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# THz spectroscopic fingerprints of the hydration upon globular protein assembly

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## ABSTRACT

Lysozyme is a well-known globular protein that can form self-assembled clusters. Here, we report the results of a study on the changes in solvation dynamics upon increasing protein concentration via THz spectroscopy. We find spectral solvation fingerprints in the THz frequency range, which are clearly distinguished from those for liquid–liquid phase separation or liquid–solid phase separation. Furthermore, we carried out supplementary measurements using dynamic light scattering to characterize the size distribution of the protein clusters. This study sheds light on using THz studies of solvation dynamics to characterize and differentiate protein hydration in the case of protein assembly, liquid–liquid phase separation, and liquid–solid phase separation.

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## INTRODUCTION

The formation of self-assembled clusters and Liquid–Liquid Phase Separation (LLPS) in protein solutions has raised considerable interest due to their implications in the medical field, and these phenomena are, in fact, thought to be possible local hotspots of aggregate formation, which can be traced back to pathologies known as amyloidosis. Lysozyme is a globular protein that can phase separate<sup>1–3</sup> and form equilibrium clusters under specific conditions. The presence of clusters in water–protein solutions has been supported by scattering and NMR measurements<sup>4–8</sup> and supported by theoretical studies that predict the formation of aggregates.<sup>9</sup> The formation of self-assembling clusters may represent an early stage in polymerization or liquid–liquid phase separation. These processes are known to serve as the initial steps in the pathogenesis of diseases such as dementia and Alzheimer’s disease. Previous studies have investigated water–protein interactions via Raman spectroscopy,<sup>10</sup> optical-Kerr effect spectroscopy,<sup>11</sup> and dielectric measurements.<sup>12</sup> To understand and characterize the protein aggregation process in more detail, we carried out FTIR-THz measurements accompanied by Dynamic Light Scattering (DLS) experiments.

The THz regime is ideal for studying changes in the water hydration network of proteins without significant interference from protein bands. Previous studies have shown that protein–water interactions contribute substantially to the overall free energy, which drives phase separation and aggregation. We have introduced THz spectroscopy/calorimetry as a new tool to focus on protein–water interactions.<sup>13</sup> In our previous studies on liquid–liquid phase separating proteins, we observed two characteristic spectral features upon phase separation: a decrease in THz absorption around 150 cm<sup>-1</sup> attributed to the loss of hydration water around hydrophobic groups (denoted as H-cavity wrap water) and an increase in absorption >400 cm<sup>-1</sup>, as a signature of retained water molecules interacting with hydrophilic groups of the protein (bound water),<sup>14,15</sup> which increases in time due to the increase in probed protein concentration with time. The amplitude of the cavity-wrap band is a measure of both the number of hydration water molecules and the extent of perturbation to the hydration water network. In the case of lysozyme, previous studies have not been able to distinguish between distinct water populations. Here, we focus on changes in the THz spectrum as a function of protein concentration.

## 65 METHODS

## 66 Sample preparation

67 Hen egg white lysozyme (HEWL) was purchased from Carl  
68 Roth (12 650-88-3). The samples were prepared by dissolving the  
69 lyophilized HEWL in Milli-Q water to create a stock solution at  
70 the highest concentration. Complete dissolution was obtained using  
71 vortex mixing. Samples at lower concentrations were obtained by  
72 diluting the stock solution. Three sets of samples were prepared  
73 starting from three different stock solutions. The stock solutions  
74 were filtered using a 0.22  $\mu\text{m}$  pore size syringe filter to prevent the  
75 introduction of dust or undissolved protein. The final concentration  
76 of the samples has been tested using a UV-visible spectrometer (V-  
77 770 UV-VIS-NIR spectrophotometer), probing the absorption peak at  
78 at 281 nm.

## 79 Experimental setups

80 For FTIR-THz measurements, we used the attenuated total  
81 reflection (ATR) single reflection unit with a temperature-controlled  
82 diamond prism of 500  $\mu\text{m}$  diameter (MVP-Pro; Harrick Sci-  
83 entific, Pleasantville, NY) in an FTIR-spectrometer (Vertex 80v;  
84 Bruker, Billerica, MA) with a frequency resolution of 2  $\text{cm}^{-1}$  in  
85 the 50–650  $\text{cm}^{-1}$  frequency range. We used a helium-cooled sili-  
86 con bolometer (Infrared Laboratories, Tucson, AZ) as a detector.  
87 We averaged over 64 scans as recorded in 2 min. The details  
88 of the ATR setup can be found in Ahlers *et al.*<sup>14</sup> The penetra-  
89 tion depth of the evanescent field is frequency dependent [see  
Eq. (2)].

