensitive attribute, say  $r_v$ , and obtain the corresponding distribution on S.

We shall assume the attacker knows the values of  $T^* = t^*$ 485 and the nonsensitive value  $r_v$  of a target individual, the victim; 486 moreover the attacker knows the victim is an individual in 487 the table. Accordingly, in what follows we fix once and for 488 all  $t^*$  and  $r_v$ : these are the values observed by the attacker. 489 Given knowledge of a victim's nonsensitive attribute  $r_v$  and 490 knowledge that the victim is actually in the table T, we can 491 define the attacker's distribution on S as follows. 492

Let us introduce in the above model a new random vari-493 able V, identifying the index of the victim within the clear-494 text table T. We posit that V is uniformly distributed on 495  $\{1, \ldots, N\}$ , and independent from  $\Pi, T, T^*$ . Recalling that 496 each row  $(S_i, R_i)$  is identified within T by a unique index 497 j, we can define the attacker's probability distribution on S, 498 after seeing  $t^*$  and  $r_v$ , as follows, where it is assumed that 499  $f(R_V = r_v, t^*) > 0$ , that is the observed victim's  $r_v$  is 500 compatible with  $t^*$ : 501

$$p_{\mathcal{A}}(s|r_{\mathcal{V}},t^*) \stackrel{\Delta}{=} f(S_{\mathcal{V}}=s \mid R_{\mathcal{V}}=r_{\mathcal{V}},t^*).$$
(7)

The following crucial lemma provides us with a characterization of the above probability distribution that is only based on a selection of the marginals  $R_j$  given  $t^*$ . This will be the basis for actually computing  $p_A(s|r_v, t^*)$ . Note that, on the right-hand side, only those rows whose sensitive value - known from  $t^*$  - is *s* contribute to the summation. A proof of the lemma is reported in Appendix A.

Lemma 1: Let  $T = (S_j, R_j)_{j \in 1...N}$ . Let  $s_j$  be the sensitive value in the *j*-th entry of  $t^*$ . Let  $r_v$  and  $t^*$  such that  $f(R_V = r_v, t^*) > 0$ . Then

513 
$$p_{A}(s|r_{v}, t^{*}) \propto \sum_{j:s_{j}=s} f(R_{j} = r_{v} | t^{*}).$$
 (8)

Note that the disjointness of generalized nonsensitive values
 of the groups can make the computation of (8) more efficient,
 restricting the summation on the right-hand side to a unique
 group.

*Example 1:* In order to illustrate the difference between the learner's and the attacker's inference, we reconsider the toy example in the Introduction. Let  $t^*$  be the 2-anonymous, 2-diverse Table I(b). Assume the attacker's victim is the first individual of the original dataset, who is from Malaysia(= M) and lives in the ZIP code 45501 area, hence

TABLE III

Posterior Distributions of Diseases for a Victim With  $r_{\rm v} = (M, 45501)$ , for the Anonymized  $t^*$  in Table I(b). NB: figures Affected by Rounding Errors

	Heart	Flu	Stomach	HIV	Diabetes
$p_{\rm L}(s r_{\rm v},t^*)$	0.343	0.317	0.113	0.114	0.113
$p_{\rm A}(s r_{\rm v},t^*)$	0.580	0.420	0	0	0
$p_{\rm RW}(s r_{\rm v},t^*)$	0.500	0.500	0	0	0

 $r_{\rm v} = (M, 45501)$ . Table III shows the belief probabilities of 524 the learner,  $p_{\rm L}(s|r_{\rm v},t^*)$ , and of the attacker,  $p_{\rm A}(s|r_{\rm v},t^*)$ , for 525 the victim's disease s. We also include the random worlds 526 model probabilities,  $p_{RW}(s|r_v, t^*)$ , which are just proportional 527 to the frequency of each sensitive value within the victim's 528 group. Note that the learner and the attacker distributions have 529 the same mode, but the attacker is more confident about his 530 prediction of the victim's disease. The random worlds model 531 produces a multi-modal solution. 532

As to the computation of the probabilities in Table III, 533 a routine application of the equations (2) - (8) shows that 534  $p_{\rm L}$  and  $p_{\rm A}$  reduce to the expressions (9) and (10) below, 535 given in terms of the model's density (2). The crucial point 536 here is that the adversary knows the group his victim is in, 537 i.e. the first two lines of  $t^*$  in the example. Below,  $s \in S$ ; 538 for  $j = 1, 2, s_i$  denotes the sensitive value of the *j*-th row, 539 while t is a cleartext table, from which  $t_{-i}$  is obtained by 540 removing  $(s_i, r_y)$ . It is assumed that the obfuscation algorithm 541  $\mathcal{A}$  is deterministic, so that  $f(t^*|t) \in \{0, 1\}$ . 542

$$p_{\rm L}(s|r_{\rm v},t^*) \propto \int_{\mathcal{D}} f(\pi) f(s,r_{\rm v}|\pi) \sum_{t:\mathcal{A}(t)=t^*} f(t|\pi) \,\mathrm{d}\pi$$
 (9) 543

$$p_{\rm A}(s_j|r_{\rm v},t^*) \propto \int_{\mathcal{D}} f(\pi) f(s_j,r_{\rm v}|\pi) \sum_{t_{-j}:\mathcal{A}(t)=t^*} f(t|\pi) \ \mathrm{d}\pi.$$
 (10) 54

Unfortunately, the analytic computation of the above integrals, 545 even for the considered toy example, is a daunting task. 546 For instance, the summation in (9) has as many terms as 547 t\*-compatible tables t, that is  $6.4 \times 10^5$  for Example 1 – 548 although the resulting expression can be somewhat simplified 549 using the independence assumption (4). Accordingly, the fig-550 ures in Table III have been computed resorting to simulation 551 techniques, see Section V. 552

An alternative, more intuitive description of the inference 553 process is as follows. The learner and the attacker first learn 554 the parameters  $\pi$  given  $t^*$ , that is they evaluate  $f(\pi_{\text{Dis}}|t^*)$ , 555  $f(\pi_{\text{ZIP}|s}|t^*)$  and  $f(\pi_{\text{Nat}|s}|t^*)$ , for all  $s \in S$ . Due to the 556 uncertainty on the ZIP code and/or Nationality, learning  $\pi$ 557 takes the form of a mixture (this is akin to learning with 558 soft evidence, see Corradi et al. [11]). After that, the learner, 559 ignoring the victim is in the table, predicts the probability of 560  $r_{\rm v}$ ,  $p_{\rm L}(r_{\rm v}|s,t^*)$ , for all s, by using a mixture of Multinomial-561 Dirichlet. The attacker, on the other hand, while still basing 562 his prediction  $p_A(r_v|s, t^*)$  on the parameter learning outlined 563 above, restricts his attention to the first two lines of  $t^*$ , thus 564 realizing that  $s \in \{\text{Heart, Flu}\}$ . Then, by Bayes theorem, 565 and adopting the relative frequencies of the diseases in  $t^*$  as 566 an approximation of  $f(s|t^*)$ , the posterior probability of the 567 diseases for the victim can be computed. 568

*Remark 2 (attacker's inference and forensic identification):* 569 The attacker's inference is strongly reminiscent of two famous 570 settings in forensic science: the Island Problem (IP) and the 571 The Data Base Search Problem (DBS), see e.g. [1], [14] 572 and more recently [45]. In an island with N inhabitants a 573 crime is committed; a characteristic of the criminal (e.g. 574 a DNA trait) is found on the crime scene. It is known that the 575 island's inhabitants posses this characteristic independently 576 with probability p. It is assumed the existence of exactly 577 one culprit C in the island. In IP, one island's inhabitant I, 578 the suspect, is found to have the given characteristic, while 579 the others are not tested. An investigator is interested in the 580 probability that I = C. 581

