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5 **p -power conjugacy classes in $U(n, q)$ and $T(n, q)$**

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22 Let q be a p -power where p is a fixed prime. In this paper, we look at the p -power
 23 maps on unitriangular group $U(n, q)$ and triangular group $T(n, q)$. In the spirit of Borel
 24 dominance theorem for algebraic groups, we show that the image of this map contains
 25 large size conjugacy classes. For the triangular group we give a recursive formula to
 26 count the image size.

27 *Keywords:* Word map; triangular group; unitriangular group.

28 *Mathematics Subject Classification:* 20G40

29 **1. Introduction**

30 Let G be a finite group and w be a word. The word w defines a map into G called
 31 a word map. It has been a subject of intensive investigation whether these maps
 32 are surjective on finite simple and quasi-simple groups; we refer to this paper by
 33 Shalev [9] for a survey on this subject. A more general problem is to determine the
 34 image $w(G)$ of a word map and, in particular, its size. In this paper, we investigate

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1 power maps, that is, maps corresponding to the word $w = X^p$, for the lower-
2 triangular matrix group $T(n, q)$ and lower unitriangular matrix group $U(n, q)$ over
3 finite fields \mathbb{F}_q , where q is a p -power for a fixed prime p . Results concerning the
4 verbal subgroup, that is the group generated by the image of the power map, for
5 triangular and unitriangular group can be found in [1, 11].

6 Motivated by the Borel's dominance theorem for algebraic groups, Gordeev,
7 Kunyavskii and Plotkin started investigating the image of a non-surjective word
8 map more closely (see [3–6]). In the spirit of questions raised in [6, Sec. 4] for
9 algebraic groups, we address, for the groups $T(n, q)$ and $U(n, q)$, the question:
10 Which semisimple, and unipotent elements lie in the image of the power maps and
11 whether it contains “large” conjugacy classes?

12 One of the motivations for our interest in the triangular and unitriangular groups
13 lies in the fact that $T(n, q)$ is a Borel subgroup of $\mathrm{GL}(n, q)$ and $U(n, q)$ is a Sylow
14 p -subgroup of $\mathrm{GL}(n, q)$. In the finite groups of Lie type, the regular semisimple
15 elements play an important role as they are dense (see [7]). Considering the image
16 of a word map on maximal tori has turned out to be useful in getting asymptotic
17 results. Thus, we aim at considering the large size conjugacy classes in $U(n, q)$,
18 described in [12], and try to understand if they are in the image under the power
19 map $w = X^p$. (Note that clearly, raising to a power coprime to p gives a bijection of
20 $U(n, q)$). In what follows, we use the notation G^p for the image $w(G)$ of a group G
21 under the word map given by $w = X^p$ (we call it *power map*). So, $G^p = \{g^p \mid g \in G\}$
22 is the *set* consisting of the p -powers of the elements of G . We remark that the *verbal*
23 *width* with respect to power maps, that is, the smallest number k such that the
24 product of k -copies of G^p , coincides with the verbal subgroup $\langle G^p \rangle$, has already
25 been determined: see [1, Theorem 5] for $G = U(n, q)$ and [11, Theorem 1] for
26 $G = T(n, q)$.

27 It is known (see [1, Theorem 3 or Proposition 3.4]) that $U(n, q)^p$ is contained in
28 the subgroup $U_{p-1}(n, q) = \{(a_{ij}) \in U(n, q) \mid a_{ij} = 0, \forall i - j \leq p - 1\}$ consisting of
29 the lower triangular matrices with the first $p - 1$ sub-diagonals having zero entries.
30 Moreover, $U(n, q)^p = 1$ if and only if $n \leq p$, and $U(n, q)^p = U_{p-1}(n, q)$ if and only
31 if $n = p + 1$ and $p + 2$. Our first result, for $n \geq p + 3$, is the following estimate on
32 the set of p th powers in $U(n, q)$.

33 **Theorem A.** *Let q be a power of a prime p and n an integer such that $n \geq p + 3$.
34 Then, the set $U(n, q)^p$ is a proper generating subset of $U_{p-1}(n, q)$ and $|U(n, q)^p| >$
35 $\frac{1}{3}|U_{p-1}(n, q)|$, when $q \geq n - p - 1$.*

36 Next, we prove the following result, which reduces the counting of p -powers for
37 $T(n, q)$ to that of unitriangular groups of smaller size.

38 **Theorem B.** *Let q be a p -power and suppose $q > 2$. Then for the group $T = T(n, q)$
39 we have*

$$|T^p| = \sum_{(a_1, \dots, a_k) \vdash n, k < q} \left(\frac{(q-1) \cdots (q-k)n!}{\prod_{b=1}^n m_b! (b!)^{m_b}} \right) \left(\prod_{i=1}^k |U(a_i, q)^p| \right) q^{\binom{n}{2} - \sum_{i=1}^k \binom{a_i}{2}},$$

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1 where the m_b 's are obtained by writing the partition (a_1, \dots, a_k) in power notation
2 as $1^{m_1} \dots n^{m_n}$.

3 Using the estimate in Theorem A, we hence, get the following corollary.

4 **Corollary C.** *Let q be a power of a prime p such that $q > n - p - 1 > 2$. Then for*
5 *the group $T = T(n, q)$ we have*

$$\frac{|T^p|}{|T|} \geq \frac{2^{n-2}}{9(q-1)^{n-2}q^{(p-1)(n-p)}}.$$

6 We conclude the section with a quick layout. Theorem A is proved in Sec. 3 and
7 Theorem B and Corollary C in Sec. 4. All groups considered in what follows are
8 tacitly assumed to be finite.