90 The THz absorption change  $\Delta\alpha$  was deduced from the mea-  
91 sured intensity  $I$  by Beer's law [Eq. (1)]. We used the following  
92 equation for the penetration depth  $d_p$  [Eq. (2)] at an angle  $\theta$  of 45°:<sup>14</sup>

$$93 \quad \alpha(\nu) = -\frac{1}{d_p} \ln\left(\frac{I(\nu)}{I_{\text{ref}}(\nu)}\right), \quad (1)$$

$$94 \quad d_p = \frac{\lambda}{2\pi\sqrt{n_{\text{diamond}}^2 \sin^2\theta - n_{\text{sample}}^2}}. \quad (2)$$

96 The refraction index of the diamond is  $n_{\text{diamond}} = 2.38$ , for  
97  $n_{\text{sample}} = 1.5$  is assumed.

98 We carried out DLS experiments using the DynaPro NanoS-  
99 tar instrument to determine the size distribution of the hydrody-  
100 namic radius of aggregates as a function of protein concentration.  
101 The detector operates at 90° scattering angles. The data shown  
102 are the result of analysis using the cumulants method<sup>16</sup> of the  
103 temporal autocorrelation signals measured by the instrument. For  
104 each measurement, the analysis is conducted on the average of ten  
105 acquisitions.

## 106 RESULTS AND DISCUSSION

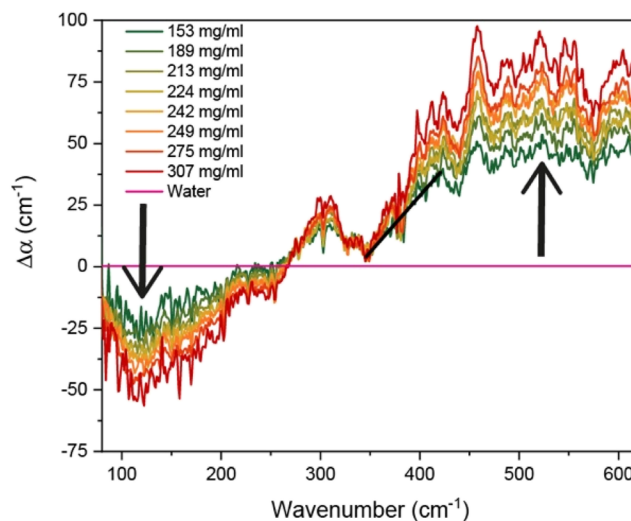
107 Due to the intrinsic limitations of the ATR configuration,  
108 which prevent an accurate determination of the probed volume  
109 and thus of the number of solute moles, together with the possible  
110 presence of concentration gradients connected with the heteroge-  
111 neous nature of the clusters, a quantitative implementation of THz  
112 calorimetry<sup>13</sup> is not feasible in the present work. Therefore, our

113 discussion is limited to a qualitative interpretation of the spectro-  
114 scopic signatures in the intermolecular stretching and librational  
115 regions. We record THz (50–650  $\text{cm}^{-1}$ ) spectra in ATR geometry  
116 using a Fourier transform infrared-THz (FTIR-THz) setup to mon-  
117 itor changes in the hydration of lysozyme with increasing protein  
118 concentration.