When we cast this scenario in our framework, the individ-582 uals in the table play the role of the inhabitants (including 583 the culprit), while  $r_{\rm v}$  plays the role of the characteristic found 584 on the crime scene, matching that of the suspect. In other 585 words - perhaps ironically - our framework's victim plays here 586 the role of the suspect S, while our attacker is essentially 587 the investigator. Letting  $S = \{0, 1\}$  (innocent/guilty) and 588  $\mathcal{R} = \{0, 1\}$  (characteristic absent/present), the investigator's 589 information is then summarized by an obfuscated horizontal 590 table t<sup>\*</sup> of N rows with as many groups, where exactly one 591 row, say the *j*-th, has  $S_j = 1$  and  $R_j^* = R_j = 1$  (the culprit), 592 while for  $i \neq j$ ,  $S_i = 0$  and  $R_i^* = * (N - 1)$  innocent 593 inhabitants). Recalling that the variable V in our framework 594 represents the suspect's index within the table, the probability 595 that I = C is 596

596 *11101* 

60

$$= p_{\rm A}(s=1|r_{\rm v}=1,t^*).$$

599 Then applying (8), we find

600 
$$p_{A}(s = 1|r_{v} = 1, t^{*}) = \frac{f(R_{j} = 1|t^{*})}{f(R_{j} = 1|t^{*}) + (N-1)f(R_{i\neq j} = 1|t^{*})}$$
  
601  $= \frac{1}{1 + (N-1)f(R_{i\neq j} = 1|t^{*})}.$  (11)

 $\Pr(V = i | R_V = 1, t^*) = \Pr(S_V = 1 | R_V = 1, t^*)$ 

By taking suitable prior hyperparameters,  $f(R_{i\neq j} = 1|t^*)$  can be made arbitrarily close to p. For ease of comparison with the classical IP and DBS settings, rather than relying on a learning procedure, we just assume here  $f(R_i = 1|t^*) = p$ for  $i \neq j$ , so that (11) simplifies to

$$p_{\rm A}(s=1|r_{\rm v}=1,t^*) = \frac{1}{1+(N-1)p}$$

<sup>608</sup> which is the classical result known from the literature.

In DBS, the indicted exhibiting  $r_v$  is found after testing  $1 \le k < N$  individuals that do not exhibit  $r_v$ . This means the table t\* consists now of k rows (s, r) = (0, 0) (the k innocent, tested inhabitants not exhibiting  $r_v$ ), one row (s, r) = (1, 1)(the culprit) and N - 1 - k rows  $(s, r^*) = (0, *)$  (the N - 1 - k

- 613 (*the culptu*) and N = 1 k tows (5, 7) = (0, \*) (*the* N = 1 1
- innocent, non-tested inhabitants). Accordingly, (11) becomes (letting j = k + 1, and possibly after rearranging indices),

(13), as shown at the bottom of this page. Letting  $f(R_i = 616)$  $1|t^*) = p$  for i > k + 1, equation (13) becomes 617

$$p_{\rm A}(s=1|r_{\rm v}=1,t^*) = rac{1}{1+(N-1-k)p}$$
 618

which again is the classical result known from the literature. Finally note that our methodology also covers the possibility to learn about the probability of the characteristic,  $f(R_i = 1|t^*)$ , but here we have only stressed how the attacker strategy solves the IP and DBS forensic problems. Uncertainty about population parameters and identification has been considered elsewhere by one of us [6].

We now briefly discuss an extension of our framework to 626 the more general case where the attacker has only partial 627 information about his victim's nonsensitive attributes. For a 628 typical application, think of a dataset where  $\mathcal{R}$  and  $\mathcal{S}$  are 629 individuals' genetic profiles and diseases, respectively, with an 630 adversary knowing only a partial DNA profile of his victim; 631 e.g. only the alleles at a few loci. Formally, fix a nonempty 632 set  $\mathcal{Y}$  and let  $g : \mathcal{R} \to \mathcal{Y}$  be a (typically non-injective) 633 function, modeling the attacker's observation of the victim's 634 nonsensitive attribute. With the above introduced notation, 635 consider the random variable  $Y \stackrel{\triangle}{=} g(R_V)$ . It is natural to 636 extend definition (7) as follows, where  $g(r_y) = y_y \in \mathcal{Y}$  and 637  $f(Y = y_v, t^*) > 0$ : 638

$$p_{\mathcal{A}}(s|y_{\mathcal{V}},t^*) \stackrel{\triangle}{=} f(S_{\mathcal{V}}=s \mid Y=y_{\mathcal{V}},t^*). \tag{14}$$

It is a simple matter to check that (8) becomes the following, where  $g^{-1}(y) \subseteq \mathcal{R}$  denotes the counter-image of y according to g: 641

$$p_{\mathcal{A}}(s|r_{\mathcal{V}}, t^*) \propto \sum_{j:s_j=s} f(R_j \in g^{-1}(y_{\mathcal{V}}) \mid t^*).$$
 (15) 643

Also note that one has  $f(R_j \in g^{-1}(y_v) | t^*) = {}_{644} \sum_{r \in g^{-1}(y_v)} f(R_j = r | t^*)$ . An extension to the case of partial and *noisy* observations can be modeled similarly, by letting  $Y = g(R_V, E)$ , where *E* is a random variable representing an independent source of noise. We leave the details of this extension for future work. 649

#### IV. MEASURES OF PRIVACY THREAT AND UTILITY

We are now set to define the measures of *privacy threat* and *utility* we are after. We will do so from the point of view of a person or entity, the *evaluator*, who:

- (a) has got a copy of the cleartext table t, and can build an obfuscated version  $t^*$  of it; 655
- (b) must decide whether to release  $t^*$  or not, weighing the privacy threats and the utility implied by this act. (657)

The evaluator clearly distinguishes the position of the *learner* from that of the *attacker*. The learner is interested in learning from  $t^*$  the characteristics of the general population, via  $p_L$ . The attacker is interested in learning from  $t^*$  the sensitive from

$$p_{A}(s = 1|r_{v} = 1, t^{*}) = \frac{f(R_{k+1} = 1|t^{*})}{f(R_{k+1} = 1|t^{*}) + kf(R_{i \in \{1,k\}} = 1|t^{*}) + (N-1-k)f(R_{i>k+1} = 1|t^{*})}$$
(13)

(12)

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709

715

value of a target individual, the *victim*, via  $p_A$ . The last 662 probability distribution is derived by exploiting the additional 663 piece of information that the victim is an individual known to 664 be in the original table, of whom the attacker gets to know the 665 nonsensitive values. As pointed out in [34], information about 666 the victim's nonsensitive attributes can be easily gathered from 667 other sources such as personal blogs and social networks. 668 These assumptions about the attacker's knowledge allow a 669 comparison between the risks of a sensitive attribute disclosure 670 for an individual who is part of the table and for individuals 671 who are not. The evaluator adopts the following *relative*, 672 or differential, point of view: 673

a situation where, for some individual,  $p_A$  conveys

much more information than that conveyed by  $p_L$ 

676 (learner's legitimate inference on general popula-

tion), must be deemed as a privacy threat.

Generally speaking, the evaluator should refrain from pub-678 lishing  $t^*$  if, for some individual, the *level* of relative pri-679 vacy threat exceeds a predefined threshold. Concerning the 680 definition of the level of threat, the evaluator adopts the 681 following Bayesian decision-theoretic point of view. Whatever 682 distribution p is adopted to guess the victim's sensitive value, 683 the attacker is faced with some utility function. Here, we con-684 sider a simple 0-1 utility function for the attacker, yielding 1 if 685 the sensitive attribute is guessed correctly and 0 otherwise. 686 The resulting attacker's expected utility is maximized by 687 the Bayes act, i.e. by choosing  $s = \operatorname{argmax}_{s' \in S} p(s')$ , and 688 equals p(s). The above discussion leads to the following 689 definitions. Note that we consider threat measures both for 690 individual rows and for the overall table. For each threatened 691 row, the relative threat index Ti says how many times the 692 probability of correctly guessing the secret is increased by 693 the attacker's activity i.e. by exploiting the knowledge of 694 the victim's presence in the table. At a global, table-wise 695 level, the evaluator also considers the fraction  $\mathbf{GT}_A$  of rows 696 threatened by the attacker. 697

*Definition 1 (privacy threat):* We define the following privacy threat measures.

