

Article

# Seasonal Dynamic of CDOM in a Shelf Site of the South-Eastern Ligurian Sea (Western Mediterranean)

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**Abstract:** Chromophoric dissolved organic matter (CDOM) is the fraction of the Dissolved Organic Carbon (DOC) mainly absorbing UV and blue radiation, influencing water optical properties, light availability for primary production, and water-leaving radiance. In open seas, phytoplankton is the main source of organic carbon and CDOM. Despite this, the direct or indirect phytoplankton role in CDOM production is not yet fully clarified. From studies about the relationship between CDOM and phytoplankton biomass as Chlorophyll a (Chl) in the epipelagic layer, positive correlations have been highlighted with regional differences and high levels of variability. Seven years of seasonal dynamic and vertical distribution of CDOM in the Ligurian Sea continental shelf waters have been analyzed in order to evidence the main environmental and/or biological factors determining CDOM dynamic, focusing on the CDOM/Chl relationship. CDOM optical properties (absorption at 440 nm,  $a_{\text{CDOM}}(440)$ , and spectral slope,  $S$ ) allowed to distinguish different pools and to debate their origin. Four different pools were characterized and two of them were directly or indirectly related to phytoplankton biomass and taxonomic composition. Nevertheless, CDOM/Chl confirm a high level of variability. These findings suggest some inputs to improve Mediterranean satellite estimates of Chl and CDOM, such as the seasonal differentiation of optical properties, especially  $S$  and CDOM/Chl relationships.

**Keywords:** chromophoric dissolved organic matter (CDOM); CDOM dynamic; CDOM optical properties; photobleaching; phytoplankton dynamics; phytoplankton size structure; phytoplankton composition; Mediterranean Sea

## 1. Introduction

Chromophoric dissolved organic matter (CDOM or ‘yellow substance’) is operationally defined as the group of absorbing substances that passes filters of 0.2  $\mu\text{m}$  pore size [1]. CDOM is an important fraction of the Dissolved Organic Matter (DOM) in natural waters (10–90%, [2]) and it plays an important role in the ocean Carbon cycle [3]. CDOM can influence several marine processes: attenuation of UV radiation, thus making it less harmful to organisms, nutrient availability [4], light-dependent processes [5] and primary production [6,7], or optical properties as the intensity and the spectral features of water-leaving radiance [8,9].

For a long time, the importance of CDOM in ocean optics and biogeochemical studies has been known only for coastal ecosystems where runoff from land brings terrestrial CDOM so that its

concentration is inversely correlated with salinity, and for areas such as the Baltic and polar seas where its concentration is very high [1,10–12]. Even though the importance of CDOM in open ocean and oligotrophic waters has been poorly considered. This is also due to the difficulties of measuring CDOM spectral light absorption coefficient with standard laboratory spectrophotometers, because of the low optical density of CDOM in natural seawater, especially in the oligotrophic ones. Thanks to extended programs of measurements and remote sensing studies, the relevance of detecting and monitoring CDOM has also been recently highlighted for oligotrophic environments [13,14] emphasizing that in these waters CDOM is the most important component for the absorption of submarine radiation from blue to UV spectral regions [15].

After early uncertainty surrounding the origin of marine CDOM, it was clear that, away from river-dominated margins and in open ocean areas, in situ phytoplankton primary production is the ultimate source of CDOM. Despite this, the role of phytoplankton in CDOM production is not yet fully clarified. Processes that release or recycle phytoplankton cell contents, such as sloppy feeding by grazers, viral lysis, excretion of metabolites by bacteria and zooplankton or exudation of mucus and other extracellular secretions, play a major role in production of CDOM [16]. Then, phytoplankton, directly or indirectly, is involved in many processes of excretion/degradation and likely plays a major role in the production of CDOM.

Most of the organic substance dissolved in the sea derived from phytoplankton is biologically labile and has a rather short life span, however a portion is not immediately mineralized and is transformed (biologically and abiologically) into resistant organic material and creates the large oceanic reservoir of CDOM. Thanks to this property, the fraction that absorbs UV has been and is used as a tracer of the water masses.

Many authors [17–19] studied the relationship between CDOM and phytoplankton biomass as chlorophyll a (Chl) in the epipelagic layer. In many types of water, a positive correlation has been found, despite regional difference in the ratio CDOM/Chl and high level of variability. The knowledge of the relationships between CDOM and Chl emerges as one of the crucial aspects to be foreseen in the development of algorithms for satellite estimate of Chl.

In the context of ocean optics, high CDOM contents can confound standard ocean colour algorithms used for the retrieval of biogeochemical variables, particularly Chl. This is the case of the Mediterranean Sea where CDOM absorption coefficients were found to be higher than in other oceans for a given chlorophyll concentration [18]. Since the first utilizations of remote sensing in the Mediterranean Sea, it was clear that global empirical algorithm to retrieve Chl using the blue/green reflectance bands ratio overestimates the actual concentration [18,20–25]. Lee and Hu, [26], hypothesized an unusual high CDOM concentration for a typical Case 1 oceanic condition by the analysis of the optical characteristics of the Mediterranean waters from satellite data. Then, Morel and Gentili [18] confirmed this hypothesis assessing indirectly CDOM concentrations by the analysis of the errors in Chl estimates, which were attributed to a higher CDOM concentration with respect to the open ocean. These differences can be ascribed to the inputs of terrigenous CDOM, linked to the characteristics of the semi-enclosed basin of the Mediterranean Sea [18].

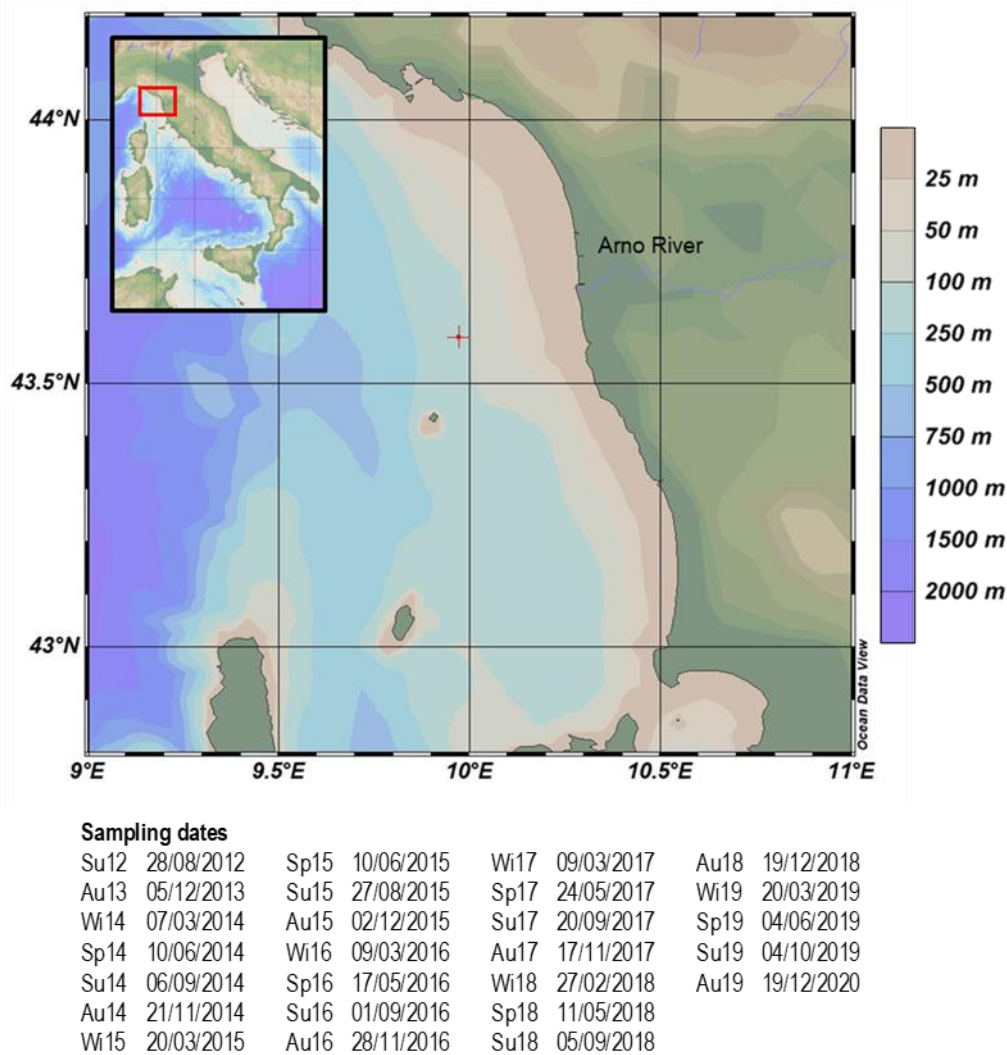
Currently, direct measurements of CDOM concentration in the epipelagic layer across the Mediterranean Sea are available [27–34] and some direct knowledge about the concentration and dynamic of CDOM for some coastal and offshore site has been obtained.