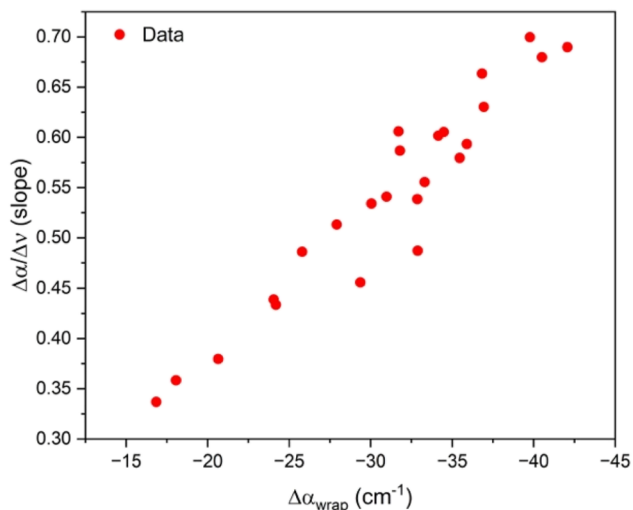
119 **Figure 1** shows the data obtained from the present FTIR-THz  
120 investigation. The THz spectra of aqueous lysozyme solutions at  
121 different concentrations are reported after subtraction of the bulk  
122 water spectrum. With increasing protein concentration, two main  
123 changes are observed: a decrease in absorption in the low-frequency  
124 range ( $\sim 90$ – $250$   $\text{cm}^{-1}$ ) and an increase in the high-frequency  
125 region ( $\sim 350$ – $600$   $\text{cm}^{-1}$ ), as indicated by the two arrows. We also want  
126 to note that all solutions did not have a cloudy appearance or no indica-  
127 tion of the existence of two phases, as in the case of LLPS, but rather  
128 were a clear single-phase solution.

129 In previous studies,<sup>14,15,17</sup> the THz absorption spectra recorded  
130 during the evolution of the LLPS process show a strong similarity  
131 to the present data. In these studies, the spectra were referenced  
132 to the initial measurement; that is, each spectrum was obtained  
133 by subtracting the spectrum of the first measurement in the time  
134 series. Two main characteristic spectral features in the spectra were  
135 observed: a decrease in absorption at 150 and 300  $\text{cm}^{-1}$  followed by  
136 an increase at 400  $\text{cm}^{-1}$ . The former is assigned to the loss of cavity  
137 wrap water upon desolvation of protein hydrophobic groups, and  
138 the latter was attributed to an increase in protein–water H-bonds  
139 due to an increase in protein concentration induced by condensation  
140 phenomena.

141 In our data, the negative absorption difference,  $\Delta\alpha$ , is redshifted  
142 with respect to that observed for proteins undergoing LLPS, being  
143 centered at 125  $\text{cm}^{-1}$  rather than 150  $\text{cm}^{-1}$ . Moreover, it exhibits



144 **FIG. 1.** Difference in THz absorption of lysozyme aqueous solutions at different  
145 concentrations compared to the THz absorption of bulk water. We observe the  
146 following trends in the frequency range around 125 and  $>350$   $\text{cm}^{-1}$  indicated  
147 by the two black arrows upon increasing concentration: while the amplitude at  
148  $\sim 125$   $\text{cm}^{-1}$  is decreasing, we observe an increase in THz absorption between  
149 350 and 600  $\text{cm}^{-1}$ .



**FIG. 2.** Plot of hydration water population for lysozyme as a function of protein concentration. Plotted is  $\Delta\alpha/\Delta\nu$  in the range between 300 and 400  $\text{cm}^{-1}$  against the change in amplitude at 125  $\text{cm}^{-1}$ .

a weaker dependence on concentration compared to condensation phenomena.<sup>14</sup> These differences can be attributed to the distinct nature of the proteins and processes investigated. Lysozyme is a globular protein which hydrophobic patches are much less exposed to water than that exposed in intrinsically disordered proteins. Thus, we expect a smaller loss of cavity-wrap water from hydrophobic patches upon desolvation. Accordingly, the loss of cavity-wrap water due to lysozyme clustering is expected to be much lower than that induced by protein condensates of  $\alpha$ -elastin and FUS,<sup>14,17</sup> in the same probed sample volume. This can be attributed to both the lower surface exposed area and the lower protein concentration in the single-phase solution.

The spectra reported in Fig. 1 show high-frequency slopes apparently similar to the feature observed upon LLPS. Nevertheless, they occur over different frequency ranges: between 350 and 600  $\text{cm}^{-1}$  and between 400 and 600  $\text{cm}^{-1}$ . We want to point out that the rise in absorption starts already at 280  $\text{cm}^{-1}$  instead of at 450  $\text{cm}^{-1}$ . In fact, we observe a positive band in  $\Delta\alpha$  around 300  $\text{cm}^{-1}$ , which we attribute to an intramolecular protein-water

