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ANISOTROPIC SYMMETRIZATION, CONVEX BODIES, AND ISOPERIMETRIC INEQUALITIES

GABRIELE BIANCHI, ANDREA CIANCHI AND PAOLO GRONCHI

ABSTRACT. This work is concerned with a Pólya-Szegő type inequality for anisotropic functionals of Sobolev functions. The relevant inequality entails a double-symmetrization involving both trial functions and functionals. A new approach that uncovers geometric aspects of the inequality is proposed. It relies upon anisotropic isoperimetric inequalities, fine properties of Sobolev functions, and results from the Brunn-Minkowski theory of convex bodies. Importantly, unlike previously available proofs, the one offered in this paper does not require approximation arguments and hence allows for a characterization of extremal functions.

1. INTRODUCTION

A classical functional inequality, usually called Pólya-Szegő principle in the literature, asserts that a convex Dirichlet type integral of a Sobolev function $u : \mathbb{R}^n \rightarrow \mathbb{R}$, which decays in a suitable weak sense near infinity, does not increase under radially decreasing symmetrization. More specifically,

$$(1.1) \quad \int_{\mathbb{R}^n} A(|\nabla u^\star|) dx \leq \int_{\mathbb{R}^n} A(|\nabla u|) dx$$

for any Young function $A : [0, \infty) \rightarrow [0, \infty]$. Here, ∇u denotes the weak gradient of u , $|\nabla u|$ its Euclidean norm, and u^\star the radially decreasing symmetrization of u , i.e. the function equimeasurable with u whose level sets are balls centered at the origin. The definition of Young function, as well as other notions appearing in this introduction, are recalled in the next section. We shall here content ourselves with mentioning that the choice $A(t) = t^p$ is admissible in (1.1) for any $p \geq 1$. The inequality (1.1) is of critical use in the proof of a number of results concerning sharp constants and optimal shapes of geometric objects in mathematical analysis, differential geometry, and mathematical physics.

Although diverse approaches to (1.1) are available, a customary proof relies upon a combination of the coarea formula with the classical isoperimetric inequality – see e.g. [BrZi, CiFu3, Ta]. The appeal of this method stems from shedding light on the geometric flavor of the inequality (1.1). In fact, when $A(t) = t$, this inequality can be regarded as a functional form of the isoperimetric inequality in \mathbb{R}^n .

Variants and generalizations of the inequality (1.1), involving different integral functionals, broader classes of trial functions, alternate symmetrizations are well known and are spread in a vast literature. A unified approach embracing a broad class of symmetrizations can be found in [BGGK]. Reference monographs on this topic are [Ka, Ke, Bae]. Unconventional strengthened versions of the Pólya-Szegő principle, concerning energy functionals invariant under affine transformations and hence called affine Pólya-Szegő principles, were introduced in [LYZ, Zh].

A popular extension of (1.1) allows for norms $H(\nabla u)$ of ∇u more general than just the Euclidean one. It tells us that

$$(1.2) \quad \int_{\mathbb{R}^n} A(H(\nabla u^\circ)) dx \leq \int_{\mathbb{R}^n} A(H(\nabla u)) dx,$$

where u° denotes the symmetrization of u with respect to the dual norm H_0 to H . Namely, u° is the function equimeasurable with u , whose super-level sets are concentric balls in the metric induced by H_0 , i.e., dilates of the unit ball $\{H_0 \leq 1\}$. These balls are usually called the Wulff shapes associated with H , after the name of T. Wulff who introduced anisotropic perimeters in [Wu]. The inequality (1.2) was established in [AFLT], under the name of convex symmetrization inequality, via arguments along the same lines as those for

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(1.1), save that the standard isoperimetric inequality is replaced with an anisotropic isoperimetric inequality dictated by the norm H .

The present paper deals with an even more general, fully anisotropic inequality, where the integrand in the functional is an n -dimensional Young functions $\Phi : \mathbb{R}^n \rightarrow [0, \infty]$. Hence, the functional depends on the whole gradient ∇u and not just on its norm. The Dirichlet type integral considered have the form:

$$(1.3) \quad \int_{\mathbb{R}^n} \Phi(\nabla u) dx.$$

Loosely speaking, the inequality in question ensures that the functional (1.3) does not increase if both u and Φ are simultaneously properly symmetrized with respect to any given convex body K . The symmetrized u^K of u is just the function equimeasurable with u , whose super-level sets are homothetic to K . The symmetrization of the integrand Φ is accomplished through a less straightforward three-step process. This process calls into play, in a sequence, the operation of Young conjugation (also called Legendre transform), a symmetrization with respect to K , and a Young conjugation again. Altogether, this yields a new n -dimensional Young function that will be denoted by $\Phi_{\bullet K \bullet}$. The anisotropic symmetrization inequality then reads:

$$(1.4) \quad \int_{\mathbb{R}^n} \Phi_{\bullet K \bullet}(\nabla u^K) dx \leq \int_{\mathbb{R}^n} \Phi(\nabla u) dx.$$

This is a kind of universal Pólya-Szegő principle, which encompasses various special instances, including (1.1) and (1.2). For K equal to a ball, the inequality (1.4) was stated, without a proof, by V. Klimov in his paper [Kl1]. Over the years, Klimov has authored several papers around this inequality and its applications, but, as far as we know, he never published a full proof, although some hints are given in [Kl1]. In [Kl3] he established a variant of (1.4), where symmetrization with respect to a convex set is replaced with Steiner symmetrization about a hyperplane. Thanks to the latter result, a proof of (1.4), when K is a ball, was accomplished in [Ci4] via an approximation process by sequences of Steiner symmetrizations. In the paper [VSch], the inequality (1.4) is proved for a general convex body K . The argument of [VSch] again rests upon approximations, which exploit sequences of polarizations.

The inequality (1.4) is the point of departure in the approach to Sobolev type inequalities in anisotropic Orlicz-Sobolev spaces. Early results in this direction are contained in [Kl1]; sharp embeddings are the subject of [Ci1, Ci3]. These embeddings have a role in the existence and regularity theory of solutions to anisotropic elliptic equations and variational problems – see e.g. [Al, ADF, ACCZ, Ba, Ci2, Ci4].

The purpose of this work is to offer an alternate, direct proof of the inequality (1.4), which avoids approximation arguments via partial symmetrizations. The proof to be presented provides geometric insight on (1.4), which, by contrast, does not emerge from the previously available approaches. It rests on the anisotropic isoperimetric inequality mentioned above and techniques which pertain to the Brunn-Minkowski theory of convex bodies. Results from geometric measure theory and fine properties of Sobolev functions are also exploited, since our proof does not require regularizations of u and applies directly to Sobolev functions.

The absence of any approximation argument is a major advantage of the new proof, which enables us to characterize those functions u for which equality holds in (1.4). The question of the cases of equality in symmetrization inequalities is a delicate issue. It is well known that, if equality holds in (1.1), then the super-level sets of u are balls and $|\nabla u|$ is constant on their boundaries. A parallel result holds with regard to (1.2), save that Euclidean balls have to be replaced with balls in the metric of H_0 , and $|\nabla u|$ with $H(\nabla u)$. Through a close inspection of the steps in our proof of (1.4) we can provide information in a similar spirit about functions which render the inequality (1.4) an equality. Due to the freedom in the choice of K and Φ , and the presence of a double symmetrization, the characterization of the extremals in this inequality is inevitably subtler.

Recall that full symmetry of extremals in (1.1) and (1.2), namely the fact that their level sets be concentric, is not guaranteed without additional assumptions on A and u . The correct minimal assumptions to be imposed were exhibited in [BrZi] for the inequality (1.1), and extended to the inequality (1.2) in [EsTr] and [FeVo]. They amount to requiring that A be strictly convex and that the set of critical points of u^\star (or u°) have measure zero. Of course, a symmetry result for extremals in (1.4) in the same direction is an interesting problem, which, however, falls beyond the scope of the present paper. In fact, as will be apparent from the examples that will be produced, it is not clear how a possible statement of a result in this direction should read.

In memory of Paolo Gronchi. Paolo passed away on July 4th, 2024, shortly before the final version of this paper was completed. He was an excellent mathematician and has been a close companion of ours for many years. It has been a pleasure to work and spend time with him. We have always enjoyed his constant good humor and positivity.

2. NOTATION AND BACKGROUND

In this section we introduce some notation and recall basic properties from the theory of convexity and of Sobolev type spaces.

2.1. Gauge functions and convex bodies. A function $H : \mathbb{R}^n \rightarrow [0, \infty)$ will be called a *gauge function* if it is convex, positively homogeneous of degree 1, and vanishes only at 0. Consequently, for any gauge function H there exists positive constants c_1 and c_2 such that

$$(2.1) \quad c_1|\xi| \leq H(\xi) \leq c_2|\xi| \quad \text{for } \xi \in \mathbb{R}^n.$$

The dual of a gauge function H , denoted by H_0 , is also a gauge function and is defined as

$$(2.2) \quad H_0(\xi) = \max_{\mathbb{R}^n \ni \eta \neq 0} \frac{\xi \cdot \eta}{H(\eta)} \quad \text{for } \xi \in \mathbb{R}^n.$$

Notice that

$$(H_0)_0 = H.$$

A *convex body* is a closed bounded convex set in \mathbb{R}^n . Let L be a convex body such that $0 \in \text{int}(L)$, where $\text{int}(L)$ denotes the set of the interior points of L .

The *polar body* of L is the convex body L° defined as

$$L^\circ = \{x \in \mathbb{R}^n : \langle x, y \rangle \leq 1 \text{ for all } y \in L\}.$$

The *gauge function of L* is the function $H_0^L : \mathbb{R}^n \rightarrow [0, \infty)$ defined as

$$H_0^L(x) = \min\{\lambda \geq 0 : x \in \lambda L\} \quad \text{for } x \in \mathbb{R}^n.$$

The function H_0^L is a gauge function in the sense specified above.

The *support function* $h_L : \mathbb{R}^n \rightarrow [0, \infty)$ of L is defined by

$$h_L(\xi) = \max\{\langle \xi, \eta \rangle : \eta \in L\} \quad \text{for } \xi \in \mathbb{R}^n.$$

One has that $h_L = H^L$, where H^L denotes the dual of H_0^L . Moreover, H_0^L agrees with the support function of L° , whereas h_L agrees with the gauge function of L° [Ro, Cor. 14.5.1]. Also,

$$(2.3) \quad L = \{x : H_0^L(x) \leq 1\}$$

and

$$(2.4) \quad L^\circ = \{\xi : h_L(\xi) \leq 1\},$$

see [Ro, Cor. 15.1.2].

If $\xi \in \mathbb{R}^n \setminus \{0\}$ is such that $\nabla H_0(\xi)$ exists, then

$$(2.5) \quad H(\nabla H_0(\xi)) = 1,$$

see e.g. [CiSa, Equation (3.12)].

The anisotropic isoperimetric inequality associated with h_L tells us that

$$(2.6) \quad \int_{\partial^* E} h_L(\nu^E) d\mathcal{H}^{n-1} \geq n|E|^{\frac{n-1}{n}} |L|^{\frac{1}{n}}$$

for every set $E \subset \mathbb{R}^n$ of finite perimeter. Here, $\partial^* E$ denotes the reduced boundary of E , and ν^E stands for the geometric measure theoretical outer unit normal to E . Moreover, equality holds in (2.6) if and only if E is a dilate of L , up to sets of Lebesgue measure zero and up to translations – see [FoMu].

2.2. Young functions. A function

$$A : [0, \infty) \rightarrow [0, \infty]$$

is said to be a *Young function* if it is convex, left-continuous, $A(0) = 0$ and non-constant in $(0, \infty)$. The *Young conjugate* of A , which will be denoted by A_\bullet , is the Young function obeying:

$$(2.7) \quad A_\bullet(t) = \sup\{st - A(s) : s \geq 0\} \quad \text{for } t \geq 0.$$

One has that

$$A = (A_\bullet)_\bullet$$

for every Young function A . Therefore, Young conjugation is an involution.

