

## Equilibrium Cross Section of River Channels With Cohesive Erodible Banks

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### Key Points:

- Bank roughness in the shape of periodic bumps provides the controlling factor for river widening
- We develop a physics-based model that is able to predict the equilibrium cross section for rivers at the bankfull condition
- The model provides an unifying interpretation for both gravel and sand bed rivers

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**Abstract** Predicting the equilibrium cross section of natural rivers has been widely investigated in fluvial morphology. Several approaches have been developed to meet this aim, starting from regime equations to the empirical formulations of Parker et al. (2007) and Wilkerson and Parker (2011), who proposed quasi-universal relations for describing bankfull conditions in sand and gravel bed rivers. Nevertheless, a general physics-based framework is still missing, and it remains an open issue to better clarify the basic mechanisms whereby a river selects its width. In this contribution we focus our attention on lowland rivers with cohesive banks, whose resistance to erosion is crucial to control the river width. In particular, we formulate a theoretical model that evaluates the equilibrium width of river cross sections modeling the interaction between the core flow in the central part of the section and the boundary layer that forms in the vicinity of the cohesive banks. The model computes the cross-section equilibrium configuration by which the shear stresses on the banks equal a critical threshold value. These stresses are computed by partitioning the total shear stress into an effective grain roughness component and a form component (Kean and Smith, 2006a). The model is applied to a large data set, concerning both sand and gravel bed rivers, and it is used to determine the relations expressing the channel width and the bankfull flow depth to the bankfull discharge, which appear to provide a unitary description of bankfull hydraulic geometry.

## 1. Introduction

Predicting the equilibrium cross section of natural rivers has attracted the attention of many researchers for a long time. Field and laboratory observations were initially used to derive empirical methods, known as *regime equations*, to be used as simple predictive tools (Blench, 1957; Inglis, 1949; Lacey, 1930; Lane, 1955; Lindley, 1919; Simons & Albertson, 1963). In particular, Leopold and Maddock (1953) expressed the channel width, the average flow depth, and the average flow velocity as functions of the annually averaged flow discharge. The regime equations were also derived by means of the extremal hypothesis approach, which is based on maximizing (or minimizing) some channel function. Examples in this sense include maximizing sediment transport capacity (Pickup, 1976; White et al., 1982) or friction factor (Davies & Sutherland, 1980), or minimizing stream power (Chang, 1979, 1980a, 1980b), production of entropy (Leopold & Langbein, 1962), rate of energy dissipation (Yang & Song, 1979; Yang et al., 1981), or Froude number (Yalin and Ferreira Da Silva, 2000). Even though these regime methods provide predictions of channel geometry in reasonable agreement with a large range of field observations, the extremal hypothesis assumption is not founded on a fully convincing physical explanation and, hence, has been subject to many criticisms (Darby and Thorne, 1995; Griffiths, 1984; Ferguson, 1986; Knighton, 1998; Mosselman, 1998).

The weaknesses of empirical methods encouraged the formulation of new physics-based approaches. A rational method was first developed following the assumption that a stable channel forms when a threshold condition for sediment motion establishes along the whole cross-section boundary (Glover & Florey, 1951; Lane, 1955). This methodology was later revised and improved by Colombini and Tubino (1991) and Diplas and Vigilar (1992), who reformulated the problem by considering the unknown bank shape as part of the solution, and Cao and Knight (1998), who included the effect of secondary flow. In the case of gravel bed rivers, a mechanistic approach was used to explore the physical mechanisms responsible for shaping the equilibrium cross section in the presence of a nonvanishing sediment transport. The so called *stable-channel*

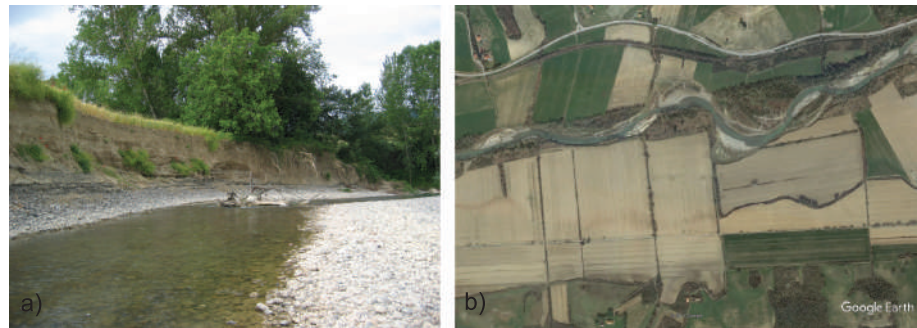
*paradox*, whereby the bank regions are stable while sediment is transported as bed load in the central portion of the river cross section, was resolved by Parker (1978a) by accounting for the lateral transfer of longitudinal momentum due to turbulence. The resulting distribution of cross-sectional bed shear stresses is such that stresses are highest in the central cross-section region, where bed load transport occurs, whereas at the bank toe the shear stress reaches the critical value for the onset of sediment motion (Parker, 1978a). In the case of sand-bed rivers, the problem of an equilibrium section allowing the transport of sediment as both bedload and suspended load in its central part was tackled by Parker (1978b), who imposed a balance between the two lateral sediment load fluxes. Extensions of this strategy to solve the stable channel paradox were subsequently proposed by Ikeda et al. (1988), who considered the case of gravel bed rivers with heterogeneous sediment, and Ikeda and Izumi (1991), who focused on straight sand rivers.

The widening of straight channels until a stable cross section is attained has been simulated numerically by Pizzuto (1990), who applied the modified area procedure of Lundgren and Jonsson (1964) to derive the cross-sectional distribution of bed shear stresses. The channel bed topography was then derived according to the sediment flux balance equation and a heuristic bank failure law, based on exceeding the sediment repose angle. The adoption of a fully nonlinear vectorial formulation of bed load transport allowed Kovacs and Parker (1994) to simulate continuously the widening of the channel up to equilibrium without introducing any empirical bank collapse law.

More recently, taking advantage of a comprehensive data set concerning single-thread gravel-bed rivers, Parker et al. (2007) used regression analysis to derive a set of “quasi-universal” dimensionless relations, expressing bankfull hydraulic geometry (width and average flow depth) as a function of the bankfull flow discharge. A similar set of relations was later developed by Wilkerson and Parker (2011) for sandy rivers. Blom et al. (2017), while investigating the equilibrium configurations of rivers under variable flow, proposed two relationships relating channel slope, width and bed sediment composition to given liquid and solid input distributions. The influence of sediment supply on the equilibrium channel geometry of gravel rivers was also investigated by Pfeiffer et al. (2017).

Despite the large number of approaches proposed in the literature, a unified and common framework able to explain the equilibrium width for both sand-bed and gravel-bed rivers is still missing. In this work, we try to fill this gap by developing a physics-based model that predicts the equilibrium bankfull width of natural rivers with cohesive erodible banks. Here, we use the term *cohesive* to denote banks characterized by a sediment (or a mixture of sediments) with a critical shear stress for fluvial erosion that is different and generally higher than that of the sediment composing the channel bed. Figure 1 shows a typical example of the river cross section we are dealing with: in the specific case it is a gravel-bed river, the Cecina (Italy), with composite river banks. In particular, Figure 1a highlights the presence on the bank surface of a sequence of wavy macroroughness elements (bumps), which have been found to be typical of a number of rivers (Darby et al., 2010; Kean & Smith, 2006a; Nardi & Rinaldi, 2010). They play a fundamental role in the present modeling approach, since they control the skin friction responsible for bank erosion (Kean & Smith, 2006a). The resistance to bank erosion can thus be parameterized on the basis of the bump geometry (mean height and wavelength) and the critical shear stress for sediment erosion, which usually depends on grain size, on the cohesion properties of the material composing the banks, and on the possible presence of a vegetation cover (Arulanandan et al., 1980).

The aim of the present work is to set a rational framework relating the bankfull channel width and depth to the discharge flowing in the channel, to the longitudinal channel slope, to the median size of the sediment composing the channel bed, and to the resistance to erosion of the channel banks, assumed to be composed of cohesive material. This framework will be used to derive general relations valid for both gravel and sand rivers. We anticipate that the bankfull cross-sectional geometry of both types of rivers can be robustly described through a unique relationship if the skin friction shear stress responsible for bank erosion is properly accounted for. Specifically, as shown by Kean and Smith (2006a), the effective shear stress, that is, the shear stress actually acting on the bank surface, is controlled by the presence of bumps on the bank surface that determine the partitioning of the total shear stress into skin friction and drag resistance. Applying this partitioning, the equilibrium cross-section width is attained when the effective shear stress equals the critical threshold for particle erosion.

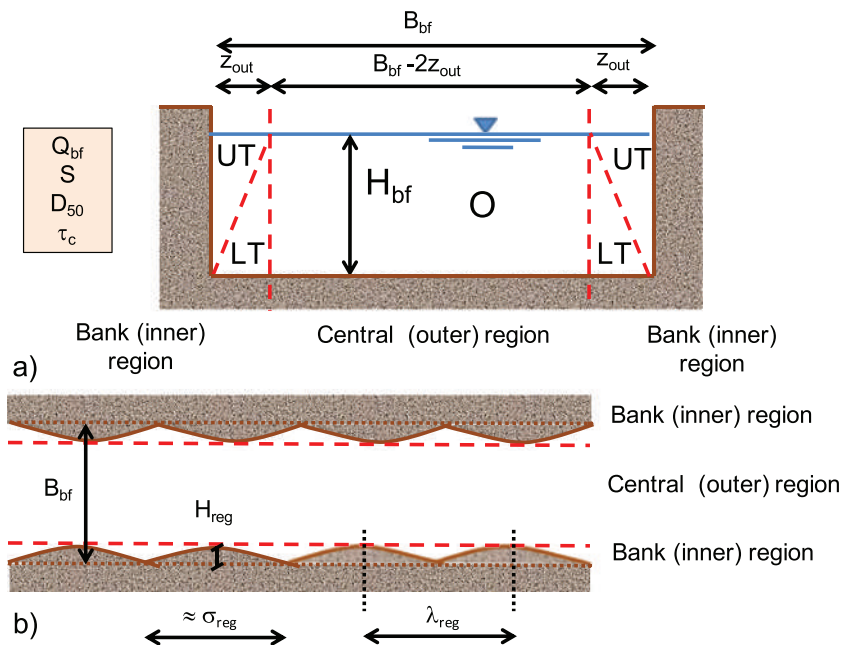


**Figure 1.** View of the Cecina River, near Casino di Terra (Pisa, Italy): (a) The river bed consists of gravel sediment, while the banks are composed of an upper cohesive layer and a basal layer of gravel, packed and slightly cemented with fine sediment, and are covered by loose material at the bank toe (Photo by Luca Solari); (b) the plan view shows clear evidence of erosion processes (flow from right to left, picture from Google Earth, acquisition 3 September 2017, geographical coordinate  $43^{\circ}19'33''$  N  $10^{\circ}42'03''$  E).