- Let q be a full support distribution on S and (s, r) be a row in t. We say (s, r) is threatened under q if  $q(s) = \max_{s'} q(s')$ , and that its threat level under q is q(s).
- For a row (s, r) in t that is threatened by  $p_A(\cdot | r, t^*)$ , its relative threat level is

$$\operatorname{Fi}(s, r, t, t^*) \stackrel{\Delta}{=} \frac{p_{\mathrm{A}}(s|r, t^*)}{p_{\mathrm{L}}(s|r, t^*)}.$$
(16)

• Let  $N_A(t, t^*)$  be the number of rows (s, r) in t threatened by  $p_A(\cdot|r, t^*)$ . The global threat level  $\mathbf{GT}_A(t, t^*)$  is the fraction of rows that are threatened, that is

$$\mathbf{GT}_A(t,t^*) \stackrel{\triangle}{=} \frac{N_A(t,t^*)}{N}.$$
 (17)

Similarly, we denote by  $\mathbf{GT}_{L}(t, t^{*})$  the fraction of rows (*s*, *r*) in *t* that are threatened under  $p_{L}(\cdot|r, t^{*})$ .

As a measure of how better the attacker performs than
 learner at a global level, we introduce *relative global threat*:

$$\mathbf{RGT}_{\mathbf{A}}(t, t^*) \stackrel{\triangle}{=} \max\{0, \mathbf{GT}_{A}(t, t^*) - \mathbf{GT}_{L}(t, t^*)\}.$$
(18)

Remark 3 (setting a threshold for **Ti**): A difficult issue is 716 how to set an acceptable threshold for the relative threat level 717 **Ti**. This is conceptually very similar to the question of how to 718 set the level of  $\epsilon$  in differential privacy: its proponents have 719 always maintained that the setting of  $\epsilon$  is a policy question, 720 not a technical one. Much depends on the application at hand. 721 For instance, when the US Census Bureau adopted differential 722 privacy, this task was delegated to a committee (the Data 723 Stewardship Executive Policy committee, DSEP); details on 724 the operations of this committee can be found in [19, Sect.3.1]. 725 We think that similar considerations apply when setting the 726 threshold of **Ti**. For instance, an evaluator might consider the 727 distribution of the Ti values in the dataset (see Fig. 3a-3h in 728 Section VI) and then choose a percentile as a cutoff. 729

The evaluator is also interested in the potential utility 730 conveyed by an anonymized table for a learner. Note that the 731 learner's utility is distinct from the attacker's one. Indeed, the 732 learner's interest is to make inferences that are as close as 733 possible to the ones that could be done using the cleartext 734 table. Accordingly, obfuscated tables that are *faithful* to the 735 original table are the most useful. This leads us to compare two 736 distributions on the population: the distribution learned from 737 the anonymized table,  $p_L$ , and the *ideal* (I) distribution,  $p_I$ , 738 one can learn from the cleartext table t. The latter is formally 739 defined as the expectation<sup>2</sup> of  $f(s, r|\pi)$  under the posterior 740 density  $f(\pi | t)$ . Explicitly, for each (s, r)741

$$p_{\mathrm{I}}(s,r|t) \stackrel{\Delta}{=} \int_{\mathcal{D}} f(s,r|\pi) f(\pi|t) \, \mathrm{d}\pi. \tag{19} \quad {}^{742}$$

Note that the posterior density  $f(\pi | t)$  is in turn a Dirichlet 743 density (see next section) and therefore a simple closed form 744 of the above expression exists, based on the frequencies of 745 the pairs (s, r) in t. In particular, recalling the  $\alpha_s$ ,  $\beta_r^s$  notation 746 for the prior hyperparameters introduced in Section III, let 747  $\alpha_0 = \sum_s \alpha_s$  and  $\beta_0^s = \sum_r \beta_r^s$ , and  $\gamma_s(t)$  and  $\delta_r^s(t)$  denote the 748 frequency counts of s and (s, r), respectively, in t. Then we 749 have 750

$$p_{\mathrm{I}}(s,r|t) = \frac{\alpha_s + \gamma_s(t)}{\alpha_0 + N} \cdot \frac{\beta_r^s + \delta_r^s(t)}{\beta_0^s + \gamma_s(t)}.$$
 (20) 751

The comparison between  $p_{\rm L}$  and  $p_{\rm I}$  can be based on some form of *distance* between distributions. One possibility is to rely on *total variation* (aka statistical) distance. Recall that, for discrete distributions q, q' defined on the same space  $\mathcal{X}$ , the total variation distance is defined as

$$\mathbf{TV}(q,q') \stackrel{\triangle}{=} \sup_{A \subseteq \mathcal{X}} |q(A) - q'(A)| = \frac{1}{2} \sum_{x} |q(x) - q'(x)|.$$
<sup>75</sup>

Note that  $TV(q, q') \in [0, 1]$ . Note that this is a quite 758 conservative notion of diversity since it based on the event 759 that shows the largest difference between distributions. 760

Definition 2 (faithfulness): The relative faithfulness level of  $t^*$  w.r.t. t is defined as 762

$$\mathbf{RF}(t, t^*) \stackrel{\Delta}{=} 1 - \mathbf{TV}(p_{\mathrm{I}}(\cdot|t), p_{\mathrm{L}}(\cdot|t^*)).$$
<sup>763</sup>

<sup>2</sup>Another sensible choice would be taking  $p_{\rm I}(s, r|t) = f(s, r|\pi_{\rm MAP})$ , where  $\pi_{\rm MAP}$  = argmax<sub> $\pi$ </sub>  $f(\pi|t)$  is the maximum a posteriori distribution given t. This choice would lead to essentially the same results.

Remark 4: In practice, the total variation of two high-764 dimensional distributions might be very hard to compute. 765 Pragmatically, we note that for M large enough, TV(q, q') =766  $\frac{1}{2} E_{x \sim q(x)}[|1 - \frac{q'(x)}{q(x)}|] \approx \frac{1}{2M} \sum_{i=1}^{M} |1 - \frac{q'(x_i)}{q(x_i)}|, \text{ where the } x_i \text{ are drawn i.i.d. according to } q(x). \text{ Then a proxy to total variation}$ 767 768 is the empirical total variation defined below, where  $(s_i, t_i)$ , 769 for i = 1, ..., M, are generated i.i.d. according to  $p_{I}(\cdot, \cdot | t)$ : 770

$$\mathbf{ETV}(t,t^*) \stackrel{\triangle}{=} \frac{1}{2M} \sum_{i=1}^{M} \left| 1 - \frac{p_{\mathrm{L}}(s_i,r_i \mid t^*)}{p_{\mathrm{I}}(s_i,r_i \mid t)} \right|.$$
(21)

771 772

Remark 5 (ideal knowledge vs. attacker's knowledge): 773 The following scenario is meant to further clarify the extra 774 power afforded to the attacker, by the mere knowledge that 775 his victim is in the table. Consider a trivial anonymization 776 mechanism that simply releases the cleartext table, that is 777  $t^*$ = t. As  $p_{\rm L} = p_{\rm I}$  in this case, it would be tempting 778 to conclude that the attacker cannot do better than the 779 learner, hence there is no relative risk involved. However, 780 this conclusion is wrong: for instance,  $p_{I}(\cdot|r_{v}, t)$  can fail to 781 predict the vicitim's correct sensitive value if this value is 782 rare, as we show below. 783

For the sake of simplicity, consider the case where the 784 observed victim's nonsensitive attribute  $r_v$  occurs just once in t 785 in a row  $(s_0, r_v)$ . Also assume a noninformative Dirichlet prior, 786 that is, in the notation of Section III, set the hyperparameters 787 to  $\alpha_s = \beta_r^s = 1$  for each  $s \in S, r \in \mathbb{R}$ . Then, simple 788 calculations based on (20) and the attacker's distribution 789 characterization (8), show the following. Here for each  $s \in S$ , 790  $\gamma_s = \gamma_s(t)$  denotes the frequency count of s in t, and c a 791 suitable normalizing constant: 792