Besides plain power functions t^p , with $p \geq 1$, basic instances of Young functions are

$$(2.8) \quad A(t) = t^p (\log(c+t))^q \quad \text{for } t \geq 0,$$

where the positive constant c is large enough for A to be convex, and

$$(2.9) \quad A(t) = e^{t^\alpha} - 1 \quad \text{for } t \geq 0,$$

with $\alpha > 0$.

2.3. n -dimensional Young functions. We call an *n -dimensional Young function* a function

$$\Phi : \mathbb{R}^n \rightarrow [0, \infty]$$

which is convex, lower semicontinuous, finite in a neighborhood of 0, and such that

$$(2.10) \quad \Phi(0) = 0 \quad \text{and} \quad \lim_{|\xi| \rightarrow \infty} \Phi(\xi) = +\infty.$$

The domain of Φ is denoted by $\text{dom } \Phi$ and defined as

$$\text{dom } \Phi = \{\xi \in \mathbb{R}^n : \Phi(\xi) < \infty\}.$$

Notice that for any n -dimensional Young function there exist positive constants c and r such that

$$(2.11) \quad \Phi(\xi) \geq c|\xi| \quad \text{if } |\xi| \geq r.$$

The subgradient of Φ at a point $\xi \in \mathbb{R}^n$ will be denoted by $\partial\Phi(\xi)$. Recall that $\partial\Phi(\xi) \subset \mathbb{R}^n$ and, if Φ is differentiable at ξ , then $\partial\Phi(\xi) = \{\nabla\Phi(\xi)\}$.

For the *Young conjugate* of an n -dimensional Young function Φ we employ, with a slight abuse, the same notation as in (2.7), namely Φ_\bullet . It is defined as

$$\Phi_\bullet(\xi) = \sup\{\langle \xi, \eta \rangle - \Phi(\eta) : \eta \in \mathbb{R}^n\} \quad \text{for } \xi \in \mathbb{R}^n,$$

where $\langle \cdot, \cdot \rangle$ denotes scalar product in \mathbb{R}^n . The function Φ_\bullet inherits the properties of Φ , and hence it is also an n -dimensional Young function [Ro, Corollary 14.2.2].

The operation of Young conjugation in the class of n -dimensional Young functions is an involution, since

$$(2.12) \quad \Phi = (\Phi_\bullet)_\bullet.$$

Observe that, if A is a Young function, then the function Φ given by

$$\Phi(\xi) = A(|\xi|) \quad \text{for } \xi \in \mathbb{R}^n$$

is an n -dimensional Young function.

Genuinely anisotropic instances of n -dimensional Young functions have the form

$$(2.13) \quad \Phi(\xi) = \sum_{i=1}^n A_i(|\xi_i|) \quad \text{for } \xi \in \mathbb{R}^n,$$

where A_i are Young functions and $\xi = (\xi_1, \dots, \xi_n)$. A customary example of functions of this kind is

$$(2.14) \quad \Phi(\xi) = \sum_{i=1}^n |\xi_i|^{p_i} \quad \text{for } \xi \in \mathbb{R}^n,$$

where $1 \leq p_i < \infty$, for $i = 1, \dots, n$.

The example (2.13) can be further generalized as

$$(2.15) \quad \Phi(\xi) = \sum_{k=1}^n A_k \left(\left| \sum_{i=1}^n \alpha_{ki} \xi_i \right| \right) \quad \text{for } \xi \in \mathbb{R}^n,$$

where A_k are Young functions, $m \in \mathbb{N}$, and the matrix $(\alpha_{ki}) \in \mathbb{R}^{n \times n}$ is such that $\det(\alpha_{ik}) \neq 0$. A possible instance, for $n = 2$, is

$$(2.16) \quad \Phi(\xi) = |\xi_1 - \xi_2|^p + |\xi_1|^q \log(c + |\xi_1|)^\alpha \quad \text{for } \xi \in \mathbb{R}^2,$$

where either $q \geq 1$ and $\alpha > 0$, or $q = 1$ and $\alpha > 0$, the exponent $p \geq 1$, and c is a sufficiently large constant for Φ to be convex. Another example amounts to the function

$$(2.17) \quad \Phi(\xi) = |\xi_1 + 3\xi_2|^p + e^{|\xi_1 - \xi_2|^\beta} - 1 \quad \text{for } \xi \in \mathbb{R}^2,$$

with $p \geq 1$ and $\beta > 1$.

However, let us stress that there exist n -dimensional Young functions which do not split as in (2.15) – see e.g. [ChNa]

2.4. Sobolev functions. We denote by $\mathcal{M}(\mathbb{R}^n)$ the set of real-valued measurable functions in \mathbb{R}^n . The *distribution function* $\mu : (\text{ess inf } u, \infty) \rightarrow [0, \infty]$ of a function $u \in \mathcal{M}(\mathbb{R}^n)$ is given by

$$(2.18) \quad \mu(t) = |\{x : u(x) > t\}| \quad \text{for } t > \text{ess inf } u.$$

Define

$$\mathcal{M}_d(\mathbb{R}^n) = \{u \in \mathcal{M}(\mathbb{R}^n) : \mu(t) < \infty \text{ for } t > \text{ess inf } u\}.$$

The set $\mathcal{M}_d(\mathbb{R}^n)$ can be regarded as the subset of those functions from $\mathcal{M}(\mathbb{R}^n)$ which decay to $\text{ess inf } u$ near infinity in the weakest possible sense in view of our applications.

The decreasing rearrangement $u^* : [0, \infty) \rightarrow [0, \infty]$ of a function $u \in \mathcal{M}_d(\mathbb{R}^n)$ is the generalized right-continuous inverse of μ , which obeys

$$(2.19) \quad u^*(s) = \inf \{t \in \mathbb{R} : \mu(t) \leq s\} \quad \text{for } s \geq 0.$$

Namely, u^* is the non-increasing right-continuous function on $[0, \infty)$ such that $|\{s \in [0, \infty) : u^*(s) > t\}| = |\{x \in \mathbb{R}^n : u(x) > t\}|$ for $t > \text{ess inf } u$.

The increasing rearrangement u_* is defined analogously for any function u such that $(-u) \in \mathcal{M}_d(\mathbb{R}^n)$.

A function $u \in \mathcal{M}(\mathbb{R}^n)$ will be called *quasi-convex* if

$$(2.20) \quad \text{the set } \{u \geq t\} \text{ is convex for } t \in (\text{ess inf } u, \text{ess sup } u).$$

Given an n -dimensional Young function Φ , we define the *homogeneous Sobolev class*

$$V^{1,\Phi}(\mathbb{R}^n) = \left\{ u \in W_{\text{loc}}^{1,1}(\mathbb{R}^n) : \int_{\mathbb{R}^n} \Phi(\nabla u) dx < \infty \right\},$$

and set

$$V_d^{1,\Phi}(\mathbb{R}^n) = V^{1,\Phi}(\mathbb{R}^n) \cap \mathcal{M}_d(\mathbb{R}^n).$$

Notice that the sets $V^{1,\Phi}(\mathbb{R}^n)$ and $V_d^{1,\Phi}(\mathbb{R}^n)$ are convex, but, because of the lack of homogeneity of Φ , they need not be linear spaces. In this connection, let us point out that, by contrast, in earlier contribution the notation $V^{1,\Phi}(\mathbb{R}^n)$ and $V_d^{1,\Phi}(\mathbb{R}^n)$ was employed to denote the homogeneous Sobolev spaces associated with the function Φ .

When $\Phi(\xi) = |\xi|$, we shall simply write $V^{1,1}(\mathbb{R}^n)$ and $V_d^{1,1}(\mathbb{R}^n)$ to denote the corresponding Sobolev classes, which, in this case, agree with the respective Sobolev spaces.

Given an n -dimensional Young function Φ , a function $u \in V_d^{1,\Phi}(\mathbb{R}^n)$, and $t > \text{ess inf } u$, the function $\max\{u, t\}$ attains the constant value t outside a set of finite measure. As a consequence of (2.11) and of standard properties of truncations of Sobolev functions, we hence have that

$$(2.21) \quad \max\{u, t\} \in V_d^{1,1}(\mathbb{R}^n) \quad \text{if } t > \text{ess inf } u.$$

A property of Sobolev functions ensures that, if $u \in V_d^{1,\Phi}(\mathbb{R}^n)$, then u admits a representative such that the set $\{u > t\}$ is of finite perimeter and

$$(2.22) \quad \partial^* \{u > t\} = \{u = t\} \quad \text{up to sets of } \mathcal{H}^{n-1} \text{ measure zero,}$$

for a.e. $t > \text{ess inf } u$ [BrZi]. In what follows, by u we always denote such a representative.

The coarea formula ensures that, if $u \in V_d^{1,1}(\mathbb{R}^n)$, then

$$(2.23) \quad \int_{\mathbb{R}^n} f |\nabla u| dx = \int_{-\infty}^{\infty} \int_{\{u=t\}} f d\mathcal{H}^{n-1} dt$$

for every Borel function $f : \mathbb{R}^n \rightarrow [0, \infty)$.

2.5. Symmetrizations. Let $K \subset \mathbb{R}^n$ be a convex body such that $0 \in \text{int}(K)$. Given a measurable set $E \subset \mathbb{R}^n$, we define

$$E^K = cK,$$

where $c \geq 0$ is such that

$$|E| = |E^K|.$$

Here, $|\cdot|$ stands for Lebesgue measure.

Given a function $u \in \mathcal{M}_d(\mathbb{R}^n)$ we define u^K as the symmetral of u with respect to K . Namely, $u^K : \mathbb{R}^n \rightarrow \mathbb{R}$ is the function obeying:

$$\{x \in \mathbb{R}^n : u^K(x) \geq t\} = \{x \in \mathbb{R}^n : u(x) \geq t\}^K \quad \text{for } t \in (\text{ess inf } u, \text{ess sup } u).$$

Moreover, if Φ is an n -dimensional Young function, we denote by $\Phi_K : \mathbb{R}^n \rightarrow \mathbb{R}$ the function given by

$$-\Phi_K(-\xi) = (-\Phi)^K(\xi) \quad \text{for } \xi \in \mathbb{R}^n.$$

Equivalently, Φ_K is the symmetral of Φ such that

$$\{\xi \in \mathbb{R}^n : \Phi_K(\xi) \leq t\} = -\{\xi \in \mathbb{R}^n : \Phi(\xi) \leq t\}^K \quad \text{for } t \geq 0.$$

The latter symmetrization will be applied in combination with the operation of Young conjugation. For simplicity of notation, given an n -dimensional Young function Φ , we set

$$\Phi_{\bullet K} = (\Phi_{\bullet})_K \quad \text{and} \quad \Phi_{\bullet K \bullet} = ((\Phi_{\bullet})_K)_{\bullet}.$$

Observe that, if K is a ball, then $u^K = u^{\star}$, the radially decreasing symmetral of u , and $\Phi_K = \Phi_{\star}$, the radially increasing symmetral of Φ .

The operations of Young conjugation and symmetrization with respect to a convex set do not commute, even if K is a ball. Hence, $\Phi_{\bullet K \bullet} \neq \Phi_K$ in general. However, the functions $\Phi_{\bullet K \bullet}$ and Φ_K are equivalent, up to constants multiplying their arguments. Namely, for every convex set K as above, there exist constants c_1 and c_2 , depending on K , such that

$$(2.24) \quad \Phi_K(c_1\xi) \leq \Phi_{\bullet K \bullet} \leq \Phi_K(c_2\xi) \quad \text{for } \xi \in \mathbb{R}^n,$$

for every n -dimensional Young function Φ . Equation (2.24) is established in [Kl2, Lemma 7] when K is a ball. On the other hand, analogously to the formula (5.5) below, one has that

$$\Phi_K(\xi) = \Phi_*(\kappa H_0(\xi)^n) \quad \text{for } \xi \in \mathbb{R}^n,$$

where Φ_* is the increasing rearrangement of Φ , H_0 is the gauge function of K , and $\kappa = |K|$. Hence, thanks to the property (2.1) applied to H_0 , the functions Φ_K and Φ_L are equivalent for any couple of convex bodies K and L . Equation (2.24) for balls thus implies it for an arbitrary K .