The paper is organized as follows. First, the model is formulated and applied to describe the widening of an initially narrow cross section until it reaches its equilibrium width. This procedure is then applied to a large data set of gravel and sand bed rivers to derive universal relationships (i.e., valid for gravel bed and sand bed rivers) for predicting the equilibrium width and depth of river cross section. Finally, a discussion section and some conclusion remarks close the paper.

## 2. Formulation of the Model

Our aim is to determine the equilibrium bankfull width of a single-thread channel with longitudinal slope  $S$  and median grain size  $D_{50}$  of the bed sediment. The channel is assumed to have a cross section that, as a first approximation, can be schematized as rectangular, with a top bankfull width  $B_{bf}$ . The coordinates normal to the channel bed and the banks are denoted with  $y$  and  $z$ , respectively (Figure 2a).



**Figure 2.** Definition sketch and notation. (a) Cross-section schematic: the labels denote the central region (O), the upper (UT), and the lower triangle (LT) near to the banks; (b) top view of a river reach with a regular sequence of equivalent Gaussian bumps along the banks. The relevant parameters controlling the in-channel flow are the bankfull flow discharge  $Q_{bf}$ , the longitudinal mean slope  $S$ , the median grain size of the channel bed  $D_{50}$ , and the critical shear stress for bank sediment erosion  $\tau_c$ .

The bankfull flow, assumed to be uniform, conveys a discharge  $Q_{bf}$  with a bankfull flow depth  $H_{bf}$  and a cross-sectionally averaged velocity  $U_{bf}$ , such that

$$\frac{U_{bf}}{u_{*bf}} = \frac{C_{bf}}{g^{1/2}}, \quad u_{*bf} = (g S R_{bf})^{1/2}, \quad (1)$$

with  $g$  acceleration of gravity,  $u_{*bf}$  friction velocity,  $R_{bf}$  hydraulic radius at bankfull conditions, and  $C_{bf}$  overall Chezy coefficient. This latter quantity depends on the different resistance to the flow exerted by the movable bed and the cohesive banks (Buffington & Montgomery, 1999). It is thus determined by partitioning the cross section in a rectangular central (core) region, of width  $B_{bf} - 2z_{out}$ , and two bank regions, of width equal to the thickness  $z_{out}$  of the boundary wall layer computed as

$$z_{out} = 2 \frac{u_{*T}^2}{g S}, \quad (2)$$

where  $u_{*T} = (\tau_T/\rho)^{1/2}$ , with  $\tau_T$  the total shear stress exerted on the banks. Equation (2) is obtained by applying the uniform flow hypothesis to each of the upper triangles shown in Figure 2, the coefficient 2 being related to the ratio of the flow area to the wetted perimeter.

The wall regions, in turn, are subdivided in two triangles of areas  $H_{bf} z_{out}/2$  in which the flow resistance is dictated by channel bed roughness (low triangle) and bank roughness (upper triangle). We can then write

$$Q = A_{bf} U_{bf} = A_0 U_0 + 2 (A_{LT} U_{LT} + A_{UT} U_{UT}), \quad (3)$$

where the subscript 0 denotes the central region of the flow while the subscripts  $LT$  and  $UT$  denote the lower and upper triangles, respectively, by which each bank region has been decomposed (Figure 2) according to the method proposed by Marchi (1961) and Yen (2002). On the other hand, expressing the flow resistance in terms of the Chezy coefficients for the various flow regions, we can write

$$A_{bf} C_{bf} \sqrt{S R_{bf}} = A_0 C_0 \sqrt{S R_0} + 2(A_{LT} C_{LT} \sqrt{S R_{LT}} + A_{UT} C_{UT} \sqrt{S R_{UT}}). \quad (4)$$

We eventually obtain

$$C_{bf} = \frac{C_0 (B_{bf} - 2 z_{out}) + [C_{LT} z_{out} + C_{UT} (z_{out}/H_{bf})^{3/2} H_{bf}] / \sqrt{2}}{B_{bf} (1 + 2H_{bf}/B_{bf})^{-1/2}}. \quad (5)$$

In the central region of the flow, the velocity profile in the direction  $y$  normal to the bed can be expressed as

$$u(y) = \frac{u_{*0}}{\kappa} \ln \left( \frac{y}{y_{0T}} \right), \quad (6)$$

with  $\kappa (= 0.4)$  the von Karman constant,  $u_{*0} = (\tau_0/\rho)^{1/2}$  the friction velocity related to the total shear stress  $\tau_0$ , and  $y_{0T} = 0.033 e_s$  a reference level where the velocity vanishes, related to the effective roughness height  $e_s$  on the basis of experimental data (van Rijn, 1984). The mean flow velocity  $U_0$ , the friction velocity  $u_{*0}$ , and the Chezy coefficient  $C_0$  can then be computed as

$$U_0 = \frac{C_0}{\sqrt{g}} u_{*0}, \quad u_{*0} = \sqrt{g S H_{bf}}, \quad \frac{C_0}{\sqrt{g}} = 18 \log \left( \frac{12 H_{bf}}{e_s} \right), \quad (7)$$

where, following van Rijn (1984), the effective roughness  $e_s$  is set equal to  $3 D_{90}$  in the case of a plane bed (with  $D_{90}$  the grain diameter such that 90% of the material is finer) or

$$e_s = 3 D_{90} + 1.1 A_d (1 - e^{A_d/\lambda_d}) \quad (8)$$

in the case of a bed covered by dunes of amplitude  $A_d$  and wavelength  $\lambda_d$ , with

$$\frac{A_d}{\lambda_d} = 0.015 \left( \frac{D_{50}}{H_{bf}} \right)^{0.3} (1 - e^{0.5 T}) (25 - T), \quad \lambda = 7.3 H_{bf}. \quad (9)$$

Here,  $T = (u'_{*0} - u_{*c})/u_{*c}$  is the transport stage parameter, computed on the basis of the critical bed shear velocity  $u_{*c}$  for incipient sediment movement according to shields and of the bed shear velocity  $u'_{*0}$  related to grain roughness:

$$u'_{*0} = \frac{\sqrt{g}}{C_{90}} U_0, \quad \frac{C_{90}}{\sqrt{g}} = 18 \log \left( \frac{12 H_{bf}}{3 D_{90}} \right). \quad (10)$$

As far as the bank regions are concerned, field observations (Kean & Smith, 2006a, 2006b) indicate that usually, the bank surface is characterized by macroscopic undulations (Figure 2b), here denoted as bumps, caused by processes such as erosion, slumping of bank material and vegetation encroachment. The flow near each bank is strongly modulated by the presence of these undulations. Similarly to bedforms that develop on the channel bed (dunes), these macroroughness elements generate a form drag due to the overall unbalance of pressures acting on the upstream and downstream side of each bump. The sum of the shear stress  $\tau_d$  associated with form drag and the skin friction  $\tau_{sf}$  due to the grain roughness of the material composing the banks yield the total shear stress (Kean & Smith, 2006a):

$$\tau_T = \tau_{sf} + \tau_d. \quad (11)$$

Under equilibrium conditions, the diffusion of momentum toward the bank is needed to compensate the losses due to form drag and skin friction on the bumps, and it produces in the bank boundary layers a logarithmic velocity profile of the form

$$u(z) = \frac{u_{*T}}{\kappa} \ln \left( \frac{z}{z_{0T}} \right), \quad (12)$$

with  $u_{*T} = (\tau_T/\rho)^{1/2}$  and  $z_{0T}$  the roughness height due to the sum of skin friction and form drag resulting from (Kean & Smith, 2006a) analysis (see Appendix A).