9 2. Conjugacy Classes in $U(n, q)$

10 The conjugacy classes of the unitriangular group $U(n, q)$, considered as the group of
11 upper unitriangular matrices, have been studied in a series of papers by Arregi and
12 Vera-López; we will use, in particular, the results in [12, 13]. For the convenience
13 of the reader, we reproduce some notations and results from [13] in the setting of
14 lower unitriangular matrices, i.e. swapping the notation by taking transpose.

15 Let us order the index set $\mathcal{I} = \{(i, j) \mid 1 \leq j \leq i \leq n\}$ in the following manner:

$$(n, n-1) < (n-1, n-2) < (n, n-2) < (n-2, n-3) < \dots < (n-1, 1) < (n, 1).$$

16 To every $A = (a_{ij}) \in U(n, q)$ and $(r, s) \in \mathcal{I}$, one associates a vector $\mu_{(r,s)}(A)$ (the
17 (r, s) -weight of A) as follows:

$$\mu_{(r,s)}(A) := (\mu(a_{ij}))_{(i,j) \leq (r,s)},$$

18 where $\mu(a_{ij}) = 0$ if $a_{ij} = 0$ and $\mu(a_{ij}) = 1$ if $a_{ij} \neq 0$. The vector $\mu_{(n,1)}(A)$ is
19 called the *weight* of A and is simply denoted by $\mu(A)$. So, $\{\mu(A) \mid A \in U(n, q)\} =$
20 $\{0, 1\}^{\frac{n(n-1)}{2}}$ and we totally order this set of weights by lexicographical order (con-
21 sidering $0 < 1$). For a given index $(r, s) \in \mathcal{I}$, we order $\mu_{(r,s)}(A)$ in the same manner.
22 We remark that in [13], the word “type” is used in place of “weight”. But we will
23 use “weight” as we use “type” for some other purpose.

24 For $(r, s) \in \mathcal{I}$, define

$$\mathcal{G}_{(r,s)} := \{A = (a_{ij}) \in U(n, q) \mid a_{ij} = 0 \text{ for all } (i, j) \leq (r, s)\}.$$

25 It is a routine check to see that $\mathcal{G}_{(r,s)}$ is a normal subgroup of $U(n, q)$ having order
26 $q^{ns-r-\frac{s(s-1)}{2}}$. The tuple $\mu_{(r,s)}(A)$ doesn't depend on the representative A of the
27 coset $\bar{A} := A\mathcal{G}_{(r,s)}$. Thus, it makes sense to define the (r, s) -type of \bar{A} as the (r, s) -
28 type of A . As proved in [13, Theorem 3.2], every conjugacy class in $U(n, q)/\mathcal{G}_{(r,s)}$
29 contains a unique element of minimum (r, s) -weight. A matrix $A \in U(n, q)$ is said to
30 be *canonical* if $A\mathcal{G}_{(r,s)}$ is the unique element of its conjugacy class in $U(n, q)/\mathcal{G}_{(r,s)}$
31 having minimal (r, s) -weight for all $(r, s) \in \mathcal{I}$.

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1 For each $(r, s) \in \mathcal{I}$, let us define

$$\mathcal{N}_{(r,s)} := \mathcal{G}_{(r,s)^*} / \mathcal{G}_{(r,s)},$$

2 where $(r, s)^*$ denotes the preceding pair of (r, s) in the ordering of \mathcal{I} defined above.
 3 It follows from [13, Lemma 3.4] that for every $A \in U(n, q)$ and $(r, s) \in \mathcal{I}$ the number
 4 of conjugacy classes in $U(n, q) / \mathcal{G}_{(r,s)}$ which intersect with $\bar{A}\mathcal{N}_{(r,s)}$ is either 1 or q ,
 5 where $\bar{A} = A\mathcal{G}_{(r,s)}$. We say that $(r, s) \in \mathcal{I}$ is an *inert point* of $A \in U(n, q)$ if the
 6 number in the preceding statement is 1.

7 The following two results are restatements of [13, Lemmas 3.7 and 3.8] for lower
 8 unitriangular matrices.

9 **Lemma 2.1.** *Let $A \in U(n, q)$ be a canonical matrix such that $a_{rs} \neq 0$ and $a_{js} = 0$
 10 for all j such that $s < j < r$. Then the pairs (r, s') , with $s' < s$, are inert points of
 11 A .*

12 **Lemma 2.2.** *Let $A \in U(n, q)$ be a canonical matrix such that $a_{rs} \neq 0$ and $a_{ri} = 0$
 13 for all i , $s < i < r$. Then the pair (r', s) for any $r' > r$ is an inert point of A if
 14 $a_{jr'} = 0$ for all $j > r'$.*

15 We set the following notation. Given $k \in \{0, 1, \dots, n-1\}$, we say that the
 16 array of entries $(a_{k+1,1}, a_{k+2,2}, \dots, a_{n,n-k})$ is the k th-sub-diagonal of the matrix
 17 $A = (a_{i,j})$. For l such that $0 \leq l \leq n-1$, define

$$U_l(n, q) := \{A = (a_{ij}) \in U(n, q) \mid a_{ij} = 0, \text{ for all } i - j \leq l\},$$

18 consisting of lower unitriangular matrices whose first l sub-diagonals have all zero
 19 entries. We remark that

$$U(n, q) = U_0(n, q) \supset U_1(n, q) \supset \dots \supset U_l(n, q) \supset \dots \supset U_{n-1}(n, q) = \{1\},$$

20 is the lower central series of $U(n, q)$, with $U_l(n, q) = \gamma_{l+1}(U(n, q))$, and that the
 21 $U_l(n, q)$ are the only fully invariant subgroups of $U(n, q)$ [1, Theorem 1].