3. MAIN RESULTS

The anisotropic symmetrization principle which is the subject of this paper is the content of Theorem 3.1 below. In its statement, and in what follows, K denotes a convex body such that $0 \in \text{int}(K)$.

Theorem 3.1. *Let Φ be an n -dimensional Young function. Assume that $u \in V_d^{1,\Phi}(\mathbb{R}^n)$. Then $u^K \in V_d^{1,\Phi_{\bullet K \bullet}}(\mathbb{R}^n)$ and*

$$(3.1) \quad \int_{\mathbb{R}^n} \Phi_{\bullet K \bullet}(\nabla u^K) dx \leq \int_{\mathbb{R}^n} \Phi(\nabla u) dx.$$

As mentioned above, one major benefit from the proof of the inequality (3.1) that will be offered is in the possibility of characterizing those functions u which render this inequality an equality. In the next result necessary conditions on u are exhibited for equality to hold in (3.1). The subsequent Theorem 3.5 asserts that the relevant conditions are also sufficient.

Theorem 3.2. *Let Φ be an n -dimensional Young function such that*

$$(3.2) \quad 0 < \Phi(x) < \infty \quad \text{for } x \neq 0,$$

let $u \in V_d^{1,\Phi}(\mathbb{R}^n)$ and assume that equality holds in the inequality (3.1). Then u equals a quasi-convex function a.e. in \mathbb{R}^n . Moreover:

(i) For a.e. $t \in (\text{ess inf } u, \text{ess sup } u)$ there exist $s_t \geq 0$, $a_t > 0$, and $x_t \in \mathbb{R}^n$ such that:

(a)

$$(3.3) \quad \text{int}(\{\Phi_{\bullet} \leq s_t\}) \neq \emptyset;$$

(b)

$$(3.4) \quad \{u \geq t\} = -a_t\{\Phi_{\bullet} \leq s_t\} + x_t \quad \text{up to a set of Lebesgue measure zero};$$

(c) For \mathcal{H}^{n-1} -a.e. $x \in \{u = t\}$ there exists $\xi \in \{\Phi_{\bullet} = s_t\}$ such that

$$(3.5) \quad \nabla u(x) \in \partial \Phi_{\bullet}(\xi);$$

(d) For \mathcal{H}^{n-1} -a.e. $x \in \{u^K = t\}$ there exists $\xi \in \{\Phi_{\bullet_K} = s_t\}$ such that

$$(3.6) \quad \nabla u^K(x) \in \partial \Phi_{\bullet_K}(\xi);$$

(e) If $s_t > 0$, then

$$(3.7) \quad \int_{\{u=t\}} \frac{d\mathcal{H}^{n-1}}{|\nabla u|} = \int_{\{u^K=t\}} \frac{d\mathcal{H}^{n-1}}{|\nabla u^K|}.$$

(ii) Assume, in addition, that

$$(3.8) \quad \Phi \text{ is strictly convex.}$$

Then Φ_{\bullet} is differentiable in $\text{int}(\text{dom } \Phi_{\bullet})$ and (3.5) can be replaced with

$$(3.9) \quad \nabla u(x) = \nabla \Phi_{\bullet}(\xi)$$

for some $\xi \in \{\Phi_{\bullet} = s_t\} \cap \text{int}(\text{dom } \Phi_{\bullet})$.

In particular, if

$$(3.10) \quad \Phi \text{ is differentiable,}$$

then also Φ_{\bullet} is strictly convex in $\text{int}(\text{dom } \Phi_{\bullet})$ and there exists a unique $\xi \in \{\Phi_{\bullet} = s_t\} \cap \text{int}(\text{dom } \Phi_{\bullet})$ fulfilling (3.9).

In Examples 3.3 and 3.4 below, the classical Pólya-Szegő principle (1.1) and its extension to non-Euclidean norms are recovered from (3.1). The well known information about their extremals is also deduced via Theorem 3.2.

Example 3.3. Assume that

K is an Euclidean ball, centered at 0

and

$$\Phi(\xi) = A(|\xi|) \quad \text{for } \xi \in \mathbb{R}^n,$$

for some classical Young function A . Since Φ is radially symmetric, Φ_{\bullet} is also radially symmetric. Therefore, $\Phi_{\bullet_K} = \Phi_{\bullet}$, inasmuch as K is a ball. Altogether,

$$\Phi_{\bullet_K}(\xi) = A(|\xi|) \quad \text{for } \xi \in \mathbb{R}^n.$$

Moreover, if $u \in V_d^{1,\Phi}(\mathbb{R}^n)$, then

$$u^K = u^{\star},$$

the radially decreasing rearrangement of u . Therefore, the inequality (3.1) reproduces the classical Pólya-Szegő principle (1.1).

Assume, in addition, that

$$(3.11) \quad 0 < A(t) < \infty \quad \text{for } t > 0,$$

and that equality holds in (1.1) for some function $u \in V_d^{1,\Phi}(\mathbb{R}^n)$. Then equation (3.4) of Theorem 3.2 enable us to deduce that

$$\{u \geq t\} \text{ is a ball}$$

for $t \in (\text{ess inf } u, \text{ess sup } u)$. Moreover, if

$$(3.12) \quad A \text{ is strictly convex,}$$

then equation (3.9) implies that

$$|\nabla u| \text{ is constant } \mathcal{H}^{n-1} \text{ - a.e. on } \{u = t\}$$

for a.e. $t \in (\text{ess inf } u, \text{ess sup } u)$.

Example 3.4. Let K be any convex body such that $0 \in \text{int}(K)$ and let H_0 be its gauge function. Assume that A is a Young function and

$$\Phi(\xi) = A(H(\xi)) \quad \text{for } \xi \in \mathbb{R}^n,$$

where $H : \mathbb{R}^n \rightarrow [0, \infty)$ is the dual of H_0 . One has that

$$(3.13) \quad \Phi_{\bullet\kappa\bullet}(\xi) = A(H(\xi)) \quad \text{for } \xi \in \mathbb{R}^n.$$

Indeed,

$$(3.14) \quad \Phi_{\bullet}(\xi) = A_{\bullet}(H_0(\xi))$$

– see Lemma 4.2, Section 4. Thus, all the sub-level sets of Φ_{\bullet} are homothetic to K , whence $\Phi_{\bullet\kappa} = \Phi_{\bullet}$. The involution property of Young conjugation then yields $\Phi_{\bullet\kappa\bullet} = \Phi$, namely (3.13).

On the other hand, if $u \in V_d^{1,1}(\mathbb{R}^n)$, then

$$u^K = u^{\circ},$$

where

$$u^{\circ}(x) = u^*(\kappa H_0(x)^n) \quad \text{for } x \in \mathbb{R}^n,$$

and $\kappa = |K|$.

As a consequence, the inequality (3.1) recovers (1.2).

Assume now that (3.11) is in force. Then, from equation (3.4) of Theorem 3.2 we can infer that

$$\{u \geq t\} \text{ is a ball in the metric induced by } H_0$$

for $t \in (\text{ess inf } u, \text{ess sup } u)$.

If A fulfils the stronger assumption (3.12), then equations (3.9) and (3.14) entails that

$$\nabla u(x) = A'_{\bullet}(H_0(x)) \nabla H_0(x) \quad \text{for } \mathcal{H}^{n-1} - \text{a.e. } x \in \{u = t\}$$

for a.e. $t \in (\text{ess inf } u, \text{ess sup } u)$.

Since H is positively homogeneous of degree 1 and satisfies property (2.5), we hence deduce that

$$H(\nabla u) \text{ is constant } \mathcal{H}^{n-1} - \text{a.e. on } \{u = t\}$$

for a.e. $t \in (\text{ess inf } u, \text{ess sup } u)$.

Theorem 3.5. *Assume that Φ is as in Theorem 3.1 and fulfils the condition (3.2). Let $u \in V_d^{1,\Phi}(\mathbb{R}^n)$. Assume that, for a.e. $t \in (\text{ess inf } u, \text{ess sup } u)$, there exist $s_t \geq 0$, $a_t > 0$, and $x_t \in \mathbb{R}^n$ such that the properties (a)–(e) from Theorem 3.2 are fulfilled. Then equality holds in the inequality (3.1).*

We conclude this section with two propositions describing quite different situations where equality holds in (3.1). Their proof rests upon Theorem 3.5. The first one tells us that, whatever K is, equality holds in (3.1) provided that the super-level sets of u are dilates of any given convex body L containing 0 in its interior, and the sub-level sets of Φ are dilates of the polar of L .

Proposition 3.6. *Let Φ be as in Theorem 3.1 and let $u \in V_d^{1,\Phi}(\mathbb{R}^n)$. Assume that there exist a convex body L such that $0 \in \text{int}(L)$, an increasing function $a : [0, \infty) \rightarrow [0, \infty)$, a non-increasing function $b : [0, \infty) \rightarrow [0, \infty)$, and $x_0 \in \mathbb{R}^n$, such that*

$$(3.15) \quad \{\Phi \leq s\} = -a(s)L^{\circ}$$

for $s > 0$, and

$$(3.16) \quad \{u \geq t\} = b(t)L + x_0 \quad \text{up to a set of Lebesgue measure zero,}$$

for a.e. $t \in (\text{ess inf } u, \text{ess sup } u)$. Then equality holds in the inequality (3.1).

The next result shows that the conditions (3.15) – (3.16) are by no means necessary for equality to hold in (3.1). Indeed, it tells us that equality may occur in (3.1) even if none of the level sets of u is homothetic to another one. For instance, this is the case when $u(x)$ is obtained from a truncation of $-\Phi_{\bullet}(-x)$. To be more specific, given $t_1 < t_2$, define the truncation function $T_{t_1, t_2} : \mathbb{R} \rightarrow \mathbb{R}$ at the levels t_1 and t_2 as

$$T_{t_1, t_2}(t) = \begin{cases} t_1, & \text{if } t \leq t_1, \\ t, & \text{if } t_1 \leq t \leq t_2, \\ t_2, & \text{if } t \geq t_2. \end{cases}$$

Then we have what follows.

Proposition 3.7. *Assume that Φ is as in Theorem 3.1, fulfills the condition (3.2) and*

$$(3.17) \quad \lim_{|\xi| \rightarrow \infty} \frac{\Phi(\xi)}{|\xi|} = \infty.$$

Let $u : \mathbb{R}^n \rightarrow \mathbb{R}$ be any function having the form

$$(3.18) \quad u(x) = T_{t_1, t_2} \left(t_3 - a \Phi_{\bullet} \left(\frac{x_0 - x}{a} \right) \right),$$

for some $t_i \in \mathbb{R}$, $i = 1, 2, 3$, with $t_1 < t_2 \leq t_3$, some $a > 0$, and $x_0 \in \mathbb{R}^n$. Then $u \in V_d^{1, \Phi}(\mathbb{R}^n)$ and equality holds in the inequality (3.1).

Remark 3.8. The condition (3.17) ensures that Φ_{\bullet} is finite-valued. The conclusion of Proposition 3.7 continues to hold without (3.17) provided that the function Φ_{\bullet} is finite in a neighbourhood of the set $\{\Phi_{\bullet} \leq (t_3 - t_1)/a\}$.

4. TECHNICAL LEMMAS ON n -DIMENSIONAL YOUNG FUNCTIONS

We collect here some properties of n -dimensional Young functions, their Young conjugates, and their symmetrals with respect to a convex body which are critical in the proofs of our main results.

We begin with a lemma on an alternate formula for the Young conjugate of an n -dimensional function, via the support functions of its sub-level sets.

