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Differential roles of seed and sprout regeneration in forest diversity and productivity after disturbance

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- Dificiential roles of seculation sprout regeneration in forest diversity and productivity after
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- 11 Abstract

Natural regeneration after disturbances is a key phase of forest development, which determines the trajectory 12 of successional changes in tree species composition and diversity. Regenerating trees can originate from either 13 seeds or sprouts produced by disturbed trees with sprouting ability. Although both regeneration strategies 14 15 often develop and co-occur after a disturbance, they tend to affect forest development differently due to significant functional differences. However, the origin of tree regeneration is rarely distinguished in post-16 disturbance forest surveys and ecological studies, and the differential role of seed and sprout regeneration in 17 forest productivity and diversity remain poorly understood. To address these research gaps, we explored the 18 role of sprout and seed regeneration in the formation of woody species diversity and above-ground biomass 19 (AGB) productivity in early-stage forest development. Data were collected in two experimental forest stands 20 in the Czech Republic, where trees were cut with varying intensities with the density of residual (uncut) trees 21 ranging from 0 to 275 trees per hectare. All trees were mapped and their sizes were measured before cutting 22 and then, either as a stump with sprouts or a residual tree, remeasured 11 years later. In addition, all tree 23 saplings were mapped and measured 11 years after logging, and their origin (sprout or seed) was identified. 24 To assess abundances and productivity, we estimated AGB of all 2,685 sprouting stumps of 19 woody species 25 and 504 generative (i.e., seed origin) individuals of 16 woody species, using allometric equations. Mixed-26

effects models were used to analyze the effects of each regeneration surgery on woody species diversity and 27 the total AGB under varying densities of residual trees. Nonmetric multidimensional scaling was used to 28 evaluate the effect of regeneration strategies on species composition. AGB and diversity of sprouts were 29 significantly higher than those of seed regeneration. Sprouts formed on average 97.1% of the total regeneration 30 AGB in Hády and 98.6% in Soběšice. The average species richness of sprouts was 4.7 in Hády and 2.2 in 31 Soběšice, while the species richness of seed regeneration averaged 2.1 and 1.1 in Hády and Soběšice, 32 respectively. Increasing density of residual trees reduced AGB and diversity of both sprouts and seed 33 regeneration, but seed regeneration was affected to a greater extent. Residual trees had an especially strong 34 inhibitory effect on the establishment of seed regeneration. Consequently, seed-originated saplings were 35 nearly absent in plots with high residual tree density, and abundant sprouts accounted for most of the AGB 36 and diversity. However, unlike sprouts whose species composition resembled that of the original stand, seed 37 regeneration brought in new species, enriching the stand's overall species pool and beta diversity. Our results 38 demonstrated differential roles of sprout and seed regeneration in the early stage of forest succession. Sprout 39 regeneration was the main source of woody AGB productivity as well as species diversity, and its importance 40 increased with the increasing density of standing mature trees. The results indicate the crucial yet previously 41 underestimated role of sprout regeneration in post-disturbance forest dynamics. They suggest that the presence 42 of residual mature trees, whether retained after partial cutting or undisturbed, can substantially suppress seed 43 regeneration while the role of sprout regeneration in early succession becomes more distinctly evident. 44

45 Keywords

46 Resprouting, seeding, residual trees, tree diversity, above-ground biomass

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48 **1. INTRODUCTION**

Post-disturbance natural regeneration is a critical initial stage of forest development (Frelich 2002). It plays a pivotal role in shaping the trajectory of forest recovery and determining the composition and structure of future stands (Chazdon and Guariguata, 2016). After disturbance, trees that are severely damaged either die and regenerate from seed (non-sprouters) or recover through resprouting (sprouters) (Bond and Midgley 2003). Sprouters can also produce seeds, but non-sprouters rely exclusively on seed production for

Journal Pre-proof regeneration (Dong and Wingstey, 2001, 1 remon and Stenke, 2006). The admity to respirout is common among 54 angiosperm tree species (Bond and Midgley 2003; Matula et al., 2012; Clarke et al., 2013), and has been 55 traditionally exploited in coppicing (Buckley, 2020). 56

Although sprouting and seeding are both important post-disturbance regeneration mechanisms, few studies 57 have explicitly examined their relative roles in the regrowth of disturbed forests. While some research has 58 looked at sprouting ability in certain tree species following disturbances, like fire or logging (Vesk and 59 Westoby 2004; Clarke et al., 2005; Matula et al., 2012), there has been little direct comparison of the 60 contribution of sprouts versus seeds to forest regeneration and development across different disturbance events 61 and forest types. The few studies that have attempted to study the relative contribution of generative and 62 vegetative regeneration to post-disturbance regrowth have often found strikingly different roles for these two 63 regeneration strategies in e.g., open woodlands in Africa (Ky-Dembele et al., 2007) and South America 64 65 (Svátek et al., 2018), Neotropical dry forests (Kennard et al., 2002), and Chinese temperate forests (Xue et al., 2014; Li et al., 2022). However, such comparisons are largely lacking for European temperate forests, where 66 natural regeneration after logging remains a common forest practice. 67