The mean velocities in the lower and upper triangles near to the banks can then be computed as

$$U_{LT} = \frac{u_{*0}}{H_{bf} z_{out}/2} \int_{z_{0T}}^{z_{out}} dz \int_{y_{0T}}^z \frac{H_{bf}/z_{out}}{\kappa} \ln \left( \frac{y}{y_{0T}} \right) dy, \quad (13)$$

$$U_{UT} = \frac{u_{*T}}{H_{bf} z_{out}/2} \int_{y_{0T}}^{H_{bf}} dy \int_{z_{0T}}^{y z_{out}/H_{bf}} \frac{1}{\kappa} \ln \left( \frac{z}{z_{0T}} \right) dz. \quad (14)$$

The integrals into equations (13) and (14) can be easily solved to yield

$$\frac{C_{LT}}{\sqrt{g}} = \frac{1}{\kappa} \left[ \ln \left( \frac{H_{bf}}{y_{0T}} \right) - \frac{3}{2} + 2 \frac{y_{0T}}{H_{bf}} - \left( \frac{z_{0T}}{z_{out}} \right)^2 \ln \left( \frac{z_{0T} H_{bf}}{z_{out} y_{0T}} \right) + \frac{3}{2} \left( \frac{z_{0T}}{z_{out}} \right)^2 - \frac{2 z_{0T} y_{0T}}{z_{out} H_{bf}} \right], \quad (15)$$

$$\frac{C_{UT}}{\sqrt{g}} = \frac{1}{\kappa} \left[ \ln \left( \frac{z_{out}}{z_{0T}} \right) - \frac{3}{2} + 2 \frac{z_{0T}}{z_{out}} - \left( \frac{y_{0T}}{H_{bf}} \right)^2 \ln \left( \frac{y_{0T} z_{out}}{H_{bf} z_{0T}} \right) + \frac{3}{2} \left( \frac{y_{0T}}{H_{bf}} \right)^2 - \frac{2 z_{0T} y_{0T}}{z_{out} H_{bf}} \right]. \quad (16)$$

It is worthwhile to observe that, according to the procedure developed by (Kean and Smith, 2006a), the overall shear stress  $\tau_T$  exerted on the banks can be expressed in terms of a specified outer velocity  $U_{out}$ . In the present case, it can be reasonably assumed that the relevant outer velocity is equal to the mean velocity along the hypotenuse of each of the lower triangles located near to the banks. Under this assumption, it can be demonstrated that  $U_{out} = U_0$  and setting  $z = z_{out}$  in equation (12) so that:

$$u_{*T} = \frac{\kappa U_0}{\ln(z_{out}/z_{0T})}. \quad (17)$$

The decomposition (11) of the bank shear stress is crucial for estimating river bank erosion. Indeed, although the form drag stress  $\tau_d$  is typically the larger component (Darby et al., 2010; Thorne and Furbish, 1995), only the skin component  $\tau_{sf}$  is responsible for sediment particle erosion (Smith and McLean, 1977). As a consequence, we expect that the equilibrium channel width is much smaller than that obtained by requiring that the total shear stress  $\tau_T$  should be at a maximum equal to the critical shear stress  $\tau_c$  for particle erosion.

In the following, we will use  $\tau_{sf}$  to drive bank erosion and to eventually compute the channel width  $B_{eq}$  for which the bankfull discharge  $Q_{bf}$  flows with a depth  $H_{bf}$ . Starting from an initial relatively narrow section of width  $B_0$ , the cross section is allowed to widen because of bank erosion, until an equilibrium width  $B$  is attained for  $\tau_{sf} = \tau_c$ .

Clearly, many different processes are involved in bank erosion and hence to determine the equilibrium width. In particular, bank shear stress is highly variable both in space and time, depending on bank geometry, cross-section size and shape, channel axis curvature, and flow stage. This variability makes it quite difficult to determine direct estimates of the along bank shear stress distribution and, as a consequence, the quantification of bank retreat. In addition, erosion of river banks includes a number of mechanisms due to both the shear stress exerted by the flow (e.g., weathering of the bank material and direct removal either of individual grains or as layers; Papanicolaou et al., 2017) and gravitational processes (e.g., mass wasting triggered by erosion of the bank toe material; Amiri-Tokaldany et al., 2003). In the present approach, all these erosion processes are assumed to give rise to an average erosion rate, depending on the excess of  $\tau_{sf}$  with respect to  $\tau_c$ . Specifically, we write (e.g. Partheniades, 1965)

$$\epsilon = k (\tau_{sf} - \tau_c)^\alpha, \quad (18)$$

where  $\epsilon$  (m/s) is the rate of fluvial bank erosion per unit time and unit area,  $k$  (m<sup>2</sup>s/kg) is the bank erodibility coefficient, and  $\alpha$  (dimensionless) is an empirical exponent, often approximated as 1 (Rinaldi and Darby, 2007).

### 2.1. Critical Shear Stress and Erodibility of the Bank

The critical shear stress for bank erosion  $\tau_c$  is a key factor in the present approach. Nevertheless, its estimate is usually uncertain and time consuming, owing to its variability along the banks and the coexistence of various mechanisms that drive river-bank erosion and mass failure (Rinaldi and Darby, 2007). In addition, several other factors can matter, such as clay and organic content, and the composition of interstitial fluids (Arulanandan et al., 1980; Grissinger, 1982).

In the absence of direct measurements, the erodibility parameters  $k$  and  $\tau_c$  typical of riverbank materials are often estimated indirectly through calibration of equation (18) (Julian and Torres, 2006) or by using laboratory flumes (Jepsen et al., 2010; Sutarto et al., 2014). On the other hand, detailed measurements of ( $k$ ,  $\tau_c$ ) are rare in the literature. These measures are in fact time and resource consuming and still present a variety of limitations. In particular, the heterogeneity of cohesive materials (Arulanandan et al., 1980; Grissinger, 1982; Samadi et al., 2009) and the high variability of the critical shear stress along the bank profile (Papanicolaou et al., 2007, 2017; Sutarto et al., 2014) impose repeated sampling for an accurate description of erosion properties. In addition, the presence of vegetation can alter or invalidate the measurements, especially when varying seasonally during the year. Generally, direct estimates of  $k$  and  $\tau_c$  can be obtained by means of in situ jet tests based on measured deformation rate, scour depth, and known hydraulic properties (Hanson and Simon, 2001). Alternatively,  $\tau_c$  can be estimated through cohesive strength meter (CSM) measurements (see, e.g., Darby et al., 2010; Nardi and Rinaldi, 2010), based on the reduction of the optical transmission in the sampling head chamber due to suspension of eroded sediment (Tolhurst et al., 1999). The bank erodibility  $k$ , not provided by CSM measurements, can be estimated using an empirical relationship whereby  $k$  is strongly related to  $\tau_c^{-0.5}$  (Darby et al., 2010). Anyhow, we note that, for our aims, the value of  $k$  is likely to affect the time a cross-section takes to widen up to equilibrium and not the equilibrium width. Hence, in the following, as a first step toward the testing of the proposed modeling framework, we will set  $k = 1 \text{ m}^2\text{s/kg}$  on the basis of Rinaldi and Darby (2007).

### 2.2. Bump Metrics

Even though the spatial distribution on the banks of macroroughnesses is in general irregular, a systematic series of tests, carried out with different combinations of four types of bumps, indicated a relatively small variability of the average bank shear stress with respect to the relative size and the relative position of the undulations (Kean and Smith, 2006b). The overall effect of an irregular sequence of bumps can then be reproduced by considering an equivalent Gaussian bump shape (Kean and Smith, 2006b), hereafter denoted as regular (i.e., identified with the suffix  $_{reg}$ ). This equivalent geometry produces the same spatially averaged flow velocity and is described by means of geometric parameters such as the protrusion height of the equivalent element,  $H_{reg}$ ; the spacing of elements,  $\lambda_{reg}$ ; and the streamwise length scale of elements,  $\sigma_{reg}$ , defined as

$$H_{reg} = H_{88}, \quad \sigma_{reg} = \sigma_{88}, \quad \lambda_{reg} = 6 H_{88}, \quad (19)$$

with  $H_{88}$  and  $\sigma_{88}$  the 88<sup>th</sup> percentiles of the spatial distributions of  $H$  and  $\sigma$  for the irregular bump sequence to be approximated (Kean and Smith, 2006b).

**Table 1**  
Bumps Metrics and Hydraulic Variables Measured in Various Rivers

Location	$H_{reg}$ [m]	$\sigma_{reg}$ [m]	$\lambda_{reg}$ [m]	$Q_{bf}$ [m <sup>3</sup> /s]	$S$ [-]	$D_{50}$ [mm]	$H_{bf}$ [m]	$B_{bf}$ [m]
Lost Creek	0.173	0.120	1.035	5	0.015	8.0	1.0	4.5
Rock Creek	0.082	0.168	0.492	20	0.015	8.0	1.6	8.8
Whitewater River	0.487	1.979	2.923	170	0.005	8.0	5.5	33
Cecina River	0.1621	0.9915	<i>n.a.</i>	140	0.002	7.4	2.3	70
Mekong River, Ang Nyay	2.37	6.55	14.22	16750	$1.0 \cdot 10^{-4}$	<i>n.a.</i>	$\approx 13$	800 – 1300
Mekong River, Ban Hom	1.82	4.14	10.91	16750	$1.0 \cdot 10^{-4}$	<i>n.a.</i>	$\approx 13$	800 – 1300
Mekong River, Friendship Bridge	1.33	2.62	7.99	16750	$1.0 \cdot 10^{-4}$	<i>n.a.</i>	$\approx 13$	800 – 1300
Mekong River, Pakse	3.81	5.1	22.86	37700	$6.0 \cdot 10^{-5}$	<i>n.a.</i>	$\approx 13$	$\approx 2000$

Note. Sources are as follows: Lost Creek, Rock Creek, and Whitewater River, Kean and Smith (2006a); Cecina River, Nardi and Rinaldi (2010); Mekong River, Darby et al. (2010). “n.a.” stands for not available.

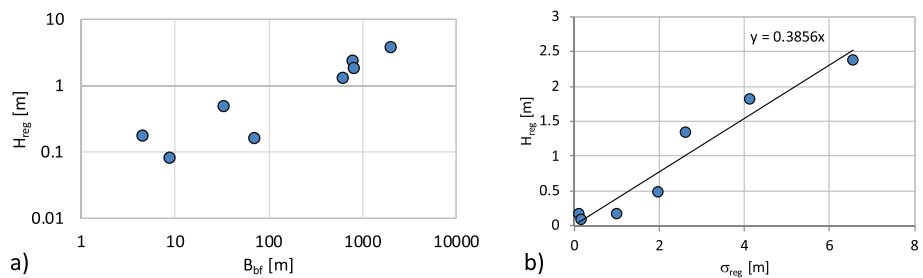
Figure 2 shows a sketch of a typical cross section and a schematic top view of a river reach with Gaussian-like macroroughness elements along both banks, while Table 1 summarizes some published data of equivalent bump geometry, together with the corresponding hydraulic variables. The data, derived from field measurements of the actual irregular geometry, suggest that bump metrics scale with the hydraulic characteristics of the considered river. In general, wider rivers have bigger roughness elements, as shown in Figure 3a, using the data of Table 1 for  $H_{reg}$  and  $B_{bf}$ . On the other hand, Figure 3b shows that bump height scales with the element length. Hence, owing to the Gaussian-shape used to approximate the observed irregular bump geometry, only one parameter (e.g.,  $H_{reg}$ ) is sufficient to describe the equivalent bump geometry.