Lemma 4.1. *Let $\Phi : \mathbb{R}^n \rightarrow [0, \infty]$ be a lower semicontinuous convex function. Then,*

$$(4.1) \quad \Phi_{\bullet}(\xi) = \sup_{s \geq 0} (h_{\{\Phi \leq s\}}(\xi) - s) \quad \text{for } \xi \in \mathbb{R}^n.$$

Proof. Fix $\xi \in \mathbb{R}^n$. We claim that

$$(4.2) \quad \sup_{\eta \in \mathbb{R}^n} (\langle \xi, \eta \rangle - \Phi(\eta)) = \sup_{s \geq 0, \eta \in \{\Phi \leq s\}} (\langle \xi, \eta \rangle - s).$$

Indeed, on one hand, one has that

$$\sup_{\eta \in \mathbb{R}^n} (\langle \xi, \eta \rangle - \Phi(\eta)) = \sup_{s \geq 0, \eta \in \{\Phi = s\}} (\langle \xi, \eta \rangle - s) \leq \sup_{s \geq 0, \eta \in \{\Phi \leq s\}} (\langle \xi, \eta \rangle - s).$$

On the other hand, let $\{\eta_k\}$ and $\{s_k\}$ be sequences such that $\Phi(\eta_k) \leq s_k$ and $\lim_k (\langle \xi, \eta_k \rangle - s_k) = \sup_{s \geq 0, \eta \in \{\Phi \leq s\}} (\langle \xi, \eta \rangle - s)$. Then,

$$\sup_{s \geq 0, \eta \in \{\Phi \leq s\}} (\langle \xi, \eta \rangle - s) = \lim_k (\langle \xi, \eta_k \rangle - s_k) \leq \limsup_k (\langle \xi, \eta_k \rangle - \Phi(\eta_k)) \leq \sup_{\eta \in \mathbb{R}^n} (\langle \xi, \eta \rangle - \Phi(\eta)).$$

The equality (4.2) is thus established. Equation (4.1) hence follows, via the following chain:

$$\Phi_{\bullet}(\xi) = \sup_{\eta \in \mathbb{R}^n} (\langle \xi, \eta \rangle - \Phi(\eta)) = \sup_{s \geq 0, \eta \in \{\Phi \leq s\}} (\langle \xi, \eta \rangle - s) = \sup_{s \geq 0} \left(\sup_{\eta \in \{\Phi \leq s\}} \langle \xi, \eta \rangle - s \right) = \sup_{s \geq 0} (h_{\{\Phi \leq s\}}(\xi) - s).$$

□

The next result provides us with an expression for any n -dimensional Young whose sub-level sets are homothetic to each other, for its Young conjugate and for the support function of the sub-level sets of the latter.

Lemma 4.2. *Let Φ be an n -dimensional Young function. Assume that there exist a convex body L with $0 \in \text{int}(L)$ and a non-decreasing function $a : (0, \infty) \rightarrow (0, \infty)$ such that*

$$(4.3) \quad \{\Phi \leq s\} = a(s)L^{\circ} \quad \text{for } s > 0,$$

where L° is the polar body of L . Then, there exists a Young function A such that

$$(4.4) \quad \Phi(\xi) = A(H^L(\xi)) \quad \text{for } \xi \in \mathbb{R}^n,$$

where H^L denotes the support function of L , and

$$(4.5) \quad \Phi_{\bullet}(\xi) = A_{\bullet}(H_0^L(\xi)) \quad \text{for } \xi \in \mathbb{R}^n,$$

where H_0^L is the gauge function of L .

Moreover,

$$(4.6) \quad h_{\{\Phi \leq s\}}(\xi) = A^{-1}(s)H_0^L(\xi) \quad \text{for } s \geq 0 \text{ and } \xi \in \mathbb{R}^n.$$

and

$$(4.7) \quad h_{\{\Phi_\bullet \leq s\}}(\xi) = A_\bullet^{-1}(s)H^L(\xi) \quad \text{for } s \geq 0 \text{ and } \xi \in \mathbb{R}^n.$$

Proof. Equations (2.4) and (4.3) imply, for $s > 0$,

$$(4.8) \quad \begin{aligned} \{\xi \in \mathbb{R}^n : \Phi(\xi) \leq s\} &= a(s)\{\xi \in \mathbb{R}^n : H^L(\xi) \leq 1\} \\ &= \{\xi \in \mathbb{R}^n : H^L(\xi) \leq a(s)\} = \{\xi \in \mathbb{R}^n : a^{-1}(H^L(\xi)) \leq s\}, \end{aligned}$$

where a^{-1} denotes the generalized left-continuous inverse of a . Hence,

$$(4.9) \quad \Phi(\xi) = a^{-1}(H^L(\xi)) \quad \text{for } \xi \in \mathbb{R}^n.$$

This shows that equation (4.4) holds with $A(t) = a^{-1}(t)$. The fact that the latter function is actually a Young function is a consequence of the assumption that Φ is an n -dimensional Young function.

Equation (4.4) implies (4.5), thanks to [Ro, Theorem 15.3].

Equation (4.6) follows from the chain:

$$(4.10) \quad \begin{aligned} h_{\{\Phi \leq s\}}(\xi) &= \sup_{\{\Phi(\eta) \leq s\}} \langle \xi, \eta \rangle = \sup_{\{A(H^L(\eta)) \leq s\}} \langle \xi, \eta \rangle \\ &= \sup_{\{H^L(\eta) \leq 1\}} \langle \xi, A^{-1}(s)\eta \rangle = A^{-1}(s) \sup_{\{H^L(\eta) \leq 1\}} \langle \xi, \eta \rangle = A^{-1}(s)H_0^L(\xi) \end{aligned}$$

for $\xi \in \mathbb{R}^n$. Here, A^{-1} denotes the generalized right-continuous inverse of A . Note that the last equality relies upon (2.3).

Equation (4.7) is a consequence of a parallel chain of equalities. \square

The following lemma informs us on how the non-vanishing or the finiteness of an n -dimensional Young function are reflected on the behaviour near zero or near infinity of its Young conjugate.

Lemma 4.3. *Let Φ be an n -dimensional Young function. Then:*

$$(4.11) \quad \text{if } \Phi(\xi) > 0 \text{ for } \xi \neq 0, \text{ then } \lim_{|\xi| \rightarrow 0} \frac{\Phi_\bullet(\xi)}{|\xi|} = 0 \quad \text{and} \quad \lim_{|\xi| \rightarrow 0} \frac{\Phi_{\bullet\kappa}(\xi)}{|\xi|} = 0;$$

$$(4.12) \quad \text{if } \Phi(\xi) < \infty \text{ for } \xi \in \mathbb{R}^n, \text{ then } \lim_{|\xi| \rightarrow \infty} \frac{\Phi_\bullet(\xi)}{|\xi|} = \infty \quad \text{and} \quad \lim_{|\xi| \rightarrow \infty} \frac{\Phi_{\bullet\kappa}(\xi)}{|\xi|} = \infty.$$

Proof. We begin by proving the limits concerning the function Φ_\bullet . We have that

$$\frac{\Phi_\bullet(\xi)}{|\xi|} = \sup \left\{ \frac{\langle \xi, \eta \rangle}{|\xi|} - \frac{\Phi(\eta)}{|\xi|} : \eta \in \mathbb{R}^n \right\} \leq \sup \left\{ |\eta| - \frac{\Phi(\eta)}{|\xi|} : \eta \in \mathbb{R}^n \right\}.$$

Let $c, r > 0$ be such that $\Phi(\eta) \geq c|\eta|$ if $|\eta| \geq r$. Fix any $\varepsilon \in (0, r)$. Therefore,

$$(4.13) \quad \frac{\Phi_\bullet(\xi)}{|\xi|} \leq \max \left\{ \sup \left\{ |\eta| - \frac{\Phi(\eta)}{|\xi|} : |\eta| < \varepsilon \right\}, \sup \left\{ |\eta| - \frac{\Phi(\eta)}{|\xi|} : \varepsilon \leq |\eta| \leq r \right\}, \sup \left\{ |\eta| - \frac{\Phi(\eta)}{|\xi|} : |\eta| \geq r \right\} \right\}.$$

If $|\xi| < c$, then

$$(4.14) \quad \sup \left\{ |\eta| - \frac{\Phi(\eta)}{|\xi|} : |\eta| \geq r \right\} \leq 0.$$

On the other hand,

$$(4.15) \quad \sup \left\{ |\eta| - \frac{\Phi(\eta)}{|\xi|} : |\eta| < \varepsilon \right\} < \varepsilon$$

for every $\xi \in \mathbb{R}^n \setminus \{0\}$.

Finally, since we are assuming that $\Phi(\eta) > 0$ for $\eta \neq 0$, we have that

$$\inf_{|\eta| \geq \varepsilon} \frac{\Phi(\eta)}{r - \varepsilon} > 0.$$

Thus, if $|\xi| \leq \inf_{|\eta| \geq \varepsilon} \Phi(\eta)/(r - \varepsilon)$, then

$$\inf_{r \geq |\eta| \geq \varepsilon} \frac{\Phi(\eta)}{|\eta| - \varepsilon} \geq \inf_{|\eta| \geq \varepsilon} \frac{\Phi(\eta)}{r - \varepsilon} > 0,$$

whence

$$(4.16) \quad \sup \left\{ |\eta| - \frac{\Phi(\eta)}{|\xi|} : \varepsilon \leq |\eta| \leq r \right\} < \varepsilon.$$

Combining equations (4.13)–(4.16) tells us that

$$\frac{\Phi_{\bullet}(\xi)}{|\xi|} < \varepsilon$$

provided that $|\xi| < \min\{c, \inf_{|\eta| \geq \varepsilon} \frac{\Phi(\eta)}{r - \varepsilon}\}$. This establishes the first limit in (4.11). Next, fix $M > 0$ and set

$$K = \sup_{|\eta|=M} \Phi(\eta).$$

Observe that the supremum is finite since we are assuming that Φ is finite-valued. If $|\xi| \geq \frac{2K}{M}$, then

$$(4.17) \quad \frac{\Phi_{\bullet}(\xi)}{|\xi|} = \sup \left\{ \frac{\langle \xi, \eta \rangle}{|\xi|} - \frac{\Phi(\eta)}{|\xi|} : \eta \in \mathbb{R}^n \right\} \geq \sup \left\{ \frac{\langle \xi, \eta \rangle}{|\xi|} - \frac{\Phi(\eta)}{|\xi|} : |\eta| = M \right\} \geq M - \frac{K}{|\xi|} \geq \frac{M}{2},$$

where the second inequality holds since

$$\frac{\langle \xi, \eta \rangle}{|\xi|} - \frac{\Phi(\eta)}{|\xi|} = M - \frac{\Phi(\eta)}{|\xi|} \quad \text{if } \eta = \frac{\xi}{|\xi|} M.$$

The first limit in (4.12) hence follows.

Now, consider the limits involving the function $\Phi_{\bullet, K}$. Let H_0^K be the gauge function of the set K . Hence, the sub-level sets of $\Phi_{\bullet, K}$ are dilates of $\{H_0^K \leq 1\}$. Define the function $\Psi : \mathbb{R}^n \rightarrow [0, \infty]$ as

$$\Psi(\xi) = \sup_{H_0^K(\eta) \leq H_0^K(\xi)} \Phi_{\bullet}(\eta) \quad \text{for } \xi \in \mathbb{R}^n.$$

Inasmuch as the sub-level sets of Ψ are also dilates of $\{H_0^K \leq 1\}$, we have that $\Psi_K = \Psi$. Moreover, $\Phi_{\bullet} \leq \Psi$. Therefore, since the operation of symmetrization with respect to K is monotone,

$$(4.18) \quad \Phi_{\bullet, K}(\xi) \leq \Psi(\xi) \quad \text{for } \xi \in \mathbb{R}^n.$$

Owing to the property (2.1),

$$(4.19) \quad \lim_{|\xi| \rightarrow 0} \frac{\Phi_{\bullet}(\xi)}{|\xi|} = 0 \quad \text{if and only if} \quad \lim_{H_0^K(\xi) \rightarrow 0} \frac{\Phi_{\bullet}(\xi)}{H_0^K(\xi)} = 0 \quad \text{if and only if} \quad \lim_{H_0^K(\xi) \rightarrow 0} \frac{\Psi(\xi)}{H_0^K(\xi)} = 0,$$

and

$$(4.20) \quad \lim_{H_0^K(\xi) \rightarrow 0} \frac{\Phi_{\bullet, K}(\xi)}{H_0^K(\xi)} = 0 \quad \text{if and only if} \quad \lim_{|\xi| \rightarrow 0} \frac{\Phi_{\bullet, K}(\xi)}{|\xi|} = 0.$$

The second limit in (4.11) follows from the first limit, via (4.18)–(4.20).