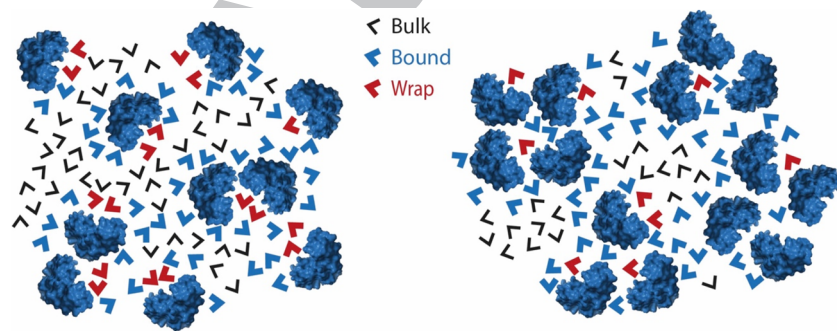
absorption band<sup>17</sup> based on the linewidth and the linear increase with protein concentration. The overlap of a positive intramolecular band with the other negative  $\Delta\alpha$  feature might cause this earlier overall increase. The increase around 500  $\text{cm}^{-1}$  is the signature of an increase in hydrogen-bonded hydration water population.<sup>18</sup> This increase in absorption with respect to bulk water with increasing protein concentration is expected, as the number of hydration water molecules on the interface of the clusters increases with more proteins being probed. Both the decrease in  $\Delta\alpha$  between 90 and 250  $\text{cm}^{-1}$  and the increase between 350 and 600  $\text{cm}^{-1}$  grow linearly with increasing protein concentrations (see Fig. 2).

We attribute the decrease in cavity wrap water to the formation of shared hydration shells with increasing protein molecules at higher concentrations. Because with increasing concentration, the protein molecules move closer to each other, and during this, we expect the loss of wrap water molecules, which is an entropically favorable process. Hence, along with the loss of wrap water, we observe that an increase in the number of bound water molecules interacting with hydrophilic groups on the surface of the protein increases linearly with concentration as there is an overlap of hydration shells. A graphic illustration of this is shown in Fig. 3.

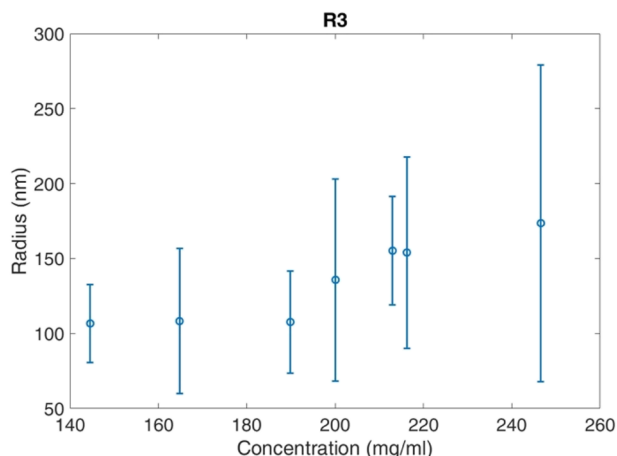
As a further test of protein aggregation upon increasing the protein concentration, we carried out DLS measurements. The data were analyzed using the cumulants method.<sup>16</sup> According to this approach, the signal decay can be attributed to the presence of monodisperse protein together with two main populations of lysozyme clusters in aqueous solution, characterized by different sizes. The corresponding hydrodynamic radii are as follows:  $R_1 \approx 1.5$  nm, associated with lysozyme monomers;  $R_2 \approx 25$  nm, corresponding to small clusters; and  $R_3$ , related to the distribution of larger clusters. The  $R_3$  values are shown in Fig. 4.

We find that for higher concentrations, the cluster population becomes highly dispersive, indicating the formation of a broad distribution of clusters with radii spanning from tens to hundreds of nanometers. Our results are in agreement with previous studies reporting evidence of equilibrium protein clusters at high protein concentrations.<sup>4,9</sup> This behavior has been attributed to a balance between electrostatic repulsion and attraction forces.