Both regeneration strategies, sprouting and seeding, can be important for the persistence and continuity of 68 tree populations (Li et al., 2022). Because sprouters have the ability to regenerate from the existing root system 69 or stem of the damaged tree, they quickly reoccupy the growing space previously occupied by disturbed trees 70 and are less vulnerable to recruitment failure after severe disturbance compared to non-sprouters (Bond and 71 Midgley, 2001). However, some disturbed trees may fail to resprout after a disturbance event (Matula et al., 72 2012). Also, sprouts are limited in their dispersal as they are spatially bound to the location of the original tree 73 74 (root collar and stem sprouts) and can spread only within the reach of the root system (Matula et al., 2019). 75 Therefore, the gaps between resprouting stumps can be colonized only by seed-origin regeneration (Howe and Smallwood, 1982; Brokaw and Busing, 2000). Moreover, non-sprouters are better suited to colonize gaps 76 77 because their superior seed production, compared to sprouters, allow them to more efficiently disperse seeds into gaps (Bond and Midgley 2003). Additionally, non-sprouters often form persistent seed banks, which can 78 store viable seeds over extended periods (Shiferaw et al., 2018, Tiebel et al., 2018). 79

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Journal Pre-proof recovering forests. Sprout regeneration produces new shoots of the same genetic individual, maintaining the 81 species composition of the preceding stand (Bond and Midgley, 2001; Del Tredici, 2001). In contrast, seed 82 regeneration enables both the persistence of species from the original stand as well as the introduction of new 83 species from external seed sources (Kammesheidt, 1998; Myers and Harms, 2009). As a result, seed 84 regeneration has the potential to both maintain and increase tree community diversity. 85

Both sprout and seed regeneration often co-exist after disturbances, each substantially contributes to newly 86 emerging tree regrowth (Matula et al., 2019). However, individuals originating from these two regeneration 87 strategies are likely to play differential roles in forest development, due to their distinct physiology 88 (Matoušková et al., 2022), resource storage capacities (Bellingham and Sparrow, 2000; Bond and Midgley, 89 2001), and growth dynamics (Matula et al., 2019). Trees of seed origin are typically single-stemmed and have 90 more stored carbon in their stems, allowing them to allocate more resources towards height growth (Midgley, 91 1996). Sprouters allocate considerable carbon belowground (Langley et al., 2002), and have much higher 92 starch levels than non-sprouters (Bond and Midgley, 2001), which allows them to persist through disturbances 93 and quickly resprout when above-ground tissues are destroyed (Bond and Midgley, 2003). In addition, after a 94 disturbance event, sprouters benefit from established large root systems, which provides better access to soil 95 resources (Clark and Hallgren, 2003; Atwood et al., 2011; Xue et al., 2014; Pietras et al., 2016; Stojanović et 96 al., 2017). Therefore, sprouters tend to dominate in less productive sites (Midgley, 1996; Ojeda, 1998; 97 Bellingham and Sparrow, 2000), while in productive environments, they are often overtopped by single-98 stemmed seed regeneration (Midgley, 1996). 99

While sprouting and seeding represent two distinct regeneration strategies, many tree species exhibit a 100 mixed response, producing both sprouts and seeds following disturbance. The specific regeneration response 101 often depends on the severity, frequency and type of disturbance (Bellingham and Sparrow, 2000; Kennard et 102 103 al., 2002). For example, severe disturbances that destroy both the above- and below-ground tissues from which a tree could sprout (e.g., high-intensity fire), may decrease the prevalence of sprouts (Kennard et al., 2002), 104 thus often leaving regeneration from seed as the only option. In contrast, intense disturbances that preserve 105

stumps, ouus, and roots (e.g., coppients and selective logging) may ravor sprouting over seed regeneration 106 107 (Kvasnica et al., 2023) because many tree species have evolved vigorous sprouting abilities as an adaptation 108 to severe disturbances.

Silvicultural systems such as selective logging and coppicing, rely on the natural regeneration of a 109 disturbed stand. Both selective logging and coppice-with-standards systems can vary substantially in their 110 intensity, leaving certain parts of the stand uncut. After such anthropogenic disturbances, the emerging sprouts 111 and seedlings do not interact only with each other but also with the mature residual trees (standards). The 112 initial growth of sprouts is much faster than that of seedlings (Bond and Midgley, 2001; Dietze and Clark, 113 2008; Pietras et al., 2016). Therefore, sprouts are likely to be strong competitors for seed regeneration. 114 However, mature residual trees have been shown to limit the sprout development (Mejstřík et al., 2022), 115 primarily due to increased neighborhood competition for light (Matula et al., 2019). This interaction 116 potentially results in decreased competition between sprouts and seedlings, influencing the overall dynamics 117 of forest regeneration. In addition, the presence of residual trees provides a critical source of seed for natural 118 regeneration, contributing significantly to the composition and structure of the recovering stand (Norden et 119 al., 2009). In a long-term perspective, the influence of residual trees can shape the forest community, as they 120 can selectively favor species that are better adapted to local conditions and are more likely to withstand future 121 disturbances (Bergeron et al., 1999). Furthermore, their existence can also favor species richness and diversity 122 by creating a more heterogeneous environment, providing a range of microhabitats and resources necessary 123 for different species (Imai et al., 2012). 124