An analogous argument, with the replacement of the function Ψ with the function Θ given by

$$\Theta(\xi) = \inf_{H_0^K(\eta) \geq H_0^K(\xi)} \Phi_{\bullet}(\eta) \quad \text{for } \xi \in \mathbb{R}^n,$$

shows that the first limit in (4.12) implies the second one. \square

Properties of the support function of the sub-level sets of (the Young conjugate of) an n -dimensional Young function and of its symmetral with respect to a convex body are established in the last two lemmas of this section.

Lemma 4.4. *Let Φ be an n -dimensional Young function.*

(i) *Assume that $\xi \in \mathbb{R}^n \setminus \{0\}$. Then, the function*

$$(4.21) \quad [0, +\infty) \ni s \rightarrow h_{\{\Phi_{\bullet, K} \leq s\}}(\xi)$$

is concave, continuous, nonnegative and nondecreasing.

(ii) Let $s_0 \geq 0$ be such that the set $\{\Phi_\bullet \leq s_0\}$ has nonempty interior. Given $\xi \in \mathbb{R}^n \setminus \{0\}$, assume that $\eta \in \partial\{\Phi_\bullet \leq s_0\}$ is such that ξ belongs to the outer normal cone to $\{\Phi_\bullet \leq s_0\}$ at η . Then, the function

$$[0, +\infty) \ni s \rightarrow h_{\{\Phi_\bullet \leq s\}}(\xi) - s$$

attains its maximum at s_0 if and only if

$$\Phi_\bullet(\eta) = s_0 \quad \text{and} \quad \xi \in \partial\Phi_\bullet(\eta).$$

Proof. Part (i). The function $\Phi_{\bullet,K} : \mathbb{R}^n \rightarrow [0, +\infty]$ is lower semicontinuous and convex. Consequently, its epigraph

$$E = \{(\xi, t) \in \mathbb{R}^n \times \mathbb{R} : t \geq \Phi_{\bullet,K}(\xi)\}$$

is closed and convex. Define the function $\nu : [0, \infty) \rightarrow [0, \infty)$ as

$$\nu(s) = |\{\Phi_{\bullet,K} \leq s\}|^{1/n} \quad \text{for } s \geq 0.$$

Hence,

$$\nu(s) = \mathcal{H}^n(E \cap \{(\xi, t) : t = s\})^{1/n} \quad \text{for } s \geq 0.$$

The function ν is trivially nondecreasing and nonnegative. Moreover, given $s_1, s_2 \geq 0$ and $\lambda \in [0, 1]$, the convexity of E guarantees that

$$\lambda(E \cap \{(\xi, t) : t = s_1\}) + (1 - \lambda)(E \cap \{(\xi, t) : t = s_2\}) \subset E \cap \{(\xi, t) : t = \lambda s_1 + (1 - \lambda)s_2\}.$$

The Brunn-Minkowski inequality for convex bodies [Sc, Theorem 7.1] then implies that

$$\begin{aligned} \lambda\nu(s_1) + (1 - \lambda)\nu(s_2) &\leq \mathcal{H}^n\left(\lambda(E \cap \{(\xi, t) : t = s_1\}) + (1 - \lambda)(E \cap \{(\xi, t) : t = s_2\})\right)^{1/n} \\ &\leq \nu(\lambda s_1 + (1 - \lambda)s_2). \end{aligned}$$

This shows that the function ν is concave, and hence continuous in $(0, \infty)$. Also, since E is closed, the function ν is continuous also at $s = 0$. By the definition of the function $\Phi_{\bullet,K}$,

$$\{\Phi_{\bullet,K} \leq s\} = -\nu(s)\kappa^{-1/n}K \quad \text{for } s \geq 0,$$

where $\kappa = |K|$. Thus,

$$(4.22) \quad h_{\{\Phi_{\bullet,K} \leq s\}}(\xi) = \nu(s)\kappa^{-1/n}h_{-K}(\xi) \quad \text{for } s \geq 0.$$

Notice that $h_{-K}(\xi) > 0$, since the interior of K contains 0. Equation (4.22) implies that the function defined by (4.21) inherits the properties of ν , whence the conclusion follows.

Part (ii). The definition of η implies that

$$(4.23) \quad \langle \xi, \eta \rangle = \sup_{\theta \in \{\Phi_\bullet \leq s_0\}} \langle \xi, \theta \rangle = h_{\{\Phi_\bullet \leq s_0\}}(\xi).$$

First, assume that s_0 is a maximum point for $h_{\{\Phi_\bullet \leq s\}}(\xi) - s$. The lower semicontinuity of Φ_\bullet implies $\Phi_\bullet(\eta) \leq s_0$. Therefore,

$$(4.24) \quad \langle \xi, \eta \rangle - \Phi_\bullet(\eta) \geq h_{\{\Phi_\bullet \leq s_0\}}(\xi) - s_0 = \sup_{s \geq 0} (h_{\{\Phi_\bullet \leq s\}}(\xi) - s) = \Phi(\xi),$$

where the last equality is a consequence of (4.1). Thus $\Phi(\xi) + \Phi_\bullet(\eta) \leq \langle \xi, \eta \rangle$, whence, via [Ro, Theorem 23.5], we deduce that $\xi \in \partial\Phi_\bullet(\eta)$ and $\Phi(\xi) + \Phi_\bullet(\eta) = \langle \xi, \eta \rangle$. Coupling the latter equality with equation (4.24) yields $\Phi_\bullet(\eta) = s_0$.

Conversely, assume that $\Phi_\bullet(\eta) = s_0$ and $\xi \in \partial\Phi_\bullet(\eta)$. [Ro, Theorem 23.5] implies that $\Phi(\xi) + \Phi_\bullet(\eta) = \langle \xi, \eta \rangle$. Thereby, thanks to (4.23) again,

$$\sup_{s \geq 0} (h_{\{\Phi_\bullet \leq s\}}(\xi) - s) = \Phi(\xi) = \langle \xi, \eta \rangle - \Phi_\bullet(\eta) = h_{\{\Phi_\bullet \leq s_0\}}(\xi) - s_0,$$

namely, s_0 is a maximum point for $h_{\{\Phi_\bullet \leq s\}}(\xi) - s$. □

Lemma 4.5. *Assume that Φ is an n -dimensional Young function satisfying the assumption (3.2). Given $\xi \in \mathbb{R}^n \setminus \{0\}$, let $\varphi : [0, \infty) \rightarrow \mathbb{R}$ be function defined by*

$$(4.25) \quad \varphi(s) = h_{\{\Phi_{\bullet K} \leq s\}}(\xi) - s \quad \text{for } s \geq 0.$$

Then, there exists $\max_{s \in [0, \infty)} \varphi(s)$.

In particular, if this maximum is attained at 0, then $\varphi(0) > 0$, $\text{int}(\{\Phi_{\bullet K} \leq 0\}) \neq \emptyset$, and $\text{int}(\{\Phi_{\bullet} \leq 0\}) \neq \emptyset$.

Proof. Let $\xi \in \mathbb{R}^n \setminus \{0\}$. An application of Lemma 4.2, with K° and $\Phi_{\bullet K}$ playing the role of L and Φ , tell us that there exists a Young function A such that

$$(4.26) \quad \Phi_{\bullet K}(\xi) = A(H_0^K(\xi)) \quad \text{and} \quad h_{\{\Phi_{\bullet K} \leq s\}}(\xi) = A^{-1}(s) H^K(\xi).$$

Here, we have used the standard properties $H^{K^\circ} = H_0^K$ and $H_0^{K^\circ} = H^K$.

Since $0 \in \text{int}(K)$, we have that H^K is actually a gauge function and hence (2.1) holds. Thanks to (2.1) and the first equation in (4.26), Lemma 4.3 implies that

$$\lim_{t \rightarrow 0^+} \frac{A(t)}{t} = 0 \quad \text{and} \quad \lim_{t \rightarrow +\infty} \frac{A(t)}{t} = \infty.$$

Via the second equation in (4.26), these limits in turn imply that

$$\lim_{s \rightarrow 0^+} \frac{h_{\{\Phi_{\bullet K} \leq s\}}(\xi)}{s} = \infty \quad \text{and} \quad \lim_{s \rightarrow +\infty} \frac{h_{\{\Phi_{\bullet K} \leq s\}}(\xi)}{s} = 0.$$

As a consequence, the function φ defined by (4.25) enjoys the following properties:

$$\varphi(0) \geq 0, \quad \varphi(s) > 0 \text{ for } s \text{ in a right neighbourhood of } 0, \quad \lim_{s \rightarrow +\infty} \varphi(s) = -\infty.$$

By Lemma 4.4, Part (i), the function $\varphi(s)$ is also concave and continuous in $[0, +\infty)$. Hence, there exists $\max_{s \in [0, \infty)} \varphi(s)$. \square

5. TECHNICAL LEMMAS ON SOBOLEV FUNCTIONS AND THEIR SYMMETRALS

Some key steps of our proof of Theorem 3.1, which involve fine properties of Sobolev functions and of their symmetrals with respect to a convex body, are enucleated in the lemmas of this section.

The first one deals with the derivative of the distribution function μ of a Sobolev function u , defined as in (2.18).

Lemma 5.1. *Assume that $u \in V_d^{1,1}(\mathbb{R}^n)$. Then $u^K \in V_d^{1,1}(\mathbb{R}^n)$ and*

$$(5.1) \quad \int_{\{u=t\}} \frac{d\mathcal{H}^{n-1}}{|\nabla u|} \leq \int_{\{u^K=t\}} \frac{d\mathcal{H}^{n-1}}{|\nabla u^K|} = -\mu'(t) < \infty \quad \text{for a.e. } t > \text{ess inf } u.$$

Proof. The fact that $u^K \in V_d^{1,1}(\mathbb{R}^n)$ is established in [AFLT]. As for the inequality (5.1), observe that

$$\mu(t) = |\{\nabla u = 0, u > t\}| + \int_{\{u>t\}} \frac{\chi_{\{\nabla u \neq 0\}}}{|\nabla u|} |\nabla u| dx \quad \text{for } t > \text{ess inf } u.$$

The function μ is nonincreasing. Hence, it is differentiable a.e. and, owing to the coarea formula, (2.23),

$$(5.2) \quad \begin{aligned} -\mu'(t) &= -\frac{d}{dt} |\{\nabla u = 0, u > t\}| - \frac{d}{dt} \int_t^\infty \int_{\{u=\tau\}} \frac{\chi_{\{\nabla u \neq 0\}}(x)}{|\nabla u(x)|} d\mathcal{H}^{n-1}(x) d\tau \\ &\geq \int_{\{u=t\}} \frac{\chi_{\{\nabla u \neq 0\}}(x)}{|\nabla u(x)|} d\mathcal{H}^{n-1}(x) = \int_{\{u=t\}} \frac{d\mathcal{H}^{n-1}(x)}{|\nabla u(x)|} \quad \text{for a.e. } t > \text{ess inf } u. \end{aligned}$$

Observe that the last equality holds inasmuch as, for a.e. $t > \text{ess inf } u$,

$$(5.3) \quad \nabla u(x) \neq 0 \quad \text{for } \mathcal{H}^{n-1}\text{-a.e. } x \in \{u = t\}.$$

Equation (5.3) is in turn a consequence of the coarea formula, which implies that

$$0 = \int_{\mathbb{R}^n} \chi_{\{\nabla u = 0\}} |\nabla u| dx = \int_{-\infty}^\infty \int_{\{u=t\}} \chi_{\{\nabla u = 0\}} d\mathcal{H}^{n-1} dt = \int_{-\infty}^\infty \mathcal{H}^{n-1}(\{\nabla u = 0\} \cap \{u = t\}) dt.$$

Denote by H_0 the gauge function of K . Thereby,

$$K = \{H_0 \leq 1\},$$

and, setting $\kappa = |K|$, we have that

$$(5.4) \quad |\{H_0 \leq r\}| = |rK| = kr^n \quad \text{for } r > 0.$$

Moreover,

$$(5.5) \quad u^K(x) = u^*(\kappa H_0(x)^n) \quad \text{for a.e. } x \in \mathbb{R}^n.$$

