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### **Impaired Chemosensitivity of Mouse Dorsal Raphe Serotonergic Neurons Overexpressing Serotonin 1A (Htr1a) Receptors**

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# Impaired Chemosensitivity of Mouse Dorsal Raphe Serotonergic Neurons Overexpressing Serotonin 1A (Htr1a) Receptors

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## Abstract

**Background:** Serotonergic system participates in a wide range of physiological processes and behaviors, but its role is generally considered as modulatory and noncrucial, especially concerning life-sustaining functions. We recently created a transgenic mouse line in which a functional deficit in serotonin homeostasis due to excessive serotonin autoinhibition was produced by inducing serotonin 1A receptor (Htr1a) overexpression selectively in serotonergic neurons (Htr1a raphe-overexpressing or Htr1a<sup>RO</sup> mice). Htr1a<sup>RO</sup> mice exhibit episodes of autonomic dysregulation, cardiovascular crises and death, resembling those of sudden infant death syndrome (SIDS) and revealing a life-supporting role of serotonergic system in autonomic control. Since midbrain serotonergic neurons are chemosensitive and are implicated in arousal we hypothesized that their chemosensitivity might be impaired in Htr1a<sup>RO</sup> mice.

**Principal findings:** Loose-seal cell-attached recordings in brainstem slices revealed that serotonergic neurons in dorsal raphe nucleus of Htr1a<sup>RO</sup> mice have dramatically reduced responses to hypercapnic challenge as compared with control littermates. In control mice, application of 9% CO<sub>2</sub> produced an increase in firing rate of serotonergic neurons (0.260±0.041 Hz, n=20, p=0.0001) and application of 3% CO<sub>2</sub> decreased their firing rate (−0.142±0.025 Hz, n=17, p=0.0008). In contrast, in Htr1a<sup>RO</sup> mice, firing rate of serotonergic neurons was not significantly changed by 9% CO<sub>2</sub> (0.021±0.034 Hz, n=16, p=0.49) and by 3% CO<sub>2</sub> (0.012±0.046 Hz, n=12, p=0.97).

**Conclusions:** Our findings support the hypothesis that chemosensitivity of midbrain serotonergic neurons provides a physiological mechanism for arousal responses to life-threatening episodes of hypercapnia and that functional impairment, such as excessive autoinhibition, of midbrain serotonergic neuron responses to hypercapnia may contribute to sudden death.

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## Introduction

Serotonergic system activity participates in a wide range of basic physiological processes regulating cardiovascular and respiratory autonomic responses, arousal, sleep-wake cycle, nociception, food intake and energy balance as well as in higher brain functions such as emotion and cognition. However, the role of serotonin has traditionally been considered as modulatory and nonessential for life-sustaining responses. Recent findings using transgenic mice with functional or anatomical alterations of the serotonergic system have substantially changed this view by revealing a key role of serotonergic system in the regulation of life-sustaining autonomic functions. We created Htr1a<sup>RO</sup> transgenic mice by reversible overexpression of the Htr1a selectively in serotonergic neurons [1]. This mouse line has a functional deficit in the serotonergic system due to increased negative feedback of

serotonin on somatodendritic Htr1a autoreceptors. Unexpectedly, Htr1a<sup>RO</sup> mice showed episodes of autonomic dysregulation, life-threatening cardiovascular crises and sudden death. A similar panel of symptoms have been found in mutant mice with structural deficits in the serotonergic system. In mice lacking serotonergic neuron-restricted Pet-1 transcription factor (Pet-1<sup>-/-</sup> mice), the majority of serotonergic neuron precursors fail to differentiate while the remaining ones show multiple deficit in serotonergic-specific gene expression [2]. Pet-1<sup>-/-</sup> mice exhibit increased neonatal mortality and, immediately after birth, their respiratory control is susceptible to environmental conditions, such as exposure to hypoxia or anoxia, suggesting a critical developmental window, analogous to that of sudden infant death syndrome (SIDS) [3,4]. Another mouse line, Lmx1b<sup>f/f/p</sup>, in which nearly all serotonergic neurons are genetically deleted, also exhibits compromised autonomic functions, shows high mortality

[5] and lacks arousal response to inhalation of CO<sub>2</sub> [6]. In addition, pharmacological lesion of serotonergic neurons in neonatal rat pups, which reduced serotonin content by ~80%, increased their mortality in response to repeated environmental anoxia, when tested at P7–10, suggesting a physiological role of serotonergic neurons in autoreuscitation [7].

In humans, functional and/or structural serotonergic system alterations resulting in the dysregulation of life supporting-autonomic responses are suspected to participate in SIDS, the leading cause of death for infants aged 1–12 months in developed countries [8].