22 Having fixed a dimension n , in $M(n, q)$ we denote by I the identity matrix and
 23 by e_{rs} the elementary matrix with 1 at (r, s) th place and 0 elsewhere. We now turn
 24 our attention to some relevant elements of the subgroups $U_l(n, q)$.

25 For $0 \leq l \leq n-2$, set

$$A(a_1, a_2, \dots, a_{n-l-1}) = I + \sum_{i=1}^{n-l-1} a_i e_{l+1+i, i} \in U_l(n, q), \quad (2.1)$$

26 where $a_1, a_2, \dots, a_{n-l-1} \in \mathbb{F}_q$.

27 We have the following important property of the elements defined in (2.1).

28 **Lemma 2.3.** *For every choice of $0 \leq l \leq n-2$ and $a_1, a_2, \dots, a_{n-l-1} \in \mathbb{F}_q$, the
 29 element $A(a_1, a_2, \dots, a_{n-l-1})$ is a canonical element of $U(n, q)$.*

30 **Proof.** In order to show that $A = A(a_1, a_2, \dots, a_{n-l-1}) \in U_l(n, q)$ is a canonical
 31 element of $U(n, q)$, we need to prove that each nonzero entry on the $l+1$ th sub-
 32 diagonal of $A\mathcal{G}_{(r,s)}$ will continue to be nonzero in every $U(n, q) / \mathcal{G}_{(r,s)}$ -conjugate of

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1 $A\mathcal{G}_{(r,s)}$ for all $(r, s) \in \mathcal{I}$. More generally, we observe that if $A = (a_{i,j}) \in U_l(n, q)$ and
 2 $B = (b_{i,j}) \in U(n, q)$, then the $(l+1)$ th subdiagonal of A and $B^{-1}AB$ are identical
 3 modulo $\mathcal{G}_{(r,s)}$ for all pairs $(r, s) \in \mathcal{I}$. In fact, it is readily checked that the element
 4 in the $(l+1+k, k)$ th place, for $k = 1, \dots, n-l-1$, of the $(l+1)$ th subdiagonal of
 5 both AB and BA , is simply $a_{l+1+k,k} + b_{l+1+k,k}$ modulo $\mathcal{G}_{(r,s)}$. This shows that A
 6 is canonical in $U(n, q)$. \square

7 We conclude this section with the following result in which we single out con-
 8 jugacy classes of $U_l(n, q)$ of considerably large orders, including the largest ones.

9 **Proposition 2.4.** *Let $0 \leq l \leq n-2$. For $0 \leq m \leq \lfloor \frac{n-l-1}{2} \rfloor + 1$, set*

$$\mathcal{A}_m = \{A(a_1, a_2, \dots, a_{n-l-1}) \mid a_i = 0 \text{ for } i \leq m, a_i \in F_q^\times \text{ for } i > m\},$$

10 and for $\lfloor \frac{n-l-1}{2} \rfloor < m \leq n-l$, set

$$\mathcal{B}_m = \{A(a_1, a_2, \dots, a_{n-l-1}) \mid a_i \in F_q^\times \text{ for } i < m, a_i = 0 \text{ for } i \geq m\}.$$

11 Then, the elements in \mathcal{A}_m are representatives of distinct $U(n, q)$ -conjugacy classes
 12 of size $q^{\frac{(n-l-1)(n-l-2)}{2} - \frac{m(m-1)}{2}}$ and the elements in \mathcal{B}_m are representatives of distinct
 13 $U(n, q)$ -conjugacy classes of size $q^{\frac{(n-l-1)(n-l-2)}{2} - \frac{(n-l-m)(n-l-m-1)}{2}}$.

14 **Proof.** Since by Lemma 2.3, the elements in \mathcal{A}_m and \mathcal{B}_m are canonical elements
 15 of $U(n, q)$, it follows by [13, Corollary 3.3] that these are pair-wise non-conjugate
 16 in $U(n, q)$.

17 Let $A \in \mathcal{A}_m$. Then for each t , $m+1 \leq t \leq n-l-1$, it follows from Lemma 2.1
 18 that there are $t-1$ inert points of A corresponding to a_t . So the number of inert
 19 points of A is at least $\frac{(n-l-1)(n-l-2)}{2} - \frac{m(m-1)}{2}$. Thus, by [13, Theorem 3.5], the
 20 conjugacy class of A in $U(n, q)$ has size at least $q^{\frac{(n-l-1)(n-l-2)}{2} - \frac{m(m-1)}{2}}$. We claim
 21 that it cannot be bigger than this. Let G_m denote the subset of $U_{l+1}(n, q)$ defined as

$$G_m = \{B = (b_{ij}) \in U_{l+1}(n, q) \mid b_{ij} = 0 \text{ for all } l+2 < i \leq l+m+1, 1 \leq j < m\}.$$

22 It is not difficult to see that G_m is a normal subgroup of $U(n, q)$ having order
 23 $q^{\frac{(n-l-1)(n-l-2)}{2} - \frac{m(m-1)}{2}}$. Note that $[A, U(n, q)] \subseteq G_m$, where

$$[A, U(n, q)] = \{[A, C] \mid C \in U(n, q)\}.$$

24 This shows that the size of the conjugacy class of A in $U(n, q)$ is at the most $|G_m|$,
 25 as claimed. Hence, the assertion for the elements of \mathcal{A}_m holds.