Tree seed ecology is a widely studied topic due to its significance in natural forest regeneration (Guariguata 125 and Pinard, 1998; Kitajima and Fenner, 2009; Yan et al., 2012; Kroschel et al., 2016). However, as a result of 126 climate change leading to more frequent and more severe droughts (IPCC, 2007), many tree species are 127 experiencing elevated mortality of seedlings (Engelbrecht et al., 2005, 2006; Browne et al., 2021). On the 128 129 other hand, the role of sprouting in early forest development, and its impact on biomass production, tree species composition, and diversity of regrowing stands is not well understood. Moreover, the majority of prior 130 research on natural regeneration has predominantly focused on the initial few years after disturbance (Kennard 131

Journal Pre-proof 132 et al., 2002, rang et al., 2011, Knapp et al., 2017, Zhang et al., 2010, Dhill et al., 2017, Li et al., 2022, a phase

that can be significantly impacted by high turnover rates, whereas studies considering established regeneration
more than a decade after disturbance are rare (Swaim et al., 2016).

Quantifying woody biomass productivity of tree regrowth is critical for assessing both economic (e.g., the 135 value of forest timber), and ecological characteristics (such as carbon storage) of newly emerging forests 136 (Chave et al., 2005; Matula et al., 2015). Furthermore, studying the diversity of tree regeneration is essential 137 for predicting forest development, since greater tree species diversity can positively impact forest growth 138 (Chamagne et al., 2017) and ecosystem services, such as enhancing soil carbon storage and understory plant 139 species richness (Gamfeldt et al., 2013). Given the differences in growth rates and diversity between sprouts 140 and seedlings, exploring the relative post-disturbance roles of these two regeneration strategies could provide 141 insight into mechanisms behind forest growth after disturbance. 142

In this study, we investigated woody biomass productivity and tree species diversity of both sprouts and 143 seed regeneration in the two experimental temperate forests in the Czech Republic 11 years after logging 144 disturbance. Specifically, we aimed to explore the early-stage above-ground biomass (AGB), tree species 145 diversity, and composition of both regeneration strategies under a wide range of densities of residual trees. 146 We asked the following questions: (1) How much do the seed regeneration and sprouts contribute to total 147 AGB productivity and tree species diversity in the early development of temperate forests? (2) How are 148 relative contributions of these two regeneration strategies to AGB productivity and regeneration diversity 149 affected by increasing density of residual trees? (3) Do sprouts limit development of seed regeneration and 150 vice versa? (4) Does the species composition of the regeneration from seed and sprout differ and how does 151 the species composition of regrowth from sprout and seed origins compare to that of the original stand? We 152 hypothesized that sprouts will contribute more to the early-stage stand biomass than seed-originated 153 regeneration because of faster sprout growth in comparison with seedlings (Bond and Midgley, 2001). On the 154 other hand, we expected seed regeneration to be the main source of woody plant diversity due to a potentially 155 greater species pool, consisting of soil seed bank, residual trees as well as seed-source trees in the vicinity of 156 the stand, while species pool for sprouting is limited to species present at the stand before disturbances. We 157

also predicted that the relative importance of seed regeneration in early-stage AOD productivity and tree 158

species diversity would increase with higher densities of residual trees due to greater seed rain. 159

Materials and methods 160 2.

2.1. Study site 161

The study was carried out in two experimental plots, Hády and Soběšice, located in the Training Forest 162 Enterprise Křtiny of Mendel University in Brno, in the south-eastern Czech Republic (49°13'30" N, 16°40'55" 163 E and 49°14′43″ N, 16°35′59″ E, respectively). Each plot was 4 ha (200 m \times 200 m) and fenced due to high 164 game pressure. The elevation is 401 m a.s.l. in Hády and 355 m a.s.l. in Soběšice. The average annual air 165 temperature is 8.4 °C in both plots. The average temperature in January (the coldest month) is -2.1 °C and in 166 July (the warmest month) is 18.4 °C, based on data from the nearest Brno weather station from 1960–2010 167 for both plots. The bedrock is formed by limestone in Hády and granodiorite in Soběšice. The soils are brown 168 forest soils in Hády and cambisols in Soběšice, which are both highly productive for forestry. However, brown 169 forest soils, characterized by their well-decomposed organic matter and rich nutrient content, often exhibit 170 higher productivity compared to cambisols, which are younger, less developed soils with limited horizon 171 differentiation (Weil and Brady, 2017). 172

Until the early 20th century, both plots were regularly managed coppices (Kadavý et al., 2011). However, 173 coppicing was gradually abandoned and both forest stands were transformed into high forests. The dominant 174 tree species in the Hády plot were sessile oak (Ouercus petraea (Matt.) Liebl.), small-leaved lime (Tilia 175 cordata Mill.), European hornbeam (Carpinus betulus L.), and field maple (Acer campestre L.), while the 176 Soběšice plot was dominated by sessile oak (95% of all individuals). For detailed characteristics of both stands 177 178 see Table S1.