Since the functions u and u^K share the same distribution function μ , equation (5.2) also holds with u replaced with u^K . Namely,

$$(5.6) \quad \begin{aligned} -\mu'(t) &= -\frac{d}{dt} |\{\nabla u^K = 0, u^K > t\}| - \frac{d}{dt} \int_t^\infty \int_{\{u^K=\tau\}} \frac{\chi_{\{\nabla u^K \neq 0\}}(x)}{|\nabla u^K(x)|} d\mathcal{H}^{n-1}(x) d\tau \\ &= -\frac{d}{dt} |\{\nabla u^K = 0, u^K > t\}| + \int_{\{u^K=t\}} \frac{d\mathcal{H}^{n-1}(x)}{|\nabla u^K(x)|} \quad \text{for a.e. } t > \text{ess inf } u. \end{aligned}$$

The inequality (5.1) will follow from (5.2) and (5.6) if we show that

$$(5.7) \quad \frac{d}{dt} |\{\nabla u^K = 0, u^K > t\}| = 0 \quad \text{for a.e. } t > \text{ess inf } u.$$

To prove equation (5.7), let us begin by observing that, if E is a measurable subset of $[0, \infty)$, then

$$(5.8) \quad |E| = |\{x \in \mathbb{R}^n : \kappa H_0(x)^n \in E\}|.$$

Indeed,

$$(5.9) \quad \begin{aligned} |\{x \in \mathbb{R}^n : \kappa H_0(x)^n \in E\}| &= \int_{\mathbb{R}^n} \chi_E(\kappa H_0(x)^n) dx = \int_{\mathbb{R}^n} \chi_E \left(|x|^n \kappa H_0 \left(\frac{x}{|x|} \right)^n \right) dx \\ &= \int_0^\infty \int_{\mathbb{S}^{n-1}} \chi_E(r^n \kappa H_0(\nu)^n) d\mathcal{H}^{n-1}(\nu) r^{n-1} dr \\ &= \frac{1}{n\kappa} \int_0^\infty \int_{\mathbb{S}^{n-1}} \chi_E(s) \frac{d\mathcal{H}^{n-1}(\nu)}{H_0(\nu)^n} ds \\ &= \frac{|E|}{n\kappa} \int_{\mathbb{S}^{n-1}} \frac{d\mathcal{H}^{n-1}(\nu)}{H_0(\nu)^n}. \end{aligned}$$

An application of equation (5.9) with $E = [0, 1]$ and equation (5.4) tell us that

$$1 = \frac{1}{n\kappa} \int_{\mathbb{S}^{n-1}} \frac{d\mathcal{H}^{n-1}(\nu)}{H_0(\nu)^n}.$$

Equation (5.8) follows from the latter equality and (5.9).

Since u^K is the composition of a convex function with a nonincreasing locally absolutely continuous function, we have that

$$(5.10) \quad \nabla u^K(x) = (u^*)'(\kappa H_0^n(x)) n\kappa H_0(x)^{n-1} \nabla H_0(x)$$

for every $x \in \mathbb{R}^n$ such that $(u^*)'(\kappa H_0^n(x))$ and $\nabla H_0(x)$ exist, and hence for a.e. $x \in \mathbb{R}^n$. Moreover,

$$H_0(x)^{n-1} \nabla H_0(x) \neq 0 \quad \text{for a.e. } x \in \mathbb{R}^n.$$

Therefore,

$$|\{x \in \mathbb{R}^n : \nabla u^K(x) = 0, u^K(x) > t\}| = |\{x \in \mathbb{R}^n : (u^*)'(\kappa H_0^n(x)) = 0, u^*(\kappa H_0^n(x)) > t\}| \quad \text{for } t > \text{ess inf } u.$$

Hence, on choosing

$$E = \{s > 0 : (u^*)'(s) = 0, u^*(s) > t\}$$

from equation (5.8) we infer that

$$(5.11) \quad \frac{d}{dt} |\{\nabla u^K = 0, u^K > t\}| = \frac{d}{dt} |\{(u^*)'(s) = 0, u^*(s) > t\}| \quad \text{for a.e. } t > \text{ess inf } u.$$

On the other hand, [CiFu1, Lemma 2.4] entails that

$$(5.12) \quad \frac{d}{dt} |\{(u^*)'(s) = 0, u^*(s) > t\}| = 0 \quad \text{for a.e. } t > \text{ess inf } u.$$

Equation (5.7) is a consequence of (5.11) and (5.12). \square

Loosely speaking, the next lemma amount to an application of the isoperimetric inequality (2.6) to the case when E is a super-level set of a Sobolev function u . A delicate point in this application is the identification of the unit normal vector ν^E with $-\frac{\nabla u}{|\nabla u|}$. This requires sophisticated results from Geometric Measure Theory.

Lemma 5.2. *Let $L \subset \mathbb{R}^n$ be a convex body such that $0 \in \text{int}(L)$ and let $u \in V_d^{1,1}(\mathbb{R}^n)$. Then*

$$(5.13) \quad \int_{\{u=t\}} h_L \left(-\frac{\nabla u}{|\nabla u|} \right) d\mathcal{H}^{n-1} \geq n |\{u > t\}|^{\frac{n-1}{n}} |L|^{\frac{1}{n}} \quad \text{for a.e. } t > \text{ess inf } u.$$

Moreover, for a.e. $t \in (\text{ess inf } u, \text{ess sup } u)$, equality holds in (5.13) if and only if

$$(5.14) \quad \{u > t\} = \{u > t\}^L \quad \text{up to a translation and up to sets of Lebesgue measure zero.}$$

Proof. Thanks to the property (2.22), the conclusion will follow from the isoperimetric inequality (2.6), provided that we show that, for a.e. $t \in (\text{ess inf } u, \text{ess sup } u)$,

$$(5.15) \quad \nu^t(x) = -\frac{\nabla u(x)}{|\nabla u(x)|} \quad \text{for } \mathcal{H}^{n-1}\text{-a.e. } x \in \partial^* \{u > t\},$$

where ν^t denotes the geometric measure theoretical outer unit normal to the set $\{u > t\}$. To verify equation (5.15), recall that the subgraph S of u , defined as

$$S = \{(x, t) \in \mathbb{R}^{n+1} : x \in \mathbb{R}^n, u(x) > t\},$$

is a set of finite perimeter in \mathbb{R}^n . Moreover, the outer unit normal ν^S to S satisfies

$$(5.16) \quad \nu^S(x, t) = \left(-\frac{\nabla u(x)}{\sqrt{1 + |\nabla u(x)|^2}}, 1 \right) \quad \text{for } \mathcal{H}^n\text{-a.e. } (x, t) \in \partial^* S,$$

see e.g. [CiFu2, Theorem C]. On setting

$$S_t = \{x \in \mathbb{R}^n : (x, t) \in S\} \quad \text{for } t \in (\text{ess inf } u, \text{ess sup } u),$$

one trivially has that

$$(5.17) \quad S_t = \{u > t\} \quad \text{for } t \in (\text{ess inf } u, \text{ess sup } u).$$

Denote by $\nu_x^S \in \mathbb{R}^n$ the vector of the first n components of ν^S and by ν^{S_t} the outer unit normal vector to S_t . Thanks to [BCF, Theorem 2.4],

$$\partial^* S_t = (\partial^* S)_t \quad \text{up to sets of } \mathcal{H}^{n-1} \text{ measure zero,}$$

for a.e. $t \in (\text{ess inf } u, \text{ess sup } u)$, and

$$(5.18) \quad \nu^t(x) = \frac{\nu_x^S(x, t)}{|\nu_x^S(x, t)|} = -\frac{\nabla u(x)}{|\nabla u(x)|} \quad \text{for } \mathcal{H}^{n-1}\text{-a.e. } x \in \partial^* S_t,$$

for a.e. $t \in (\text{ess inf } u, \text{ess sup } u)$. Coupling (5.16) with (5.18) implies (5.15). \square

We conclude this section by showing that the support function of any sub-level set of the function $\Phi_{\bullet, K}$ attains a constant value on $\nabla u^K(x)$ when x ranges on a given level set of u^K . As above, here Φ denotes any n -dimensional Young function, K a convex body containing 0 in its interior, and u a Sobolev function.

Lemma 5.3. *Let Φ be an n -dimensional Young function. Assume that $u \in V_d^{1,1}(\mathbb{R}^n)$. Then, for a.e. $t \in (\text{ess inf } u, \text{ess sup } u)$,*

$$(5.19) \quad u^K \text{ is differentiable } \mathcal{H}^{n-1}\text{-a.e. in } \{u^K = t\}, \quad \nabla u^K(x) \neq 0 \text{ for } \mathcal{H}^{n-1}\text{-a.e. } x \in \{u^K = t\},$$

and

$$(5.20) \quad h_{\{\Phi_{\bullet, K} \leq s\}}(\nabla u^K(x_1)) = h_{\{\Phi_{\bullet, K} \leq s\}}(\nabla u^K(x_2))$$

for every $s \geq 0$ and for \mathcal{H}^{n-1} -a.e. $x_1, x_2 \in \{u^K = t\}$.

Proof. Let u^* be the decreasing rearrangement of u . Define the sets

$$D_{u^*}^+ = \{s > 0 : (u^*)'(s) \text{ exists, and } (u^*)'(s) \neq 0\}$$

and

$$D_{u^*}^0 = \{s > 0 : (u^*)'(s) \text{ exists, and } (u^*)'(s) = 0\}.$$

Since u^* is differentiable a.e. in $(0, \infty)$, we have that

$$(5.21) \quad |(0, \infty) \setminus (D_{u^*}^+ \cup D_{u^*}^0)| = 0.$$

Plainly,

$$u^*((0, \infty)) = u^*(D_{u^*}^+) \cup u^*(D_{u^*}^0) \cup u^*((0, \infty) \setminus (D_{u^*}^+ \cup D_{u^*}^0)).$$

Since the function u^* is absolutely continuous, the property (5.21) ensures that

$$|u^*((0, \infty) \setminus (D_{u^*}^+ \cup D_{u^*}^0))| = 0.$$

From [CiFu1, Equation (2.22)], we infer that

$$|u^*(D_{u^*}^0)| = 0.$$

Finally, [CiFu1, Equation (3.12)] implies that, if $t \in u^*(D_{u^*}^+)$, then $(u^*)^{-1}(t)$ is a singleton, and hence $(u^*)^{-1}(t) \in D_{u^*}^+$. Altogether, we have that

$$(5.22) \quad (u^*)^{-1}(t) \in D_{u^*}^+ \quad \text{for a.e. } t \in (\text{ess inf } u, \text{ess sup } u).$$

Next, let H_0 denote gauge function of K , and set $\kappa = |K|$. Then, for every $r > 0$,

$$(5.23) \quad \text{there exists } \nabla H_0(x) \neq 0 \text{ for } \mathcal{H}^{n-1}\text{-a.e. } x \in \{\kappa H_0^n(x) = r\}.$$

From equations (5.10), (5.22), and (5.23) we deduce that, for a.e. $t \in (\text{ess inf } u, \text{ess sup } u)$,

$$(5.24) \quad \text{there exists } \nabla u^K(x) = (u^*)'(\kappa H_0^n(x)) n \kappa H_0(x)^{n-1} \nabla H_0(x) \neq 0 \quad \text{for } \mathcal{H}^{n-1}\text{-a.e. } x \in \{u^K = t\}.$$

The assertion (5.19) is thus established.