26 Assertion for the elements in \mathcal{B}_m holds on the same lines using Lemma 2.2,
 27 which completes the proof. \square

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3. Unitriangular Matrix Group

We look at the power map $w = X^p$ on the unitriangular group $U(n, q)$. We begin by stating the following results from [1] to improve readability of this section.

Lemma 3.1. *Let A be a lower unitriangular matrix in $U(n, q)$ such that $A - I = (a_{ij})$. Then the matrix $A^m = I + (b_{ij})$ is given by*

$$\begin{aligned} b_{ij} = & \binom{m}{1} a_{ij} + \binom{m}{2} \left(\sum_{r_1=j+1}^{i-1} a_{i,r_1} a_{r_1,j} \right) + \binom{m}{3} \left(\sum_{r_1=j+1}^{i-1} \sum_{r_2=r_1+1}^{i-1} a_{i,r_2} a_{r_2,r_1} a_{r_1,j} \right) \\ & + \cdots + \binom{m}{k} \left(\sum_{r_1=j+1}^{i-1} \sum_{r_2=r_1+1}^{i-1} \cdots \sum_{r_{k-1}=r_{k-2}+1}^{i-1} a_{i,r_{k-1}} a_{r_{k-1},r_{k-2}} \cdots a_{r_1,j} \right) \\ & + \cdots + \binom{m}{m} \left(\sum_{r_1=j+1}^{i-1} \sum_{r_2=r_1+1}^{i-1} \cdots \sum_{r_{m-1}=r_{m-2}+1}^{i-1} a_{i,r_{m-1}} a_{r_{m-1},r_{m-2}} \cdots a_{r_1,j} \right). \end{aligned}$$

We use Lemma 3.1 to prove the following result for p th powers.

Corollary 3.2. *Let $A \in U(n, q)$ be such that $A - I = (a_{i,j})$ and $A^p = I + (b_{i,j})$. Then, $b_{i,j} = 0$ for all $i - j < p$ and*

$$b_{i,j} = \sum_{r_1=j+1}^{i-1} \sum_{r_2=r_1+1}^{i-1} \cdots \sum_{r_{p-1}=r_{p-2}+1}^{i-1} a_{i,r_{p-1}} a_{r_{p-1},r_{p-2}} \cdots a_{r_1,j},$$

otherwise. In particular, if $n \leq p$, then $A^p = I$, and if $n > p$, then $U(n, q)^p \subseteq U_{p-1}(n, q)$.

Proof. Since the binomial coefficients appearing in the formula of Lemma 3.1 for $m = p$ are all zero modulo p , except possibly the last one, we get

$$b_{i,j} = \sum_{r_1=j+1}^{i-1} \sum_{r_2=r_1+1}^{i-1} \cdots \sum_{r_{p-1}=r_{p-2}+1}^{i-1} a_{i,r_{p-1}} a_{r_{p-1},r_{p-2}} \cdots a_{r_1,j}.$$

If $i - j < p$, this is an empty sum, that is, it's 0. This happens for all pairs (i, j) if $n < p$; giving $A^p = I$. If $i - j \geq p$, which actually implies that $n \geq p$, then $a_{i,j}$'s are given by the expression as stated, and obviously fall in $U_{p-1}(n, q)$. \square

As an immediate consequence, we have the following result.

Proposition 3.3. *For $n > p$ and $l = p - 1$, every element of \mathcal{A}_m and \mathcal{B}_m (defined in Proposition 2.4) is a p th power in $U(n, q)$.*

Proof. We first show that the elements $A := A(a_1, a_2, \dots, a_{n-l-1})$ defined in (2.1) for $a_1, a_2, \dots, a_{n-l-1} \in \mathbb{F}_q^\times$ are p th powers. Let $b_{2,1} = \cdots = b_{p,p-1} = 1$. Then

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1 iteratively define

$$b_{p+i+1, p+i} := (b_{i+2, i+1} \cdots b_{p+i, p+i-1})^{-1} a_{i+1},$$

2 for $0 \leq i < n - p$. Now, consider the lower unitriangular matrix $C := (c_{i, j})$, where
 3 $c_{i, i-1} = b_{i, i-1}$ for $2 \leq i \leq n$ and $c_{i, j} = 0$ for $i - j > 1$. Using Corollary 3.2, it is a
 4 routine computation to show that $C^p = A$.

5 Now, let $A := A(a_1, a_2, \dots, a_{n-l-1}) \in \mathcal{B}_m$. Then, by the definition, $a_i \in$
 6 F_q^\times for $i < m$, $a_i = 0$ for $i \geq m$. Thus, in the above procedure, $b_{i, i-1} = 0$ for
 7 $p + m \leq i \leq n$. Considering $C := (c_{i, j})$, where $c_{i, i-1} = b_{i, i-1}$ for $2 \leq i \leq n$ and
 8 $c_{i, j} = 0$ for $i - j > 1$, we see, again using Corollary 3.2, that $C^p = A$.