In the present study, about seven years seasonal dynamic and vertical distribution of CDOM in the Case 1 waters of a Mediterranean Sea shelf site were analyzed. The production and loss of CDOM were investigated to describe the main features and causes of these processes, to understand the relationships between biological or physical-chemical transformation (seasonal structure of the water column) and autochthonous production vs. terrigenous inputs. Particular attention was focused on: relationships between CDOM and phytoplankton biomass, taxonomic, and size structure composition; CDOM optical properties in the context of an improvement of Mediterranean regional satellite algorithms.

## 2. Materials and Methods

### 2.1. Study Area

The sampling site is located in the Southeastern Ligurian Sea at  $43^{\circ}37'35''$ ;  $9^{\circ}59'18''$ , about 23 km from the Tuscany coast on a depth of about 100 m. This site is located south of the Arno river mouth and, considering the distance from the estuary and the prevailing South–North currents, only in the case of exceptional river flows can it be directly affected by the freshwater input, even though indirect influences are conceivable (Figure 1).



**Figure 1.** Location of the sampling site in the southeastern Ligurian Sea and sampling dates list.

### 2.2. Sampling

The site was sampled in summer 2012 and thereafter with seasonal frequency from autumn 2013 to summer 2019. At each campaign Conductivity, Temperature and Depth (CTD, Sea Bird Electronics, Bellevue, WA, USA, 19v2plus) plus Photosynthetic Available Radiation (PAR) (Satlantic, Halifax, NS, Canada) profiles were carried out. PAR (%) profiles were obtained from contemporaneous in air (at sea surface) and underwater PAR measurements; the depth at which PAR was 1% of the superficial one is defined euphotic depth ( $z_{eu}$ ). Seawater samples were collected at five depths (0.5, 12.5, 25, 50, and 70 m) by means of 12 L Niskin bottles, for a total of 120 samples.

### 2.3. Phytoplankton Pigments and Taxonomic Composition

Subsamples for pigments analysis (4 L) were filtered on GF/F Whatman filters (47 mm) and stored at  $-80\text{ }^{\circ}\text{C}$  for not more than 1 month before analysis. Filters were homogenized in 90% acetone solution and the extracts were analyzed after 24 h (High Performance Liquid Chromatography, HPLC, SHIMADZU Class VP10, Kyoto, Japan) to determine the concentration of chlorophyll-a (Chl,  $\text{mg}\cdot\text{m}^{-3}$ ) and seven diagnostic pigments [35,36]. Relative proportions of pico ( $<2\text{ }\mu\text{m}$ ), nano ( $2\text{--}20\text{ }\mu\text{m}$ ), and microphytoplankton ( $20\text{--}200\text{ }\mu\text{m}$ ) were computed following [37,38]:

$$\% \text{picophytoplankton} = 100(0.86[\text{Zeaxanthin}] + 1.01[\text{Chlb} + \text{Divinyl-Chlb}]) / \text{DP} \quad (1)$$

$$\% \text{nanophytoplankton} = 100(0.60[\text{Alloxanthin}] + 0.35[19'\text{Butanoylfucoxanthin}] + 1.27[19'\text{Hexanoyloxyfucoxanthin}]) / \text{DP} \quad (2)$$

$$\% \text{microphytoplankton} = 100(1.41[\text{Fucoxanthin}] + 1.41[\text{Peridinin}]) / \text{DP} \quad (3)$$

where DP is the sum of the concentrations of diagnostic pigments:

$$\text{DP} = 1.41[\text{Fucoxanthin}] + 1.41[\text{Peridinin}] + 0.60[\text{Alloxanthin}] + 1.27[19'\text{Hexanoyloxyfucoxanthin}] + 0.35[19'\text{Butanoylfucoxanthin}] + 0.86[\text{Zeaxanthin}] + 1.01[\text{Chlb} + \text{Divinyl-Chlb}] \quad (4)$$

For the taxonomic analysis of phytoplankton, subsamples of 500 mL were collected, fixed with neutralized formalin (final concentration 1%) and stored in dark glass bottles. Subsamples of variable volumes were observed under an invertoscope (Zeiss IM35, Oberkochen, Germany, phase contrast, 40 $\times$ ) after sedimentation, following standard methods [39].

### 2.4. CDOM Absorption Measurements

Seawater subsamples were obtained from 4 L aliquot filtered for Chl, in particular 200 mL were taken after filtration (Whatman GF/F, 47 mm, pre-rinsed with fresh Millipore MilliQ water and pre-combusted, 2 h at  $450\text{ }^{\circ}\text{C}$ ) of at least 3.5 L of sea water. In accordance with [19] this procedure facilitates filtration compared to  $0.2\text{ }\mu\text{m}$  Poly Carbonate (PC) membranes, increases the retention capacity of standard GF/F filters (about  $0.7\text{ }\mu\text{m}$ ) thanks to pre-combustion [40] and to the partial clogging of GF/F filters and avoids the problem of adding variability due to the further syringe filtration with  $0.2\text{ }\mu\text{m}$  membrane or potential membrane filter leachates [41].

The 200 mL subsamples were stored in dark-glass bottles closed with Teflon (PTFE-lined lids) and analyzed within a few hours from the filtration.

All absorbance measurements of CDOM were performed by a Shimadzu 2600 UV dual-beam spectrophotometer using 10 cm quartz Suprasil cuvettes. Spectra were measured within 250–700 nm with 1 nm increments and slit width 4 nm. The spectrophotometer autozero was performed using room temperature fresh MilliQ water and air as reference. Then, the absorbance of CDOM for each sample was measured against air. CDOM absorbance spectra were corrected for the residual absorbance in the red part by subtracting the minimum values between 670 and 700 nm (less than 0.002 absorbance units (A) for about 98%). Finally, the corrected absorbance spectra ( $A(\lambda)$ ) were converted into absorption coefficients [42]:

$$a_{\text{CDOM}}(\lambda) = \frac{A(\lambda)2.3026}{0.1} \quad (5)$$

From repeated scans of Milli-Q water processed as a sample [43], the spectrophotometric measurements made using the described configuration gave an accuracy of 0.0010 A or  $0.02303\text{ m}^{-1}$ , in agreement with those reported in the spectrophotometer technical specifications.