Several genetic studies have revealed association of SIDS with genes involved in serotonergic function, including the serotonin transporter gene, *SLC6A4* [9–12], but see [13], and *Htr1a* gene [14]. Post-mortem studies have also suggested that serotonergic system abnormalities might be implicated [15–17] and that incomplete arousal from sleep might be the actual cause of death [8,18]. The activity of serotonergic neurons in dorsal raphe nucleus (DRN) correlates with behavioral arousal and sleep-waking states [19–21]. Together with the median raphe nucleus, the DRN is considered to be part of the wake-promoting ascending arousal system (see [22]). Since acute hypercapnia is a powerful stimulus for arousal from sleep in infants and adults [23,24] and DRN serotonergic neurons are chemosensitive [25,26] it has been proposed that midbrain serotonergic neurons initiate the arousal response to hypercapnia and that impairment in CO<sub>2</sub> chemoreception due to serotonergic system dysfunction might be the primary defect in a subset of SIDS [27].

The physiological mechanism by which altered serotonin homeostasis in Htr1a<sup>RO</sup> mice compromises life-sustaining functions are unknown. We hypothesized here that excessive serotonin autoinhibition in Htr1a<sup>RO</sup> mice may interfere with CO<sub>2</sub> chemosensitivity of serotonergic neurons. To test this hypothesis we used loose-seal cell-attached recording to examine chemosensitivity of DRN serotonergic neurons in brainstem slices from Htr1a<sup>RO</sup> mice and control littermates. We particularly focused on responses to hypercapnia, which may have a crucial role in survival response to a life-threatening event in Htr1a<sup>RO</sup> mice and may be related to SIDS.

## Results

Using loose-seal cell-attached voltage-clamp recordings in brain slices obtained from Htr1a<sup>RO</sup> and control mice, we compared changes in the firing rate of DRN serotonergic neurons in response to changes in P<sub>CO2</sub> that reproduce *in vitro* the effects of hypercapnia (9% CO<sub>2</sub>) and hyperventilation (3% CO<sub>2</sub>). The present report is based on recordings from 31 neurons from 13 Htr1a<sup>RO</sup> mice and 64 recordings from 31 control littermates.

### Intrinsic Chemosensitive Responses of DRN Serotonergic Neurons are Markedly Decreased in Htr1a<sup>RO</sup> Mice

To determine intrinsic chemosensitivity of serotonergic neurons in Htr1a<sup>RO</sup> and control mice we measured the responses to 9% and 3% CO<sub>2</sub> using artificial cerebrospinal fluid (ACSF) supplemented with a mixture of drugs containing: 10 μM phenylephrine to facilitate firing; 10 μM 2,3-dioxo-6-nitro-1,2,3,4-tetrahydrobenzo[f]quinoxaline-7-sulphonamide (NBQX) and 20 μM d(-)-2-amino-5-phosphonopentanoic acid (d-APV) to block excitatory synaptic transmission; and 10 μM 6-imino-3-(4-methoxyphenyl)-1(6H)-pyridazinebutanoic acid (SR-95531), 2 μM 3-M[1-(S)-(3,4-dichlorophenyl)ethyl]amino-2-(S)-hydroxypropyl-*P*-benzyl-phosphinic acid (CGP-55845A) and 10 μM strychnine to block inhibitory synaptic transmission (Figure 1). In control mice,