9 For $A := A(a_1, a_2, \dots, a_{n-l-1}) \in \mathcal{A}_m$, let $b_{i, i-1} = 0$ for $2 \leq i \leq m + 1$, $b_{i, i-1} = 1$
 10 for $m + 2 \leq i \leq m + p$ and then iteratively define

$$b_{p+i+1, p+i} := (b_{i+2, i+1} \cdots b_{p+i, p+i-1})^{-1} a_{i+1},$$

11 for $m \leq i < n - p$. Again, considering $C := (c_{i, j})$, where $c_{i, i-1} = b_{i, i-1}$ for $2 \leq i \leq n$
 12 and $c_{i, j} = 0$ for $i - j > 1$, it follows that $C^p = A$, which completes the proof. \square

13 The following proposition, which follows from the above formulas, is proved
 14 in [1, Theorems 2 and 3] (also see [8, III, Satz 16.5]).

15 **Proposition 3.4.** *Let q be a p -power. Then,*

- 16 (1) for $n \leq p$, $U(n, q)^p = 1$;
 17 (2) for $n = p + 1$ and $n = p + 2$, $U(n, q)^p = U_{p-1}(n, q)$;
 18 (3) for $n \geq p + 3$, $U(n, q)^p \subset U_{p-1}(n, q)$ and $\langle U(n, q)^p \rangle = U_{p-1}(n, q)$.

19 We now provide a lower bound on $|U(n, q)^p|$.

20 **Proposition 3.5.** *Let q be a power of p and n an integer such that $n \geq p + 3$.
 21 Then, if $n - p$ is even,*

$$|U(n, q)^p| \geq q^{\frac{(n-p)(n-p-1)}{2}} ((q-1)^{n-p}) + \sum_{m=1}^{\lfloor \frac{n-p}{2} \rfloor} q^{\frac{(n-p)(n-p-1)}{2} - \frac{m(m-1)}{2}} (2(q-1)^{n-p-m}),$$

22 and if $n - p$ is odd,

$$|U(n, q)^p| \geq q^{\frac{(n-p)(n-p-1)}{2}} ((q-1)^{n-p}) + \sum_{m=1}^{\lfloor \frac{n-p}{2} \rfloor} q^{\frac{(n-p)(n-p-1)}{2} - \frac{m(m-1)}{2}} (2(q-1)^{n-p-m}) \\ + q^{\frac{(n-p)(n-p-1)}{2} - \frac{r(r-1)}{2}} (2(q-1)^{n-p-r}),$$

23 where $r = \lfloor \frac{n-p}{2} \rfloor + 1$.

24 **Proof.** It follows from Proposition 3.3 that every element of \mathcal{A}_m as well as of \mathcal{B}_m
 25 is a p th power in $U(n, q)$. The result now follows by considering the sizes of all
 26 distinct conjugacy classes of elements of \mathcal{A}_m and \mathcal{B}_m obtained in Proposition 2.4.
 27 \square

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1 We are now ready to prove Theorem A.

2 **Proof of Theorem A.** The first assertion follows from Proposition 3.4. For the
3 second assertion, by Proposition 3.5, we have

$$|U(n, q)^p| > q^{\frac{(n-p)(n-p-1)}{2}} ((q-1)^{n-p} + 2(q-1)^{n-p-1}).$$

4 Hence,

$$\frac{|U(n, q)^p|}{|U_{p-1}(n, q)|} > \frac{q^{\frac{(n-p)(n-p-1)}{2}} ((q-1)^{n-p} + 2(q-1)^{n-p-1})}{q^{\frac{(n-p)(n-p+1)}{2}}},$$

5 which implies

$$\frac{|U(n, q)^p|}{|U_{p-1}(n, q)|} > \left(1 - \frac{1}{q}\right)^{n-p-1} \left(1 + \frac{1}{q}\right).$$

6 Thus, if we take $q \geq n - p - 1$, then we get

$$\frac{|U(n, q)^p|}{|U_{p-1}(n, q)|} > \left(1 - \frac{1}{q}\right)^{n-p-1} \left(1 + \frac{1}{q}\right) \geq \left(1 - \frac{1}{q}\right)^q \left(1 + \frac{1}{q}\right) > \frac{1}{3}.$$

7 This completes the proof. \square

8 We conclude this section with some computations using Bosma [2], which are
9 as follows.

(n, q)	$ U(n, q)^p $	$ U_{p-1}(n, q) $	$ U(n, q)^p / U_{p-1}(n, q) $
(5,2)	52	$2^6 = 64$	$> \frac{1}{3}$
(5,4)	3376	$4^6 = 4096$	$> \frac{1}{3}$
(6,2)	600	$2^{10} = 1024$	$> \frac{1}{3}$
(6,3)	585	$3^6 = 729$	$> \frac{1}{3}$
(7,2)	13344	$2^{15} = 32768$	$> \frac{1}{3}$
(8,2)	573184	$2^{21} = 2097152$	$< \frac{1}{3}$

11 In view of the values in the last row of this table, we remark that the condition
12 on q in Theorem A cannot be completely dropped.