The absorption spectra were fitted with a nonlinear least squared interpolation method [1,44,45] in the range of 250–600 nm:

$$a_{\text{CDOM}}(\lambda) = a_{\text{CDOM}}(\lambda_0)e^{(-S(\lambda-\lambda_0))} \quad (6)$$

where  $\lambda_0$  is 440 nm and  $S$  is the spectral slope parameter. All fits carried out had the determination coefficient  $r^2 > 0.95$ . Nonlinear spectral interpolation procedure was carried out in the widest possible range (250–600 nm), even if in some samples absorption spectra can reach, at the higher wavelengths, lower values than the instrumental limit ( $0.02303 \text{ m}^{-1}$ ). Such a procedure, more than others, ensures the determination coefficient ( $r^2$ )  $> 0.96$ , with robust and comparable estimates of both parameters  $a_{\text{CDOM}}(\lambda_0)$  and  $(S)$  [1,44]. The extension of the interpolation range to a wide fraction of the spectrum better synthesizes its characteristics avoiding the finer structures of the spectrum that influence it at certain wavelengths. Comparing the original spectra with the fitted ones and calculating the residuals as a function of  $\lambda$  at 440 nm, measured and interpolated spectra showed very similar values. For these reasons and due to the ease of comparison, 440 nm was chosen as the reference wavelength while  $\lambda_0$  and  $a_{\text{CDOM}}(440) (\text{m}^{-1})$  was used to evaluate absorption and estimate CDOM concentration.

### 3. Results

#### 3.1. Vertical Profiles of Temperature, Salinity and PAR (%)

In order to understand CDOM distribution, origin, dynamics, and optical characteristics a preliminary overview on the water vertical structure is necessary (Figure 2). Seasonal modifications are evident mainly at the surface layer as an effect of the atmospheric forcing (cooling/heating) associated to waters mixing processes. During the winter, a homogeneous layer can be observed along the water column. In some case surface salinity low values (near 37‰) were detected as a consequence of strong freshwater discharge. These conditions can sporadically occur in winter and spring. During the spring the formation of an upper mixed layer (UML) less dense than in winter occurred, extended to the first 10–20 m. This stratification condition gained its maximum density differentiation in summer with the deepening of the UML to 30–35 m and a low-density surface water. With a progressive backward path to UML, less differentiated density and deepening occurred towards autumn until returning to the typical winter condition.

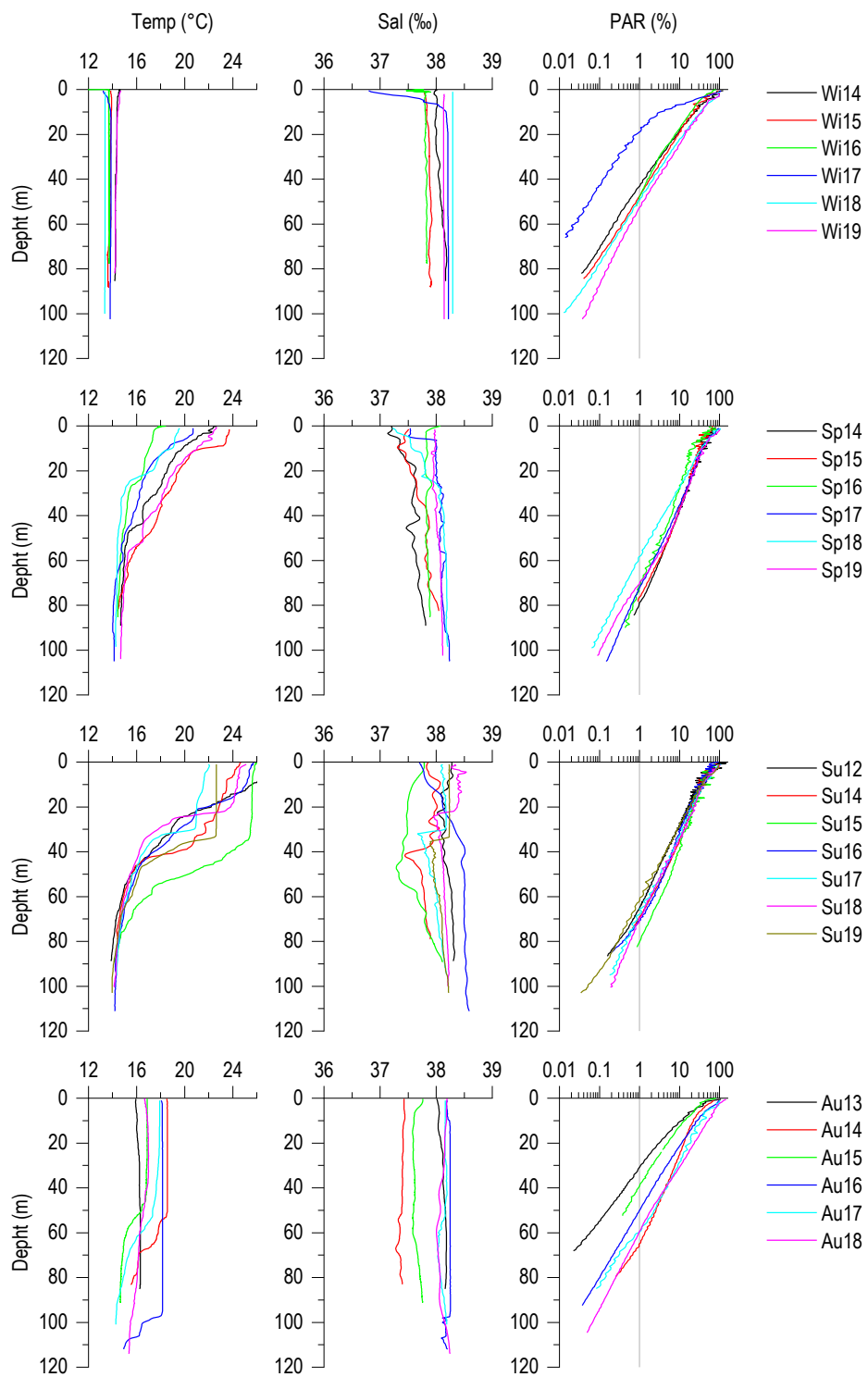
PAR (%) profiles indicate the PAR attenuation modalities as a function of depth (Figure 2); from these profiles  $z_{\text{eu}}$  can be derived.  $z_{\text{eu}}$  were lower and variable in winter and autumn (from less than 20 to 70 m) and were, always in winter and mostly in autumn, in the mixed surface layer. In spring and summer,  $z_{\text{eu}}$  were between 70 and 85 m and were always much deeper than the thermocline.

#### 3.2. $z_{\text{eu}}$ /Chl Relationship

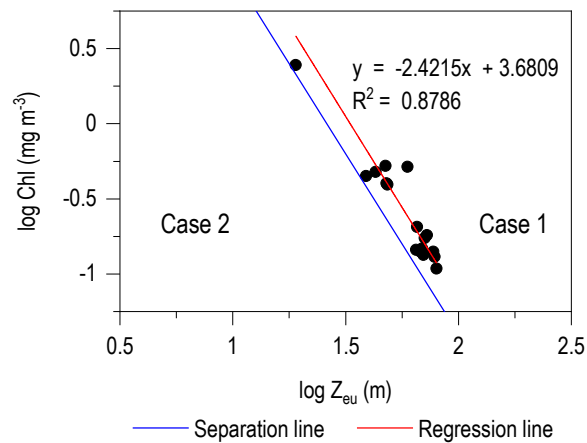
An important aspect regarding environmental conditions is represented by the relationship between  $z_{\text{eu}}$  and the mean concentration of Chl in the same layer (Figure 3). According to [46], the waters covered by this study can be classified as Case 1 waters in which, following the definition, the main optically active component was phytoplankton. The other optically active substances (CDOM and non-algal particles) are less important and therefore appear in (more or less) constant ratios compared to phytoplankton biomass.

