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## Molecular mechanisms associated with leukemic transformation of MPL-mutant myeloproliferative neoplasms

by Philip A. Beer, Christina A. Ortmann, Frank Stegelmann, Paula Guglielmelli, John T. Reilly, Thomas S. Larsen, Hans Hasselbalch, Alessandro M. Vannucchi, Peter Möller, Konstanze Döhner, and Anthony R. Green

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## **Molecular mechanisms associated with leukemic transformation of *MPL* -mutant myeloproliferative neoplasms**

Running title: MPL-positive AML

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Key words: MPL, JAK2, TP53, TET2, myeloproliferative neoplasm, acute myeloid leukemia, essential thrombocythaemia, primary myelofibrosis, hydroxycarbamide.

**Abstract**

Somatic activating mutations in *MPL*, the thrombopoietin receptor, occur in the myeloproliferative neoplasms, although virtually nothing is known about their role in evolution to acute myeloid leukaemia. In this study, the *MPL* T487A mutation, identified in de novo acute myeloid leukaemia, was not detected in 172 patients with a myeloproliferative neoplasm. In patients with a prior *MPL* W515L-mutant myeloproliferative neoplasm, leukemic transformation was accompanied by *MPL*-mutant leukemic blasts, was seen in the absence of prior cytoreductive therapy and often involved loss of wild-type *MPL* by mitotic recombination. Moreover clonal analysis of progenitor colonies at the time of leukaemic transformation revealed the presence of multiple genetically distinct but phylogenetically-related clones bearing different *TP53* mutations, implying a mutator-phenotype and indicating that leukaemic transformation may be preceded by the parallel expansion of diverse hematopoietic clones.

## Introduction

Acquired mutations in *MPL*, encoding the thrombopoietin receptor, are found in the myeloproliferative neoplasms (MPN) essential thrombocythemia (ET) and primary myelofibrosis (PMF) (1-5). Exon 10 alterations affect the juxtamembrane (W515L/K/A/R) or transmembrane (S505N) domains, resulting in ligand-independent receptor activation (6, 7). An exon 9 T487A mutation, reported in a single case of de novo acute myeloid leukaemia (AML), produced an ET-like phenotype in a mouse model (8) although its prevalence in human MPN is unknown.

Virtually nothing is known about molecular events associated with disease progression in *MPL*-mutant MPN. Although mutations in *TET2* and *MPL* may coexist (9), their clonal relationship has not been reported. A mutant allele burden exceeding 50% occurs in patients with *MPL*-mutant PMF or rarely ET (1-5) and often reflects duplication of the mutant *MPL* allele by mitotic recombination (10-12). AML following a *JAK2* V617F-positive MPN commonly lacks the *JAK2* mutation (13-15), and although *MPL* mutations have been observed in unfractionated post-MPN AML bone marrow samples (2), the *MPL* status of the prior MPN and of purified blast cells was not established.

We have studied the role of *MPL* mutations in early and leukaemic phase MPN, focusing on the prevalence of mutations in exon 9, the role of *MPL* and additional mutations in leukaemic transformation and mechanisms by which the wild-type *MPL* allele is lost.

## Design and Methods

Screening for *MPL* exon 9 mutations was performed on a cohort of 172 patients attending a single MPN clinic in Cambridge, UK. Three patients who developed AML following an *MPL*-mutant MPN were identified on an ad hoc basis from clinics in Cambridge, Ulm and Florence. Patients were diagnosed with ET, post-ET myelofibrosis or PMF according to published criteria (16, 17). A diagnosis of AML transformation required  $\geq 20\%$  blasts in

blood and/or bone marrow. Local Research Ethics Committee approval was obtained and studies were carried out in accordance with the principals of the Declaration of Helsinki. Cell fractionation and progenitor colony assays were performed as described (15). Leukaemic blasts, purified by CD34-immunomagnetic selection, were  $\geq 90\%$  pure by morphological criteria. Mutations in *MPL* (exons 9 & 10), *N/KRAS* (codons 12, 13 & 61), *CEBPA* (exon 1), *RUNX1* (all coding exons), *GATA2* (exon 4), *NPM* (exon 12), *WT1* (exons 7 & 9), *TP53* (exons 4 - 8), *CBL* (exons 8 & 9), *IDH1* (exon 2), *IDH2* (exon 4) and *TET2* (all coding exons) were assessed by direct sequencing. *MPL* copy number was assessed by real-time PCR using control regions on 13q and 9p.