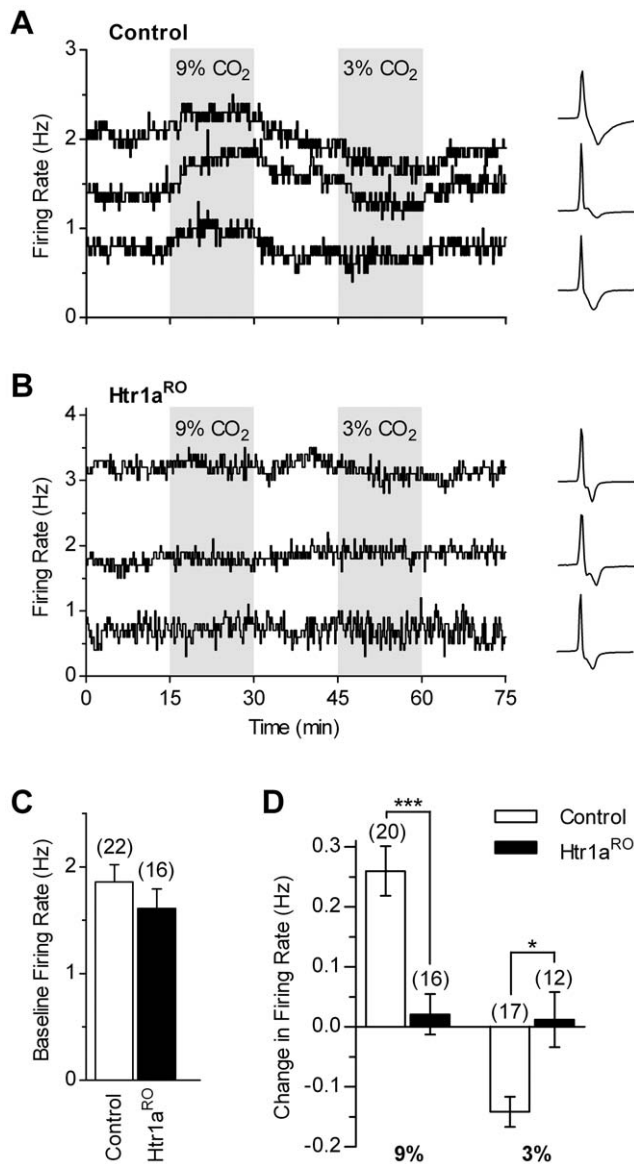
application of 9% CO<sub>2</sub> produced an increase in firing rate of serotonergic neurons (0.260±0.041 Hz, n=20, *p*=0.0001) and application of 3% CO<sub>2</sub> decreased their firing rate (-0.142±0.025 Hz, n=17, *p*=0.0008). In contrast, in Htr1a<sup>RO</sup> mice, firing rate of serotonergic neurons was not significantly changed by 9% CO<sub>2</sub> (0.021±0.034 Hz, n=16, *p*=0.49) and by 3% CO<sub>2</sub> (0.012±0.046 Hz, n=12, *p*=0.97). The baseline firing rate of serotonergic neurons in normocapnic conditions was similar in control and Htr1a<sup>RO</sup> mice (1.856±0.165 Hz, n=22 and 1.610±0.179 Hz, n=16, respectively, *p*=0.45; Figure 1C). The responses of individual neurons to CO<sub>2</sub> were not correlated with their baseline firing rate both in control (9% CO<sub>2</sub>, *p*=0.11; 3% CO<sub>2</sub>, *p*=0.11) and Htr1a<sup>RO</sup> (9% CO<sub>2</sub>, *p*=0.35; 3% CO<sub>2</sub>, *p*=0.31) mice. As shown in Figure 1D, responses to CO<sub>2</sub> in DRN serotonergic neurons from Htr1a<sup>RO</sup> mice, when compared with that of control littermates, were significantly different for both 9% CO<sub>2</sub> (*p*=0.0003) and 3% CO<sub>2</sub> (*p*=0.0123) indicating marked impairment in intrinsic chemosensitivity of DRN serotonergic neurons in Htr1a<sup>RO</sup> mice.

### Noradrenergic Drive is Required for Response to 9% CO<sub>2</sub> in Control Mice

It has been proposed that midbrain serotonergic neurons initiate arousal from sleep in response to hypercapnia [26]. Since facilitatory action of noradrenergic input on serotonergic neuron activity [28] is absent during sleep [29], we next investigated the response to hypercapnic challenge using ACSF supplemented with synaptic blockers, but devoid of α<sub>1</sub>-adrenoceptor agonist, phenylephrine. Under these conditions, most of recorded serotonergic neurons in slices from control mice were silent. As shown in Figure 2, in nine spontaneously active neurons, which had baseline firing rate of 0.460±0.150 Hz (range: 0.005–1.150 Hz), application of 9% CO<sub>2</sub> did not alter their firing rate (0.020±0.018 Hz, *p*=0.20). In additional six silent neurons, in which firing was transiently evoked by short (1–2 min) application of phenylephrine, subsequent hypercapnic challenge failed to induce any firing activity. These results suggest that noradrenergic input is essential for arousal response to hypercapnia in normal mice.

### Responses of DRN Serotonergic Neurons to Hypercapnic Challenge Persist in the Absence of Synaptic Blockade

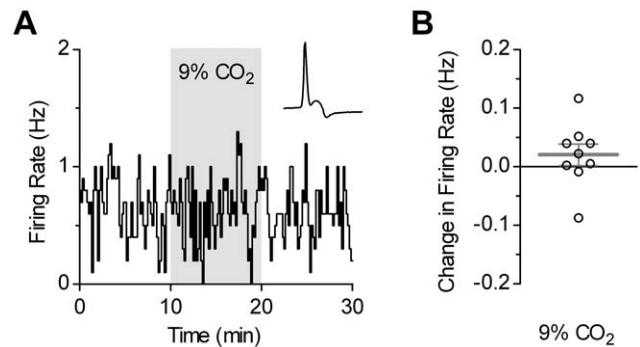
We next examined serotonergic neuron chemosensitivity in conditions of preserved local network functioning, in which local mechanisms regulating serotonergic neuron activity were maintained. These experiments were done in the absence of synaptic blockade, using normal, phenylephrine-supplemented ACSF (Figure 3). In control mice, firing rate of serotonergic neurons was significantly increased by application of 9% CO<sub>2</sub> (0.192±0.042 Hz, n=27, *p*<0.0001) and significantly decreased by application of 3% CO<sub>2</sub> (-0.093±0.021 Hz, n=22, *p*=0.0011). In contrast, firing rate of Htr1a<sup>RO</sup> serotonergic neurons did not significantly change in response to 9% CO<sub>2</sub> (0.044±0.025 Hz, n=15, *p*=0.12) and 3% CO<sub>2</sub> (-0.054±0.028, n=13, *p*=0.13). When compared to control littermates, responses to hypercapnic challenge in Htr1a<sup>RO</sup> mice were significantly decreased (*p*=0.0097), but responses to 3% CO<sub>2</sub> did not reach statistical significance (*p*=0.24), likely due to small response in controls in this set of experiments. Taken together, the data obtained in both experimental conditions, i.e. with and without synaptic blockade, showed a marked impairment of response to hypercapnia in serotonergic neurons from Htr1a<sup>RO</sup> mice (*p*<0.0001 vs. control littermates).