2.2. Data collection 179

In the winter of 2008–2009 in Hády and 2009–2010 in Soběšice, most trees were cut down and removed 180 (2,236 trees, i.e., 83% of the original stand density in Hády, and 2,453 (86%) in Soběšice). Before logging, all 181 trees with a diameter at breast height (DBH) \geq 7 cm were measured and identified to the species level, and 182

their spanar positions were recorded using the ground-based raser mere-iviap technology (in Er, Eid., JnOvé 183 u Prahy, Czech Republic). Each plot was divided into 16 subsquares (50×50 m each). To study the effect of 184 185 residual standing trees on tree regeneration, four subsquares in each plot were subjected to clearcutting while in the remaining 12 subsquares, mature trees with varying densities were left uncut and served as residual 186 trees. The densities of residual trees used were 20 (1.1 m² in basal area, BA), 35 (1.8 m² BA), and 50 (2.5 m²) 187 BA) trees per plot. Each density was replicated in four subsquares, which were distributed evenly throughout 188 plots to avoid adjacent subsquares with the same density. The residual trees averaged 25.6 cm in DBH and 189 18.0 m in height. In total 450 trees in Hády and 412 in Soběšice remained uncut as residual trees. The residual 190 trees in Hády were mostly sessile oak (394 trees), wild service tree (Sorbus torminalis (L.) Crantz; 94 trees), 191 and field maple (10 trees). The residual trees in Soběšice were all sessile oak. 192

In 2020–2021, 11 complete growing seasons after harvesting, all stumps of the cut trees were checked 193 for live sprouts. For stumps with sprouts, the DBH of three thickest sprouts on each resprouting stump was 194 measured. This method proved to be an accurate indicator for estimating the total sprout AGB of individual 195 sprouters as well as the populations of sprouters (Matula et al., 2015; Šrámek et al., 2020). Moreover, all 196 individuals of seed regeneration with $DBH \ge 5$ cm and all new sprouting stumps (with pre-logging DBH < 7197 cm) with at least one sprout with $DBH \ge 5$ cm were also measured, identified to the species level, and their 198 positions were mapped using the Field-Map technology. For new trees, we set the DBH threshold at 5 cm 199 because it was the minimum size of individuals reaching the newly established, dense canopy typical of this 200 stage of forest development. Individuals with DBH less than 5 cm were much less abundant and suppressed 201 under the dense crowns and were not expected to significantly contribute to the newly formed canopy. 202

- 203 **2.3. Data analysis**
- 204 **2.3.1. AGB estimations**

To assess productivity and abundance of regrowth of sprout and seed origin, we estimated AGB for each sapling and resprouting tree. Because resprouting trees (stumps) were multi-stemmed while saplings of seed origin were mostly single-stemmed, we used different methods for the AGB estimation. AGB of sprouts from each resprouting tree was estimated using allometric equations previously developed for this purpose at the

Same suuy sues (iviatura et al., 2013). As a preuletor variable in anomenie equations, we used mean DDH of 209 the three thickest sprouts per stump, which has previously proved to be an accurate predictor of total AGB of 210 sprouts per stump (Matula et al., 2015; Šrámek et al., 2020, 2023). To estimate AGB of individuals of seed 211 origin, we used species-specific allometric equations from Forrester et al., (2017), using DBH of each 212 individual as predictor variable. In total, AGB (stem and branches) was estimated for 1,496 resprouting trees 213 of 16 species in Hády and 1,188 resprouting trees of 9 species in Soběšice, and for 502 seed-originated 214 individuals of 14 species in Hády and 118 seed-originated individuals of 8 species in Soběšice (Table S2). To 215 account for spatial variability and irregularities in the distribution of residual trees, each of the 50×50 m 216 subsquares was uniformly divided into four smaller subplots $(20 \times 20 \text{ m})$ with a five-meter belt around each 217 subplot to ensure spatial separation. For each subplot, we determined several variables: the density of residual 218 trees, the density of sprouting stumps, and the density of seed regeneration. Additionally, we calculated the 219 total AGB of sprouts and the total AGB of seed regeneration. This was done by summing the AGBs of sprouts 220 and seed origin individuals, respectively. 221

222 To find out how residual trees affect sprout and seed regeneration, we modelled AGB and density of sprouts and AGB and density of seed regeneration as a function of density of residual trees. To test the effect 223 of sprout regeneration on seed regeneration, we also created models with either AGB or density or occurrence 224 of seed regeneration as response variable and AGB of sprout regeneration as explanatory variable. We also 225 ran another set of models with either AGB or density or occurrence of sprouts used as a response variable and 226 227 AGB of seed regeneration as explanatory variable. We also used the density of stumps with live sprouts as a predictor for sprout AGB, because it was previously shown to have a positive effect on total sprout AGB 228 (Mejstřík et al., 2022). Since plots have different environmental conditions, cutting history, structure and 229 species composition, we conducted all analyses separately for each plot. To account for autocorrelation among 230 subplots nested in subsquares, we used mixed-effects models in which the explanatory variables were defined 231 as fixed-effect variables and subsquare identity as random effect with varying intercepts. Linear mixed-effects 232 models were utilized for testing the effects on AGB of sprouts and AGB of seed origin individuals (i.e. 233 response variables). We used generalized mixed-effects models with Poisson error distribution for testing the 234 effects on density of regeneration of sprout and seed origin. To model the occurrence of sprout and seed 235