793
$$p_{I}(s|r_{v}, t) = \begin{cases} \frac{1+\gamma_{s}}{|\mathcal{R}|+\gamma_{s}}c, & \text{if } s \neq s_{0} \\ \frac{2(1+\gamma_{s_{0}})}{|\mathcal{R}|+\gamma_{s_{0}}}c, & \text{if } s = s_{0} \end{cases}$$
794
$$p_{A}(s|r_{v}, t^{*}) = \begin{cases} 0, & \text{if } s \neq s_{0} \\ 1, & \text{if } s = s_{0}. \end{cases}$$
(22)

As far as the target individual  $(s_0, r_v) \in t$  is concerned, we 795 see that while  $p_A$  predicts  $s_0$  with certainty, predictions based 796 on  $p_{\rm L} = p_{\rm I}$  will be blatantly wrong, if there are values  $s \neq s_0$ 797 that occur very frequently in t, while  $s_0$  is rare, and N is large 798 compared to  $|\mathcal{R}|$ . To make an extreme numeric case, consider 799  $|\mathcal{S}| = 2$ ,  $|\mathcal{R}| = 1000$  and  $\gamma_{s_0} = 1$  in a table t of N =800  $10^6$  rows: plugging these values in (22) yields  $p_L(s_0|r_v, t^*) =$ 801  $p_{\rm I}(s_0|r_{\rm v},t) \approx 0.004$ , hence a relative threat for  $(s_0,r_{\rm v})$  of 802  $1/p_{\rm L}(s_0|r_{\rm v},t^*) \approx 250.$ 803

#### V. LEARNING FROM THE OBFUSCATED TABLE BY MCMC 804

Estimating the privacy threat and faithfulness measures 805 defined in the previous section, for specific tables t and  $t^*$ , 806 implies being able to compute the distributions (5), (6) and (8). 807 Unfortunately, these distributions, unlike (19), are not available 808 in closed form, since  $f(\Pi = \pi | T^* = t^*) = f(\pi | t^*)$  cannot 809 be derived analytically. Indeed, in order to do so, one should 810 integrate  $f(\pi, t|t^*)$  with respect to the density  $f(t|t^*)$ , which 811 appears not to be feasible. 812

To circumvent this difficulty, we will introduce a *Gibbs sam*-813 *pler*, defining a Markov chain  $(X_i)_{i\geq 0}$ , with  $X_i = (\prod_i, T_i)$ , 814 converging to the density 815

$$f(\Pi = \pi, T = t | t^*)$$
<sup>816</sup>

$$= f(\Pi = \pi, S_1 = s_1, R_1 = r_1, \dots, S_N = s_N, R_N = r_N | t^*)$$

(note that the sensitive values  $s_i$  in T are in fact fixed and 818 known, given  $t^*$ ). General results (see e.g. [41]) ensure that, 819 if  $\Pi_0, \Pi_1, \ldots$  are the samples drawn from the  $\Pi$ -marginal of 820 such a chain, then for each  $(s, r) \in S \times \mathcal{R}$ 821

$$\frac{1}{-M} \sum_{\ell=0}^{M} f(s, r | \Pi_{\ell}) \to \int_{\mathcal{D}} f(s, r | \pi) f(\pi | t^{*}) d\pi = p_{\mathrm{L}}(s, r | t^{*})$$
(23)

$$\frac{1}{2}M\sum_{\ell=0}^{M}f(s|r,\Pi_{\ell}) \to \int_{\mathcal{D}}f(s|r,\pi)f(\pi|t^{*})\mathrm{d}\pi = p_{\mathrm{L}}(s|r,t^{*}) \quad \text{are}$$

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 $+\infty$ . Therefore, by selecting almost surely as M 826 an appropriately large M, one can build approximations of 827  $p_{\rm L}(s, r | t^*)$  and  $p_{\rm L}(s | r, t^*)$  using the arithmetical means on 828 the left-hand side of (23) and (24), respectively. Moreover, 829 for each index  $1 \le j \le N$ , using samples drawn from the 830  $R_i$ -marginals of the same chain, one can build an estimate of 831  $f(R_i = r_i | t^*)$ . Consequently, using (8) (resp. (15), in the case 832 of partial observation) one can estimate  $p_A(s|r_v, t^*)$  (resp. 833  $p_{\rm A}(s|y_{\rm v},t^*))$  for any given  $r_{\rm v}$  (resp.  $y_{\rm v}$ ). 834

In the rest of the section, we will first introduce the MCMC for this problem and then show its convergence. We will then discuss details of the sampling procedures for each of the two 837 possible schemes, horizontal and vertical.

### A. Definition and Convergence of the Gibbs Sampler

Simply stated, our problem is sampling from the marginals 840 of the following target density function, where  $t^* = g_1^*, \ldots, g_k^*$ 841 and  $t = g_1, \ldots, g_k$  (note that the number of groups k is known 842 and fixed, given  $t^*$ ). 843

$$f(\pi, t|t^*).$$
 (25) 84

Note that the  $r_i$ 's of interest, for  $1 \le j \le N$ , are the elements 845 of the groups  $g_i$ 's, for  $1 \le i \le k$ . The Gibbs scheme allows 846 for some freedom as to the blocking of variables. Here we 847 consider k + 1 blocks, coinciding with  $\pi$  and  $g_1, \ldots, g_k$ . 848 This is natural as, in the considered schemes,  $(R_i, S_i) \perp$ 849  $(R_i, S_i)|\pi, t^*$  for  $(R_i, S_i)$  and  $(R_j, S_j)$  occurring in distinct 850 groups. Formally, let  $x^0 = \pi^0, t^0$  (with  $t^0 = g_1^0, ..., g_k^0$ ) 851 denote any initial state satisfying  $f(\pi^0, t^0|t^*) > 0$ . Given a state at step h,  $x^h = \pi^h, t^h$   $(t^h = g_1^h, \dots, g_k^h)$ , one lets 852 853  $x^{h+1} \stackrel{\triangle}{=} \pi^{h+1}, t^{h+1}, \text{ where } t^{h+1} = g_1^{h+1}, \dots, g_k^{h+1} \text{ and }$ 854

$$\pi^{h+1}$$
 is drawn from  $f(\pi | t^h, t^*)$  (26) 855

$$g_i$$
 is drawn from

$$f(g|\pi^{h+1}, g_1^{h+1}, \dots, g_{i-1}^{h+1}, g_{i+1}^{h}, \dots, g_k^{h}, t^*)$$

$$(1 \le i \le k).$$

$$(27)$$

$$858$$

Running this chain presupposes we know how to sample from the *full conditional* distributions on the right-hand side of (26) and (27). In particular, there are several possible approaches to sample from *g*. In this subsection we provide a general discussion about convergence, postponing the details of sampling from the full conditionals to the next subsection.

Let us denote by  $t_{-i} \stackrel{\triangle}{=} g_1, \ldots, g_{i-1}, g_{i+1}, \ldots, g_k$  the table obtained by removing the *i*-th group  $g_i$  from *t*. The following relations for the full conditionals of interest can be readily checked, relying on the conditional independencies of the model (2) and (4) (we presuppose that in each case the conditioning event has nonzero probability)

$$f(\pi | t, t^*) = f(\pi | t)$$
(28)

<sup>872</sup> 
$$f(g|\pi, t_{-i}, t^*) \propto f(g|\pi) f(t^*|g, t_{-i}) \quad (1 \le i \le k).$$
 (29)

As we shall see, each of the above two relations enables sam-873 pling from the densities on the left-hand side. Indeed, (28) is a 874 posterior Dirichlet distribution, from which effective sampling 875 can be easily performed (see next subsection). A straight-876 forward implementation of (29) in a Acceptance-Rejection 877 (AR) sampling perspective is as follows: draw g according to 878  $f(g|\pi)$  and accept it with probability  $f(t^*|g, t_{-i}) = f(t^*|t)$ . 879 Here,  $f(t^*|t)$  is just the probability that the obfuscation 880 algorithm returns  $t^*$  as output when given  $t = g, t_{-i}$  as input. 881 Actually, to make sampling from the RHS of (29) effective, 882 further assumptions will be introduced (see next subsection). 883 Note that, since the sensitive values are fixed in t and known 884 from the given  $t^*$ , sampling g in (29) is actually equivalent to 885 sampling the nonsensitive values of the group. 886

In addition to (29), to simplify our discussion about convergence, we shall henceforth assume that, for each group index  $1 \le i \le k$ , the set of instances of the *i*-th group that are compatible with  $t^*$  does *not* depend on the rest of the table,  $t_{-i}$ . That is, we assume that for each i  $(1 \le i \le k)$ :

$$\begin{cases} g : f(t^*|g, t_{-i}) > 0 \} = \{g : f(t^*|g, t'_{-i}) > 0\} \forall t_{-i} \text{ and } t'_{-i} \\ \stackrel{\triangle}{=} \mathcal{G}_i. \end{cases}$$
(30)

For instance, (30) holds true if the anonymization algorithm ensures  $t^*$  is independent from  $t_{i-1}$  given a *i*-th group  $g: t^* \perp l_{g}$ .