In view of the properties discussed above, it suffices to prove (5.20) for every $s \geq 0$ and $t \in u^*(D_{u^*}^+)$. Fix any

$$t \in u^*(D_{u^*}^+).$$

Let $s \geq 0$. If $s = 0$ and $\{\Phi_{\bullet K} \leq 0\} = \{0\}$, then (5.20) trivially holds, since both sides vanish. Assume now that $\{\Phi_{\bullet K} \leq s\} \neq \{0\}$. Let $t \in (\text{ess inf } u, \text{ess sup } u)$ be such that equation (5.19) holds. Let $x_1, x_2 \in \{u^K = t\}$ be such that $\nabla u^K(x_1)$ and $\nabla u^K(x_2)$ exist and are different from 0. Owing to equation (5.24), we have that $(u^*)'(\kappa H_0(x_1)^n) = (u^*)'(\kappa H_0(x_2)^n) \neq 0$, and since $H_0(x_1) = H_0(x_2)$,

$$\nabla u^K(x_i) = -a \nabla H_0(x_i) \quad \text{for } i = 1, 2,$$

for some $a > 0$. On the other hand,

$$\{\Phi_{\bullet K} \leq s\} = -bK,$$

for some $b > 0$ and, by the homogeneity of h_K and equation (2.5),

$$h_{\{\Phi_{\bullet K} \leq s\}}(\nabla u^K(x_i)) = h_{-bK}(-a \nabla H_0(x_i)) = ab h_K(\nabla H_0(x_i)) = ab H(\nabla H_0(x_i)) = ab \quad \text{for } i = 1, 2.$$

Hence, equation (5.20) follows. \square

6. PROOFS OF THE MAIN RESULTS

Having the technical material established in the preceding sections at disposal, we are now in a position to accomplish the proof of Theorem 3.1.

Proof of Theorem 3.1. Assume, for the time being, that $u \in V_d^{1,1}(\mathbb{R}^n)$. Hence, by Lemma 5.1, $u^K \in V_d^{1,1}(\mathbb{R}^n)$ as well. We shall prove that

$$(6.1) \quad \int_{\{u=t\}} \frac{\Phi(\nabla u)}{|\nabla u|} d\mathcal{H}^{n-1} \geq \int_{\{u^K=t\}} \frac{\Phi_{\bullet K}(\nabla u^K)}{|\nabla u^K|} d\mathcal{H}^{n-1} \quad \text{for a.e. } t \in (\text{ess inf } u, \text{ess sup } u).$$

Fix $\varepsilon > 0$. Let $t \in (\text{ess inf } u, \text{ess sup } u)$ be such that the properties (5.19) and (5.20) hold. First, suppose that

$$(6.2) \quad \sup_{s \geq 0} (h_{\{\Phi_{\bullet K} \leq s\}}(\nabla u^K(x)) - s) < \infty$$

for \mathcal{H}^{n-1} -a.e. $x \in \{u^K = t\}$. Notice that, by (5.20), the supremum in (6.2) is independent of $x \in \{u^K = t\}$, up to subsets of \mathcal{H}^{n-1} measure zero.

Let $s(t, \varepsilon) \in [0, \infty)$ be such that

$$h_{\{\Phi_{\bullet, K} \leq s(t, \varepsilon)\}}(\nabla u^K(x)) - s(t, \varepsilon) \geq (1 - \varepsilon) \sup_{s \geq 0} (h_{\{\Phi_{\bullet, K} \leq s\}}(\nabla u^K(x)) - s).$$

Thanks to the formula (4.1),

$$\begin{aligned} (6.3) \quad \int_{\{u=t\}} \frac{\Phi(\nabla u)}{|\nabla u|} d\mathcal{H}^{n-1} &= \int_{\{u=t\}} \frac{\sup_{s \geq 0} (h_{\{\Phi_{\bullet} \leq s\}}(\nabla u) - s)}{|\nabla u|} d\mathcal{H}^{n-1} \\ &\geq \int_{\{u=t\}} \frac{h_{\{\Phi_{\bullet} \leq s(t, \varepsilon)\}}(\nabla u) - s(t, \varepsilon)}{|\nabla u|} d\mathcal{H}^{n-1} \\ &= \int_{\{u=t\}} h_{-\{\Phi_{\bullet} \leq s(t, \varepsilon)\}} \left(-\frac{\nabla u}{|\nabla u|} \right) d\mathcal{H}^{n-1} - \int_{\{u=t\}} \frac{s(t, \varepsilon)}{|\nabla u|} d\mathcal{H}^{n-1}. \end{aligned}$$

From Lemma 5.2, the equimeasurability of the rearrangement, and Lemma 5.2 again, combined with the fact that $\{u^K \geq t\}$ and $-\{\Phi_{\bullet, K} \leq s(t)\}$ are homothetic, one can deduce that

$$\begin{aligned} (6.4) \quad \int_{\{u=t\}} h_{-\{\Phi_{\bullet} \leq s(t, \varepsilon)\}} \left(-\frac{\nabla u}{|\nabla u|} \right) d\mathcal{H}^{n-1} &\geq n |\{u \geq t\}|^{\frac{n-1}{n}} |\{\Phi_{\bullet} \leq s(t, \varepsilon)\}|^{\frac{1}{n}} \\ &= n |\{u^K \geq t\}|^{\frac{n-1}{n}} |\{\Phi_{\bullet, K} \leq s(t, \varepsilon)\}|^{\frac{1}{n}} \\ &= \int_{\{u^K=t\}} h_{-\{\Phi_{\bullet, K} \leq s(t, \varepsilon)\}} \left(-\frac{\nabla u^K}{|\nabla u^K|} \right) d\mathcal{H}^{n-1}. \end{aligned}$$

On the other hand, Lemma 5.1 ensures that

$$(6.5) \quad - \int_{\{u=t\}} \frac{s(t, \varepsilon)}{|\nabla u|} d\mathcal{H}^{n-1} \geq - \int_{\{u^K=t\}} \frac{s(t, \varepsilon)}{|\nabla u^K|} d\mathcal{H}^{n-1}.$$

Finally, by (4.1) and the definition of $s(t, \varepsilon)$,

$$\begin{aligned} (6.6) \quad \int_{\{u^K=t\}} h_{-\{\Phi_{\bullet, K} \leq s(t, \varepsilon)\}} \left(-\frac{\nabla u^K}{|\nabla u^K|} \right) d\mathcal{H}^{n-1} &- \int_{\{u^K=t\}} \frac{s(t, \varepsilon)}{|\nabla u^K|} d\mathcal{H}^{n-1} \\ &= \int_{\{u^K=t\}} \frac{h_{\{\Phi_{\bullet, K} \leq s(t, \varepsilon)\}}(\nabla u^K) - s(t, \varepsilon)}{|\nabla u^K|} d\mathcal{H}^{n-1} \\ &\geq (1 - \varepsilon) \int_{\{u^K=t\}} \frac{\sup_{s \geq 0} (h_{\{\Phi_{\bullet, K} \leq s\}}(\nabla u^K) - s)}{|\nabla u^K|} d\mathcal{H}^{n-1} \\ &= (1 - \varepsilon) \int_{\{u^K=t\}} \frac{\Phi_{\bullet, K}(\nabla u^K)}{|\nabla u^K|} d\mathcal{H}^{n-1}. \end{aligned}$$

Combining equations (6.3)–(6.6) yields

$$(6.7) \quad \int_{\{u=t\}} \frac{\Phi(\nabla u)}{|\nabla u|} d\mathcal{H}^{n-1} \geq (1 - \varepsilon) \int_{\{u^K=t\}} \frac{\Phi_{\bullet, K}(\nabla u^K)}{|\nabla u^K|} d\mathcal{H}^{n-1}.$$

The inequality (6.1) hence follows, via the arbitrariness of ε .

In the case when

$$\sup_{s \geq 0} (h_{\{\Phi_{\bullet, K} \leq s\}}(\nabla u^K(x)) - s) = \infty,$$

an obvious variant of the argument above tells us that both sides of the inequality (6.1) equal ∞ , whence the relevant inequality holds trivially also in this case.

An integration of (6.1) with respect to t over $(\text{ess inf } u, \text{ess sup } u)$ gives the inequality (3.1), via the coarea formula.

To remove the temporary assumption $u \in V_d^{1,1}(\mathbb{R}^n)$, define for $t > \text{ess inf } u$ the function $T_t(u)$ as $T_t(u) = \max\{u, t\}$ and recall from (2.21) that $T_t(u) \in V_d^{1,1}(\mathbb{R}^n)$. An application of (3.1) with u replaced

with $T_t(u)$ yields

$$(6.8) \quad \int_{\{u^K > t\}} \Phi_{\bullet, K \bullet}(\nabla u^K) dx = \int_{\mathbb{R}^n} \Phi_{\bullet, K \bullet}(\nabla T_t(u^K)) dx = \int_{\mathbb{R}^n} \Phi_{\bullet, K \bullet}(\nabla (T_t(u))^K) dx \\ \leq \int_{\mathbb{R}^n} \Phi(\nabla T_t(u)) dx = \int_{\{u > t\}} \Phi(\nabla u) dx$$

for $t > \text{ess inf } u$, where the second equality holds since $T_t(u^K) = (T_t(u))^K$ a.e. in \mathbb{R}^n . The inequality (3.1) follows from (6.8), by passing to the limit as $t \downarrow \text{ess inf } u$, owing to the monotone convergence theorem. \square

The proof of Theorem 3.2 basically consists of decoding the information contained in the fact that, if equality holds in (3.1), then each intermediate inequality in the chain which yields (3.1) must also hold as an equality. Conversely, the proof of Theorem 3.5 requires checking that its assumptions ensure that all the inequalities in the relevant chain hold as equalities, whence the equality in (3.1) follows.

Proof of Theorem 3.2. Part (i). By a truncation argument as in the proof of Theorem 3.1, we may assume, without loss of generality, that $u \in V_d^{1,1}(\mathbb{R}^n)$. Indeed, if

$$(6.9) \quad \int_{\mathbb{R}^n} \Phi_{\bullet, K \bullet}(\nabla u^K) dx = \int_{\mathbb{R}^n} \Phi(\nabla u) dx,$$

then

$$(6.10) \quad \int_{\mathbb{R}^n} \Phi_{\bullet, K \bullet}(\nabla (T_t(u))^K) dx = \int_{\mathbb{R}^n} \Phi(\nabla T_t(u)) dx$$

as well. To verify this claim, recall from (6.8) that

$$(6.11) \quad \int_{\mathbb{R}^n} \Phi_{\bullet, K \bullet}(\nabla (T_t(u))^K) dx \leq \int_{\mathbb{R}^n} \Phi(\nabla T_t(u)) dx,$$

and observe that, on setting $v_t = u - T_t(u)$, one analogously has:

$$(6.12) \quad \int_{\mathbb{R}^n} \Phi_{\bullet, K \bullet}(\nabla (v_t)^K) dx \leq \int_{\mathbb{R}^n} \Phi(\nabla v_t) dx.$$

On the other hand

$$(6.13) \quad \int_{\mathbb{R}^n} \Phi(\nabla u) dx = \int_{\mathbb{R}^n} \Phi(\nabla T_t(u)) dx + \int_{\mathbb{R}^n} \Phi(\nabla v_t) dx$$

and

$$(6.14) \quad \int_{\mathbb{R}^n} \Phi_{\bullet, K \bullet}(\nabla u^K) dx = \int_{\mathbb{R}^n} \Phi_{\bullet, K \bullet}(\nabla (T_t(u))^K) dx + \int_{\mathbb{R}^n} \Phi_{\bullet, K \bullet}(\nabla (v_t)^K) dx$$

Equation (6.10) follows via (6.9) and (6.11) – (6.14).