Journal Pre-proof regeneration, we used generalized mixed-effect models with omonial error distribution, in which error sprout 236 or seed regeneration occurrence in subsquare (1 - present/0 - absent) was modelled as a function of the density 237 of residual trees and sprout AGB. Because of multicollinearity detected in the models including both density 238 of residual trees and AGB of sprouts and density of residual trees and AGB of seed regeneration, we did not 239 use these variables together in one model but created separate models with each of the explanatory and 240 response variables. The explanatory variables in the models with occurrence and density were standardized to 241 zero mean and unit variance to make their model estimates directly comparable. All analyses were performed 242 in R software (R Core Team, 2022) using the 'lme4' package (Bates et al., 2015) for linear mixed-effects 243 models. 244

2.3.2. Diversity 245

We calculated the number of species (richness) and Shannon H' index (diversity) for all woody 246 regeneration together (total richness) and separately for sprout regeneration and seed regeneration in each 247 subplot. Shannon H' index was calculated based on the number of individuals of each woody species using 248 the 'diversity' function of the 'vegan' package (Oksanen et al., 2016). 249

We also calculated beta diversity, which is a measure of the variability in species composition among 250 sampling units for a given area. Beta diversity was computed for each regeneration strategy as well as for the 251 original pre-logging stand by calculating the average distance from each individual subplot to the group 252 centroid defined in the principal coordinate space of the dissimilarity measure (Anderson, 2006; Anderson et 253 al., 2006). The calculation was performed with the Bray-Curtis index as the measure of dissimilarity using the 254 'betadisper' function of the 'vegan' package (Oksanen et al., 2016). To test for differences between different 255 strategies of regeneration, a pairwise permutation test provided by 'betadisper' was performed. 256

To explore how residual trees and regeneration abundance affect the diversity of each of the regeneration 257 strategies, we modelled richness and diversity as a function of the density of residual trees, sprout AGB (for 258 seed regeneration only), and seed regeneration AGB (for sprout regeneration only). For diversity as the 259 response variable, we used linear mixed-effect models. For richness, generalized mixed-effect models with 260 Poisson error distribution were performed. Subsquare identity was used as a random intercept effect in the 261

- models. Decause of multicommeanty, we fair models separately for each combination of explanatory and response variables (i.e. only models with one fixed-effect variable). We also calculated the ratio of seed-origin richness to total richness and sprout richness to total richness to explore the trend of relative contribution of each regeneration strategy to total regeneration with changing density of residual trees. To demonstrate this relationship, we used locally estimated scatterplot smoothing (LOESS).
- 267 **2.3.3.** Species composition and beta diversity

To test for differences between species composition of original stand and regrowth of sprout and seed 268 origin, we used non-metric multidimensional scaling ordination (NMDS) with the Bray-Curtis index as the 269 measure of dissimilarity using the 'vegan' package (Oksanen et al., 2016). To estimated difference in the 270 species composition of different regeneration type as well as to compare it to original species composition 271 before tree cutting, we fitted seed origin (as a categorical variable) into the results of NMDS using the function 272 'ennyfit', which also calculated statistical significant using permutation test. To compare species composition 273 between regeneration categories, we used permutational multivariate analysis of variance using the Bray-274 Curtis index (function 'adonis'). Multivariate homogeneity of group dispersions as a measure of beta diversity 275 (Anderson et al., 2006; Anderson-Teixeira et al., 2013) was calculated for each of the regrowth strategy and 276 original stand and the difference between the groups were tested using Tukey's HSD post-hoc test. 277

278 **3. RESULTS**

279 **3.1. Above-ground biomass**

Sprouts represented the predominant proportion of the total above-ground biomass (AGB) in natural tree regrowth, with an average of 97.1% in Hády and 98.6% in Sobešice. Seed-origin regeneration was much less abundant, contributing only 2.9% to the total AGB in Hády and 1.4% in Sobešice (Table S3).

Sprout AGB on both plots decreased as the density of residual trees increased (Hády: t = -5.28, P < 0.001; Soběšice: t = -5.57, P < 0.001; Fig. 1). The density of residual trees affected negatively AGB of seed regeneration in Hády (t = -4.68, P < 0.001) but did not influence the AGB of seed regeneration in Soběšice significantly (t = -1.39, P = 0.135; Fig. 1).