## Results and Discussion

Expression of the AML-associated *MPL* exon 9 T487A allele in mouse bone marrow cells produced an ET-like disease in vivo that was indistinguishable from a similar mouse model of *MPL* W515L (8), an allele associated with human ET and PMF. Of note, *JAK2* V617F mutations have been observed in occasional patients with de novo AML, indicating that MPN-associated mutations may be seen in de novo acute leukaemia, and/or occasional patients may present in blastic phase of a previously undiagnosed MPN (15). To ascertain whether the *MPL* T487A allele or other changes in the *MPL* extracellular-juxtamembrane domain are associated with chronic phase ET or with PMF, *MPL* exon 9 was sequenced in granulocyte DNA from 172 patients (Supplementary Table 1). No mutations were detected. These data indicate that *MPL* exon 9 mutations occur rarely, if at all, in human MPN.

Progression to acute leukaemia is observed in a proportion of patients with a *JAK2*-mutant, *MPL*-mutant or mutation negative MPN, and in ET the presence or absence of an *MPL* mutation does not appear to modulate this risk (4). To investigate the role of *MPL* mutations in leukaemic transformation, we studied three patients with AML following an *MPL* W515L-positive MPN (Table 1). All three patients were negative for the *JAK2* V617F mutation. In patients 1 and 2, bone marrow studies performed at AML progression showed

granulocytic hyperplasia, dysplastic megakaryocytes, reticulin fibrosis  $\geq 3$  (graded on a 0-4 scale) and clusters of CD34+ cells, in keeping with the AML subtype 'acute panmyelosis with fibrosis' (18) (Figure 1A). In patient 3, AML was diagnosed by >95% blast cells in the peripheral blood. Patients 1 and 3 had received hydroxycarbamide but patient 2 had not received cytoreductive therapy. As patients with a *JAK2* V617F-positive MPN may develop leukaemia that lacks the *JAK2* mutation (13-15), leukemia *MPL* mutation status was determined using purified blasts, free from contamination by the preceding MPN. In patient 1, initially diagnosed with ET, leukaemic blasts were heterozygous for the *MPL* W515L mutation, whereas in patients 2 and 3, with preceding PMF and post-ET myelofibrosis respectively, only the mutant *MPL* allele was detected (Figure 1B). The absence of wild-type allele in patients 2 and 3 might reflect acquisition of a second mutation, deletion of the wild-type allele or mitotic recombination.

To distinguish between these possibilities, we studied W515L-homozygous leukaemic blasts from patients 2 and 3 together with granulocytes from a W515L-positive *JAK2* V617F-negative PMF patient (patient 4) with a mutant allele proportion of >0.9. In all 3 patients, informative SNPs (genotyped by direct sequencing) at both the telomeric end of the *MPL* locus and 39Mb distal (close to the 1p telomere) showed loss of heterozygosity in leukaemic blasts (patients 2 & 3) or granulocytes (patient 4) (data not shown), excluding acquisition of a second *MPL* mutation. To distinguish deletion of the wild-type allele from mitotic recombination, *MPL* copy number was assessed by real-time PCR, which demonstrated two copies of *MPL* in all cases (Figure 1C). These findings demonstrate that homozygosity for an acquired *MPL* W515L mutation had arisen by mitotic recombination in these three patients, confirming previous studies in which acquired uniparental disomy affecting the *MPL* locus had been detected by SNP array technology (10-12). Together these findings mirror the situation with other signalling pathway mutations, such as *JAK2* V617F and *FLT3*-ITD, where mitotic recombination results in duplication of the mutant

allele, implying a selective advantage is conferred by either increased mutant gene dosage or loss of the wild-type allele.