Now, let $x \in \{u^K = t\}$. Owing to (5.19) and Lemma 4.5, for a.e. $t \in (\text{ess inf } u, \text{ess sup } u)$ the supremum in (6.2) is attained at some $s(t) \in [0, \infty)$, and

$$(6.15) \quad \text{int}(\{\Phi_{\bullet, K} \leq s(t)\}) \neq \emptyset.$$

Consequently, for a.e. $t \in (\text{ess inf } u, \text{ess sup } u)$, the formulas (6.3)–(6.6) in the proof of Theorem 3.1 are fulfilled with $\varepsilon = 0$. Namely, for a.e. $t \in (\text{ess inf } u, \text{ess sup } u)$ the following chain holds:

$$(6.16) \quad \int_{\{u=t\}} \frac{\Phi(\nabla u)}{|\nabla u|} d\mathcal{H}^{n-1} = \int_{\{u=t\}} \frac{\sup_{s \geq 0} (h_{\{\Phi_{\bullet, K} \leq s\}}(\nabla u) - s)}{|\nabla u|} d\mathcal{H}^{n-1} \\ \geq \int_{\{u=t\}} \frac{h_{\{\Phi_{\bullet, K} \leq s(t)\}}(\nabla u) - s(t)}{|\nabla u|} d\mathcal{H}^{n-1} \\ = \int_{\{u=t\}} h_{-\{\Phi_{\bullet, K} \leq s(t)\}} \left(-\frac{\nabla u}{|\nabla u|} \right) d\mathcal{H}^{n-1} - \int_{\{u=t\}} \frac{s(t)}{|\nabla u|} d\mathcal{H}^{n-1} \\ \geq n |\{u \geq t\}|^{\frac{n-1}{n}} |\{\Phi_{\bullet, K} \leq s(t)\}|^{\frac{1}{n}} - \int_{\{u^K=t\}} \frac{s(t)}{|\nabla u^K|} d\mathcal{H}^{n-1} \\ = n |\{u^K \geq t\}|^{\frac{n-1}{n}} |\{\Phi_{\bullet, K} \leq s(t)\}|^{\frac{1}{n}} - \int_{\{u^K=t\}} \frac{s(t)}{|\nabla u^K|} d\mathcal{H}^{n-1}$$

$$\begin{aligned}
&= \int_{\{u^K=t\}} h_{-\{\Phi_{\bullet,K} \leq s(t)\}} \left(-\frac{\nabla u^K}{|\nabla u^K|} \right) d\mathcal{H}^{n-1} - \int_{\{u^K=t\}} \frac{s(t)}{|\nabla u^K|} d\mathcal{H}^{n-1} \\
&= \int_{\{u^K=t\}} \frac{h_{\{\Phi_{\bullet,K} \leq s(t)\}}(\nabla u^K) - s(t)}{|\nabla u^K|} d\mathcal{H}^{n-1} \\
&= \int_{\{u^K=t\}} \frac{\max_{s \geq 0} (h_{\{\Phi_{\bullet,K} \leq s\}}(\nabla u^K) - s)}{|\nabla u^K|} d\mathcal{H}^{n-1} \\
&= \int_{\{u^K=t\}} \frac{\Phi_{\bullet,K \bullet}(\nabla u^K)}{|\nabla u^K|} d\mathcal{H}^{n-1}.
\end{aligned}$$

Under the assumption that equality holds in (3.1), the inequalities in (6.16) must hold as equalities. In particular, since equality holds in the second one, by Lemma 5.2, for a.e. $t \in (\text{ess inf } u, \text{ess sup } u)$ equation (3.4) holds with $s_t = s(t)$, for some $a_t > 0$ and $x_t \in \mathbb{R}^n$. Moreover, equation (3.3) is fulfilled with the same choice of s_t , thanks to (6.15).

Equation (3.7) follows via Lemma 5.1. Finally, the conditions (3.5) and (3.6) follow from Lemma 4.4, Part (ii), with $\xi = \nabla u(x)$ and $\xi = \nabla u^K(x)$, respectively, since $s(t)$ maximizes both

$$h_{\{\Phi_{\bullet} \leq s\}}(\nabla u(x)) - s \quad \text{and} \quad h_{\{\Phi_{\bullet,K} \leq s\}}(\nabla u^K(x)) - s.$$

It remains to prove that the function u is quasi-convex, namely, that the set $\{u \geq t_0\}$ is convex for every $t_0 \in (\text{ess inf } u, \text{ess sup } u)$. To this purpose, choose a sequence $\{t_k\}$ such that equation (3.4) holds with $t = t_k$ and $t_k \uparrow t_0$. One has that

$$(6.17) \quad \{u \geq t_0\} = \bigcap_{k \in \mathbb{N}} \{u \geq t_k\}.$$

Since the set $\{u \geq t_k\}$ is convex for every $k \in \mathbb{N}$, the set $\{u \geq t_0\}$ is convex as well.

Part (ii). Since Φ is finite-valued, the assumption (3.8) implies that Φ_{\bullet} is differentiable in $\text{int}(\text{dom } \Phi_{\bullet})$, and that $\partial \Phi_{\bullet}(\eta) = \{\nabla \Phi_{\bullet}(\eta)\}$ for $\eta \in \text{int}(\text{dom } \Phi_{\bullet})$, while $\partial \Phi_{\bullet}(\eta) = \emptyset$ for $\eta \notin \text{int}(\text{dom } \Phi_{\bullet})$ [Ro, Theorems 26.1, 26.3]. Thus (3.5) implies that $\xi \in \text{int}(\text{dom } \Phi_{\bullet})$ and yields (3.9).

If (3.10) also holds, then Φ_{\bullet} is strictly convex on $\text{int}(\text{dom } \Phi_{\bullet})$ [Ro, Theorem 26.3]. This implies that there exists exactly one point ξ such that $\nabla \Phi_{\bullet}(\xi)$ agrees with the prescribed vector $\nabla u(x)$. \square

Proof of Theorem 3.5. As in the previous proofs, we may assume, without loss of generality, that $u \in V_d^{1,1}(\mathbb{R}^n)$. Let $t \in (\text{ess inf } u, \text{ess sup } u)$ be such that the properties (3.4) – (3.7) hold. The properties (3.5) and (3.6) imply, via Lemma 4.4, Part (ii), that s_t maximizes both

$$h_{\{\Phi_{\bullet} \leq s\}}(\nabla u(x)) - s \quad \text{and} \quad h_{\{\Phi_{\bullet,K} \leq s\}}(\nabla u^K(y)) - s,$$

for a.e. $x \in \{u = t\}$ and $y \in \{u^K = t\}$. Coupling this piece of information with (3.4) and (3.7) implies that all the inequalities in (6.16), with $s(t) = s_t$, hold as equalities. Altogether,

$$\int_{\{u=t\}} \frac{\Phi(\nabla u)}{|\nabla u|} d\mathcal{H}^{n-1} = \int_{\{u^K=t\}} \frac{\Phi_{\bullet,K \bullet}(\nabla u^K)}{|\nabla u^K|} d\mathcal{H}^{n-1} \quad \text{for a.e. } t \in (\text{ess inf } u, \text{ess sup } u).$$

Thanks to the coarea formula, integrating the latter identity over $(\text{ess inf } u, \text{ess sup } u)$ yields

$$\int_{\mathbb{R}^n} \Phi(\nabla u) dx = \int_{\mathbb{R}^n} \Phi_{\bullet,K \bullet}(\nabla u^K) dx.$$

\square

We conclude with the proofs of Propositions 3.6 and 3.7. As mentioned above, they make use of Theorem 3.5.

Proof of Proposition 3.6. Since the two sides of the inequality (3.1) are translation invariant, we may assume, without loss of generality, that $x_0 = 0$. Theorem 3.1 tells us that

$$(6.18) \quad \int_{\mathbb{R}^n} \Phi_{\bullet,K \bullet}(\nabla u^K) dx \leq \int_{\mathbb{R}^n} \Phi(\nabla u) dx.$$

The same theorem, applied with K , u and Φ replaced by L , u^K and $\Phi_{\bullet,K \bullet}$, respectively, implies that

$$(6.19) \quad \int_{\mathbb{R}^n} (\Phi_{\bullet,K \bullet})_{\bullet,L \bullet}(\nabla (u^K)^L) dx \leq \int_{\mathbb{R}^n} \Phi_{\bullet,K \bullet}(\nabla u^K) dx.$$

We claim that

$$(6.20) \quad \int_{\mathbb{R}^n} (\Phi_{\bullet\kappa\bullet})_{\bullet L\bullet} (\nabla (u^\kappa)^L) dx = \int_{\mathbb{R}^n} \Phi(\nabla u) dx.$$

To verify our claim, notice that, by the very definition of symmetrization with respect to a convex body and by (3.16),

$$(u^\kappa)^L = u^L = u.$$

It remains to show that

$$(6.21) \quad (\Phi_{\bullet\kappa\bullet})_{\bullet L\bullet} = \Phi_{\bullet}.$$

Thanks to the involution property (2.12) of Young conjugation, equation (6.21) is equivalent to

$$(6.22) \quad ((\Phi_{\bullet})_{\kappa})_L = \Phi_{\bullet}.$$

Owing to Lemma 4.2, the assumption (3.15) on Φ implies that $\Phi(-\xi) = A(H^L(\xi))$, for some Young function A , where H^L is support function of L . Hence, from [Ro, Th. 15.3] we deduce that

$$\Phi_{\bullet}(-\xi) = A_{\bullet}(H_0^L(\xi)),$$

where H_0^L is the gauge function of L . This formula ensures that the sub-level sets of Φ_{\bullet} are homothetic to $-L$, a property which implies (6.22). The identity (6.21), and hence equation (6.20), are thus established. Combining (6.18), (6.19), and (6.20) yields:

$$\int_{\mathbb{R}^n} \Phi_{\bullet\kappa\bullet}(\nabla u^\kappa) dx = \int_{\mathbb{R}^n} \Phi(\nabla u) dx.$$

The proof is complete. \square

Proof of Proposition 3.7. The assumption (3.17) implies that $\Phi_{\bullet}(\xi)$ is a finite-valued convex function in \mathbb{R}^n . Hence, any function u having the form (3.18) is Lipschitz continuous and the support of ∇u is bounded. As a consequence, $u \in V_d^{1,\Phi}(\mathbb{R}^n)$.

To prove that equality holds in (3.1), it suffices to show that the assumptions of Theorem 3.5 are satisfied. Assume $t \in (\text{ess inf } u, \text{ess sup } u) = (t_1, t_2)$, and define

$$s_t = (t_3 - t)/a.$$

The condition (3.3) holds because $s_t > 0$. Since

$$\{x : u(x) \geq t\} = \left\{x : \Phi_{\bullet} \left(\frac{x_0 - x}{a} \right) \leq s_t \right\} = \{x_0 - ay : \Phi_{\bullet}(y) \leq s_t\} = -a\{y : \Phi_{\bullet}(y) \leq s_t\} + x_0,$$

equation (3.4) holds with $a_t = a$ and $x_t = x_0$.

As far as (3.5) is concerned, observe that, for a.e. $t \in (t_1, t_2)$, $\nabla u(x)$ exists for \mathcal{H}^{n-1} -a.e. $x \in \{u = t\}$. Given any t enjoying this property, and any $x \in \{u = t\}$ such that $\nabla u(x)$ exists, one has that

$$\Phi_{\bullet} \left(\frac{x_0 - x}{a} \right) = s_t \quad \text{and} \quad \nabla u(x) = \nabla \Phi_{\bullet} \left(\frac{x_0 - x}{a} \right).$$

Thus, on setting $\xi = (x_0 - x)/a$, we have that $\xi \in \{\Phi_{\bullet} = s_t\}$ and $\nabla u(x) = \nabla \Phi_{\bullet}(\xi)$. This proves (3.5).

A similar argument shows that (3.6) holds as well. Indeed,

$$u^\kappa(x) = T_{t_1, t_2} \left(t_3 - a\Phi_{\bullet\kappa} \left(-\frac{x}{a} \right) \right) \quad \text{for } x \in \mathbb{R}^n.$$

Thus, for a.e. $t \in (t_1, t_2)$, $\nabla u^\kappa(x)$ exists for \mathcal{H}^{n-1} -a.e. $x \in \{u^\kappa = t\}$, and, if we define $\xi = -x/a$, then $\xi \in \{\Phi_{\bullet\kappa} = s_t\}$ and $\nabla u^\kappa(x) = \nabla \Phi_{\bullet\kappa}(\xi)$.

Finally, observe that $\nabla u(x) \neq 0$ if $u(x) \in (t_1, t_2)$, and $\nabla u^\kappa(x) \neq 0$ if $u^\kappa(x) \in (t_1, t_2)$. Hence,

$$|\{\nabla u = 0, u > t\}| = |\{\nabla u^\kappa = 0, u^\kappa > t\}| = |\{u = t_2\}| \quad \text{for } t \in (t_1, t_2).$$

Thereby,

$$\frac{d}{dt} |\{\nabla u = 0, u > t\}| = \frac{d}{dt} |\{\nabla u^\kappa = 0, u^\kappa > t\}| = 0 \quad \text{for } t \in (t_1, t_2).$$

Equation (3.7) hence follows via (5.2) and (5.6). \square

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