**Figure 1. Intrinsic chemosensitive responses of serotonergic DRN neurons are greatly decreased in Htr1a<sup>RO</sup> mice.** A, B, Representative loose-seal cell-attached voltage-clamp recordings performed in the presence of synaptic blockers (see results) showing time-courses of serotonergic neuron firing in response to bath application of 9% and 3% CO<sub>2</sub> in slices from control (A) and Htr1a<sup>RO</sup> (B) mice. Each panel reports three time-courses from different neurons. In B, one neuron with basal firing rate higher than the average of Htr1a<sup>RO</sup> group is shown to illustrate that the lack of responses to CO<sub>2</sub> changes did not depend on basal firing rate of the recorded neuron (see results). Lines show firing rate calculated over 10 s bins. Traces illustrate recorded action currents for each experiment. C, Bar graph of baseline firing rate in the two groups. D, Summary bar graph comparing the effects of 9% and 3% CO<sub>2</sub> in control and Htr1a<sup>RO</sup> mice. \*  $p < 0.05$ ; \*\*\*  $p < 0.001$  (Mann-Whitney test). Number of recorded neurons is indicated in parentheses. doi:10.1371/journal.pone.0045072.g001

#### Distribution of Chemosensitive Responses within the DRN

Since impairment of response to hypercapnia in Htr1a<sup>RO</sup> mice may contribute to death phenotype we further examined responses to 9% CO<sub>2</sub> (Figure 4). To increase population size for post-hoc analysis, data obtained in the presence of a mixture of synaptic



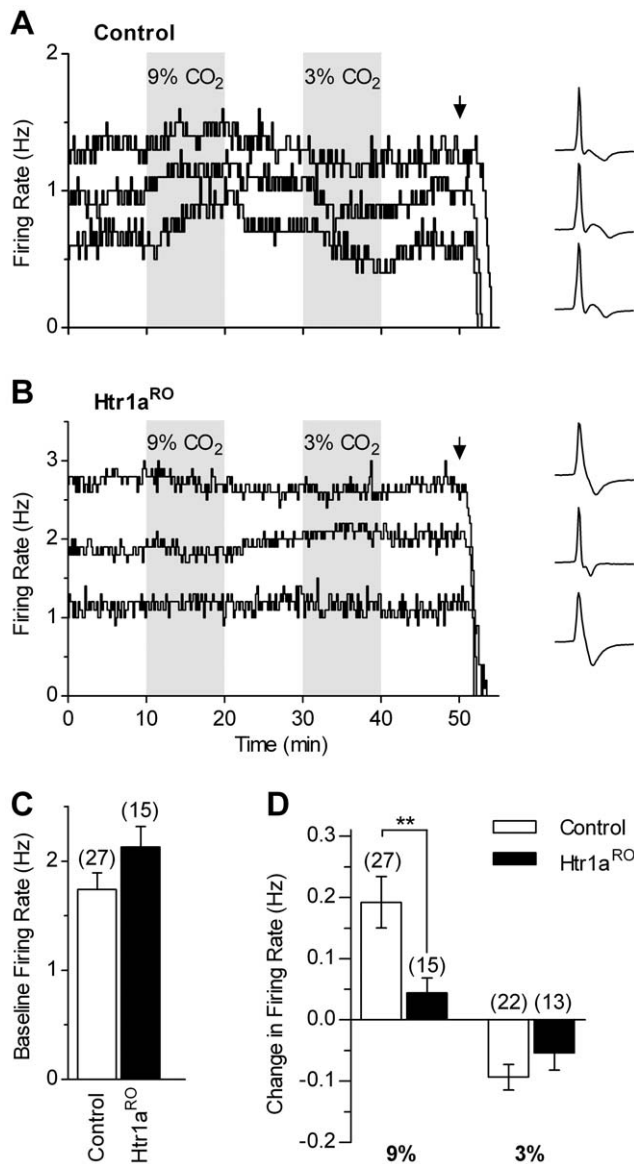
**Figure 2. In the absence of  $\alpha_1$ -adrenoceptor stimulation, 9% CO<sub>2</sub> does not change firing rate of spontaneously active serotonergic neurons in control mice.** A, Time-course of a representative experiment. Phenylephrine was omitted from ACSF containing synaptic blockers. Inset shows the recorded action current. B, Distribution of responses to 9% CO<sub>2</sub> for all recorded neurons. doi:10.1371/journal.pone.0045072.g002