Finally, it is worthwhile to observe that bump geometry can vary with the flow discharge (Leyland et al., 2015). Periods of intense erosion, usually associated with large flood events, lead to an increase of the riverbank macroroughness. This increase, however, enhances the thickness of the near-bank boundary layer and, consequently, decreases the skin friction responsible for bank erosion, implying a negative feedback mechanism that leads to a smoothing of bump induced roughness and, on average, to an almost constant geometry of the bumps.

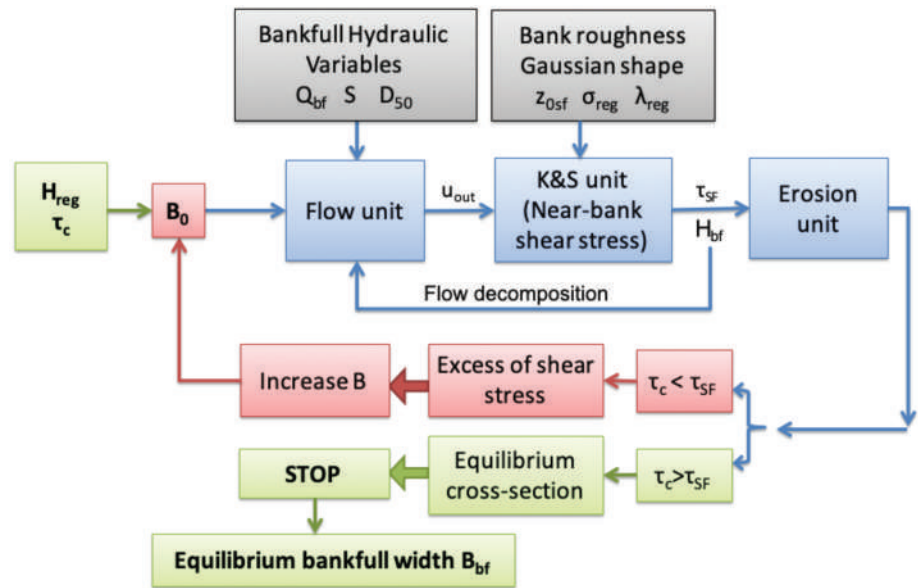
### 3. Modeling Cross-Section Widening

The modeling framework described so far is here used to predict the equilibrium width of rivers with cohesive banks. The overall structure of the Cross-Section Evolution Model (CSEM) is described in Figure 4. The model consists of three main units: the flow unit, which computes the flow variables in the central channel region; the Kean and Smith unit, which solves the flow close to the bank region; and the erosion unit, which predicts the rate of riverbank erosion.

The solution is based on an iterative, three-step procedure that, starting from an initial narrow cross section, allows the section to widen while conserving the subvertical bank shape (see, e.g., Figure 1a), until it reaches



**Figure 3.** Relationship between (a) equivalent bump height,  $H_{reg}$ , and bankfull channel width,  $B_{bf}$ ; (b) equivalent bump height,  $H_{reg}$ , and equivalent bump length,  $\sigma_{reg}$ , for the river data reported in Table 1 (coefficient of correlation for linear regression:  $R^2 = 0.94$ ).



**Figure 4.** Overall structure of the CSEM model and iterative procedure used to determine the equilibrium cross-section width. The colors of the boxes refer to as follows: gray, input variables; blue, fundamental model units; red, options checked for river widening; and green, options checked for selecting the equilibrium bankfull width.

its equilibrium width. Given the bankfull discharge  $Q_{bf}$ , the channel slope  $S$ , the median grain size  $D_{50}$  of the sediment bed, and a trial value of the bankfull width,  $B_0$ , the model iteratively uses equations (2), (7), (15), and (16) to compute, through equations (1) and (3), the actual value of  $H_{bf}$ . The values of  $z_{0T}$  and  $\tau_{0T}$  needed for these computations are obtained from the Kean and Smith unit, which also provides the skin friction shear stress,  $\tau_{sf}$ . This value is subsequently used in the erosion unit. If  $\tau_{sf} > \tau_c$ , the river widens according to equation (18), and the iterative procedure starts again with an increased value of width  $B > B_0$ . Otherwise, if  $\tau_{sf} < \tau_c$ , the cross section is assumed at equilibrium, and the algorithm stops, giving the equilibrium bankfull width  $B_{bf}$ . Note that the narrowing process is not considered in the model.

**Table 2**  
*Databases Used in Conjunction With the CSEM Model to Derive Universal Relationships for Equilibrium Cross Section*

Database	Source	Code (Number of selected data)	River type
1	Soar and Thorne (2001)	ST(55)	Sand
	Mc Candless (2003b)	MCC(12)	Sand and Gravel
	Metcalf (2004)	MET(13)	Sand
	Mistak and Stille (2008)	MS(2)	Sand
	Mulvihill et al. (2005)	MUL1(11)	Sand and Gravel
	Mulvihill et al. (2006)	MUL2(8)	Sand and Gravel
	Sherwood and Huitger (2005)	SH(14)	Sand and Gravel
	Agourdis et al. (2011)	AGO(15)	Gravel
	Chaplin (2005)	CHA(43)	Gravel
2	Chitale (1970, 1973)	CC(21)	Sand
	Roth (1985)	RSH(2)	Sand
3	Hey and Thorne (1986)	HT(55)	Gravel
4	Church and Rood (1983)	CR(11)	Sand
5	Cecina River	C(1)	Gravel

Note. (1) Trampush et al. (2014); (2) Li et al. (2015); (3) Hey and Thorne (1986); (4) Wilkerson and Parker (2011); (5) Nardi and Rinaldi (2010).



**Table 3**

Databases Used in Conjunction With the CSEM Model to Validate Universal Relationships for Equilibrium Cross Section

Database	Source	Code (Number of selected data)	River type	Notes
1	Cinotto (2003)	CIN(8)	Gravel	Pennsylvania, USA
	Keaton et al. (2005)	KEA(38)	Gravel	$B < 5$ m not used
	Lawlor (2004)	LAW(27)	Gravel	$B < 5$ m not used
2	Annable (1996)	ANN(3)	Sand	Southern Ontario, CAN
	Loell Duell (2006, unpubl.)	DUE(4)	Sand	Oregon, USA
	Charlton et al. (1978)	CBB(23)	Gravel	Great Britain
	Kellerhals et al. (1972)	KNB(16)	Gravel	Alberta, CAN
	Schumm (1960)	SCH1(11)	Sand	Central USA
	Schumm (1968)	SCH2(8)	Sand	Australia
	Parker et al. (2003)	PTRB(23)	Gravel	Idaho, USA
	Vermont DEC (2006)	VTDEC(7)	Transitional	Vermont, USA
	Pitlick and Cress (2000)	PC(10)	Gravel	Colorado, USA
	William (1978)	WIL(1)	Sand	New Mexico, USA
	Westergard et al. (2005)	WMEB(2)	Sand	New York, USA
3	Lagasse et al. (2004)	LAG(69)	Sand and Gravel	Meander rivers, USA
4	Andrews (1984)	COL(24)	Gravel	Colorado, USA

Note. (1) Trampush et al. (2014); (2) Li et al. (2015); (3) Lagasse et al. (2004); (4) Andrews (1984).

The quantities characterizing the Gaussian-shaped bank geometry ( $H_{reg}$ ) and the bank erodibility ( $\tau_c$ ) can be treated either as input data for the model (when known from direct measurements) or as input parameters when the model is used for predicting the equilibrium channel width. In this latter case, the  $H_{reg}$  and  $\tau_c$  are calibration parameters that are adjusted to obtain the best agreement between computed and observed bankfull width,  $B_{bf}$ . In particular, following the works of Arulanandan et al. (1980), Darby et al. (2010), Nardi and Rinaldi (2010), Sutarto et al. (2014), Papanicolaou et al. (2017), we assume that, in the absence of vegetation, a reasonable range of variation for  $\tau_c$  is 0.5–4 Pa, while in the presence of vegetated banks  $\tau_c$  can increase by up to a factor of 2.5 for high vegetation density (see, e.g., Figure 8 from Parker et al., 2007).