- 287 Journal Pre-proof 287 sprouts were present in an suppose, while seed regeneration was absent in many suppose (+1 /0 and +7% 288 of all subplots in Hády and Soběšice, respectively). The presence of seed regeneration was affected negatively 289 by the density of residual trees (P < 0.001; Fig. 2) but positively by increasing sprout AGB (P < 0.010, Fig. 290 2). The density of seed regeneration declined with increasing density of residual trees in Hády (P < 0.001, Fig. 291 2), but not in Soběšice (P = 0.080; Fig. 2). Density of seed regeneration was positively affected by sprout 292 AGB, but the effect was significant only in Hády (P = 0.044; Fig. 2).
- Sprout AGB increased with increasing density of sprouting stumps (Hády: regression coefficient = 0.039 ± 0.003 , t = 11.48, P < 0.001; Soběšice: regression coefficient = 0.064 ± 0.011 , t = 5.84, P < 0.001) but was not affected by the AGB or density of seed regeneration (P > 0.059).
- 296

3.2. Diversity

In total, we recorded 19 and 11 species of natural tree regeneration in Hády and in Soběšice, respectively. Sprouts accounted for 16 and 10 species, while 14 and 8 species originated from seed, in Hády and Soběšice, respectively (Table S2). The diversity and richness of sprout regeneration were higher than the diversity and richness of seed regeneration (P < 0.001; Table S4). The total diversity and richness of all regeneration were higher than that of the original pre-logging stand (P < 0.05; Table S4).

The diversity of sprouts was not significantly affected by residual trees (P > 0.060), while the diversity 303 of seed regeneration declined steeply with increasing density of residual trees (P < 0.001; Fig. 3). Sprout AGB 304 had a positive effect on diversity of seed regeneration (P < 0.001; Fig. 3). Sprout regeneration accounted for 305 the majority of total richness, and its proportion even increased with a higher density of residual trees (Fig. 306 4). The proportion of seed regeneration in total richness was approximately 50% in clearcuts but decreased 307 significantly to near-zero values with increasing density of residual trees (Fig. 4). The diversity of seed 308 regeneration increased with increasing sprout AGB (P < 0.006; Fig. 3). The diversity of sprouts was not 309 affected by seed regeneration AGB (P > 0.250). 310

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regeneration had significantly higher beta diversity than sprout regeneration (P < 0.001; Fig. 5).

314 **3.3. Species composition**

The species composition of sprout and seed regeneration differed significantly (Hády: $R^2 = 0.22$, P < 0.001; Soběšice: $R^2 = 0.38$, P < 0.001), and both differed from the species composition of original pre-logging stand (P < 0.001; Fig. 5). The difference between the composition of sprout regeneration and the original stand was smaller (Hády: $R^2 = 0.18$, P < 0.001; Soběšice: $R^2 = 0.20$, P < 0.001) than the difference between the composition of sequence between the composition of sequence between the original stand (Hády: $R^2 = 0.28$, P < 0.001; Soběšice: $R^2 = 0.42$, P < 0.001; Fig. 6).

321 4. DISCUSSION

Our study examined both sprout and seed regeneration 11 years after disturbance, a sufficient duration for 322 established natural regeneration to develop (Harvey et al., 2016; Stevens-Rumann et al., 2018; Kuehne et al., 323 2020; Demeter et al., 2021). By evaluating regeneration in relation to forest structure, our findings provide 324 new insights into the complex post-disturbance interactions between sprouting, seeding, and residual stand. 325 As we hypothesized, sprouts contributed more to the early-stage stand biomass than seed-originated 326 regeneration. Moreover, contrary to our expectations, sprouts were also the primary source of woody plant 327 diversity, as seed regeneration was suppressed by residual trees even more than sprouts. On the other hand, 328 seed regeneration introduced new species which is not present in the original stand, thereby enhancing beta 329 diversity and genetic diversity of the emerging forest. Overall, the results reveal the complementary yet 330 distinct contributions of sprouting and seeding to early forest development following logging disturbance. 331

Density of residual trees negatively affected sprout AGB, which is consistent with previous studies (Joys et al., 2004; Mejstřík et al., 2022). However, contrary to our expectations, seed regeneration did not increase with residual tree density. We predicted higher seedling abundance in subplots with more residual trees due to lower competition from sprouts (Mejstřík et al., 2022) and greater seed input, since most seeds fall near parent trees (Clark et al., 1999; McEuen and Curran, 2004). Surprisingly, seed regeneration was suppressed by residual trees even more than sprouts, with most seed regeneration occurring in subplots lacking

Journal Pre-proof residuar nees. moreover, rast-growing proneer species like onen (*Derma penama* Kom.), European aspen 338 (Populus tremula L.), willow (Salix caprea L.), and cherry (Prunus avium (L.) L.) dominated seed 339 regeneration, while seedlings of sessile oak (*Ouercus petraea* (Matt.) Liebl.), the main residual tree species, 340 were rare. This likely reflects the shade intolerance of oak seedlings (Leuschner and Meier, 2018), and their 341 poor performance under closed canopies compared to sprouts and other faster-growing seedlings. 342 Additionally, residual oak trees may negatively affect the survival of conspecific seedlings due to increased 343 herbivory and pathogens (Janzen, 1970; Connell, 1971). Given that seedling growth is often limited by light 344 availability (Coomes and Allen, 2007; Wyckoff and Clark, 2005), residual tree density was probably too high 345 for oak seedling persistence in many subplots. Thus, for oak to regenerate after disturbance, sprouting was 346 crucial. More shade-tolerant species like European hornbeam (Carpinus betulus L.), small-leaved lime (Tilia 347 cordata Mill.) and field maple (Acer campestre L.) appeared in both sprout and seed regeneration but sprouting 348 dominated. In summary, counter to expectations, seedlings did not benefit from residual trees and instead 349 suffered from negative density-dependent effects, likely reflecting interactions between shade tolerance, 350 growth rates and stand density. 351