#### 3.3. CDOM Seasonal Evolution

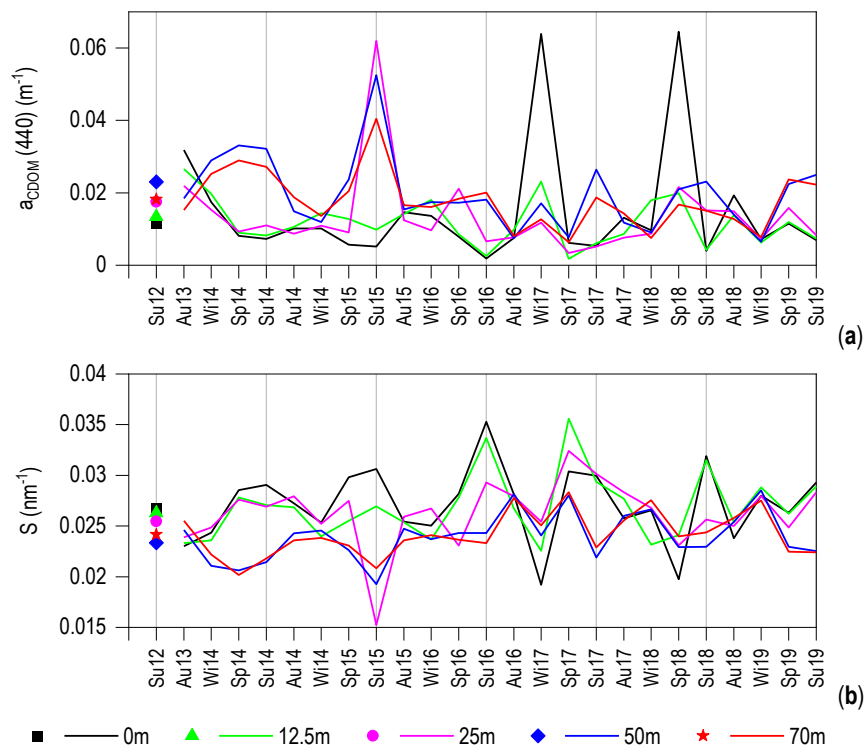
Seasonal and interannual variability of  $a_{\text{CDOM}}(440)$  (Figure 4a) and  $S$  (Figure 4b), in the surface and the deeper layer were clearly discordant. In the superficial layers  $a_{\text{CDOM}}(440)$  maxima (Figure 4a) generally occurred in winter (e.g., Wi17), spring (e.g., Sp18), and autumn (Au13, Au18). In Wi17 and Sp18, the highest values occurred at 0.5 m. These were episodes of strong flooding of the Arno river, following heavy rainfall events and confirmed by direct observation of the weather events and the conditions of the river. On the contrary, all the summer samples show surface minima (Figure 4a), while in the deeper layers,  $a_{\text{CDOM}}(440)$  generally had summer maxima, with the highest values generally at 50 m. The coefficient  $S$  (Figure 4b) showed an opposite trend. On surface layers (Figure 4b), maxima were in summer (up to  $0.036 \text{ nm}^{-1}$ ) and minima in winter (up to  $0.019 \text{ nm}^{-1}$ ), while in deep layers (Figure 4b), minima were generally in summer.



**Figure 2.** Seasonal (winter: Wi; spring: Sp; summer: Su; autumn: Au) profiles of temperature (°C), salinity (‰) and PAR (%) in the different years.



**Figure 3.** Relationship between the depth of the euphotic zone ( $z_{eu}$ ) and mean concentration of Chl in the same layer. Case 1/Case 2 separation line [46] and data regression line are reported.



**Figure 4.** Temporal evolution of: (a)  $a_{CDOM}(440)$ ; (b)  $S$ .

In summary (Table 1), seasonal means of  $a_{CDOM}(440)$  in surface and underlying layers show that in summer the lowest surface and the highest deep values occurred, with the latter higher than the winter surface ones. The highest  $S$  was in the summer surface, while the lowest was in the summer deep layer.

**Table 1.** Full data and seasonal mean values of  $a_{CDOM}(440)$  ( $m^{-1}$ ),  $S$  ( $nm^{-1}$ ) and Chl ( $mg\ m^{-3}$ ) in the entire water column (0.5–70 m), the surface layer (0–25 m) and the deep layer (50–70 m). N: number of samples.

Full Data Set	N	$a_{CDOM}(440)$	$S$	Chl
mean	120	0.0154	0.0257	0.2565
standard error	-	0.001	0.0003	0.0242
min	-	0.0018	0.0192	0.0298
max	-	0.0645	0.0356	2.5134
(0.5–70 m)				
winter	30	0.0154	0.0249	0.4660
spring	30	0.016	0.0258	0.1652
summer	30	0.016	0.0263	0.1231
autumn	30	0.014	0.0258	0.2696
(0.5–25 m)				
winter	18	0.0184	0.0245	0.5379
spring	18	0.0138	0.0271	0.1136
summer	18	0.0067	0.0297	0.0897
autumn	18	0.0141	0.026	0.3035
(50–70 m)				
winter	12	0.0148	0.0237	0.3483
spring	12	0.0194	0.0238	0.2530
summer	12	0.0259	0.0225	0.1732
autumn	12	0.014	0.0255	0.2187

### 3.4. CDOM-Chl Relationship

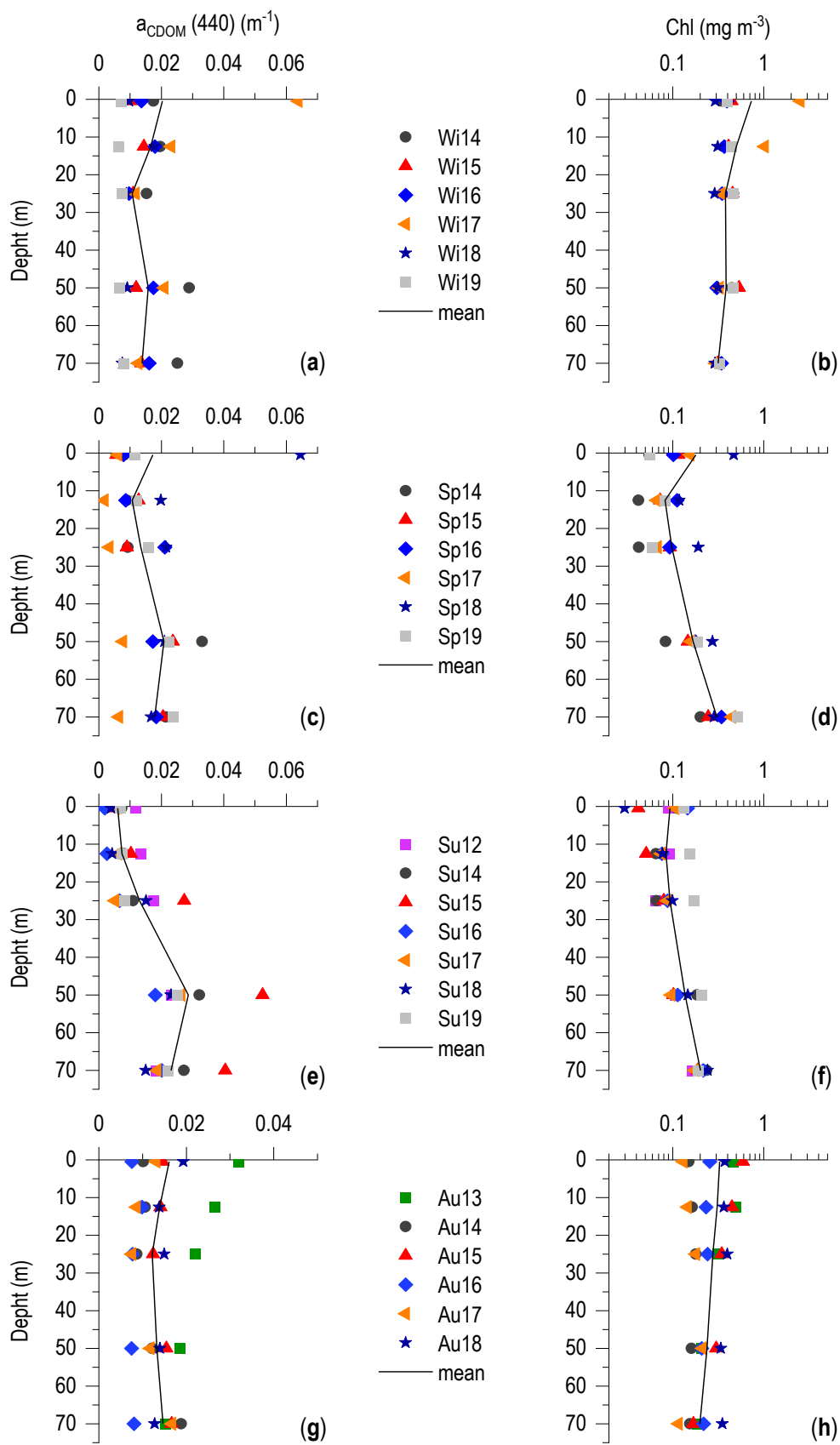
The water column structure strongly conditioned the vertical distribution of the  $a_{CDOM}(440)$  and Chl.