Let  $x = (\pi, g_1, ..., g_k)$  denote a generic state of this Markov chain. Under the assumption (30), the *support* of the target density  $f(x|t^*)$  is the product space

$$\mathcal{X} \stackrel{\scriptscriptstyle \Delta}{=} \mathcal{D} \times \mathcal{G}_1 \times \cdots \times \mathcal{G}_k. \tag{31}$$

By this, we mean that  $\{x : f(x|t^*) > 0\} = \mathcal{X}$ . This is 901 a consequence of: (a) the fact that Dirichlet only consid-902 ers full support distributions; and (b) equation (29), taking 903 into account the assumption (30). Let  $X_0, X_1, \ldots$  denote the 904 Markov chain defined by the sampler over  $\mathcal{X}$  and denote by 905  $\kappa(\cdot|\cdot)$  its conditional kernel density over  $\mathcal{X}$ . Slightly abusing 906 notation, let us still indicate by  $f(\cdot|t^*)$  the probability distri-907 bution over  $\mathcal{X}$  induced by the density  $f(x|t^*)$ . Convergence 908 in distribution follows from the following proposition, which 909 is an instance of general results - see e.g. the discussion 910 following Corollary 1 of [41]. 911

Proposition 1 (convergence): Assume (30). For each (measurable) set  $A \subseteq \mathcal{X}$  such that  $f(A|t^*) > 0$  and each  $x^0 \in \mathcal{X}$ , we have  $\kappa(X^1 \in A|X^0 = x^0) > 0$ . As a consequence, the Markov chain  $(X_i)_{i\geq 0}$  is irreducible and aperiodic, and its stationary density is  $f(x|t^*)$  in (25).

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#### B. Sampling From the Full Conditionals

Let us consider (28) first. It is a standard fact that the 918 posterior of the Dirichlet distribution  $f(\pi | t)$ , given the N 919 i.i.d. observations t drawn from the categorical distribution 920  $f(\cdot|\pi)$ , is still a Dirichlet, where the hyperparameters have 921 been updated as follows. Denote by  $\boldsymbol{\gamma}(t) = (\gamma_1, \dots, \gamma_{|S|})$  the 922 vector of the frequency counts  $\gamma_i$  of each  $s_i$  in t. Similarly, 923 given s, denote by  $\delta^s(t) = (\delta_1^s, \dots, \delta_{|\mathcal{R}|}^s)$  the vector of the 924 frequency counts  $\delta_i$  of the pairs  $(r_i, s)$ , for each  $r_i$ , in t. Then, 925 for each  $\pi = (\pi_S, \pi_{R|S})$ , we have 926

$$f(\pi \mid t) = \operatorname{Dir}(\pi_{S} \mid \boldsymbol{\alpha} + \boldsymbol{\gamma}(t)) \cdot \prod_{s \in S} \operatorname{Dir}(\pi_{R \mid s} \mid \boldsymbol{\beta}^{s} + \boldsymbol{\delta}^{s}(t)). \quad (32) \quad {}_{92}$$

Let us now discuss (29). In what follows, for the sake 928 of notation we shall write a generic *i*-th group as  $g_i =$ 929  $(s_1, r_1), \ldots, (s_n, r_n)$  (thus avoiding double subscripts), and let 930  $g_i^* = (m_i, l_i)$  denote the corresponding obfuscated group in 931  $t^*$ . As already observed, given an obfuscated *i*-th group  $g_i^* =$ 932  $(l_i, m_i)$ , when sampling a *i*-th group g from (29), one actually 933 needs to generate only the nonsensitive values of g, which are 934 constrained by  $l_i$ , as the sensitive ones are already fixed by 935 the sequence  $m_i$ . In what follows, to make sampling from (29) 936 effective, will shall work under the following assumptions, 937 which are stronger than (30). 938

- (a) Deterministic obfuscation function: for each t and  $t^*$ ,  $f(t^*|t)$  is either 0 or 1.
- (b) For each  $1 \le i \le k$ , letting  $g_i^* = (l_i, m_i)$ , with  $m_i = s_1, \ldots, s_n$ , the *i*-th obfuscated group in  $t^*$ , the following holds true:

Horizontal schemes

$$\mathcal{G}_i = \{g = (s_1, r_1), \dots, (s_n, r_n) : r_\ell \in l_i \text{ for } 1 \le \ell \le n\}$$
 (33) 945

Vertical schemes

$$\mathcal{G}_i = \{g = (s_1, r_{i_1}), \dots, (s_n, r_{i_n}):$$

for  $r_{i_1}, \ldots, r_{i_n}$  a permutation of  $l_i$ }. (34)

Assumption (a) is realistic in practice. In horizontal 949 schemes, assumption (b) makes the considered sets  $G_i$ 's pos-950 sibly larger than the real ones, that is  $l_i \supset \{r_1, \ldots, r_n\}$ . This 951 happens, for instance, if in certain groups the ZIP code is 952 constrained to just, say, two values, while the generalized code 953 "5013\*" allows for all values in the set {50130, ..., 50139}. 954 We will not attempt here a formal analysis of this assumption. 955 In some cases, such as in schemes based on global recoding, 956 this assumption is realistic. Otherwise, we only note that the 957 support  $\mathcal{X}$  of the resulting Markov chain may be (slightly) 958 larger than the one that would be obtained not assuming (33) 959 or (34). Heuristically, this leads one to sampling from a more 960 dispersed density than the target one. At least, the resulting 961 distributions can be taken to represent a lower bound of what 962 the attacker can actually learn. 963

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Fig. 1. Sampling from  $f(g|\pi, t_{-i}, t^*)$   $(g \in G_i)$  for horizontal schemes, across all the groups.

Under assumptions (a) and (b) above, for each  $1 \le i \le k$ , it holds that  $g \in \mathcal{G}_i$  if and only if  $f(t^*|g, t_{-i}) = 1$ . Therefore sampling according to the right-hand side of (29) reduces to the following:

draw  $g \in \mathcal{G}_i$  with probability  $\propto f(g|\pi)$   $(1 \le i \le k)$ . (35)

We discuss now how to implement (35) effectively. This will achieve sampling from the full conditionals (29) without resorting to a presumably inefficient AR method. We deal with the two cases, horizontal and vertical, separately.