### 3.1. Considered Data Sets

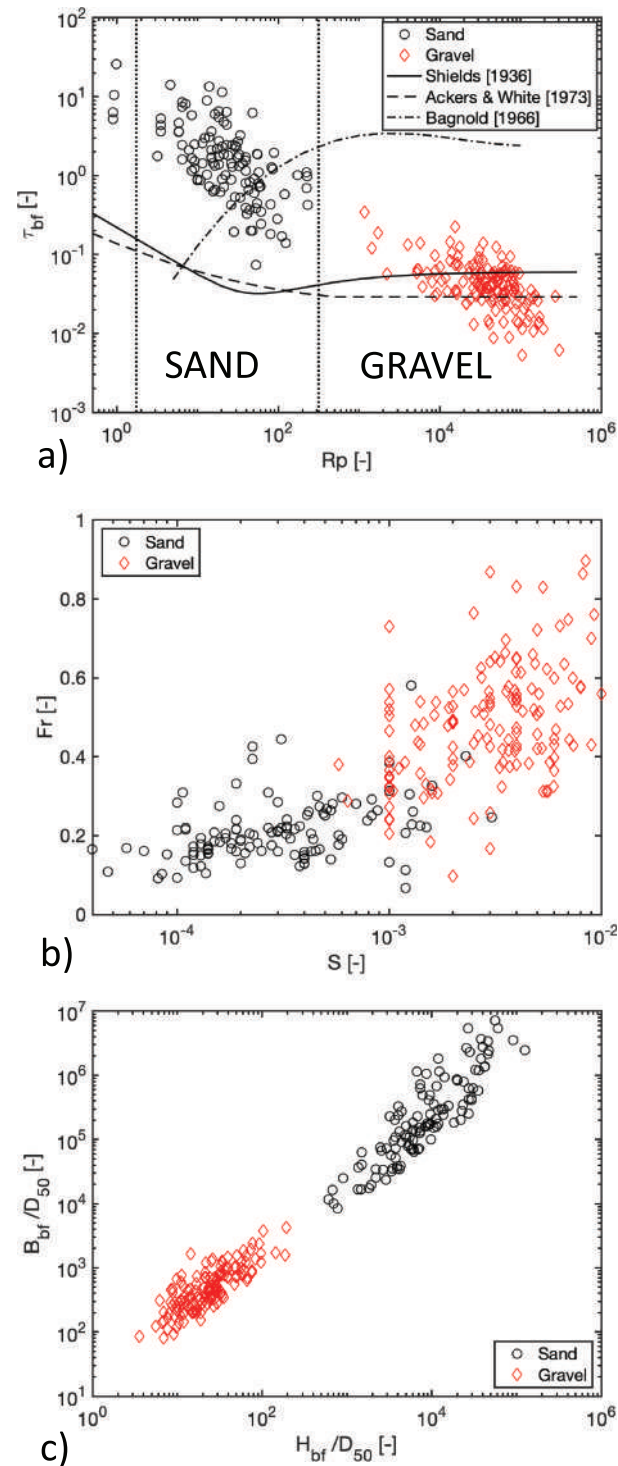
Two independent data sets have been used for testing and validating the CSEM model. They were extracted from previous studies on channel bank properties (Nardi and Rinaldi, 2010) and from available databases providing the bankfull characteristics of alluvial channels (Lagasse et al., 2004; Li et al., 2015; Trampush et al., 2014; Wilkerson and Parker, 2011). The list of data considered to develop the model is summarized in Table 2, while Table 3 refers to the data used in the model validation. Both tables provide some basic information on the original source, the number of data, and the type of river (sand or gravel) they refer to. For a given river, the data consist of bankfull discharge  $Q_{bf}$ , bankfull depth  $H_{bf}$ , bankfull width  $B_{bf}$ , reach slope  $S$ , and median sediment size  $D_{50}$  of the bed.

A number of criteria were considered to choose data appropriate for the requirements of the model among the whole available data set. First of all, the considered river should have cohesive river banks. When geographical coordinates were available (for 119 records of the total), we verified on Google Earth the planimetric river pattern, the structure of the banks, and the possible presence of vegetation over the banks. Second, the Shields number calculated at bankfull condition,

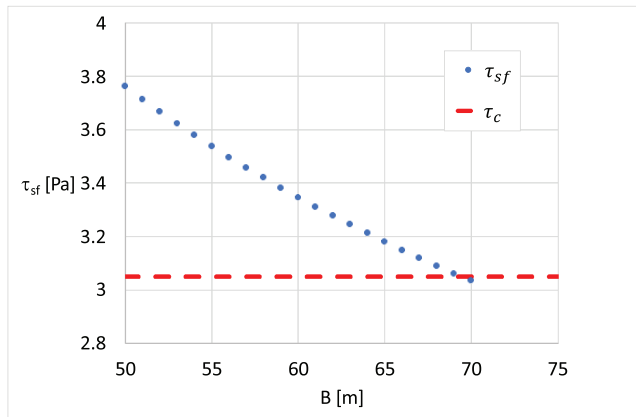
$$\hat{\tau}_{bf} = \frac{\rho_s H_{bf}}{(\rho_s - \rho) D_{50}}, \quad (20)$$

(with  $\rho_s$  and  $\rho$  the density of sediment and water, respectively) was verified to ensure sediment transport (Figure 5a). Third, the Froude number at bankfull conditions,

$$Fr = \frac{Q_{bf}}{H_{bf} B_{bf}} \frac{1}{\sqrt{g H_{bf}}}, \quad (21)$$



**Figure 5.** (a) The bankfull Shields number,  $\tau_{bf}^*$ , is plotted versus the particle Reynolds number  $R_p = (\Delta g D^3)^{0.5} / \nu$  (with  $\Delta$  the immersed relative density and  $g$  gravity constant). The black vertical lines represent the limits between silt and sand fractions ( $D = 0.0625$  mm) and between sand and gravel fractions ( $D = 2$  mm). The solid line denotes the empirical threshold for incipient sediment motion derived by Shields (1936), while the dashed line represents the relation by Ackers and White (1973). The point-dashed line denotes the condition for incipient suspended load proposed by Bagnold (1966). (b) The Froude number,  $Fr$ , is plotted versus the channel slope,  $S$ . (c) Relationship between the bankfull channel width,  $B_{bf}$ , and flow depth,  $H_{bf}$ , both scaled by the mean grain size,  $D_{50}$ . Data are those extracted from the database reported in Table 2.



**Figure 6.** Simulation obtained with the CSEM model applied to the Cecina River case study. Starting from a narrow section of width  $B_0$ , the bankfull width decreases until the skin friction shear stress at the bank  $\tau_{sf}$  is below the critical value  $\tau_c$  for bank erosion.

was verified to be in the subcritical range, in order to satisfy the conditions for applying the Kean and Smith (2006a) model (Figure 5b). For similar reasons, records with bed slope higher than 0.01 were removed, to guarantee subcritical flow. Finally, records with flow discharge below  $1 \text{ m}^3/\text{s}$  and width to depth ratio smaller than 8 were disregarded. This latter choice is related to the need that the bank boundary layer does not occupy the central flow region.

Before proceeding further, some comments on the considered data are worthwhile. The Shields stresses reported in Figure 5a indicate that, for bankfull flow conditions, sandy rivers generally keep well above the threshold for incipient motion, such that in many cases sediments are transported not only as bedload but also as suspended load. Conversely, gravel-bed rivers are close to the threshold for incipient sediment motion, and, consequently, sediments are transported as bedload (e.g., Buffington 2012; Dade and Friend, 1998; Garcia, 2000). Sandy rivers invariably exhibit subcritical flow conditions, while gravel-bed rivers tend toward supercritical flow conditions for high enough slopes (Figure 5b). Finally, when made dimensionless with the mean bed sediment diameter  $D_{50}$ , the relationship between river width and water depth at bankfull conditions tends to follow an almost universal trend, both for gravel and sand rivers (Figure 5c).

### 3.2. Example of Model Application

In order to clarify the CSEM procedure, in this section we describe its application to the Cecina River (Italy). The main hydraulic variables for this river are  $Q_{bf} = 75 \text{ m}^3/\text{s}$ ,  $S = 0.002$ ,  $D_{50} = 0.0038 \text{ m}$ ,  $H_{bf} = 2.3 \text{ m}$ , and  $B_{bf} = 70 \text{ m}$  (Nardi and Rinaldi, 2010). As already reported in section 1, Cecina River has composite cohesive banks, and the value of  $\tau_c$  for the sandy layers was around 1.25 Pa, while the average value for the whole bank to be assumed for fluvial erosion can be up to 2.5–3 times higher. For these reasons, the spanning input values for  $\tau_c$  were in the range 1.0–3.1 Pa. Moreover, in the Cecina River the existing measurements of bump geometry (Leyland et al., 2015; Nardi and Rinaldi, 2010) helped us to define a spanning interval for  $H_{reg} = 0.08\text{--}0.4 \text{ m}$ . The values of  $\sigma_{ref}$  and  $\lambda_{reg}$  are estimated on the basis of bump shape and metric relationships described in section 2.2. For each combination of  $(\tau_c, H_{reg})$ , the equilibrium value of  $B_{bf}$  was chosen among all the possible solutions as that minimizing the error between  $B_{bf}$  calculated and  $B_{bf}$  measured. The goal here was to verify that the CSE Model is able to predict the measured value of bump geometry when equilibrium bankfull width is attained. We thus run the CSE Model using as input data the hydraulic variables reported above, as well as  $H_{reg}$  and  $\tau_c$  (see Figure 6, green box) and an initial width of  $B_0 = 50 \text{ m}$ . The values of  $H_{reg}$  and  $\tau_c$  were selected spanning their physically admissible ranges, and the computed equilibrium widths yielded a surface  $B_{bf}(H_{reg}, \tau_c)$  in the three-dimensional space  $(H_{reg}, \tau_c, B_{bf})$ . The couple of values  $(\tau_c, H_{reg})$  providing the best fitting was chosen as that ensuring the minimum distance between this surface and the plane  $B_{bf} = B_{bf}^{observed}$  representing the observed channel width.

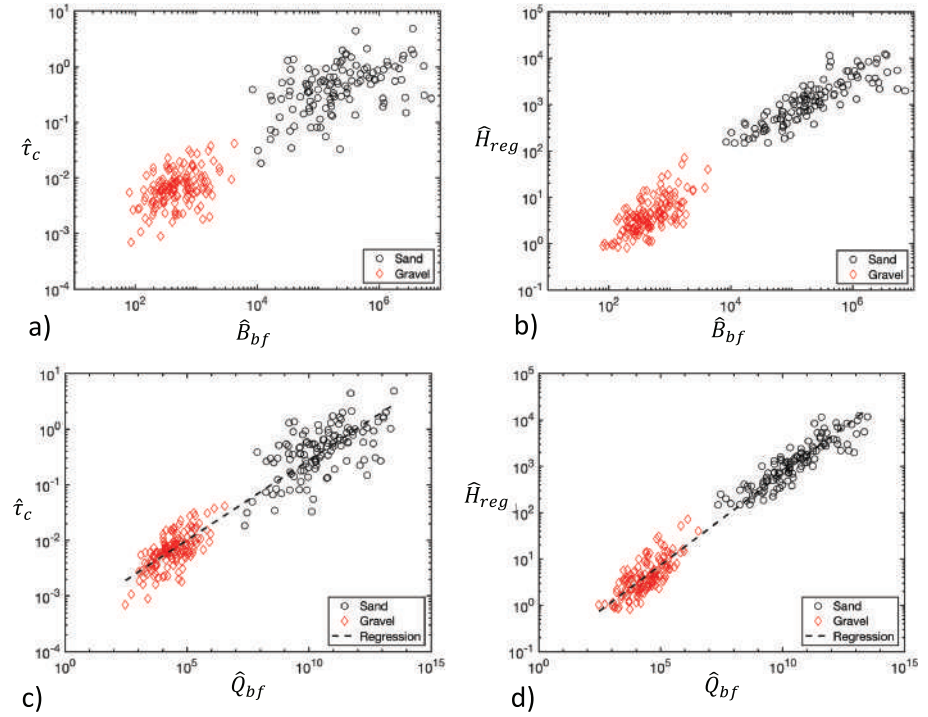
The results of the simulation ensuring the best fit are shown in Figure 6. The river cross-section widens progressively until the skin friction shear stress at the bank falls below the threshold for bank erosion. The observed equilibrium width ( $B = 70 \text{ m}$ ) is obtained for  $H_{reg} = 0.16 \text{ m}$  and  $\tau_c = 3.05 \text{ Pa}$ :  $H_{reg}$  is in excellent agreement with measured data (see Table 1), while  $\tau_c$  is in the range of admissible values.