blockers and normal ACSF were pooled. There was no significant difference in responses to hypercapnic challenge between experimental conditions ( $p = 0.15$  for control,  $p = 0.74$  for Htr1a<sup>RO</sup>). Analysis of pooled data revealed that responses in control mice did not follow a normal distribution (D'Agostino-Pearson omnibus test,  $p = 0.0049$ ) and were well fitted with a double Gaussian function (Figure 4A, up). On the contrary, responses in the Htr1a<sup>RO</sup> group followed a normal distribution ( $p = 0.80$ ) and were well fitted with a single Gaussian function (Figure 4A, bottom). We next analyzed responses to hypercapnic challenge in respect to anatomical location of recorded neurons and to postnatal age of mice. In control mice, Spearman's test revealed no correlation of responses ( $n = 47$ ) with the rostrocaudal location of neurons ( $p = 0.47$ ), with their lateral distance from the midline ( $p = 0.89$ ), and with vertical distance from the aqueduct ( $p = 0.19$ ). In Htr1a<sup>RO</sup> mice, Spearman's test revealed no correlation of responses ( $n = 31$ ) with distances from the midline ( $p = 0.66$ ) and the aqueduct ( $p = 0.25$ ), but there was a significant correlation with the rostrocaudal location of neurons ( $p = 0.0199$ ). An increase in firing rate in response to 9% CO<sub>2</sub> was recorded in six serotonergic neurons located in the rostral margin of DRN, but not in more caudal neurons (Figure 4C). Finally, in both genotypes, responses to hypercapnic challenge did not correlate with postnatal age of mice (control,  $p = 0.63$ ; Htr1a<sup>RO</sup>,  $p = 0.83$ , Figure 4D).

#### Discussion

We recently reported that Htr1a<sup>RO</sup> mice, in which overexpression of Htr1a autoreceptors produces excessive serotonin autoinhibition, exhibit sporadic autonomic crises that frequently progress to death [1]. The present study demonstrates that DRN serotonergic neurons in brainstem slices from Htr1a<sup>RO</sup> mice have dramatically attenuated responses to hypercapnic challenge.

In control mice, firing activity of DRN serotonergic neurons was proportional to P<sub>CO<sub>2</sub></sub> both in the presence and the absence of synaptic blockers, indicating that they are intrinsically chemosensitive. The magnitude of responses was moderate, comparable to that observed by others in response to change in P<sub>CO<sub>2</sub></sub> or pH in DRN of rat [25,26] and mice [30]. Previous evidence indicated that chemosensitivity is mild on average since it is not a property shared by all serotonergic neurons. Thus, in awake cats, only a subgroup (8 of 36) of serotonergic neurons in DRN increased their activity in response to inhalation of CO<sub>2</sub> [31] and in rat brainstem slices only 16 out of 100 serotonergic neurons increased