13 4. Triangular Matrix Group

14 In this section, we consider the group of triangular matrices $T(n, q)$, where q is a
15 power of a prime p , aiming at computing the size $|T(n, q)^p|$ of the set of its p -powers.
16 Since the group $T(n, 2) = U(n, 2)$ we assume $q > 2$, now onwards. We begin with
17 setting up some notation. We denote by $D(n, q)$ the subgroup of $T(n, q)$ consisting
18 of the diagonal matrices. The elements of $D(n, q)$ can be grouped in “types” in such
19 a way that all elements of each type have the isomorphic centralizers in $T(n, q)$.
20 We recall that a *partition* of a positive integer n is a sequence of positive integers
21 $\delta = (a_1, \dots, a_k)$ such that $a_1 \geq a_2 \geq \dots \geq a_k > 0$ and $\sum_{j=1}^k a_j = n$. One can also

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1 write the partition δ in *power notation* $1^{m_1} 2^{m_2} \dots n^{m_n}$ where $m_i = |\{a_j \mid a_j = i\}|$
 2 is the number of *parts* a_j 's equal to i , for $1 \leq i \leq n$; so, $m_i \geq 0$ and $\sum_{i=1}^n i m_i = n$.

3 Let $\Pi = \{X_1, X_2, \dots, X_k\}$ be a *set-partition* of $I_n = \{1, 2, \dots, n\}$, i.e. a family of
 4 non-empty and pairwise disjoint subsets of I_n , whose union is I_n . Setting $a_i = |X_i|$
 5 and assuming, as we may, that $a_i \geq a_j$ for $i \leq j$, the tuple $\delta = (a_1, a_2, \dots, a_k)$ is a
 6 partition of the number n ; we say that δ is the *type* of Π , and simply denote it as
 7 $\delta = \tau(\Pi)$.

8 A diagonal matrix $d \in D(n, q)$, seen as a map from the set $I_n = \{1, 2, \dots, n\}$ to
 9 the set F_q^\times of the nonzero elements of the field F_q , determines in a natural way as
 10 set-partition Π_d of I_n , namely the family of the nonempty fibers of the map d . We
 11 set $\delta = \tau(\Pi_d)$ as the *type* of d and we write $\delta = \tau(d)$.

12 We denote the number k of parts in δ by $l(\delta)$, the *length* of δ , and we observe
 13 that there exist elements of type δ in D if and only if $l(\delta) < q$. (Thus, not all
 14 partitions of n may appear as type of an element in $D(n, q)$, when $q \leq n$).

15 Given a partition δ of n with $l(\delta) < q$, we denote by $D_\delta(n, q) = \{d \in D \mid \tau(d) =$
 16 $\delta\}$ the set of all elements in $D(n, q)$ of the given type δ .

17 **Lemma 4.1.** *Let n be a positive integer and let $\delta = (a_1, a_2, \dots, a_k)$ be a partition*
 18 *of n . Write δ in power notation as $1^{m_1} \dots n^{m_n}$ and assume that $k = l(\delta) < q$. Then*

$$|D_\delta(n, q)| = \frac{(q-1)!n!}{(q-k-1)! \prod_{i=1}^n ((i!)^{m_i} \cdot m_i!)}.$$

19 **Proof.** Let Δ be the set consisting of the set-partitions of I_n having type δ . As
 20 above, we associate to a diagonal element $d \in D_\delta$ a set-partition $\Pi_d \in \Delta$, and
 21 observe that all the fibers of the map $\pi : D_\delta \rightarrow \Delta$ defined by $\pi(d) = \Pi_d$, have the
 22 same size $\frac{(q-1)!}{(q-k-1)!}$ (the number of injective maps from a set of $k = l(\delta)$ elements into
 23 a set of $q-1$ -elements). On the other hand, the cardinality $|\Delta|$ is easily determined
 24 by looking at the natural transitive action of the symmetric group S_n on Δ and
 25 observing that the stabilizer in S_n of a partition of type $\delta = 1^{m_1} \dots n^{m_n}$ has size
 26 $\prod_{i=1}^n ((i!)^{m_i} \cdot m_i!)$. \square

27 **Lemma 4.2.** *For any partition δ of n , with $l(\delta) < q$, and for any element*
 28 *$d \in D_\delta(n, q)$, all centralizers $C_{U(n, q)}(d)$ belong to the same isomorphism class.*
 29 *Moreover, if $\delta = (a_1, a_2, \dots, a_k)$, then $|C_{U(n, q)}(d)| = q^{\sum_{i=1}^k \binom{a_i}{2}}$.*

30 **Proof.** Let $\delta = (a_1, a_2, \dots, a_k)$ be a given partition of n , with $k < q$, and let

$$d = (\underbrace{d_1, \dots, d_1}_{a_1}, \dots, \underbrace{d_k, \dots, d_k}_{a_k}),$$

31 where d_1, \dots, d_k are distinct elements of F_q , be a “standard-form” element in D_δ .
 32 Write $G = GL_n(q)$, $U = U(n, q)$. It is well known that $C_G(d) = \prod_{i=1}^k GL_{a_i}(q)$, the
 33 subgroup of δ -block matrices.