In winter  $a_{CDOM}(440)$  distribution along the water column (Figure 5a) was roughly homogenous around  $0.015\ m^{-1}$ . Contemporaneously Chl profiles (Figure 5b) showed the annual highest concentration. Profiles are generally characterized by a surface maximum, that in winter 2017 reached the highest value of  $2.5\ mg\ m^{-3}$ . From 12.5 to 70 m Chl concentration remains around  $0.5\ mg\ m^{-3}$ , with greater variability at 50 m.

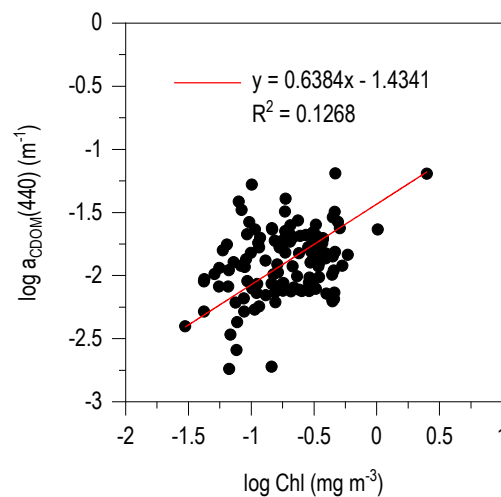
In spring  $a_{CDOM}(440)$  mean concentrations and vertical distribution were similar to the winter ones (around  $0.016\ m^{-1}$ ) (Figure 5c) with a relative maximum at the surface, but the absolute maximum occurred at 50 m. Chl mean concentrations (Figure 5d) were lower respect to winter at all depth excluding 70 m where deep chlorophyll maximum (DCM) occurred. Figure 5a, c also shows that, in Wi17 and Sp18, very high surface  $a_{CDOM}(440)$  occurred. In summer (Figure 5e), the  $a_{CDOM}(440)$  profile shows the greater difference during the year between the two layers: minima at surface and maxima at 50 m. Chl profiles (Figure 5f) generally have lower values at the surface with sub-surface minimum and DCM at 70 m up to  $0.3\ mg\ m^{-3}$ . In autumn,  $a_{CDOM}(440)$  (Figure 5g) had generally similar values around  $0.014\ m^{-1}$  from surface to 70 m, with a slight maximum at surface in some cases. Surface Chl concentration (Figure 5h) increased up to  $0.4\text{--}0.5\ mg\ m^{-3}$  with respect to the summer values, while remaining similar or lower at 70 m.

Figure 6 summarizes the relationship between  $a_{CDOM}(440)$  and phytoplankton biomass estimated by Chl. This regression has positive correlation coefficient of 0.36 with  $N = 120$  ( $p < 0.01$ ), and the regression coefficient of about 0.64. Despite the high significance, the points distribution highlights a high variability.



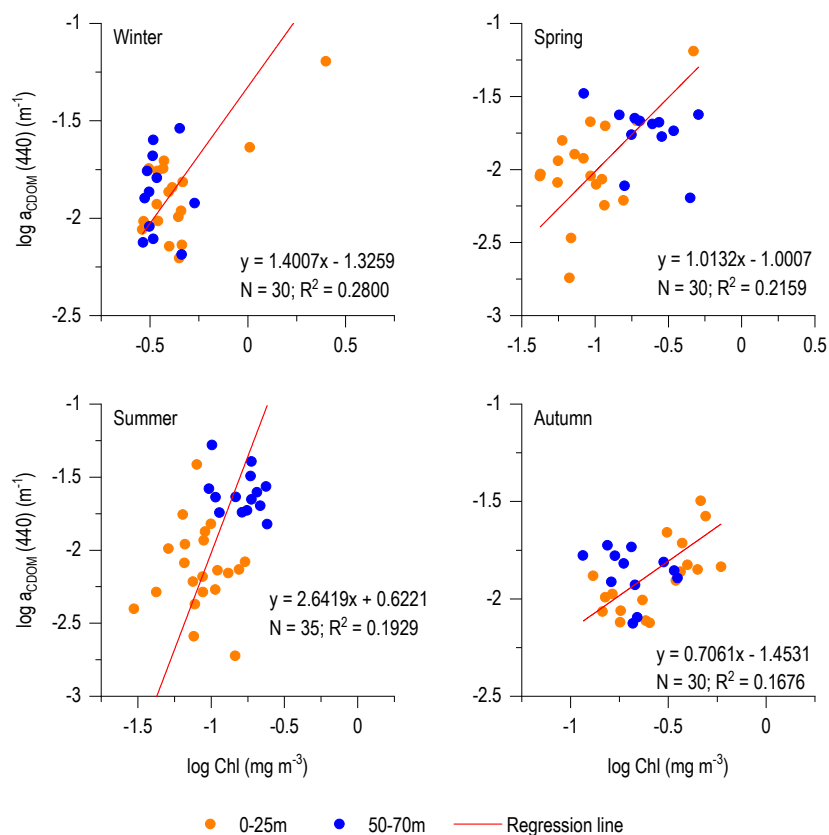


**Figure 5.** Vertical profiles of: (a,c,e,g)  $a_{CDOM}(440)$  ( $m^{-1}$ ); (b,d,f,h) Chl ( $mg\ m^{-3}$ ) in the four seasons: winter (Wi); spring (Sp); summer (Su); autumn (Au).



**Figure 6.** Relationship between  $a_{CDOM}(440)$  ( $m^{-1}$ ) and Chl ( $mg\ m^{-3}$ ).

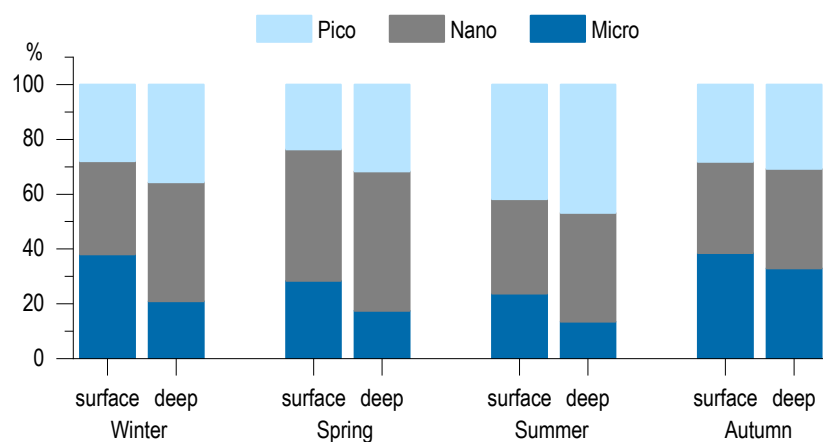
The same relationship, split by seasons (Figure 7), shows greater correlations and high variability of the regression coefficients (from about 0.71 in autumn to 2.6 in summer), highlighting seasonal diversification of processes that bind phytoplankton biomass and CDOM. In winter (Figure 7) the data distribution was fairly homogeneous between the two layers. In the other seasons, especially in spring and in summer, the points belonging to the two different layers can be distinguished. Therefore, the CDOM/Chl ratio varied seasonally and in spring and summer it was different also between the two layers.