**Figure 3. Decreased chemosensitive responses of serotonergic DRN neurons in Htr1a<sup>RO</sup> mice in the absence of synaptic blockade.** A, B, Representative recordings performed in normal phenylephrine-supplemented ACSF showing time-courses of serotonergic neuron firing in response to bath application of 9% and 3% CO<sub>2</sub> in slices from control (A) and Htr1a<sup>RO</sup> (B) mice. Lines show firing rate calculated over 10 s bins. Traces illustrate recorded action currents for each experiment. Arrows indicate the application of the Htr1a agonist R-8-OH-DPAT (30 nM) that silenced recorded neurons confirming that they are serotonergic. C, Bar graph of baseline firing rate in control and Htr1a<sup>RO</sup> mice. D, Summary bar graph comparing the effects of 9% and 3% CO<sub>2</sub> in two groups. In Htr1a<sup>RO</sup> mice the response to 9% CO<sub>2</sub> was significantly reduced when compared to control littermates. \*\*  $p < 0.01$  (Mann-Whitney test). Number of recorded neurons is indicated in parentheses.  
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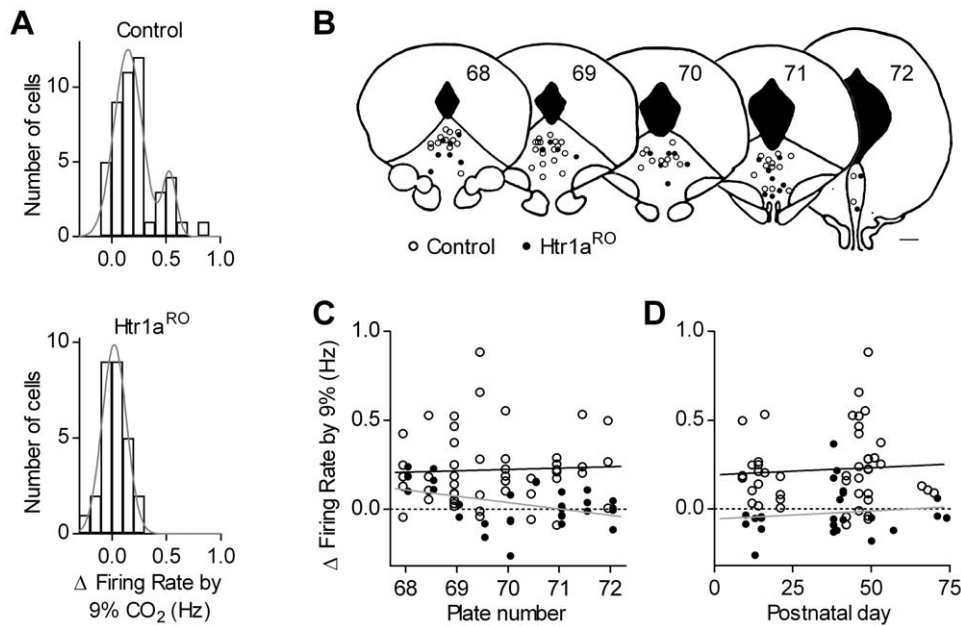
firing activity in response to hypercapnic challenge [26]. In our study, hypercapnic responses did not follow a normal distribution and were well fitted with double Gaussian function, supporting this notion. However, due to the limited sample size, the existence of a specific highly-chemoresponsive subpopulation cannot be conclusively demonstrated. If such a subpopulation exists, it is

likely to be dispersed throughout DRN since analysis of hypercapnic responses in respect to anatomical location of recorded neurons revealed no evidence of a localized subgroup within the margins of dorsal and ventromedian subnuclei of DRN.

During wakefulness, serotonergic neuron activity is facilitated by noradrenergic afferents via full activation of  $\alpha_1$ -adrenoceptors [28] while in sleep the noradrenergic input is decreased producing disfacilitation of serotonergic neuron firing [29,32]. In the absence of the  $\alpha_1$ -adrenoceptor agonist phenylephrine, serotonergic neurons in brainstem slices from control mice lacked the response to hypercapnic challenge, suggesting that the presence of noradrenergic drive is required for the functional response of serotonergic system to hypercapnia. This implies that during sleep, when noradrenergic input is absent, serotonergic neurons are not able to respond to hypercapnia. Beside noradrenaline, several other arousal systems, such as orexin and histamine systems, converge to excite serotonergic neurons, and we hypothesize that serotonergic system per se is insufficient to generate arousal in response to hypercapnia, and that its activity as well as chemosensitivity have to be facilitated by concurrent activity of other arousal systems.

In brainstem slices from Htr1a<sup>RO</sup> mice, responses of serotonergic neurons to hypercapnic challenge were essentially abolished, except in neurons located in the most rostral margins of DRN which exhibited some residual sensitivity. Since in control mice rostral responses were similar to those in the rest of DRN there is the possibility that rostral serotonergic neurons are less sensitive to the effects of excessive autoinhibition. A more comprehensive study is needed to clarify this issue. In Htr1a<sup>RO</sup> mice, death was most frequent between 25 and 80 days of life [1]. In brainstem slices from Htr1a<sup>RO</sup> mice, responses of DRN serotonergic neurons to hypercapnic challenge were greatly reduced over the same time period suggesting a link between reduced chemosensitivity of serotonergic neurons and the death phenotype. It should be mentioned that susceptible period for SIDS (from birth to one year of age) appears more restricted than that for the death phenotype in Htr1a<sup>RO</sup> mice. This discrepancy could derive from the fact that excessive serotonin autoinhibition in genetically engineered Htr1a<sup>RO</sup> mice represents relatively persistent vulnerability factor to sudden death. Indeed, when overexpression of Htr1a was induced with the beginning at 40 or 60 days of age, significantly fewer mice died, suggesting that older mice are less vulnerable to excessive serotonin autoinhibition [1]. It is conceivable that in humans a similar vulnerability becomes crucial during susceptible period for SIDS and is later overcome by adaptive mechanisms.