973 a) Horizontal schemes: In order to generate  $g = (r_1, s_1), \ldots, (r_n, s_n) \in \mathcal{G}_i$ , for each  $\ell = 1, \ldots, n$ , we draw 975  $r_{\ell} \in l_i$  with probability  $\propto f(r_{\ell}|s_{\ell}, \pi)$ . Explicitly, (29) now 976 becomes

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$$f(g|\pi, t_{-i}, t^*) = \begin{cases} 0, & \text{if } g \notin \mathcal{G}_i \\ \prod_{\ell=1}^n \frac{f(r_\ell|s_\ell, \pi)}{\sum_{r \in I_i} f(r|s_\ell, \pi)}, & \text{if } g \in \mathcal{G}_i \end{cases}$$
(36)

thus satisfying (35). Note that this is equivalent to sampling each row independently. The sampling process of  $f(g|\pi, t_{-i}, t^*)$  for horizontal schemes across all the groups of the table is illustrated graphically in Fig. 1.

b) Vertical schemes: Let  $l_i = \{ \{r_1, \ldots, r_n\} \}$ . We have that  $g \in G_i$  if and only if  $g = (s_1, r_{i_1}), \ldots, (s_n, r_{i_n})$ , for some permutation  $(r_{i_\ell})_{1 \le \ell \le n}$  of  $r_1, \ldots, r_n$ . Here, sampling the nonsensitive values of g row by row would involve to gradually reduce the sample space. A sampling procedure along these lines is possible, but nontrivial, see Appendix B.

We discuss here a more straightforward sampling procedure, 988 based on generating  $g_i \in \mathcal{G}_i$  in a single shot. We adopt a 989 single-iteration Metropolis within Gibbs scheme. Essentially, 990 this consists in running a Metropolis method that targets the 991 distribution  $\propto f(g|\pi)$  with support  $\mathcal{G}_i$ , for one iteration. 992 Specifically, let us write the current value of the *i*-th group in 993 the Gibbs Markov chain as  $g_i^h$ . Following Casella and Robert 994 [40, Ch.10], this step consists in drawing  $g \in \mathcal{G}_i$  according to 995 a proposal distribution  $J(g|g_i^h)$  and accepting it, that is letting 996  $g_{i}^{h+1} = g$ , with probability 997

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$$\epsilon \stackrel{\triangle}{=} \min\left\{1, \frac{f(g|\pi)J(g_i^h|g)}{f(g_i^h|\pi)J(g|g_i^h)}\right\}$$
(37)

while keeping  $g_i^{h+1} = g_i^h$  with probability  $1 - \epsilon$ . The resulting MCMC method is still theoretically sound: see Casella

TABLE IV Summary of Threat and Faithfulness Measures for Anonymization According to k-Anonymity and l- Diversity

	Group size and diversit		
		$k = \ell = 4$	$k = \ell = 6$
Global threat level under $p_A$	$\mathbf{GT}_A$	0.2930	0.2994
Global threat level under $p_{\rm L}$	GTL	0.2681	0.2756
Global threat level under $p_{\rm RW}$	<b>GT</b> <sub>RW</sub>	0.2131	0.2890
Relative global threat	RGTA	0.0249	0.0232
Empirical relative faithfulness level	RF	0.3106	0.3011
Absolute error under $p_A$	ABSA	9795.58	9699.09
Absolute error under $p_{\rm RW}$	ABS <sub>RW</sub>	9980.35	9451.53
Baseline accuracy		0.1656	
Ideal accuracy	0.3534		

and Robert [40, Ch.10.3.3]. As to the proposal distribution  $J(g|g_i^h)$ , a possibility is generating  $g \in \mathcal{G}_i$  via a pure random permutation of the *n* nonsensitive values in  $l_i$ ; or just to swap the nonsensitive values of two randomly chosen positions 1004 in  $g_i^h$ . In both cases, the proposal is symmetric, and (37) 1005 simplifies accordingly as follows, where  $r_1, \ldots, r_n$  is the sequence of sensitive values in the poposed g: 1007

$$\epsilon = \min\left\{1, \frac{\prod_{\ell=1}^{n} f(r_{\ell}|s_{\ell}, \pi)}{\prod_{\ell=1}^{n} f(r_{\ell}^{h}|s_{\ell}, \pi)}\right\}.$$

#### VI. EXPERIMENTS

We have put a proof-of-concept implementation<sup>3</sup> of our 1010 methodology at work on a subset of the Adult dataset extracted 1011 by Barry Becker from the 1994 US Census database and 1012 available from the UCI machine learning repository [47]. This 1013 is a common benchmark for experiments on anonymization 1014 [38]. In particular, we have focused on the subset of 5692 rows 1015 also considered by the authors of [38], with the following 1016 categorical attributes: sex, age, race, marital status, education, 1017 native country, workclass, salary class, occupation, with occu-1018 pation (14 values) considered as the only sensitive attribute. 1019 We will discuss implementation and results details separately 1020 for vertical and horizontal schemes. We will then briefly 1021 discuss convergence issues of the employed MCMC method. 1022

#### A. Horizontal Schemes: k-Anonymity

Using the ARX anonymization tool [37] we obtained two different k-anonymous versions of the considered dataset, enjoying respectively k-anonymity and  $\ell$ -diversity<sup>4</sup> for k = 1026  $\ell = 4$  and k =  $\ell = 6$ . The average size of the groups 1027 was respectively of 38 rows (k =  $\ell = 4$ ) and of 355 rows 1028 (k =  $\ell = 6$ ).

The results we have obtained are summarized in Table IV. 1030 For reference, we include the following information in the last 1031 two lines: *baseline accuracy*, the fraction of rows correctly 1032 classified using the empirical distribution obtained from the 1033 frequencies of the sensitive values in the anonymized table 1034 – i.e., the fraction of the most frequent sensitive value; and 1035

<sup>3</sup>Python code and data available from the authors.

<sup>4</sup>Recall that  $\ell$ -diversity requires at least  $\ell$  distinct values of the sensitive attribute in each group.

1009

*ideal accuracy*, the fraction of tuples threatened under  $p_1$ . 1036 As a further element of comparison, we also consider an 1037 attacker whose reasoning is based on the random worlds 1038 models, and include in the table  $GT_{RW}$ , the fraction of rows 1039 correctly classified assuming all tables compatible with  $t^*$ 1040 equally likely. Like in [25], we compute ABS<sub>A</sub> and ABS<sub>RW</sub>, 1041 the *absolute error* under the distribution derived under  $p_A$  and 1042 under the random worlds distribution  $p_{\rm RW}$ , respectively. ABS 1043 is defined as  $\sum_{i=1}^{N} \sum_{s \in S} |\mathbf{1}_{\{s_i=s\}} - p(s|r_i, t^*)|$ , where  $p(\cdot)$  might be either of  $p_A(\cdot)$  or  $p_{RW}(\cdot)$ . Note that, since the considered 1044 1045 anonymized tables do not enjoy disjointness between groups 1046 (see Remark 1), also in the random worlds perspective the 1047 probability of each sensitive attribute may well be  $\geq 1/\ell$ . 1048 In our experiments, when  $\ell = 4$  the attacker outperforms 1049 random worlds classification, while when a more powerful 1050 obfuscation is adopted the two results are quite similar. 1051

The remaining rows in Table IV consider the privacy threats 1052 and faithfulness measures introduced in Section IV. As a 1053 general comment, small variations of  $\ell$  and/or k do not produce 1054 dramatic changes. The faithfulness level is stable, but does not 1055 reach a satisfactory level. The attacker is anyway in a position 1056 to correctly classify the sensitive attribute of individuals in the 105 table  $\approx 2.3 - 2.5\%$  more often than the learner. We found the 1058 maximum value of  $Ti_A$  for the threatened rows is about 13.8. 1059 meaning the attacker can be up to  $\approx 14$  times more confident 1060 than the learner about the guessed value. 1061

A more informative summary of our analysis is provided by 1062 the scatter plots and histograms of Figure 2. The scatter plots 1063 are obtained from the threat levels under  $p_{\rm L}$  and under  $p_{\rm A}$ . 1064 The number of rows (s, r) in which  $p_A(s|r, t^*) \ge p_L(s|r, t^*)$ 1065 roughly equals those in which  $p_A(s|r, t^*) \leq p_L(s|r, t^*)$ , 1066 although globally the attacker has a slight advantage in terms 1067 of number of threatened rows. In Figure 2 we also report the 1068 empirical distribution  $\log_2 Ti_A$  for tuples threatened under  $p_A$ 1069 and under  $p_{\rm L}$ . We also have evidence of positive skewness, 1070 as shown by the value of  $\gamma$  (the third standardized moments 1071 of the empirical distributions). Recalling that  $\log_2 Ti_A = 1$ 1072 means  $p_A(s|r, t^*) = 2p_L(s|r, t^*)$ , the histograms show that 1073  $p_{\rm A}(s|r, t^*)$  is often more than twice  $p_{\rm L}(s|r, t^*)$  leading to a 1074  $\log_2 \mathbf{Ti}_A \geq 1$ . In particular, when  $k = \ell = 4$ ,  $\log_2 \mathbf{Ti}_A$  is 1075 at least 1 for  $\approx 6\%$  of the individuals threatened under  $p_A$ , 1076 meaning  $\approx 0.6\%$  of the whole table. Conversely,  $\log_2 Ti_A$ 1077 is close to 0 for most of the rows in which  $p_A(s|r, t^*) \leq$ 1078  $p_{\rm L}(s|r,t^*).$ 1079