**Figure 7.** The same relation of the Figure 6 split in the four seasons. Orange points: surface samples (0.5–12.5); blue points: deep samples (50–70 m).

### 3.5. Phytoplankton Community

Phytoplankton size-fractions contributed in different ways both in seasonal and vertical distribution (Figure 8). Microphytoplankton dominated in winter and autumn surface waters, because of the diatom blooms developed by many taxa. Between them, *Cylindrotheca closterium*, *Plagiotropis* cf. *lepidoptera*, *Pseudo-nitzschia* spp., and *Asterionellopsis glacialis* were recurrent in winter, while in autumn, the most abundant were *Chaetoceros* spp., *Cylindrotheca closterium*, and *Thalassionema frauenfeldii*. In spring, nanophytoplankton prevailed with Prymensiophyceae non coccolitophores, represented mainly by the *Phaeocystis* cf. *cordata*, observed in the colonial stage too, coccolitophores, and nanoflagellates of different classes. In summer there was a further change in phytoplankton community structure, dominated by picophytoplankton both in surface and in deeper layer albeit with some differences: UML was dominated by cyanobacteria like *Synechococcus*, and deeper layer by *Prochlorococcus*, and maybe by picoeukaryotes Chlb containing.



**Figure 8.** Phytoplankton functional type composition as emerge from HPLC analysis of pigments.

### 3.6. Relationship between $a_{CDOM}(440)$ and $S$

The relationship between  $a_{CDOM}(440)$  and the parameter  $S$  that describes the exponential decrease of the CDOM absorption spectrum, has been used, separating the two layers (0–25 m and 50–70 m), to analyze the origin, characteristics and mixing state of the investigated CDOM pools in the different seasons (Figure 9). In winter all samples, regardless of depth, are located rather close with  $a_{CDOM}(440)$  ranging from 0.007 to 0.029  $m^{-1}$  and  $S$  between 0.029 to 0.021  $nm^{-1}$  with the exception of the Wi17 surface sample that set apart from the other, with  $a_{CDOM}(440)$  approximately 0.064  $m^{-1}$  and  $S$  about 0.019  $nm^{-1}$ .

Similarly, in spring (Figure 9) there was the presence of a single surface sample (Sp18) with high  $a_{CDOM}(440)$  and low  $S$ . All the other spring samples had different characteristics than the winter ones: the surface samples were characterized by low  $a_{CDOM}(440)$  and high  $S$  while the deep layer had the tendency to place themselves at higher  $a_{CDOM}(440)$  and low  $S$ .

In summer, the separation between the surface and deeper samples increased and the trend was inverted: the former showing the lowest annual values of  $a_{CDOM}(440)$  and the highest  $S$  while the latter are located at the bottom right of the curve characterized by high  $a_{CDOM}(440)$  and low  $S$ . In autumn, sample placement is compacted again in a similar way to winter, with  $a_{CDOM}(440)$  between 0.008 and 0.032  $m^{-1}$  and  $S$  between 0.028 and 0.023  $nm^{-1}$ . The fact that  $S$  never falls below 0.023  $nm^{-1}$  constitutes a distinctive feature of autumn CDOM.

The particular characteristics of both the Wi17 and Sp18 surface samples are further explained by the distribution of  $a_{CDOM}(440)$  vs. salinity (Figure 10). Both the samples are in a clearly separated position from the others and characterized by low salinity values, therefore inputs of fresh waters in the surface layer with high levels of CDOM can be confirmed. Without these two samples,

a random distribution of the points emerges and the relationship between  $a_{CDOM}(440)$  vs. salinity completely disappears.

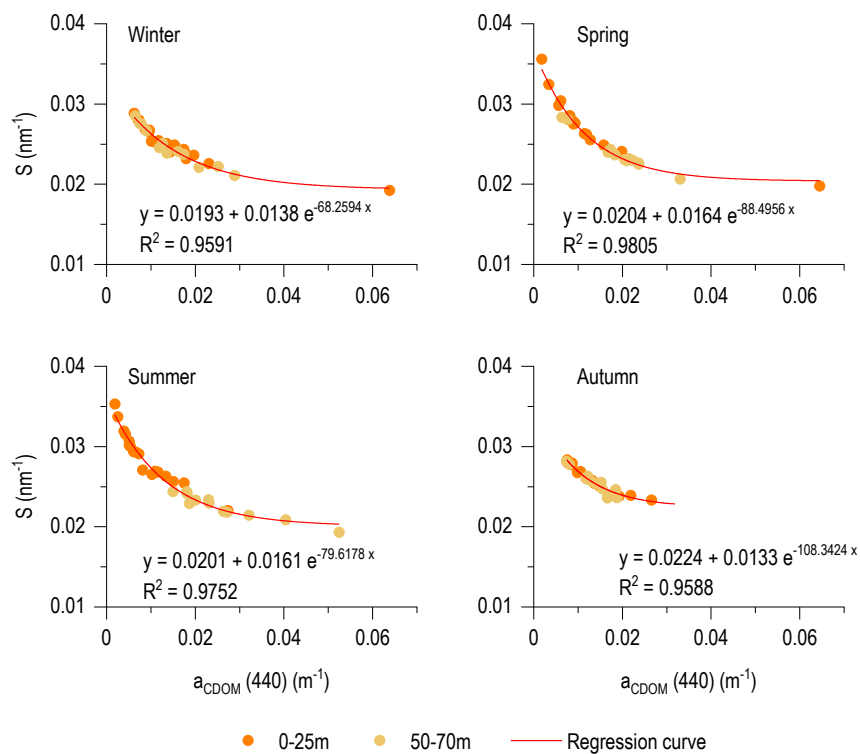


Figure 9. Relationship between  $S$  ( $\text{nm}^{-1}$ ) and  $a_{CDOM}(440)$  ( $\text{m}^{-1}$ ).

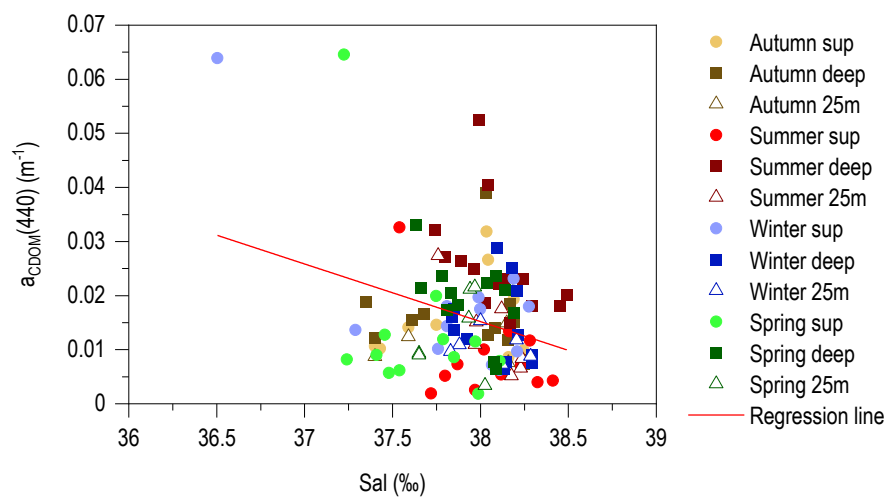


Figure 10. Relationship between  $a_{CDOM}(440)$  ( $\text{m}^{-1}$ ) and salinity ( $\text{‰}$ ).

#### 4. Discussion

The data set used in this study was acquired seasonally for about seven years. Clearly, seasonal sampling can represent different conditions due to the annual peculiarities of the weather conditions, but the repetition of the sampling for seven years allows for a sufficiently robust understanding of local conditions.