## 4. Results

The CSE Model has been first used in conjunction with the data of Table 2 to derive suitable relations that express the bump height and the critical shear stress for bank erosion. Next, these relations are used to relate the dimensionless bankfull depth and width to the dimensionless flow discharge. Finally, these latter relations have been validated by using the independent set of data reported in Table 3.

### 4.1. Universal Relationships for Equilibrium Cross Section

The data set used to obtain the dimensionless relations giving  $H_{reg}$  and  $\tau_c$  as a function of  $Q_{bf}$  is that reported in Table 2 and is composed of 263 records. For each river, given the observed values of the hydraulic variables



**Figure 7.** The dimensionless critical stress for bank erosion,  $\hat{\tau}_c$ , and the mean bump height,  $\hat{H}_{reg}$ , predicted through the CSE Model are plotted versus (a and b) the dimensionless channel width at bankfull discharge,  $\hat{B}_{bf}$  and (c and d) the dimensionless bankfull discharge,  $\hat{Q}_{bf}$ . The regression curves for panels c and d are reported in equations (23)–(24).

( $Q_{bf}$ ,  $S$ ) and of the median diameter  $D_{50}$  of the sediment bed, the parameters  $\tau_c$  and  $H_{reg}$  are both varied until the bankfull width predicted by the model differs with respect to that observed in the field by a prescribed tolerance (on average 2–3%, maximum 5%). The results of this analysis are shown in Figure 7 in terms of the dimensionless quantities:

$$\hat{\tau}_c = \frac{\tau_c}{(\rho_s - \rho) g D_{50}}, \quad \hat{H}_{reg} = \frac{H_{reg}}{D_{50}}, \quad \hat{B}_{bf} = \frac{B_{bf}}{D_{50}}, \quad \hat{Q}_{bf} = \frac{Q_{bf}}{\sqrt{g D_{50}^5}}. \quad (22)$$

The quantities  $\hat{\tau}_c$  and  $\hat{H}_{reg}$  appear to be poorly related to the dimensionless bankfull width  $\hat{B}_{bf}$  (Figures 7a and 7b), with data corresponding to gravel and sand that cluster in two separate regions of the plot. Indeed, the power law regression relationships for  $\hat{\tau}_c - \hat{B}_{bf}$  exhibit relatively low values of the coefficient of correlation for both sand-bed rivers ( $R^2 = 0.24$ ) and gravel-bed rivers ( $R^2 = 0.19$ ). Better correlations are attained by the regression  $\hat{H}_{reg} - \hat{B}_{bf}$  yielding  $R^2 = 0.74$  for sand-bed rivers and  $R^2 = 0.46$  for gravel-bed rivers.

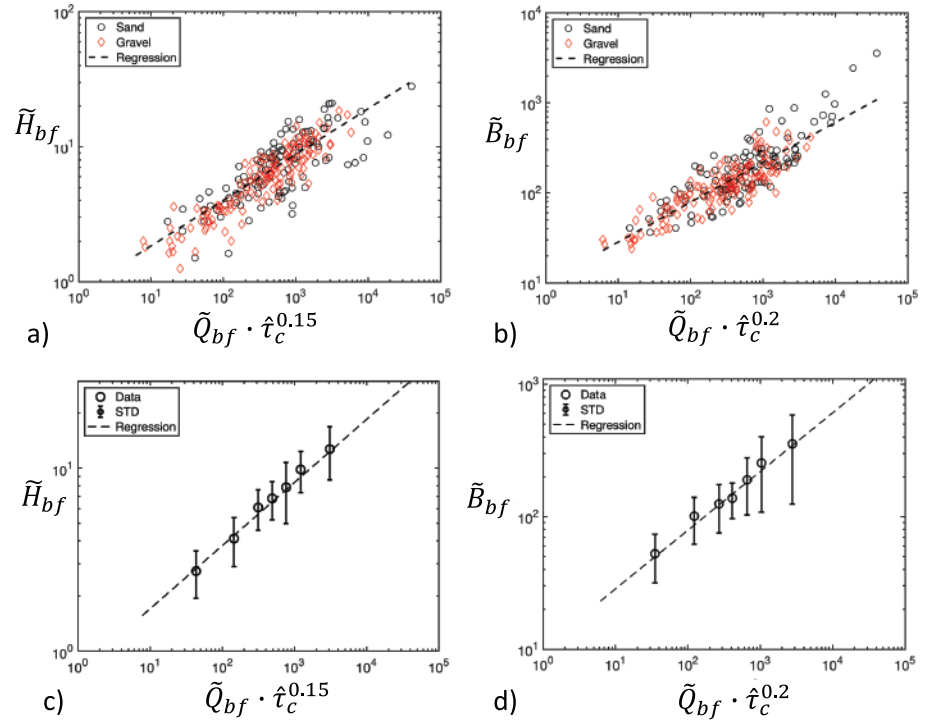
On the other hand, Figures 7c and 7d show that  $\hat{\tau}_c$  and  $\hat{H}_{reg}$  are strongly related to the dimensionless bankfull discharge  $\hat{Q}_{bf}$  through a power law that seems to be universal for sand-bed and gravel-bed rivers. The corresponding regression curves are as follows:

$$\hat{\tau}_c = 0.0003679 \hat{Q}_{bf}^{0.2878} \quad (R^2 = 0.903), \quad (23)$$

$$\hat{H}_{reg} = 0.0768 \hat{Q}_{bf}^{0.3967}, \quad (R^2 = 0.965). \quad (24)$$

Given the high values of coefficient of variation  $R^2$ , these relations provide robust estimates of the representative bump height,  $H_{reg}$ , and of the critical shear stress for bank erosion,  $\tau_c$ , given the bankfull discharge  $Q_{bf}$  and the bed sediment diameter,  $D_{50}$ .

In the absence of any information based on field observations, these values of  $H_{reg}$  and  $\tau_c$  can be used to relate to the bankfull discharge other quantities such as the bankfull flow depth and width. For this purpose, we



**Figure 8.** Determination of universal relationships for equilibrium conditions of river cross section as a function of the dimensionless bankfull discharge  $\tilde{Q}_{bf}$  and the dimensionless critical stress  $\tau_c$ . (a and c) Dimensionless bankfull depth,  $\tilde{H}_{bf}$ , scaled with  $H_{reg}$  ( $R^2 = 0.733$ ); (b and d) dimensionless bankfull width,  $\tilde{B}_{bf}$ , scaled with  $H_{reg}$  ( $R^2 = 0.723$ ). The plots c and d have been obtained by considering an uniform binning of the data, each bin containing 37 data; vertical lines denote the standard error with respect to the mean within a bin.

use the bump height  $H_{reg}$  as a characteristic length scale and introduce three new dimensionless variables:

$$\tilde{H} = \frac{H_{bf}}{H_{reg}}, \quad \tilde{Q} = \frac{Q_{bf}}{\sqrt{g} H_{reg} H_{reg}^2}, \quad \tilde{B} = \frac{B_{bf}}{H_{reg}}. \quad (25)$$

As shown in Figures 8a and 8b, this scaling suggests a power law dependence of the form

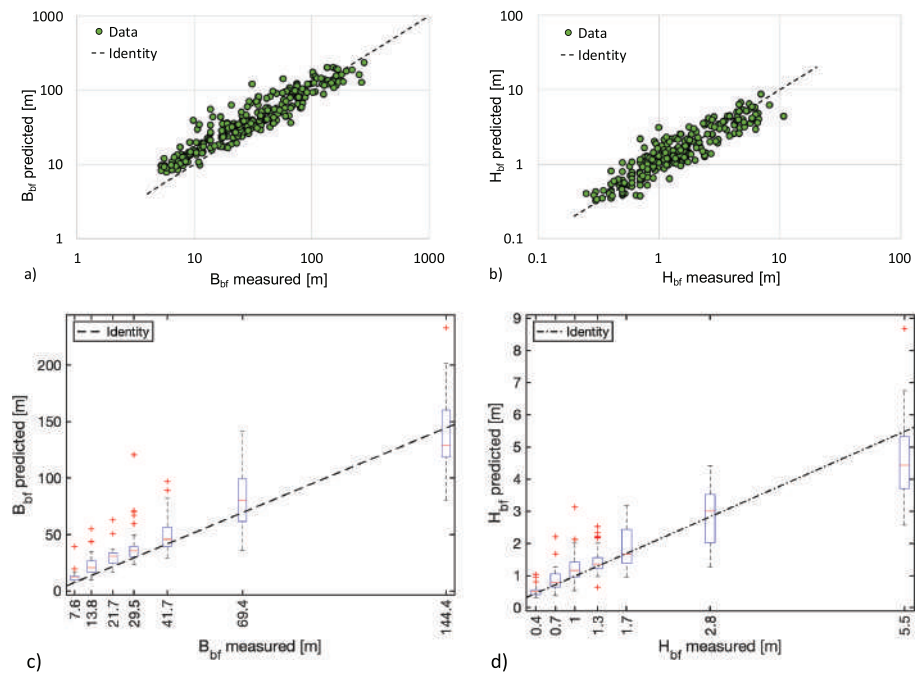
$$\tilde{H} = 0.7685 (\tilde{Q} \hat{\tau}_c^{0.15})^{0.3456} \quad (R^2 = 0.73), \quad (26)$$

$$\tilde{B} = 10.294 (\tilde{Q} \hat{\tau}_c^{0.20})^{0.4429} \quad (R^2 = 0.73). \quad (27)$$