Leukaemic blasts were screened for mutations *in N/KRAS, CEBPA, RUNX1, GATA2, NPM, WT1, TP53, CBL, IDH1/2 and TET2*. Mutations were identified in *TP53* and *TET2* in patient 1 but no additional lesions were found in patients 2 and 3. In patient 1, the *TET2* mutation was predominant in bone marrow cells obtained 3 years prior to leukemic transformation, whereas the *MPL* mutation was present at a relatively low level (Figure 2A). Sequencing is not highly quantitative, but the magnitude of the observed difference suggests that the *TET2* mutation preceded acquisition of the *MPL* mutation. Erythroid and granulocyte-macrophage colonies (n=41 and n=21 respectively), confirmed by cytological analysis, all harboured both *MPL* and *TET2* mutations, demonstrating that the mutations arose within the same clone. In addition, 13 of 62 colonies harbored mutations in *TP53*. Remarkably, a total of four different *TP53* mutations were identified, all of which are recurrent, functionally-significant cancer-associated alleles (19). Progression to acute leukemia was associated with loss of wild-type *TP53* in one subclone (Figure 2A).

Detection of *TP53* mutations within erythroid and granulocyte-macrophage colonies indicates that terminal differentiation may proceed in the presence of mutant p53 where the wild-type allele is retained. Furthermore, the presence of multiple p53-mutant clones, all involving C:G-to-T:A transitions (Figure 2A), implies a mutator-phenotype prior to the development of an AML-associated differentiation block. One possible mechanism invokes a mutagenic effect of mutant *MPL*, *TET2* or other unidentified genes, as reported in models of oncogenic *ERBB2* and *BCR-ABL1* which resulted in a bias towards transversion or transition mutations respectively (20, 21). In this patient, no other acquired synonymous or non-synonymous mutations were identified in 11.5Kb of DNA sequence from leukemic blasts, although the mutation prevalence in solid tumours (1 mutation every  $10^5$  -  $10^6$  bases) suggests that a genome-wide approach would be necessary to elucidate the true

mutation frequency. In addition, it is possible that alterations of *MPL*, *TET2* or unidentified gene(s) within the parental clone impart a strong selective pressure for the acquisition of *TP53* mutations. Alternatively, diverse clones may arise following exposure to an exogenous agent. Hydroxycarbamide (received by this patient) has been linked to abnormalities of 17p (which harbours the *TP53* locus) (22, 23), although a specific mutation signature has not been reported.

Taken together, our data demonstrate the parallel expansion of genetically distinct but phylogenetically-related clones prior to leukemic transformation (Figure 2B). Of note, clonal diversity (assessed by loss of heterozygosity and *TP53/CDKN2A* mutation) in patients with Barrett's oesophagus has been associated with an increased risk of progression to adenocarcinoma (24), suggesting that in this disease expansion of competing clones may also presage progression to a fully malignant phenotype.

In conclusion, this study used paired MPN/AML samples to demonstrate that progression to AML is part of the natural history of *MPL* W515L-associated disease, may occur in the absence of prior cytoreductive therapy and may involve loss of the wild-type *MPL* allele by mitotic recombination. Moreover studies of progenitor colonies revealed the expansion of divergent but phylogenetically-related clones during progression from *MPL*-mutant MPN to AML.



### **Authorship and Disclosures**

PAB designed and performed experiments, analyzed data and co-wrote the manuscript; CAO and PG performed experiments; FS, PG, JTR, TSL, HCH, AMV, PM and KD provided patients samples and clinical information; ARG directed the research and co-wrote the manuscript. All authors have had an opportunity to review the manuscript. None of the authors have any conflict of interest to declare.

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**Table 1. Clinical and laboratory features of *MPL* W515L-positive patients at presentation and at time of progression to acute myeloid leukemia**