The mean values of  $a_{CDOM}$  at different wavelengths,  $S$ , and other characteristics of the CDOM data set used in this work are reported in Table 2 together with recently published CDOM data from various

coastal and offshore areas of the Mediterranean Sea. Methodological reasons (different interpolation range and reference wavelengths), local peculiarities, season of sampling, and depth differences make difficult the comparison of the data set, mostly for the Mediterranean waters that show great variability in CDOM concentrations [27]. Despite this, the  $a_{CDOM}$  mean values of this study are very similar to those of the Bay of Marseille [29], the Bay of Blanes [31], and the Boussole site [32] and higher than those of Ionian Sea [28] and those reported by [34]. The mean of  $S$  coefficient is  $0.0257 \text{ nm}^{-1}$ , the highest of the data showed in Table 2, that cover the range between  $0.0165 \text{ nm}^{-1}$  [28] and  $0.0212 \text{ nm}^{-1}$  [34]. This result could be explained by the interpolation interval used in this work that was extended in the widest possible range [1] to avoid the interference of the finer spectral structures not belonging to CDOM, such as phaeopigments [47], porphyrin [48], and micosporine-like amino acids (MAAs). The choice of this method of interpolation aimed to improve the data interpretation and obtain a more robust estimate of the coefficients  $a_{CDOM}$  (440) and  $S$ . The obtained results support the proposed intent in light of the highly significance of the determination coefficients ( $r^2$ ) of the absorption spectra interpolation and of the regression between  $S$  vs.  $a_{CDOM}$  (440) always higher than 0.95. Therefore, care must be taken when comparing  $S$  values calculated over different wavelength ranges.

**Table 2.** Comparison between  $a_{CDOM}$  ( $\lambda$ ) ( $\text{m}^{-1}$ ) and  $S$  ( $\text{nm}^{-1}$ ) of this study and other data (references in brackets) from Mediterranean Sea. For each  $S$  is also showed the non-linear interpolation range.

	This Study				[31]	[28]	[29]	[32]	[34]	[27]
$a_{CDOM}$ ( $\lambda$ )	440	254	300	350	254	300	350	440	254	443
mean	0.0154	1.53	0.48	0.137	1.59		0.103			
Standard Error	0.001	0.02	0.01	0.005	0.02		0.003			
Min	0.0018	1.13	0.26	0.045		0.08	0.060	0.015	0.69	
Max	0.0645	2.54	1.02	0.382		0.58	0.130	0.045	1.54	
$S$	$S$ (250–600)				$S$ (350–400)	$S$ (270–400)	$S$ (350–500)	$S$ (350–500)	$S$ (275–295)	$S$ (350–500)
Mean	0.0257				0.0165	0.0212	0.019			0.017
Standard Error	0.0003				0.0004	0.0004	0.001			0.0038
Min	0.0192						0.014	0.015	0.02	0.0114
Max	0.0356						0.026	0.021	0.04	0.0251

#### 4.1. Seasonal Dynamic of CDOM

The seasonal dynamic of the water column structure refers only to epipelagic layer (0–100 m) and is very similar to those of other Mediterranean sites [49,50]. This dynamic is fundamental for understanding the CDOM one.

Typical winter mixed conditions favored an almost homogeneous vertical distribution of  $a_{CDOM}$  (440). In spring, surface  $a_{CDOM}$  (440) was generally lower than in winter with higher  $S$  values, while below the UML, which in the meantime is forming,  $a_{CDOM}$  (440) showed an increase, compared to the winter ones, and a decrease of  $S$ . Therefore, starting from a single winter CDOM pool, the tendency to form two pools, one in the UML and the other near the DCM, followed the modification of the water column structure.

The increase in solar irradiance and UV from spring to summer, involves CDOM photobleaching process in the UML. As a consequence, the summer surface samples were characterized by the lowest  $a_{CDOM}$  (440) mean value and highest  $S$ . Below UML, instead, the highest  $a_{CDOM}$  (440) mean values with low  $S$  were reached. In autumn, the two summer pools tend to mix thanks to the weakening of thermocline and halocline and to the disappearance of stratification, so the CDOM pool present in the water column had similar characteristics.

During the seven years of the survey mean seasonal maxima concentration of CDOM (excluding the two terrigenous input events) were recorded in summer in the 50–70 m layer ( $a_{CDOM}$  (440)  $0.0259 \text{ m}^{-1}$  and  $S$   $0.0225 \text{ nm}^{-1}$ ) contemporaneously to the presence of the DCM. In this layer  $a_{CDOM}$  (440) mean increased of about 40% if compared to winter one. A similar increase was reported by [12] for the Middle Atlantic Bight.

The summarized CDOM dynamic is similar to those previously described at the Bermuda Atlantic Time-Series [13,14] and similar to both dynamic and average concentrations for other Western Mediterranean Sea areas as at Boussole site [32], in front of the Marseille Bay [29] and near of the Blanes Bay [31].

The high  $a_{\text{CDOM}}(440)$  in the surface layer in winter is known for Mediterranean and described by many authors both with direct measurements [28–32,34], by remote sensing [18,51] or derived from measurements with Bio-Argo Floats [52,53]. Morel and Gentili [18] related the presence of high CDOM concentration in winter surface waters to the nature of the semi enclosed Mediterranean basin influenced by the increased winter-spring rivers flows.

The position of the site here analyzed, located on the continental shelf, about 23 km from the coast, south of the Arno river estuary may suggest a possible strong influence of freshwater inputs on the concentrations of CDOM. From the relationship between salinity and  $a_{\text{CDOM}}(440)$  the site did not appear to behave as a typical coastal area where the supply of freshwaters rich in CDOM is always significant in time as reported for many areas [54–58]. The study site in normal conditions or even with an increased flow rate, is not directly affected by freshwaters with high CDOM concentration [59,60] because  $a_{\text{CDOM}}(440)$  values appear similar to other Western Mediterranean offshore areas. Only with exceptional flood these waters can directly affect the area and significantly change the levels of CDOM, as happened in winter 2017 and spring 2018, when surface waters were clearly affected by freshwater runoff and terrigenous inputs. During these events surface  $a_{\text{CDOM}}(440)$  reached the highest level and  $S$  the lowest. The results obtained show that these events are only sporadic and focused on specific conditions that can occur in the periods of greatest rainfall such as winter, spring, or autumn.

The summer superficial pool of CDOM was characterized by the strong decrease of  $a_{\text{CDOM}}(440)$  to the annual minimum, together with an increase of  $S$  up to the highest values. The condition of low  $a_{\text{CDOM}}(440)$  associated with high  $S$  is common in other areas of the Mediterranean Sea [28,29,31,32,34] and in other intermediate and tropical latitudes, particularly for Atlantic Ocean [13,61], as a consequence of the photobleaching process of CDOM driven by absorption of UV band. Consequently, a fraction of Carbon escapes in atmosphere as  $\text{CO}_2$ , altering the spectral properties of CDOM for the loss in absorption by the chromophore [62–64]. From winter to summer, a significant loss of CDOM concentration occurs every year in UML as  $a_{\text{CDOM}}(440)$  was found to decrease on average by about 60%, and in some years, as in 2017, the decrease can exceed 80%. This means that a large part of winter CDOM production was removed via photobleaching. Many authors [12,13,65–67] confirmed CDOM photobleaching as the most important sinking process for CDOM and one of the contributions to take into account in the frame of global warming.

#### 4.2. $a_{\text{CDOM}}(440)$ –Chl Relationship

Focusing on biological activity, CDOM can be produced by several direct (e.g., extracellular release and excretion, sloppy feeding, cell lysis) or indirect (zooplankton feeding and bacterial degradation of dead cells and debris) phytoplanktonic processes [16].