These latter relationships exhibit a reasonable degree of correlation as also emerges from the binned plots shown in Figures 8c and 8d. In the absence of data, relations (26)–(27) can be used to predict the water depth and the equilibrium cross-section width, on the basis of the bankfull discharge,  $Q_{bf}$ , and the parameters  $\tau_c$  and  $H_{reg}$ . These two quantities, in turn, can be either known directly from field measurements or determined through equations (23)–(24), requiring, besides  $Q_{bf}$ , knowledge of the median sediment grain size  $D_{50}$ . It is important to note that the whole data set, composed of either sand-bed and gravel-bed rivers, tends to collapse on a universal relationship, valid for equilibrium cross section with cohesive banks. This overlapping results from the inclusion of  $\hat{\tau}_c$  into (26)–(27), to account for the properties of bank sediment (through  $\tau_c$ ) and of the bed (through  $D_{50}$ ). Hereafter, following (Parker et al., 2007) and (Wilkerson and Parker, 2011), we denote as *universal* relationships (26)–(27).

#### 4.2. Independent Test of Universal Relationships for Equilibrium Cross Section

The universal relationships determined in the previous section can be used to predict river width or water depth in rivers where only flow discharge and median size of the bed sediment are known. The relationships can also be used to assess how far away the river width is from equilibrium conditions. To address this aim, we tested relationships (26)–(27) by means of the completely independent set of data reported in Table 3.

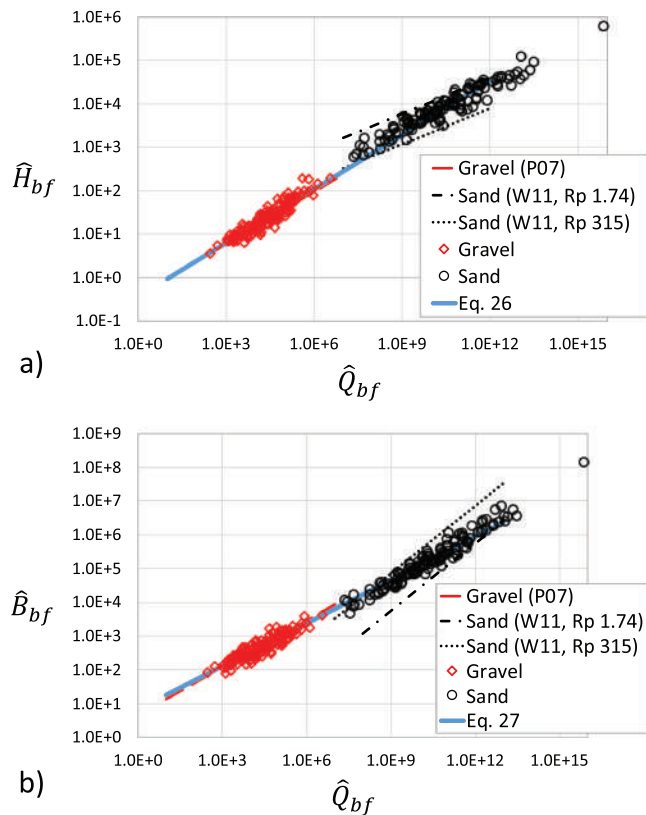


**Figure 9.** Validation of universal relationships (26)–(27) for equilibrium conditions of river cross section. (a) Predicted versus measured bankfull width. (b) Predicted versus measured bankfull depth. (c and d) Box plots of a and b with constant number of 39 samples for each box. Dashed lines denote the perfect agreement.

Figure 9 shows the comparisons between the values of  $H_{bf}$  and  $B_{bf}$  predicted by (26)–(27) and those measured in the field. In order to evaluate the model robustness, we calculated the coefficient of determination  $R^2$ , the index of agreement  $I_a$ , and the Nash-Sutcliffe Efficiency  $NSE$  (Nash and Sutcliffe, 1970). All these three statistical indexes provide a measure of how well observed data are replicated by the model. The closer these coefficients are to 1, the more accurate the model is, the value 1 corresponding to a perfect match of modeled observed data. In the case of the river width (Figure 9a) these statistical parameters take the values  $R^2 = 0.78$ ,  $I_a = 0.94$ , and  $NSE = 0.77$ , while for river depth (Figure 9b) the values are  $R^2 = 0.77$ ,  $I_a = 0.93$ , and  $NSE = 0.77$ . The overall agreement is reasonably good, for both the bankfull flow depth and width. In particular, the box plots shown in Figures 9c and 9d suggest that outliers are distributed at lower values of width and depth, leading to possible overestimates. On the other hand, the bankfull channel widths corresponding to larger cross sections tend to be somewhat underpredicted, while smaller cross-section widths (approximately <10 m) appear to be overpredicted. This latter result is likely due to the effects of slump blocks, not considered in the present modeling framework, which however play a nonnegligible role in relatively narrow channels (Parker et al., 2011). On the other hand, when the river becomes very large, the height of the banks increases in order to accommodate the larger flow depths (Figure 9b). Consequently, the stability of the banks is likely controlled by geotechnical stability, rather than by grain erosion. In addition, field observations suggest that large low-slope sand-bed rivers likely do not make the transition from dunes to a flat bed at shear stresses as low as those observed in laboratory flumes (Julien and Klaassen, 1995; Wright and Parker, 2005). Equation 7 tends thus to overpredict the Chezy coefficient and, hence, to underpredict the overall bed shear stress, eventually leading to smaller values of the equilibrium width.

## 5. Discussion

The universal relationships (26)–(27) have been obtained by assuming that the effective shear stress responsible for bank erosion is controlled by the presence of a sequence of bumps on the bank surface, determining the partitioning of the total shear stress  $\tau_T$  into skin friction  $\tau_{sf}$  and form drag  $\tau_D$  contributions. The equilibrium cross-section width is assumed to be attained when the skin friction shear stress equals the critical shear stress for particle erosion. Since  $\tau_{sf}$  is in general found to be about 0.3–0.4  $\tau_T$ , for a given discharge the resulting equilibrium width is definitely smaller than that obtained by neglecting the presence of bank



**Figure 10.** Comparison of the universal relations (26)–(27) with those derived empirically through linear regression and back data analysis by Parker et al. (2007) for gravel-bed rivers (P07) and by Wilkerson and Parker (2011) for sand-bed rivers (W11), for two different values of particle Reynolds number,  $R_p$ .

surface disturbances. In order to test this modeling framework, we compare equations (26)–(27) with the quasi-universal relationships derived by Parker et al. (2007) and Wilkerson and Parker (2011) from back calculation of the relevant exponents and coefficients from data for gravel and sand rivers, respectively. The comparison is carried out by rewriting equations (26)–(27) in terms of the dimensionless variables  $\hat{B}_{bf}$  ( $= B_{bf}/D_{50}$ ),  $\hat{H}_{bf}$  ( $= H_{bf}/D_{50}$ ), and  $\hat{Q}_{bf}$  (see equation (22)).

The results of this comparison, shown in Figure 10, illustrate that when considering the present approach the points tend to follow a power law relationship with a constant exponent, independent of the river bed type, sand or gravel. Conversely, the semiempirical relations derived by Parker et al. (2007) and Wilkerson and Parker (2011) suggest a change of slope when considering either gravel or sand rivers. In particular, in the case of  $\hat{B}_{bf}$ , the exponent resulting from the present approach is 0.4429, which is very similar to the value 0.467 proposed by Parker et al. (2007) for gravel rivers, but much lower than the value 0.669 obtained by Wilkerson and Parker (2011) for sand rivers. In the case of  $\hat{H}_{bf}$ , the present approach suggests an exponent of 0.3450, whereas the relationships by Parker et al. (2007) and Wilkerson and Parker (2011) yield power law exponents equal to 0.3996 and 0.276 for gravel and sand rivers, respectively. Note also that the relation by Wilkerson and Parker (2011) for sand bed rivers is quite sensitive to the value of the particle Reynolds number  $R_p$ .

Figure 10 thus suggests that the bankfull geometry of both gravel and sand rivers with cohesive banks can be successfully described through a universal relationship. This indirectly confirms that the representative bump height controls, through skin friction, bank erosion and, ultimately, the equilibrium bankfull geometry of both sand-bed and gravel-bed rivers. In other words, for a given bankfull discharge, the fact that only a part of the overall shear stress (the skin friction component) contributes to fluvial erosion implies the attainment of an equilibrium width and of a flow depth quite similar to those observed in the field. This result also

requires the specification of physically reasonable values of the critical shear stress for bank erosion and suitable predictors of the flow resistance exerted by the channel bed. Finally, the equilibrium sediment discharge is that dictated by the shear stress acting on the channel bed. Importantly, the present physics-based framework does not need to invoke any additional constraint as in extremal formulations, for example, minimum energy Huang et al. (2004) or maximum sediment-transport efficiency Millar (2005). Clearly, many other processes may influence the scatter of data with respect to the proposed power laws (26)–(27). In the presence of relatively high banks, the critical condition for bank collapse also depends on geotechnical stability. Various mechanisms, such as cantilever collapse, scour at the bank toe, or weathering, can promote bank failure and hence control equilibrium width. In addition, the presence of slump blocks, protecting the bank toe from the action of the river flow, is likely to affect the equilibrium width of smaller cross sections. Finally, the geometry of bump undulations is accounted for only on average, through representative values of their height and assuming a Gaussian form. Similarly, the values of the critical shear stress for erosion can strongly depend on the heterogeneity of the sediment composing the banks, as well as on vegetation cover. Hence, spatial variations of the distribution of bank undulations and of  $\tau_c$  can also affect the equilibrium cross-sectional bankfull width and depth, explaining the scatter of observed data with respect to predicted values.