The mechanism of serotonergic neuron CO<sub>2</sub>/pH sensitivity is still unknown. There is convincing evidence that chemosensitivity of serotonergic neurons derives predominantly from TASK-1 and TASK-3 channels, which are intrinsically pH chemosensitive in the physiological range [25,30]. As in Htr1<sup>RO</sup> mice, in TASK-1 and TASK-3 double knock-out (TASK<sup>-/-</sup>) mice there is a marked reduction in chemosensitivity of serotonergic neurons. However, different from Htr1a<sup>RO</sup> mice, TASK<sup>-/-</sup> mice are viable and apparently healthy, suggesting that at least in some serotonergic neurons chemosensitivity is mediated by different ion channel types or mechanisms, or alternatively altered chemosensitivity is not involved in the death phenotype of Htr1<sup>RO</sup> mice. This discrepancy may also be due to methodological differences since the conclusion that TASK-1 and TASK-3 channels mediate serotonergic neuron chemosensitivity is based on whole-cell recordings, in which cytoplasm dialysis can cause loss of chemosensitivity, and in the absence of noradrenergic drive which our data suggests is critical for chemosensitivity of serotonergic neurons. Although the relationship between excessive autoinhibi-



**Figure 4. Distribution of pooled responses to hypercapnic challenge.** A, Bar graph showing the distribution of responses in control and Htr1a<sup>RO</sup> mice. Curves represent best fit of data to a double (Control,  $R^2=0.901$ ) and single Gaussian function (Htr1a<sup>RO</sup>,  $R^2=0.952$ ). B, Schematic diagram of frontal sections at various rostrocaudal levels of the DRN mouse raphe in which positions of the recorded serotonergic neurons in control (open circles) and Htr1a<sup>RO</sup> mice (filled circles) are reported. Numbers correspond to plates in [39]. C, Individual responses to 9% CO<sub>2</sub> application in slices from control (open circles) and Htr1a<sup>RO</sup> mice (filled circles) plotted against the rostrocaudal position of the corresponding recorded neuron indicated by the plate number. Continuous and broken lines are best linear regressions of data in control and Htr1a<sup>RO</sup>, respectively. D, Individual responses to 9% CO<sub>2</sub> application in slices from control (open circles) and Htr1a<sup>RO</sup> (filled circles) plotted against the postnatal age of the mouse at time of recording. Continuous and broken lines are best linear regressions of data in control and Htr1a<sup>RO</sup>, respectively. doi:10.1371/journal.pone.0045072.g004

tion and impaired chemosensitivity of serotonergic neurons in Htr1a<sup>RO</sup> mice was not directly addressed in this study, it can be hypothesized that the impairment of chemosensitivity is due to a reduction in serotonergic neuron resistance via an excessive activation of GIRK channels in Htr1a<sup>RO</sup> mice. Similarly, loss of chemosensitivity in the absence of the  $\alpha_1$ -adrenoceptor agonist phenylephrine in control mice could be caused by an analogous mechanism, since  $\alpha_1$ -adrenoceptor stimulation increases serotonergic neuron resistance *via* closure of potassium channels [33]. An alternative possibility is that serotonergic neuron chemosensitivity is mediated by a cation channel activated by  $\alpha_1$ -adrenoceptors.

In spite of solid evidence that at least some serotonergic neurons are chemosensitive, their importance for homeostatic control of blood pH and CO<sub>2</sub> has been disputed (see [34,35]). Recently, conditional insertional genetics was used to create a *RC::FPDi* mouse line in which the activity of serotonergic neurons can be selectively suppressed by application of a biologically inert synthetic ligand [36]. By using this approach, the authors have revealed impaired respiratory and body temperature control upon acute suppression of serotonergic neuron activity providing convincing evidence that serotonergic neurons play a key role in the central chemoreflex. Medullary serotonergic neurons innervate major respiratory centers and contribute to activity of the respiratory network, although the extent in which they mediate the ventilation responses to hypercapnia has not been clarified. Midbrain serotonergic neurons, on the other side, do not innervate major respiratory centers and are not directly involved in control of breathing. They have widespread rostral projections and are considered to be a part of wake-promoting ascending arousal system (see [22]). It has been proposed [26], that midbrain serotonergic neurons mediate non-respiratory responses to hypercapnic acidosis which are nonetheless potentially important for

survival, such as induction of arousal and anxiety, and change in cerebral blood flow. Consistently, it was recently found that the arousal elicited by hypercapnic stimuli during sleep-wake transitions is abolished by genetic deletion of the serotonergic system [6]. Our findings provide additional support for a role of the serotonergic system in central chemosensitivity since they are obtained using a transgenic mice line with a structurally intact serotonergic system and only with a specific functional deficit due to increased serotonergic neuron autoinhibition. It is conceivable that the failure of DRN serotonergic neurons to respond to hypercapnia is directly or indirectly linked with the catastrophic autonomic dysregulation seen in Htr1a<sup>RO</sup> mice.