The negative effect of residual tree density on AGB of seed regeneration was significant only in Hády. 352 This can be possibly attributed to differences in species composition and diversity of the forest stands 353 surrounding our study plots. In Soběšice, the surrounding stands are mostly monospecific sessile oak forests, 354 whereas the forest stands around the Hády study plot are much more diverse. This greater diversity around 355 Hády may have provided a richer seed source from various species not originally present, which could have 356 more effectively occupied available niches in areas with lower densities of residual trees. In contrast, Soběšice, 357 surrounded primarily by sessile oak stands, had a limited seed source from other species. This, together with 358 suppression of oak seedlings, resulted in much less seed regeneration across the entire plot (168 seed-origin 359 individuals in Soběšice, compared to 709 in Hády), regardless of the density of standards. Additionally, the 360 differing microclimatic and soil conditions between Hády and Soběšice could play a role. The brown forest 361 soils in Hády have more nutrients and support higher productivity than the cambisols in Soběšice, which can 362 possibly lead to less competition for soil resources and greater potential for successful establishment of new 363 seed regeneration. 364

As expected, seed regeneration did not affect sprout growth. Surprisingry, nowever, sprouts did not 365 compete with seed regeneration either. In fact, sprouts had a positive effect on the occurrence of seed 366 regeneration on both plots, and also increased the density of seed regeneration in Hády. This allowed both 367 regeneration strategies to co-exist without significant competition, resulting in an overall increase in AGB 368 production at the stand level. Seed regeneration could potentially benefit from the partial shading provided by 369 the initially faster-growing sprouts, which served as an early canopy cover, thereby moderating microclimate 370 temperatures and moisture levels after disturbance (Aussenac, 2003). Moreover, the initial rapid growth of 371 sprouts enables them to quickly exploit available spaces, and seed regeneration may thus be more likely to 372 occur in niches where sprouts have not yet established themselves or are unable to thrive. Another possible 373 reason for this lack of competition is that sprouts have already established extensive root systems inherited 374 from their parent trees, enabling access to deeper soil water and nutrients (Clark and Hallgren, 2003; Atwood 375 et al., 2011; Xue et al., 2014; Pietras et al., 2016; Stojanović et al., 2017). This deeper resource acquisition 376 potentially leaves the surface soil layers more available for the shallower, less-established root systems of 377 seed regeneration. In addition, sprouting stumps may release water from deeper soil layers into the upper soil 378 via hydraulic lift, providing access to this water source for shallow-rooted seedlings (Caldwell and Richards, 379 1989). While this mechanism has often been observed in arid or Mediterranean climates (Espeleta et al., 2004; 380 Peñuelas and Fillela, 2003), it is also documented for sessile oak in temperate forests (Zapater et al., 2011). 381 Furthermore, hydraulic lift facilitates favourable biogeochemical conditions near the surface by improving 382 383 microbial activity, nutrient availability, and root nutrient uptake (Caldwell et al., 1998). However, to fully understand these dynamics, additional studies on belowground interactions of sprout and seed regeneration 384 385 with residual trees are needed.

The species diversity and richness of sprout regeneration were much higher than that of seed regeneration, making sprouts the major source of tree diversity on both plots (Table S4). This is likely tied to the resilience of mature trees, which can readily resprout after disturbance, thus maintaining the original stand's diversity (Bond and Midgley, 2001). Therefore, the composition of sprout regeneration more closely resembled the original stand compared to seed regeneration. Conversely, the composition of seed regeneration diverged more from the original stand possibly due to changing seed dispersal trends after disturbance (Calviño-Cancela, 392 increased density of residual trees corresponded sharply with declining diversity of seed regeneration. This 393 aligns with a study showing that high residual tree density can potentially restrict light availability and hinder 394 seed regeneration (Gray et al., 2002). Overall, sprouting played a major role in maintaining stand species 395 396 composition and diversity.

In contrast to sprouting, seed regeneration did not significantly contribute to the regeneration of original 397 species but rather introduced new species (birch, European aspen and willow in Hády and European aspen in 398 Soběšice, Table S2), increasing the overall species pool and beta diversity. The beta diversity of seed 399 regeneration was much higher than that of sprout regeneration. However, the combined beta diversity of both 400 regeneration strategies was higher than the original stand diversity, which is consistent with findings that 401 disturbance often positively impacts beta diversity (Silva Pedro et al., 2016). Thus, while sprouting promotes 402 high alpha diversity within communities, it limits opportunities for new species establishment, resulting in 403 lower beta diversity between communities. In contrast, seed regeneration introduces genetically distinct 404 individuals possibly representing species not present in the previous stand, thereby contributing to increased 405 beta diversity (Török et al., 2018). This disturbance-diversity relationship is modulated by multiple factors 406 such as disturbance severity, life-history traits, and the available species pool (Miller et al., 2012; Silva Pedro 407 et al., 2016). The positive effect of disturbance on tree species diversity tends to be greater in stands with 408 larger species pools and higher disturbance intensities (Silva Pedro et al., 2016). Moreover, in early succession, 409 incorporating pioneer species into stands containing primarily intermediate or late-successional species (e.g., 410 sessile oak) can strongly facilitate diversity-driven gains in stand productivity (Silva Pedro et al., 2016). 411

Management implications 412 5.