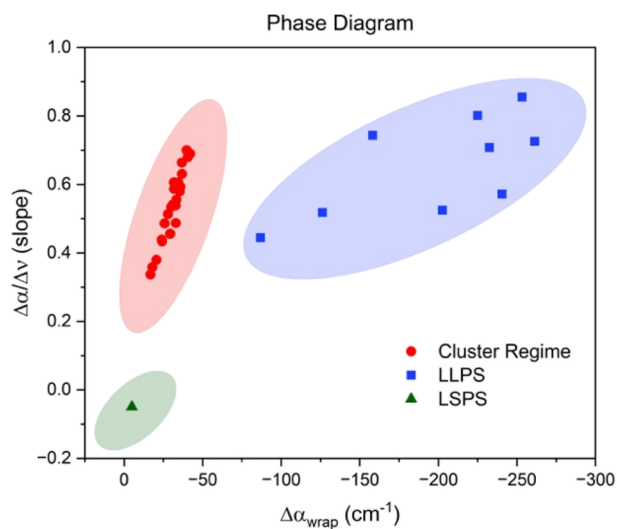
We can add the present data in our phase diagram [Fig. 5] (for details, see Refs. 15 and 19); we can clearly distinguish the effect of increasing the protein concentration for globular proteins, leading to cluster formation, from the formation of liquid droplets upon LLPS or solid protein aggregates in intrinsically disordered



**FIG. 3.** Graphical representation of the water-lysozyme system at low (left side) and high (right side) concentrations. Bound and wrap hydration water molecules are represented in blue and red, respectively. The bulk water molecules are shown in black.



222 **FIG. 4.** Hydrodynamic radius of larger clusters ( $R_3$ ) in water-lysozyme samples  
 223 obtained from DLS measurements. The bars indicate the polydispersity detected  
 224 by the instrument. As the concentration increases, the average radius increases  
 225 and, in particular, the polydispersity increases dramatically.



226 **FIG. 5.** THz phase diagram for protein solutions. The data presented in this work  
 227 relating to a lysozyme clustering regime are shown in red, the data extracted  
 228 from Pezzotti *et al.*<sup>15</sup> relating to the LLPS transition are shown in blue, and the  
 229 data extracted from Ramos *et al.*<sup>19</sup> relating to an LSPS transition are shown in  
 230 green. The three phenomena occupy three distinct regions of the phase diagram,  
 231 demonstrating the efficiency of THz calorimetry in studying the effects of protein  
 232 reorganization on water.

233 protein solutions. A comparison of the results obtained with those  
 234 observed in the case of LLPS reveals that, during the formation of  
 235 high-concentration droplets, there is a proportionally greater release  
 236 of wrap water molecules than is observed during cluster formation.  
 237 This is evident in the phase diagram.

## CONCLUSION

238 We present THz and DLS studies of an aqueous solution of hen  
 239 egg white lysozyme at high concentrations. The DLS studies point  
 240 to the onset of protein clusters and provides an estimation of the  
 241 distribution of cluster hydrodynamic radii at increasing protein con-  
 242 centration. The THz measurements provide insights into the nature  
 243 of the hydration processes. As the protein concentration increases,  
 244 the population of bound water (i.e., water molecules interacting with  
 245 the hydrophilic patches of lysozyme) increases, whereas the number  
 246 of water molecules solvating hydrophobic patches or less attractive  
 247 regions of the protein surface decreases.

248 This behavior is consistent with the hydration phenomena  
 249 observed during LLPS processes. However, the THz phase diagram,  
 250 Fig. 5, shows that the changes in solvation phenomena of pro-  
 251 tein clusters can be clearly distinguished from those proteins that  
 252 undergo LLPS or liquid–solid phase separation (LSPS).  
 253

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## AUTHOR DECLARATIONS

### Conflict of Interest

265 The authors have no conflicts to disclose.  
 266

### Author Contributions

267 L.C. and S.S.N. contributed equally to this work.  
 268

269 **Luigi Caminiti:** Data curation (equal); Formal analysis (equal);  
 270 Investigation (equal); Writing – original draft (equal). **Sanjana**  
 271 **S. Nalige:** Data curation (equal); Formal analysis (equal); Writ-  
 272 ing – original draft (equal). **Renato Torre:** Conceptualization  
 273 (equal); Funding acquisition (equal); Project administration (equal);  
 274 Supervision (equal); Writing – review & editing (equal). **Martina**  
 275 **Havenith:** Conceptualization (equal); Funding acquisition (equal);  
 276 Supervision (equal); Writing – review & editing (equal).  
 277

## DATA AVAILABILITY

278 The data that support the findings of this study are available  
 279 from the corresponding author upon reasonable request.  
 280

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