| <b>At presentation</b>                        | <b>Patient 1</b>                         | <b>Patient 2</b>     | <b>Patient 3</b> |
|-----------------------------------------------|------------------------------------------|----------------------|------------------|
| Age / sex                                     | 61 F                                     | 69 M                 | 51 F             |
| Diagnosis                                     | ET                                       | PMF                  | ET -> MF         |
| Hemoglobin (g/dL)                             | 13.2                                     | 11.7                 | 14.8             |
| White cell count (x10 <sup>9</sup> /L)        | 11.6                                     | 10.3                 | 6.8              |
| Platelet count (x10 <sup>9</sup> /L)          | 813                                      | 611                  | 505              |
| Palpable splenomegaly                         | No                                       | 2cm                  | No               |
| Bone marrow fibrosis <sup>¶</sup>             | 0                                        | 3                    | 0                |
| Bone marrow karyotype                         | Normal                                   | ND                   | Normal           |
| <b>At AML transformation</b>                  |                                          |                      |                  |
| Disease duration (yrs)                        | 5                                        | 9                    | 14               |
| Prior therapy                                 | HC                                       | None                 | HC               |
| Hemoglobin (g/dL)                             | 8.0                                      | 6.9                  | 9                |
| White cell count (x10 <sup>9</sup> /L)        | 32                                       | 9.1                  | 80.3             |
| Circulating blast count (x10 <sup>9</sup> /L) | 8.64                                     | 4.5                  | 78               |
| Platelet count (x10 <sup>9</sup> /L)          | 15                                       | 362                  | 61               |
| Palpable splenomegaly                         | No                                       | 10cm                 | 10cm             |
| Bone marrow fibrosis <sup>¶</sup>             | 3                                        | 4                    | Not done         |
| Bone marrow karyotype                         | Complex <sup>†</sup>                     | Complex <sup>‡</sup> | Not done         |
| Additional mutations                          | <i>TP53</i> R248Q<br><i>TET2</i> Q1532fs | None detected        | None detected    |

ET: essential thrombocythemia; PMF: primary myelofibrosis; MF: myelofibrotic transformation;

AML: acute myeloid leukemia; HC: hydroxycarbamide. <sup>¶</sup>reticulin fibrosis on a 0 - 4 scale

<sup>†</sup>44-49,XX,5,+6,del(6)(q?21q?23),+8,t(11;21)(q13;q22),+der(11)t(11;21),del(13)(q?14q?22),