34 Let $b = b_\pi \in G$ be a permutation matrix, where $\pi \in S_n$. We will show that
 35 $C_U(d^b)$ is isomorphic to $C_U(d)$. In order to do this, it is enough to show that the

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1 two subgroups have the same order, since $C_U(d) = C_G(d) \cap U$ is a Sylow p -subgroup
 2 of $C_G(d)$ and $C_U(d^b) = C_G(d)^b \cap U \cong C_G(d) \cap U^{b^{-1}}$ is a p -subgroup. We denote by
 3 $M = \prod_{i=1}^k M_{a_i}(q)$, the δ -blocks matrix algebra and write $C = C_G(d)$. We observe
 4 that $M \cap U = C \cap U$, $M^b \cap U = C^b \cap U$ and that, arguing by induction on the
 5 number k of diagonal blocks, in order to prove that $|M \cap U| = |M^b \cap U|$ we can
 6 reduce to the case $k = 2$.

7 Now, $M \cap U = (I_n + V) \cap U = I_n + (V \cap U_0)$, where V is the F_q -space spanned by
 8 the set of pairs of elementary matrices $\{e_{i,j}, e_{j,i}\}$, where $1 \leq i < j \leq a_1$ or $a_1 + 1 \leq$
 9 $i < j \leq n$, and the F_q -space U_0 is spanned by the $e_{i,j}$'s with $i < j$. Observing
 10 that for every pair i, j we have $e_{i,j}^b = e_{\pi(i), \pi(j)}$ and that the pair $\{e_{i,j}, e_{j,i}\}^b =$
 11 $\{e_{\pi(i), \pi(j)}, e_{\pi(j), \pi(i)}\}$ contains exactly one element in $V^b \cap U$, we conclude that the
 12 F_q -spaces $V^b \cap U_0$ and $V \cap U_0$ have the same dimension. Therefore, $|C \cap U| =$
 13 $|M \cap U| = |M^b \cap U| = |C^b \cap U|$.

14 We finish by note that $|C_{U(n,q)}(d)| = \prod_i q^{\binom{a_i}{2}}$ is independent on the choice of
 15 the elements d_1, \dots, d_k and that every element in D_δ is conjugate by a permutation
 16 matrix to a ‘‘standard-form’’ element d as above. \square

17 Before proving Theorem B, we recall some elementary facts.

18 For any fixed prime number p and an element (of finite order) g of a group G ,
 19 we can write in a unique way $g = xy$, where x and y commute, x is a p -element
 20 and y has order coprime to p . We call x the p -part of g and y the p' -part of g .

21 Also, if $g, h \in G$ are elements of coprime order and they commute, then
 22 $C_G(gh) = C_G(g) \cap C_G(h)$.

23 **Theorem 4.3.** *Let $T = T(n, q)$, $U = U(n, q)$ and $D = D(n, q)$. Then,*

$$|T^p| = \sum_{\delta \vdash n} |D_\delta| |U : C_\delta| |C_\delta^p|,$$

24 where the sum runs over all partitions δ of n with length $\leq q - 1$, $D_\delta = \{d \in$
 25 $D \mid \tau(d) = \delta\}$ and $C_\delta = C_U(d_\delta)$ for some $d_\delta \in D_\delta$.

26 **Proof.** We first prove that

$$T^p = \bigcup_{d \in D, v \in C_U(d)^p} (dv)^U, \quad (4.1)$$

27 where $(dv)^U = \{(dv)^y \mid y \in U\}$ is an orbit under the action by conjugation of U ; we
 28 call it a U -class.

29 To prove (4.1), let us consider an element $x = dv$ on the right-hand side where
 30 $d \in D$ and $v = u^p$ for some $u \in C_U(d)$. Since $(p, |D|) = 1$ we can write $d = d_0^p$
 31 for some suitable $d_0 \in D$; note that $u \in C_U(d_0) = C_U(d)$. Hence, $x = d_0^p u^p =$
 32 $(d_0 u)^p \in T^p$. Since T^p is U -invariant, this proves that T^p contains the union on the
 33 right-hand side of (4.1). Conversely, consider $x = t^p$ with $t \in T$. Now, write $t = d_0 u$
 34 where u and d_0 are the p -part and p' -part of t , respectively. So, in particular, u and
 35 d_0 commute. Let $y \in U$ such that $d_0^y \in D$ (such an element certainly exists, as D

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1 is a p -complement of T and the p -complements of T are a single orbit under the
 2 action of U). Write $d_1 = d_0^y$ and $v = u^y$. Then $x^y = (t^p)^y = (t^y)^p = ((d_0u)^y)^p =$
 3 $(d_1v)^p = d_1^p v^p$. Now, $d = d_1^p \in D$ and $C_U(d) = C_U(d_1)$. This proves the other
 4 inclusion.

5 Next, we observe that for elements $u, v \in U$ and $c, d \in D$ which satisfy $[u, c] =$
 6 $1 = [v, d]$ and $(cu)^U = (dv)^U$, it follows that, $c = d$. Let $y \in U$ such that $x = dv =$
 7 $c^y u^y$. Note that v and d are the p -part and p' -part of x , respectively, and that the
 8 same is true for u^y and c^y . By uniqueness of p and p' -parts, we hence get $v = u^y$
 9 and $d = c^y$. In particular, $c^{-1}d = c^{-1}c^y = [c, y] \in D \cap U = 1$, so $c = d$.