#### 1080 B. Vertical Schemes: Anatomy

Using a freely available anonymization tool [22], we have 1081 obtained two anatomized versions of the considered dataset, 1082 with groups of size  $\ell = 4$  and  $\ell = 6$ , respectively. The 1083 resulting tables also enjoy  $\ell$ -diversity. The results we have 1084 obtained are summarized in Table V. Concerning the random 1085 worlds approach, we note the following. Anatomy partitions 1086 the tables in groups all of size  $\ell$ . Therefore, although disjoint-1087 ness is not satisfied, just as in the horizontal case, the sensitive 1088 attribute frequencies equal  $1/\ell$  in each group. This implies 1089 that the probability of a sensitive value depends on how many 1090 groups contain the victim's nonsensitive attributes and on 1091



Fig. 2. Results for k-anonymity. Top  $(\ell = k = 6)$ : scatter plots of  $p_L$  vs  $p_A$  for tuples threatened under  $p_A$  (a), and under  $p_L$  (c); (b) and (d) are the histograms of  $\log_2 \mathbf{Ti}_A$  for these two cases. Bottom: same for  $\ell = k = 4$ . The skewness value ( $\gamma$ ) represents the third standardized moment of the empirical distribution. Dark red areas show where the attacker performs better than the learner.

their frequencies in each group, leading often to multimodal 1092 distributions. We assume that a guess may be obtained ran-1093 domly choosing between the equally likely sensitive attributes. 1094 Accordingly, the fractions of threatened rows,  $\mathbf{GT}_{RW}$ , are 1095 averaged over 500 different sampling. Here, it is apparent that 1096 the our attacker is able to classify better than the random 1097 worlds scenario. We note that, as  $\ell$  increases from 4 to 6, 1098 the fraction of rows threatened under the distributions derived 1099 by the learner  $(\mathbf{GT}_{L})$  and by the attacker  $(\mathbf{GT}_{A})$  decreases 1100 significantly. Moreover, as  $\ell$  grows both the relative threat 1101 RGT<sub>A</sub> and the faithfulness level RF decrease, which implies 1102 a trade-off between privacy and the utility conveyed by the 1103 table. 1104

Again, for a more informative summary of our analysis, 1105 we look at scatter plots and histograms, displayed in Figure 3, 1106 where we compare  $p_A$  and  $p_L$  on threatened rows. It is 1107 apparent here that the attacker is more confident than the learner in the majority of the cases, even when focusing on the rows threatened under  $p_L$ . This is in contrast with the horizontal case, where the attacker exhibits smaller threat

TABLE V SUMMARY OF THREAT AND FAITHFULNESS MEASURES FOR ANONYMIZATION ACCORDING TO ANATOMY

		Group size and diversity		
		$\ell = 4$	$\ell = 6$	
Global threat level under $p_A$	<b>GT</b> <sub>A</sub>	0.3273	0.2396	
Global threat level under $p_{\rm L}$	GTL	0.2653	0.2136	
Global threat level under $p_{\rm RW}$	GT <sub>RW</sub>	0.1669	0.1689	
Relative global threat	RGTA	0.0620	0.0260	
Empirical relative faithfulness level	RF	0.6493	0.5341	
Absolute error under $p_A$	ABSA	8391.66	9276.25	
Absolute error under $p_{\rm RW}$	ABS <sub>RW</sub>	9471.94	9889.07	
Baseline accuracy	0.1656			
Ideal accuracy	0.3534			

levels on the rows threatened under  $p_{\rm L}$  (Figure 2, (d) and (h)). 1112 As far as the histograms are concerned, an even greater 1113 skewness than the horizontal case is evident here. In particular, 1114 the attacker can be up to  $\approx 287$  times more confident then 1115 the learner, being the maximum  $Ti_A$  about 286.19. Moreover, 1116 when  $\ell = 4$ , the individuals with  $\log_2 Ti_A \ge 1$  are  $\approx 26\%$  of 1117 the rows threatened under  $p_A \approx 8\%$  of the whole table). This 1118 means that there are 483 individuals in the dataset for which 1119 the threat level under  $p_A$  is at least twice as much the threat 1120 level under  $p_{\rm L}$ . 1121

#### C. Discussion 1122

Comparing the horizontal and the vertical cases for the 1123 considered dataset, the following considerations are in order. 1124 In the horizontal case, we have a situation of low faith-1125

fulness and low privacy threat, irrespective of the value 1126 of k and  $\ell$ . Indeed, in both cases the average group size 1127 is well above k, and this has a negative effect on the 1128 inference capabilities of both the learner and the attacker. 1129 The slight numerical differences observed between the 1130 cases  $k = \ell = 4$  and  $k = \ell = 6$  are basically an artifact 1131 of the anonymization tool. Yet, in relative terms, one can 1132 observe a significant increase in the number of tuples 1133 threatened by the attacker, over the learner. 1134

In the vertical case, one obtains a greater faithfulness 1135 at the price of a greater privacy threat. This difference 1136 from the horizontal case is partly explained by the smaller 1137 group size, which now coincides with  $\ell$ . Now moving 1138 from  $\ell = 4$  to  $\ell = 6$  has a tangible negative impact 1139 on the inference capabilities of both the learner and the 1140 attacker. In relative terms, one can observe an even more 1141 marked increase of the number of tuples threatened by 1142 the attacker, over the learner. 1143

The above considerations partly depend on both the original 1144 dataset and the details of the employed anonymization tool. 1145

#### D. Assessing MCMC Convergence 1146

For each of the considered anonymized datasets, we ran a 1147 MCMC as introduced in Section V for M = 100,000 runs. 1148 The convergence of each chain to the stationary distribu-1149 tion was assessed via a methodology based on comparing 1150 sub-sequences of the sample sequences with one another. More 1151 precisely, as for the population parameters distribution (32), 1152 we used the method proposed by Geweke [21]. The Geweke 1153



1.0 0.8 0.6

1.25

(a)

γ=2.6

y=1.36

log(Ti<sub>4</sub>)

(b)

13

Fig. 3. Results for Anatomy. Top ( $\ell = 6$ ): scatter plots of  $p_{\rm L}$  vs  $p_{\rm A}$  for tuples threatened under  $p_A$  (a), and under  $p_L$  (c); (b) and (d) are the histograms of  $\log_2 \text{Ti}_A$  for these two cases. Bottom: same for  $\ell = 4$ . The skewness value  $(\gamma)$  represents the third standardized moment of the empirical distribution. Dark red areas show where the attacker performs better than the learner.

proposal is based on an adapted two-samples test on the means 1154 in sub-sequences of the chain. 1155

After a burn-in of 50,000 iterations, we compared the last 1156 25,000 samples against 5 blocks of of 5,000 consecutive sam-1157 ples each, taken starting from the 50,000-th iteration. We found 1158 that all the distributions  $\pi_{R|S}$  produced a test statistic within 1159 two standard deviations from zero, thus providing evidence of 1160 convergence. 1161

As for the distribution of the cleartext table,  $f(t|\pi, t^*)$ , we 1162 used a test specifically designed for categorical distributions 1163 by Deonovich and Smith, called Weiß procedure [15]. The 1164 approach is based on a  $\chi^2$  test adjusted for the autocorrelation 1165 induced by the chain. The test is based on partitioning the 1166 whole sample sequence into sub-sequences, and then testing 1167 the homogeneity between the empirical distribution of each 1168 sub-sequence and the empirical distribution of the whole 1169 chain. After a burn-in of 50,000 observations, we compared 1170 5 sub-sequences of 10,000 consecutive samples each. For the 1171 vertical scheme, we assessed the convergence for each row of 1172 the table, thereby demonstrating the stationary of  $f(t|\pi, t^*)$ . 1173

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For the horizontal scheme, some of the rows did not exhibit evidence of convergence. However, we found that, starting with several independent chains, very similar results in terms of the proposed assessment measures were obtained.