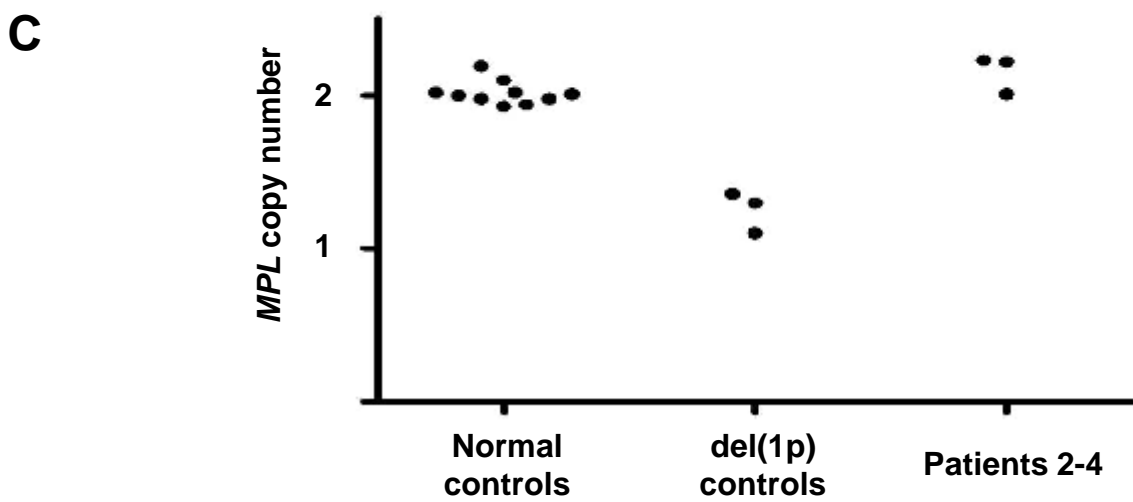
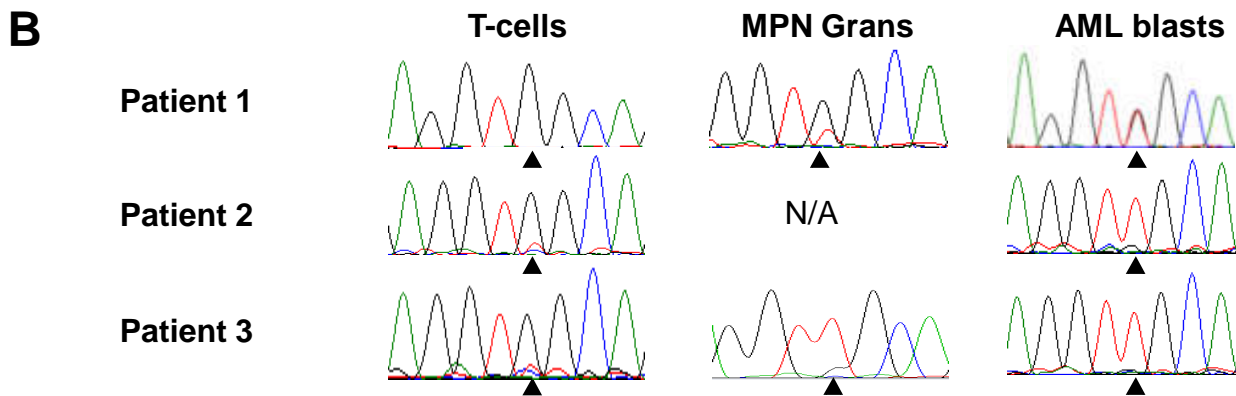
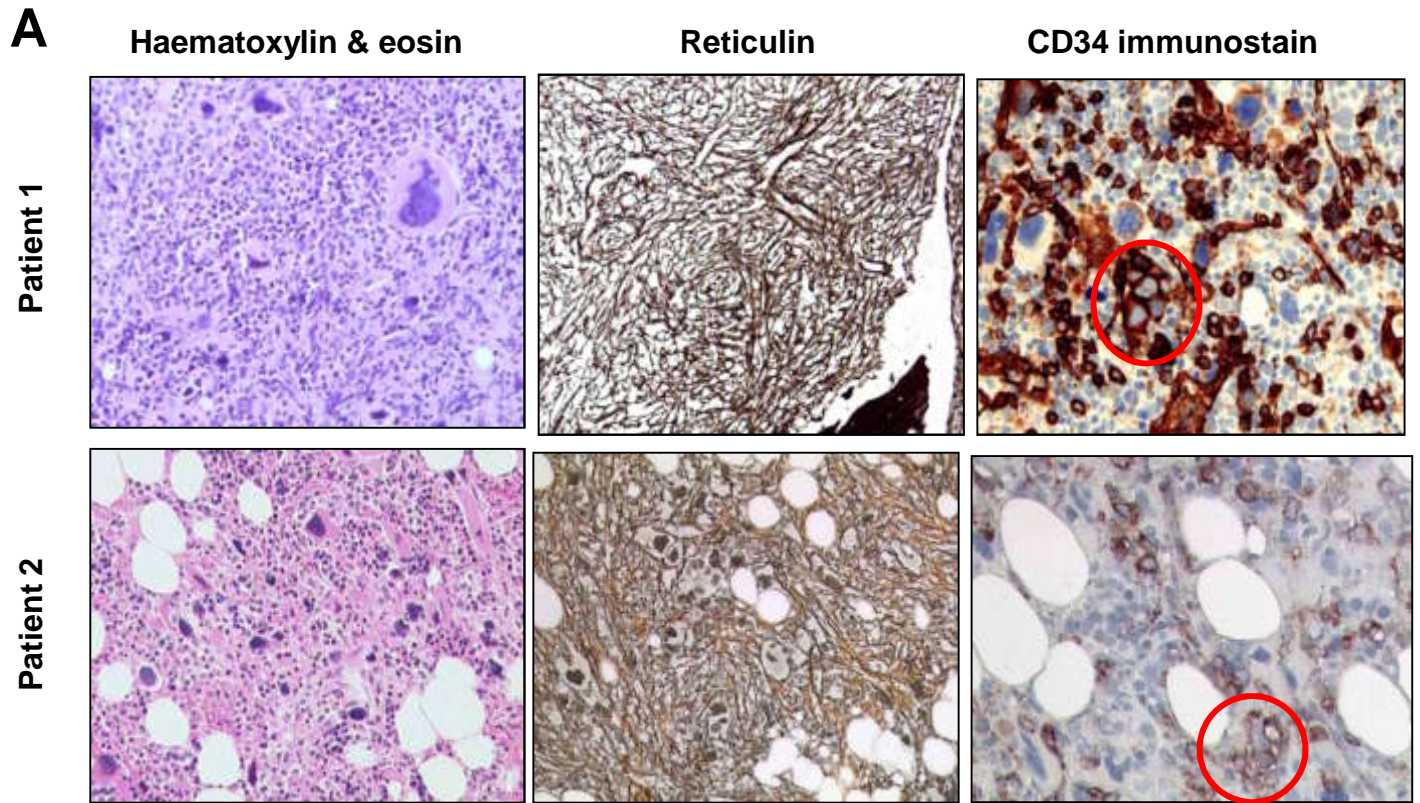
del(13)(q?14q?22),der(15;17)t(15;17)(q?15;p?12),-17,+1-4mar