10 We also have that if $cu \in (dv)^U$, for $c, d \in D$, $u \in U$ and $v \in C_U(d)^p$, then
 11 $cu = du = dv^y$ for some $y \in C_U(d)$. Therefore, the family of U -classes in T^p is in
 12 bijection with the set of pairs $(d, v_{d,i})$, where $d \in D$ and $v_{d,1}, \dots, v_{d,m_d}$ is a set of
 13 representatives of the $C_U(d)$ -classes in $C_U(d)^p$. For a fixed $d \in D$, write $C = C_U(d)$
 14 and let $v \in C^p$. Observe that $C_U(dv) = C_U(d) \cap C_U(v) = C_C(v)$, because d and
 15 v are commuting elements of coprime order, so $|(dv)^U| = |U : C||v^C|$. Hence, we
 16 have

$$\left| \bigcup_{v \in C^p} (dv)^U \right| = [U : C] \sum_{i=1}^{m_d} |v_{d,i}^C| = [U : C]|C^p|.$$

17 By Lemma 4.2, we conclude that

$$|T^p| = \sum_{d \in D} [U : C_U(d)]|C_U(d)^p| = \sum_{\delta \vdash n, l(\delta) < q} |D_\delta| [U : C_\delta]|C_\delta^p|$$

18 where $C_\delta = C_U(d_\delta)$ for any fixed $d_\delta \in D_\delta$. □

19 We will now prove Theorem B.

20 **Proof of the Theorem B.** Proof of the theorem is obtained by simply substi-
 21 tuting the values in the formula obtained Theorem 4.3 above. The value of $|D_\delta|$ is
 22 computed in Lemma 4.1. The value of $[U : C_\delta]$ is obtained from Lemma 4.2. Now, to
 23 obtain the last term $|C_\delta^p|$ we use the fact that $C_\delta \cong \prod_{i=1}^k U(a_i, q)$. This completes
 24 the proof. □

25 Next, we apply the formula obtained in Theorem B to compute some examples.

26 **Example 4.4.** Let $n = 3, q = 5 = p$. Let $T = T(3, 5)$ and we want to compute $|T^5|$.
 27 In this case the partitions δ such that $1 \leq l(\delta) \leq \min(n, q-1) = 3$ are $(3), (2, 1)$ and
 28 $(1, 1, 1)$. Now, $|\Delta_{(3)}| = 4, |\Delta_{(2,1)}| = 3 \cdot (4 \cdot 3) = 2^2 3^2$ and $|\Delta_{(1,1,1)}| = 4 \cdot 3 \cdot 2 = 2^3 3$.
 29 Further $|d^T| = [U : C_U(d)]$ is, according to type, as follows: 1 for (3) , 5^2 for $(2, 1)$
 30 and 5^3 for $(1, 1, 1)$. Hence,

$$|T^5| = 4 \cdot 1 + 2^2 3^2 \cdot 5^2 + 2^3 3 \cdot 5^3 = 3904.$$

31 **Example 4.5.** Let $n = 6, q = p = 3, T = T(6, 3), D = D(6, 3)$ and $U = U(6, 3)$.

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1 The partitions δ of 6 of length at most two are $(6), (5, 1), (4, 2), (3, 3)$ and for
2 $d_\delta \in D_\delta$ we have the following.

	(6)	(5, 1)	(4, 2)	(3, 3)
$ D_\delta $	2	12	30	20
$[U : C_U(d_\delta)]$	1	3^5	3^8	3^9
$ C_U(d_\delta)^3 $	585	3^3	3	1

3
4 where we have used the fact that $C_U(d_{(6)}) \cong U(6, 3)$ and that $|U(6, 3)^3| = 585$ (by
5 direct computation).

6 Hence, we get

$$|T^3| = 2 \cdot 585 + 12 \cdot 3^5 \cdot 3^3 + 30 \cdot 3^8 \cdot 3 + 20 \cdot 3^9 = 1064052.$$

7 We finish by proving Corollary C.

8 **Proof of Corollary C.** We will consider just the partitions (of length 2) $(n - i, i)$
9 for $1 \leq i \leq \lfloor n/2 \rfloor$. Hence, by Theorems A and B, we have

$$\begin{aligned} |T^p| &\geq \sum_{i=1}^{\lfloor n/2 \rfloor} \frac{(q-1)(q-2)n!}{2(n-i)!i!} \cdot |U(i, q)^p| |U(n-i, q)^p| \cdot q^{\binom{n}{2} - \binom{n-i}{2} - \binom{i}{2}} \\ &\geq \frac{1}{3^2} \sum_{i=1}^{\lfloor n/2 \rfloor} \frac{(q-1)(q-2)}{2} \binom{n}{i} \cdot q^{\binom{n}{2} - \binom{n-i}{2} - \binom{i}{2} + \binom{i-p+1}{2} + \binom{n-i-p+1}{2}} \\ &= \frac{1}{3^2} \sum_{i=1}^{\lfloor n/2 \rfloor} \frac{(q-1)(q-2)}{2} \binom{n}{i} \cdot q^{\binom{n}{2} - (p-1)(n-p)} \\ &\geq \frac{2^{n-2}}{3^2} (q-1)(q-2) \cdot q^{\binom{n}{2} - (p-1)(n-p)}. \end{aligned}$$

10 Hence,

$$\frac{|T^p|}{|T|} \geq \frac{2^{n-2}(q-1)(q-2)}{9(q-1)^n q^{(p-1)(n-p)}} \geq \frac{2^{n-2}}{9(q-1)^{n-2} q^{(p-1)(n-p)}}. \quad \square$$

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