## 6. Concluding Remarks

In this paper we focused on the modeling of physical processes that lead to the widening of a river, eventually determining its equilibrium width and depth. Specifically, we considered the case whereby the width of a single-thread river channel at bankfull flow conditions is mainly controlled by fluvial erosion. The retreat of the banks is determined by the resistance of the bank material and by the presence of roughness elements

(bumps), which crucially affects the partitioning of the total shear stress into a form drag component and a skin friction component. This latter component, computed through the procedure developed by Kean and Smith (2006a), is assumed to be responsible for bank erosion and, ultimately, to control the cross-section equilibrium configuration. Even though the physical processes that can produce bank erosion may differ either in gravel or in sand bed rivers (grain erosion vs. mass or bank layer erosion), in the context of the present approach they are embedded into a critical shear stress taken to be representative of the overall bank resistance.

We thus proposed an unified modeling framework (Cross-Section Evolution Model—CSEM), valid for both sand- and gravel-bed rivers, that can be used in a large variety of conditions to predict the equilibrium bankfull width and depth of a river reach on the basis of the flow discharge, the bed slope, the median sediment grain size of the channel bed, the resistance to erosion of the cohesive bank material, and the geometric properties of the undulations (bumps) present on the bank surface. The overall shear stress that the channel flow exerts on the banks, eventually driving the skin friction component responsible for fluvial erosion, is computed by coupling the central flow region with the bank flow regions. The possible presence of bed forms on the channel bed is also accounted for, by applying a suitable dune formation criterion and considering their effective roughness height. The form drag induced by the bumps implies that only a certain amount of the total shear stress (the skin friction component) contributes to bank erosion and, hence, to cross-section widening when the cross section is narrower than its equilibrium width  $B$ . As a consequence, the model predicts values of  $B$  that generally match the observed ones, without the need to invoke other processes, such as the armoring of the bank by slump blocks. Finally, the present model allows determining the equilibrium width through the progressive widening of an initially narrow section; however, it could be easily modified to account for in-channel sediment deposits, which could form near to the banks and are subsequently consolidated by vegetation encroachment, thus allowing to evaluate the attainment of an equilibrium width starting from a wider channel.

The application of the CSEM model to a large data set concerning the features of rivers at bankfull conditions led us to express the critical shear stress at the bank (equation (23)) and the average height of the bumps (equation (24)) as a function of the bankfull discharge. These relations have been subsequently used in conjunction with the CSEM model to derive the universal relationships (i.e., valid for both gravel and bed rivers) for predicting the flow depth (26) and the cross-section river width (27).

The CSEM model turns out to be quite robust. When tested against an independent set of data, it generally provides reliable estimates of bankfull channel depth and width for both sand- and gravel-bed rivers. The systematic errors that are found to affect the smaller width ( $B < 10$  m, which are overestimated) and the larger widths ( $B > 500$  m, which tend to be underestimated) can be explained by the presence of other mechanisms, concomitant to fluvial erosion, that can be attributed to bank collapse (in the case of higher banks) or protection of the banks exerted by sediment deposits (such as the slump blocks in the case of relatively narrow rivers).

In summary, the morphological relations (26) and (27) perform optimally in a wide range of flow discharges and sedimentological conditions. The above discussed upper and lower limiting values of  $B$  for which they tend to underperform are not rigid, and they are intended more as a caveat for an informed use of the proposed relations and of the CSEM model they are derived through.

## Appendix A: Outline of Kean and Smith Approach

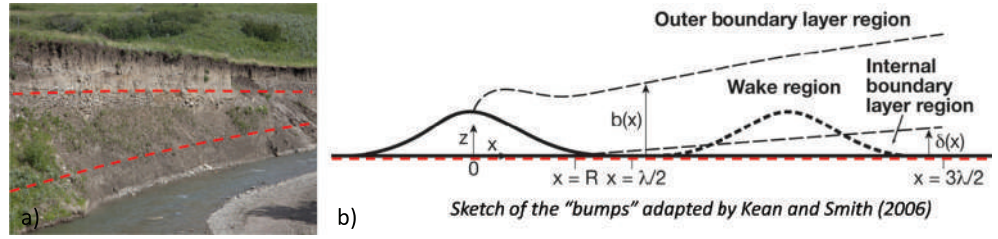
We briefly summarize the relevant equations of the model developed by Kean and Smith (2006a, 2006b). We refer the reader to the original paper for further details.

The theoretical framework assumes that the macroroughness of the bank surface associated with the presence of a wavy bump can be approximated by equivalent undulations of Gaussian shape, with given height, streamwise length and element spacing (Kean and Smith, 2006b). Owing to the presence of these bumps, the flow field near the bank consists of an internal boundary layer region, a wake region, and an outer boundary layer region (Figure A1).

The total shear stress on the bank is decomposed as the sum of the skin and form drag stresses:

$$\tau_T = \tau_{sf} + \tau_d, \quad (\text{A1})$$





**Figure A1.** (a) Bump distribution along a bank of the Cecina River; (b) sketch of the equivalent Gaussian sequence used to approximate the actual spatial distribution. The sketch also depicts the structure of the wake region, as well as of internal and outer boundary layer regions.

where

$$\tau_{sf} = \rho \langle u_{*IBL} \rangle^2; \quad \tau_d = \frac{1}{2} \rho C_D \frac{H}{\lambda} u_{ref}^2. \quad (A2)$$

The drag coefficient  $C_D$  is evaluated empirically through the relation:

$$C_D = 1.79 \exp\left(-0.77 \frac{\sigma}{H}\right), \quad (A3)$$

while the shear velocity  $u_{*IBL}$  within the internal boundary layer is estimated as  $\sqrt{\tau_{IBL}/\rho} \approx \sqrt{\tau_{sf}/\rho}$ . Here,  $\tau_{IBL}$  is the local skin friction shear stress in the absence of bumps, which can be approximated with the shear stress due to local grain roughness ( $\tau_{sf}$ ). The reference velocity  $u_{ref}^2$  is obtained by integrating the flow velocity across the plan view area  $A$  of the equivalent Gaussian shaped element:

$$u_{ref}^2 = \frac{1}{A} \int_A u^2(x, z) dA. \quad (A4)$$

The velocity  $u^2(x, z)$  within the internal boundary layer is approximated through the law of the wall, while in the wake region the far-field wake solution of Schlichting (1979) is employed. We refer the reader to (Kean and Smith, 2006a) for the specific expressions attained by  $u$  in these two regions and the related coefficients. Here we simply recall that the model requires as input data: the geometrical characteristics of the equivalent Gaussian bump elements ( $H_{reg}, \sigma_{reg}, \lambda_{reg}$ ) used to characterize, on average, the spatial distribution of macroroughness on the bank surface; the local, small-scale roughness height of bank material  $z_{0SF}$  (essentially related to the larger sediment grains, and for our simulations assumed constant and equal to 0.0005m); the flow velocity of the outer boundary layer  $u_{out}$  at a prescribed distance from the bank  $z_{crit}$ . As an output, besides the shear stresses  $\tau_T$ ,  $\tau_{sf}$ , and  $\tau_d$ , the model also gives the roughness height  $z_{0T}$  due to skin friction and form drag that, for a regular sequence of bumps, reads

$$z_{0T} = z_m \exp\left\{-\kappa \frac{u_b}{u_{*T}} \left[1 - g(x_c) f\left(\frac{z_m}{b(x_c)}\right)\right]\right\}, \quad (A5)$$

with  $z_m$  matching level between wake and outer flow region,  $u_b$  velocity at the top of the wake,  $g(x)$  and  $f(z)$  are structure functions in wake solution,  $b(x)$  is the wake thickness, and  $x_c$  is the position of the crest element.

#### Notation

<b>0</b>	subscript for central region of the flow
<b>bf</b>	subscript for bankfull condition
<b>LT</b>	subscript for lower triangle region of the flow (Figure 2)
<b>UT</b>	subscript for upper triangle region of the flow (Figure 2)
$A$	liquid area for the various cross-section regions
$A_d$	amplitude of dunes on the channel bed
$B$	channel width
$C$	Chezy friction coefficient in the various cross-section regions
$D_{50}$	median grain size of bed sediment
$D_{90}$	grain diameter such that 90% of the material is finer (bed sediment)
$e_s$	effective channel roughness

$Fr$	Froude number
$g$	gravity acceleration
$H_{bf}$	bankfull flow depth
$H_{reg}$	height of equivalent elements of regular bump sequence
$k$	von Karman constant
$Q$	flow discharge
$R$	hydraulic radius
$U$	mean longitudinal velocity in the various cross-section regions
$u(y); u(z)$	longitudinal velocity profiles in directions normal to the channel bed and banks
$u_*$	friction velocity
$S$	longitudinal channel slope
$y$	vertical coordinate normal to the channel bed
$y_{0T}$	reference level where the velocity normal to the channel bed vanishes
$z$	transverse coordinate orthogonal to the bank
$z_{0sf}$	roughness height due to skin friction on channel banks
$z_{out}$	width of the wall boundary layer on channel banks
$z_{0T}$	roughness height due to skin friction plus form drag on channel banks
$\epsilon$	rate of fluvial bank erosion
$\lambda_{reg}$	spacing of elements of regular bump sequence
$\lambda_d$	length of dune of channel bed
$\sigma_{reg}$	streamwise length scale of elements of regular bump sequence
$\tau_{bf}$	overall bed shear stress for bankfull conditions
$\tau_c$	critical shear stress for bank sediment erosion
$\tau_d$	form drag stress acting on the banks
$\tau_{sf}$	skin friction stress acting on the banks
$\tau_T$	total shear stress exerted on the banks

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