<sup>‡</sup>del(20)(q11q13),add(9)(q13),-12,+mar

**Figure 1. Acute myeloid leukemia following an *MPL*-mutant myeloproliferative neoplasm may be heterozygous or homozygous for the *MPL* W515L mutation, with homozygosity arising by mitotic recombination.** (A) Bone marrow trephine biopsies from patients 1 and 2, acquired at time of leukemic transformation, showing prominent dysplastic megakaryocytes, reticulin fibrosis and clusters of CD34+ cells (examples circled in red). All images original magnification x400. (B) Sequencing of *MPL* exon 10 in T-cells, granulocytes from the MPN phase of disease (MPN Grans) and purified leukemic blasts (AML blasts), showing W515L-heterozygous AML in patient 1 and W515L-homozygous AML in patients 2 & 3. (C) Real-time PCR *MPL* copy number assay on three W515L-homozygous patient samples (leukaemic blasts from patients 2 & 3; granulocytes from patient 4) with loss of heterozygosity at telomeric end of the *MPL* locus (rs498166 or rs499163) and close to the 1p telomere (rs7537577 or rs1870509): the presence of two copies of *MPL* in all three cases establishes mitotic recombination as the mechanism by which the wild-type *MPL* allele is lost. Analysis of 10 normal individuals and 3 cell lines (NB4, HT3 and LAN1) known to harbor a single copy of the *MPL* locus (25) are shown as controls.

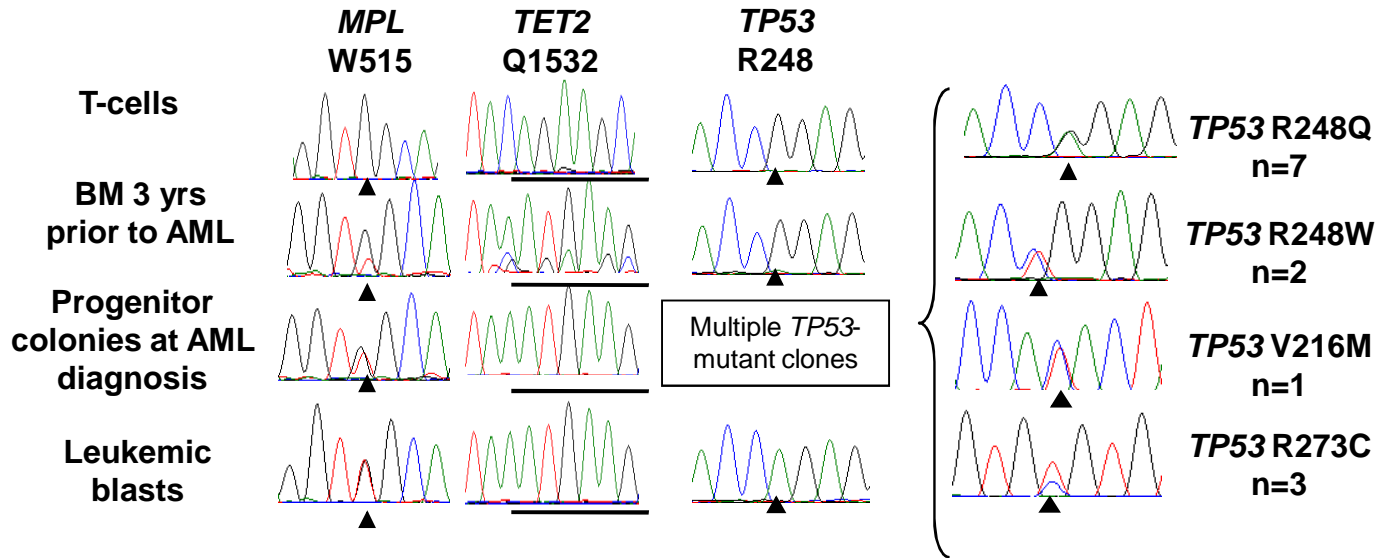
**Figure 2. Leukemic progression associated with the proliferation of divergent, *TP53*-mutant clones.** (A) Analysis of sequential samples from patient 1, demonstrating acquisition of a *TET2* mutation prior to a mutation in *MPL*, the proliferation of erythroid and granulocyte-macrophage colonies harbouring different heterozygous mutations in *TP53*, and loss of wild-type *TP53* in leukemic blasts. (B) Model of disease progression in patient 1, characterized by the parallel expansion of multiple genetically distinct but phylogenetically-related clones bearing heterozygous *TP53* mutations, with loss of wild-type *TP53* in one of these subclones associated with progression to acute leukemia. BM: bone marrow cells, MPN: myeloproliferative neoplasm, AML: acute myeloid leukemia.