The cause of terminal fatal events in SIDS victims is not yet determined. Failure to arouse from sleep during a life-threatening event could be critical for survival [37]. There is evidence indicating that infants who eventually died of SIDS exhibited incomplete arousal from sleep [18]. Considering that SIDS victims characteristically die during sleep, it can be hypothesized that impairment in responsiveness of DRN serotonergic neurons to hypercapnia contribute to incomplete arousal from sleep and loss of the resuscitation reflexes. Further investigation *in vivo* is needed to establish whether the loss of response to hypercapnia in midbrain serotonergic neurons is causally implicated in altered arousal from sleep and death in Htr1a<sup>RO</sup> mice.

## Materials and Methods

All animal manipulations were performed according to the European Community guidelines for animal care (Directive 86/609/EEC) and approved by the Committee on the Ethics of Animal Experiments of the University of Florence. Mice of both sexes were anaesthetized with isoflurane and decapitated. The brain was

rapidly removed, dissected in ice-cold gassed (95% O<sub>2</sub> and 5% CO<sub>2</sub>) artificial cerebrospinal fluid (ACSF) containing (in mM): 124 NaCl, 2.75 KCl, 1.25 NaH<sub>2</sub>PO<sub>4</sub>, 1.3 MgCl<sub>2</sub>, 2 CaCl<sub>2</sub>, 26 NaHCO<sub>3</sub>, 11 D-glucose, and the brainstem sliced coronally into 250 μm thick slices with a DSK-T1000 vibratome (Dosaka). After recovery for 2–6 h at room temperature, the slices were individually transferred into the recording chamber and superfused continuously with warmed ACSF (34–35°C) at a rate of 2 ml min<sup>-1</sup>.

## Electrophysiology

Neurons were visualized by infrared differential interference contrast video microscopy with a Newicon C2400-07 camera (Hamamatsu) mounted to an Axioskop microscope (Zeiss). Recordings were made using an EPC-10 amplifier (HEKA). Patch pipettes were prepared from thick-walled borosilicate glass on a P-97 puller (Sutter) and had resistance of 3–6 MΩ when filled with solution containing (in mM): 125 NaCl, 10 HEPES, 2.75 KCl, 2 CaCl<sub>2</sub>, 1.3 MgCl<sub>2</sub> (pH 7.4 with NaOH). Loose-seal cell-attached recordings (5–20 MΩ seal resistance) were acquired continuously in voltage-clamp mode. Signals were filtered at 3 kHz and digitized at 10 kHz. Pipette potential was maintained at 0 mV. Recordings were aborted if firing rate was sensitive to changes in pipette holding potential or if shape of action current changed. Data were analyzed using Clampfit 9.2 (Molecular Devices). Unless otherwise stated, extracellular saline was supplemented with 10 μM phenylephrine to facilitate firing [38]. Neurons were presumed serotonergic when displayed firing rate of less than 4 Hz and asymmetric action current with peak-to-peak interval (proportional to action potential half-height width) greater than 1 ms. At the end of the recording, the response to the Htr1a agonist R(+)-8-hydroxy-2-(di-n-propylamino)tetralin (R-8-OH-DPAT; 30 nM) was routinely tested and neurons in which firing was not abolished (n = 2) were deemed unhealthy or non-serotonergic and excluded from analyses. One experiment was done in each slice. To test the effects of acid/base changes, normocapnic superfusing solution (5% CO<sub>2</sub>; pH 7.39, in the recording

chamber) was replaced by hypercapnic solution containing 9% CO<sub>2</sub> (pH 7.10) or hypocapnic solution containing 3% CO<sub>2</sub> (pH 7.50). Solutions with different P<sub>CO2</sub> were applied for 10–15 min and 90% of change in pH in the recording chamber was reached in ≈3 min. Steady-state values were calculated as average firing rate over the last 3–5 minutes of application and responses to 9 and 3% CO<sub>2</sub> were calculated relative to firing rates measured in normocapnic solution immediately before and 10–15 min after application of CO<sub>2</sub>-modified solutions. Responses are expressed as difference in firing rate rather than as percent change to permit accurate quantification of the effect in slowly firing neurons, which would otherwise contribute disproportionately to average values in respect to faster firing neurons. There was no significant correlation between baseline firing rate and the change in firing rate produced by CO<sub>2</sub>-modified solutions (see results).

## Drugs

CGP-55845A was purchased from Tocris Bioscience. NBQX, d-APV and SR-95531 were from Ascent Scientific. All other substances were from Sigma-Aldrich.

## Statistical Analysis

Data are presented as mean and SEMs. Statistical analysis was conducted using Prism 5 (GraphPad). For assessment of significance two-tailed non-parametric tests were used: Wilcoxon signed rank test for significance of response in single groups, Mann-Whitney test for comparison between groups, and Spearman's test for correlation between parameters. A *p* < 0.05 was considered significant.

## Author Contributions

Conceived and designed the experiments: BM RC. Performed the experiments: GB BM EA. Analyzed the data: GB BM. Contributed reagents/materials/analysis tools: EA CTG. Wrote the paper: BM RC CTG.

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