Our study underlines the importance of employing strategic tree retention levels to optimize the balance 413 between sprout and seed regeneration in post-logging forest recovery. To enhance biomass productivity and 414 maintain biodiversity, forest managers should aim for tree retention patterns that avoid both extremes of too 415 high or too low densities. Specifically, low to intermediate residual tree densities facilitate sprout regeneration 416 effectively, while still permitting enough light for seed regeneration. Additionally, the findings of this study 417

suggest the value of promoting sprout-associated biomass as it positively impacts the diversity of secu-origin regrowth. However, although sprouts are a good source of woody biomass and help maintain woody plant diversity, in greater abundance, they suppress the development of the herbaceous layer, negatively affecting its diversity (Matula et al., 2020). Therefore, maintaining higher densities of residual trees may be a convenient way to limit sprout growth in areas with high conservation value.

423 6. Conclusions

This study provides novel insights into the relative roles of sprout and seed regeneration in early forest 424 development following logging disturbance. Sprout regeneration, although often overlooked compared to seed 425 regeneration, can serve as the primary driver of AGB and within-community diversity across sites, 426 maintaining it even under higher densities of residual trees, which limit seedlings' establishment. In contrast, 427 seed regeneration introduces new species to the stand despite being less abundant. Moreover, both 428 regeneration strategies seem to use different resources and coexist without significant competition, increasing 429 overall AGB production and carbon storage of the whole forest stand. These results demonstrate the 430 differential but important roles of both seed and sprout regeneration in the early stages of forest diversity 431 recovery and post-disturbance development. The study's implications extend to forest management strategies, 432 emphasizing the need for a balanced approach. While residual trees provide habitat heterogeneity, nesting 433 opportunities, and aesthetic values, our results indicate a trade-off between residual tree retention and seed 434 regeneration abundance and diversity. Low retention that favors seedlings may boost compositional diversity, 435 while high retention, which favors sprouts, may accelerate biomass recovery but limit diversity. Sprouting 436 appears important for retaining existing diversity from the pre-disturbance community. Seeding enables new 437 species to colonize, but these opportunities decline sharply with residual tree retention. A mix of both 438 regeneration strategies may be ideal for balancing continuity and change in species composition. Given the 439 importance of forest recovery for climate change mitigation, optimizing natural regeneration offers a cost-440 effective approach to enhance carbon sequestration while conserving biodiversity. 441

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- 454 Marek Mejstřík: Conceptualization, Data curation, Formal analysis, Funding acquisition, Investigation,
- 455 Methodology, Project administration, Software, Validation, Visualization, Writing original draft.
- 456 **Martin Svátek:** Writing review & editing.
- 457 **Martina Pollastrini:** Writing review & editing.
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462 Data Availability

463 Data is available from the corresponding author upon reasonable request.

465 References

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Figures captions 690 691 Fig. 1. Total above-ground biomass (AGB) of sprouts and seed-origin regrowth 11 years after logging in 692 Hády and Soběšice in relation to the density of residual trees. Points represent observations, solid lines 693 represent fitted models with P < 0.05 while dashed lines indicate fitted models with P > 0.05. 95% 694 confidence intervals are shown for models with P < 0.05. 695 696 Fig. 2. Model estimates (points) and confidence intervals (lines) of the effects of density of residual trees 697 and sprout biomass on presence and density of seed-origin regrowth 11 years after logging. Filled symbols 698 indicate model estimates significantly different from zero. 699 700 Fig. 3. Mean Shannon H' diversity index of seed regeneration 11 years after logging in relation to the 701 density of residual trees and sprout biomass. Points represent subplots, lines represent fitted linear mixed 702 effect models (P < 0.05) with 95% confidence intervals. 703

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Fig. 4. Proportion of species richness of sprouts and seed regrowth 11 years after logging in relation to the density of residual trees in Hády and Soběšice. Blue line represents the fitted LOESS model, dots indicate means for a given number of residual trees and the vertical lines represent 95% confidence intervals (omitted for means based on ≤ 2 data points).

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- Fig. 5. Beta diversity of tree community expressed as multivariate dispersion based on Bray-Curtis
 dissimilarities within the original pre-logging stand, sprout, and seed-origin regrowth 11 years after logging
- 712 in Hády and Soběšice.

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Fig. 6. Nonmetric multidimensional scaling of the species composition of the original pre-logging stand, sprout, and seed-origin regrowth 11 years after logging in Hády and Soběšice. Points represent subplots for each type of regrowth and the points with which they connect represent their centroids. The lines denote the boundaries of clusters of plots belonging to the same treatment.

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Declaration of interests

☑ The authors declare that they have no known competing financial interests or personal relationships that could have appeared to influence the work reported in this paper.

□ The authors declare the following financial interests/personal relationships which may be considered as potential competing interests:

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