# Figure 1

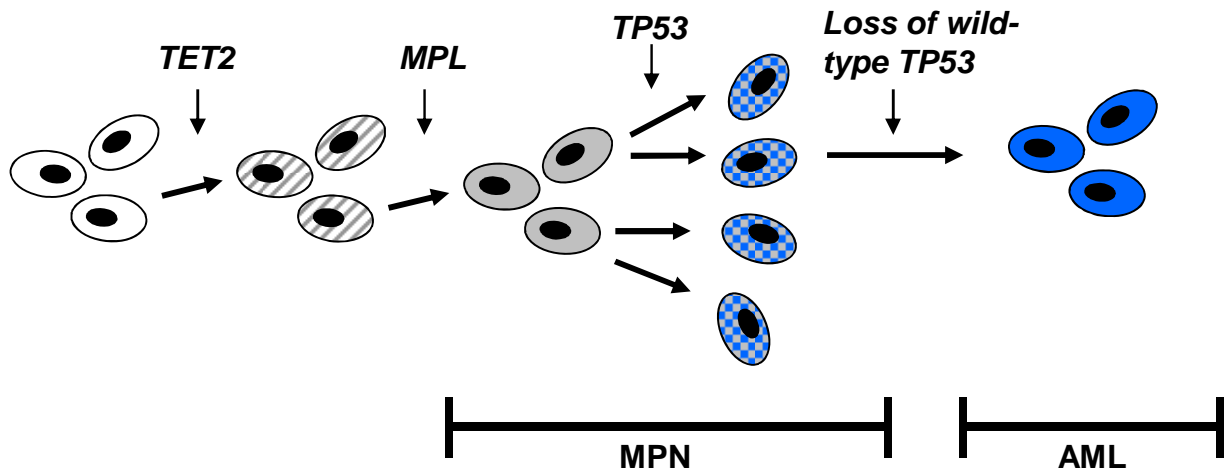


# Figure 2

## A



## B



**Supplementary Table 1.** Cohort of patients with a myeloproliferative neoplasm screened for mutations in *MPL* exon 9.

|              | <b><i>JAK2</i> V617F<br/>positive</b> | <b><i>MPL</i> exon 10<br/>positive</b> | <b>Mutation<br/>negative<sup>+</sup></b> | <b>Total</b> |
|--------------|---------------------------------------|----------------------------------------|------------------------------------------|--------------|
| <b>PMF</b>   | 43                                    | 8                                      | 45                                       | 96           |
| <b>ET</b>    | 19                                    | 1                                      | 56                                       | 76           |
| <b>Total</b> | 62                                    | 9                                      | 101                                      | 172          |

<sup>+</sup>Negative for *JAK2* V617F and mutations in *MPL* exons 9 & 10.