In the vertical case, within the Metropolis step both the pure random permutation and the swap group generation strategies (Section V-B) were experimented. The obtained results are consistent; however, the pure random permutation strategy shows a much higher rate of rejection, suggesting that the swap strategy should be preferred.

#### VII. CONCLUSION

We have put forward a notion of relative privacy threat that 1185 applies to group-based anonymization schemes. Our proposal 1186 is based on a rigorous characterization of the learner's and 1187 of the attacker's inference, in a unified Bayesian model of 1188 group-based schemes. A related MCMC algorithm for posterior 1189 parameters estimation has also been introduced. Experiments 1190 conducted on the well-known Adult dataset [47] have been 1191 illustrated. 1192

Our analysis emphasizes the risks posed by the mere fact 1193 that an attacker can look up a released anonymized table. 1194 This prompts an obvious alternative: release the parameters 1195 of the posterior distribution learned from the cleartext table 1196  $(p_{\rm I}, \text{ in our notation})$ . This may not always be possible, or be 1197 1198 a good idea, for several reasons. First, certain organizations must release datasets as part of their mission, e.g. census 1199 bureaus. Second, especially in the case of high-dimensional 1200 data, the computation of the posterior is feasible only assum-1201 ing suitable conditional independencies, whereby potentially 1202 important correlations are lost; see [10] and references therein. 1203 Third, parameters release itself is not exempt from risks for 1204 privacy. In particular, although differentially private release of 1205 the parameters is possible [16], it seems that quite strong 1206 priors are necessary to obtain acceptable guarantees; see 1207 [50, Ch.6] and references therein. In conclusion, further 1208 research is called for in order to understand under what 1209 circumstances data and/or parameters release can be done 1210 safely. 1211

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#### Appendix A Proof of Lemma 1

We first characterize the probability  $f(V = j | R_V = r_v, t^*)$ , for an arbitrary  $j \in \{1, ..., N\}$ . Bayes theorem yields

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$$f(V = j | R_V = r_v, t^*) \propto f(R_V = r_v | V = j, t^*) f(V = j | t^*)$$
  
1217  $= f(R_j = r_v | V = j, t^*) f(V = j | t^*)$ 

$$\propto f(R_{i} = r_{i}|V = i t^{*})$$
(38)

$$\int (R_{j} + V_{j}) = j, V$$
(30)

$$= f(\mathbf{K}_j - \mathbf{V}_{\mathbf{V}}|\mathbf{U}) \tag{39}$$

where (38) follows from  $f(V = j|t^*) = f(V = j) = 1/N$ (independence of *V*), and (39) follows because, as easily checked, for any fixed *j*, independence of  $R_j$  and *V* is preserved by conditioning on  $t^*$ . Now we have, for every  $s \in S$ 

$$p_{A}(s|r_{v}, t^{*})$$

$$= f(S_{V} = s | R_{V} = r_{v}, t^{*})$$

$$= \sum_{i} f(S_{V} = s, V = j | R_{V} = r_{v}, t^{*})$$
(40)



Fig. 4. Sampling from  $\theta(g|\pi, t^*)$  for vertical schemes.

$$= \sum_{j} f(S_{V} = s | V = j, R_{V} = r_{v}, t^{*}) f(V = j | R_{V} = r_{v}, t^{*})$$

$$= \sum_{j} f(S_{V} = s | V = j, R_{V} = r_{v}, t^{*}) f(V = j | R_{V} = r_{v}, t^{*})$$
1227

$$= \sum_{j} f(S_j = s | V = j, R_j = r_v, t^*) f(V = j | R_V = r_v, t^*)$$
<sup>1228</sup>

$$= \sum_{j:s_j=s} f(S_j = s | V = j, R_j = r_v, t^*) f(V = j | R_V = r_v, t^*)$$
 1229

$$R_V = r_V t^*$$
(41) 1230  
(42) 1231

1239

1247

$$-\sum_{j:s_j=s}^{r} j(v-j) Rv - i_V, v j$$
(42)

f(V - i)

 $\theta$ 

$$\propto \sum_{\substack{j:s_j=s}} f(R_j = r_v | t^*).$$
(43) 1232

where (41) and (42) follow from the fact that, for  $s_j \neq s$ , 1233  $f(S_j = s, t^*) = 0$ , while for  $s_j = s$  obviously  $f(S_j = s | V = 1234 j, R_j = r_v, t^*) = 1$ . Finally, (43) follows from (39). 1235

Note that in (43) each term on the RHS actually is the joint probability  $f(R_j = r_v, S_j = s | t^*)$ , being  $s_j = s$  embedded in the range of the summation.

#### Appendix B

## AN ALTERNATIVE GROUP SAMPLING METHOD FOR 1240 VERTICAL SCHEMES 1241

We consider the following method for sampling  $g \in G_i$ . 1242 Draw *n* values  $r_{i_\ell}$ ,  $\ell = 1, ..., n$ , as follows: 1243

- 1. draw  $r_{i_1}$  from  $l_i$  according to a distribution  $\propto f(r|s_1, \pi)$ ; 1244
- 2. draw  $r_{i_2}$  from  $l_i \setminus \{ | r_{i_1} \}$  according to a distribution  $\propto 1245 f(r|s_2, \pi);$
- *n*. draw  $r_{i_n}$  from  $l_i \setminus \{ | r_{i_1}, \ldots, r_{i_{n-1}} | \}$  according to a distribution  $\propto f(r|s_n, \pi)$ .

For a multiset l', let  $\sigma(l'|s_{\ell}, \pi) \stackrel{\triangle}{=} \sum_{r \text{ in } l'} f(r|s_{\ell}, \pi)$  denote 1250 the probability of extracting some element appearing in l' 1251 (disregarding multiplicities) according to  $f(\cdot|s_{\ell}, \pi)$ . Using this 1252 notation, the probability of returning exactly the sequence 1253  $r_{i_1}, \ldots, r_{i_n}$ , hence  $g = (s_1, r_{i_1}), \ldots, (s_n, r_{i_n}) \in \mathcal{G}_i$ , as a result 1254 of the above *n* drawings, can be written as 1255

$$\begin{aligned}
\mathcal{D}(g|\pi, t^*) &\stackrel{\triangle}{=} \frac{f(r_{i_1}|s_1, \pi)}{\sigma(l_i|s_1, \pi)} \cdot \frac{f(r_{i_2}|s_2, \pi)}{\sigma(l_i \setminus \{\!\!| r_{i_1} |\!\!| |\!\!| s_2, \pi)} \cdots \frac{f(r_{i_n}|s_n, \pi)}{f(r_{i_n}|s_n, \pi)} & \text{1256} \\
&= \frac{\prod_{\ell=1}^n f(r_{i_\ell}|s_\ell, \pi)}{\nu(g|\pi)} & \text{1257}
\end{aligned}$$

where we denote by  $v(g|\pi)$  the denominator of the expression 1258 on the RHS of  $\stackrel{\triangle}{=}$  above. The sampling process of  $\theta(g|\pi, t^*)$  1259 for vertical schemes across all the groups of the table is 1260 illustrated in Fig. 4. We note that  $\theta(g|\pi, t^*)$  is dependent on 1261 the chosen ordering of the sensitive values  $s_1, \ldots, s_n$ , which 1262

may invalidate condition (35). A possible solution could be to 1263 sweep the order of sampling according to the Random Sweep 1264 Gibbs sampler scheme originally proposed by [20] and further 1265 developed by [29]. 1266

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