The above mentioned analysis of CDOM dynamic in the Ligurian site, show how its maxima, both during the surface winter bloom and in the summer 50–70 m layer of DCM, are always associated with phytoplankton biomass maxima (absolute or relative), as confirmed by the general regression between  $a_{\text{CDOM}}(440)$  and Chl. A first quantitative estimate for surface waters was obtained by [18] using a power model:  $a_{\text{CDOM}}(443) = 0.0316 \text{ Chl}^{0.63}$ , confirmed, with small changes, by [47] and by [32] notwithstanding the high variability in data distribution. Likewise, in the present study, despite the high significance of the correlation coefficient ( $p < 0.01$ ) and the very similar regression coefficient (0.638) a high variability can be pointed out. The same regression studied by [13,19] provided similar data dispersion, while [28] used a linear model, obtaining a high correlation.

If the relationship  $a_{\text{CDOM}}(440)$  vs. Chl is splitted for each season, still keeping positive trend, multiple quantitative modalities emerge. Even if organic matter in the open sea is mainly due to phytoplanktonic primary production, their linkage can be direct or largely indirect, mediated by the

activity of other organisms at different time scales and modified by physical phenomena such as surface photobleaching or the sporadic terrigenous influx.

The quantitative relationship between phytoplankton biomass and CDOM, in addition to its ecological value, has considerable importance for practical purposes in relation to satellite Chl estimates [68] especially in the Mediterranean Sea [18,24,30].

The results of this work highlight the complexity of the link between phytoplankton biomass and CDOM, involving different phases of the Carbon cycle, leading to the need of local studies and data acquisition to improve the Mediterranean satellite estimate of Chl.

#### 4.3. Spectral Characteristics and Origin of CDOM

According to [28,44], CDOM optical characteristics have been analyzed on the basis of the relationship between  $a_{\text{CDOM}}(440)$  and  $S$ .  $S$  is not influenced by CDOM concentration, but  $a_{\text{CDOM}}(440)$  and  $S$  are strictly linked to its composition and also to its origin.  $a_{\text{CDOM}}(440)$  and  $S$  are specific characteristics of different pools of CDOM, each of these characterized by specific values. In the present study, the pools (end point) that can be clearly distinguished are:

- (1) The surface one linked to terrestrial input events.
- (2) The surface summer one, with low absorption and high  $S$ .
- (3) The winter entire water column one.
- (4) The deep summer layer one characterized by high  $a_{\text{CDOM}}(440)$  and low  $S$ .

The CDOM pools present in spring and autumn on the surface or in the deep layer, due to their characteristics, appear as phases of transition (formation of UML and its disappearance) towards the last three most consolidated extreme conditions. Apart from the above-mentioned first pool of terrestrial origin the surface summer pool can be recognized according to its spectral characteristics (very low  $a_{\text{CDOM}}(440)$  and very high  $S$ ) and it originated from the photobleaching [64,69].

The other two pools identified, the winter and the deep layer summer ones, had high  $a_{\text{CDOM}}(440)$  (summer ones are greater than winter ones) and low  $S$  (deep summer ones are lower than winter ones), both associated with Chl maxima, higher in winter than in the DCM.

If in winter a more direct link between phytoplankton production and CDOM appears, CDOM summer pool usually was mostly concentrated immediately above the maximum of Chl, showing an example of an indirect relationship between CDOM and Chl. Summer DCM and  $a_{\text{CDOM}}(440)$  maxima were always out of phase: DCM was always at 70 m, while the CDOM maxima at 50 m. Many other authors have described similar structure [13,19,32,34,70]. Organelli et al. [32] noted similarities between the vertical distribution of bacterioplankton and CDOM at the Boussole site, their maxima were at the same depth over DCM, assuming in these conditions a preponderant role of bacterioplankton in the production of CDOM.

Regarding the phytoplankton composition, a strong seasonal change is evident, from microphytoplankton in winter to picoplankton in summer passing through nanophytoplankton dominance in spring. Taxonomic structure of phytoplankton community is an indicator of the marine ecosystem, trophic and biogeochemical states [71–75]. Diatoms, that dominate the winter assemblage, are known to have a high efficiency in transferring organic substance to the upper levels of the trophic network [76]. Then, once bloom is exhausted due to grazing and nutrient depletion in the new formed UML, microphytoplankton biomass is in part immediately decomposed and in part settles as organic particles that can sediment in the underlying layer, contributing to CDOM production. An aspect to highlight is the detection of *Phaeocystis cf. cordata* in spring, mainly at 50 m, in the colonial form embedded in the mucous matrix. For some species of the same genus (*Phaeocystis antarctica*) a close link with the production of CDOM has been highlighted [77]. Therefore, the presence of colonies could represent one of the indirect links, out of time, between phytoplankton and CDOM mediated by bacteria, but at the moment it can only constitutes a further working hypothesis to be explored to understand its quantitative importance.

The summer dominant picoplankton fraction instead, is generally associated with a different trophic network supported mainly by debris and characteristic of oligotrophic conditions. For this condition, [78] developed the concept of “microbial loop” to indicate a trophic web sustained by the recycling of the organic matter produced by picophytoplankton and in which bacteria play a fundamental role. DOC excretion rates are constrained by abundance and taxonomic composition of primary producers in the ecosystem. Smaller phytoplankton have been shown to excrete a higher percentage of their assimilated carbon as DOC than their larger counterparts [79–81]. This great excretion of DOC can have potentially large impacts on food webs and may change the ecosystem dynamics toward microbial loop. The occurrence of these processes affects CDOM production both directly from phytoplankton or indirectly from bacterial utilization and transformation of DOM labile fraction, excreted by phytoplankton. CDOM is a less labile form of dissolved organic substance which therefore can also accumulate. This hypothesis seems to be in agreement with the results of other authors who, in similar conditions, associate the maximum of CDOM with the maximum of the vertical distribution of the bacterioplankton [32,70].

So, the two recognized pools of high CDOM concentration, characterized by different  $S$ , have been identified in two completely different trophic regimes and, particularly, with two different phytoplanktonic communities: microphytoplankton dominated by diatoms on the surface in winter and picophytoplankton in the summer deep layer.

#### 4.4. Implications for Remote Sensing

From the recognized CDOM dynamic it is clear that its optical properties ( $a_{\text{CDOM}}(440)$  and  $S$ ) vary considerably over the year as well as the relationships between phytoplankton biomass and  $a_{\text{CDOM}}(440)$ . These two aspects are fundamental for remote sensing applications. Reference [18] highlights as a critical aspect of the functioning of standard algorithms for estimation of Chl in Mediterranean Sea that the estimate errors vary during the year and are greater in winter and much smaller in summer. These characteristics, based on the results of this study, can be interpreted as consequent to the seasonal variations of the optical properties of CDOM and CDOM/Chl ratios.

Therefore, the Mediterranean regional algorithms to estimate CDOM and Chl should take into account these seasonal trends considering both CDOM optical properties, particularly  $S$ , and Chl/CDOM as seasonal variables. These implementations could be crucial to improve the current estimates in the Mediterranean Sea, which have the most critical aspect in the CDOM component, as emphasized by many authors [18,24,30,82].

## 5. Conclusions

The dynamic of CDOM in the photic zone of the Mediterranean shelf waters examined is determined by the interaction of biological, physico-chemical, and hydrodynamical processes.

Biological processes are certainly prevalent in CDOM production and modification (especially in surface winter spring and in summer deep layer) and coupled with thermohaline seasonal variations accounts for the main features of CDOM distribution on the water column. The spring–summer UML formation and consolidation cause strong sink of CDOM due to photobleaching.

Only strong flooding events can directly influence surface water of the sampling site determining very high supply of terrigenous CDOM.

Even in continental shelf site such as that considered in this study CDOM production is essentially linked to autochthonous biological processes in which phytoplankton is the main agent both through direct production and degradation of its biomass. Despite this, the relationship between CDOM and Chl has high variability due to the different ways in which CDOM is linked to phytoplankton. Chl, consequently cannot be considered a robust proxy of CDOM even in Case 1 waters such as those investigated.

During the years of investigation, CDOM production processes and consequent accumulation occur essentially during the winter bloom in the surface layer and during the deep summer maxima of



Chl (DCM). In both cases the CDOM pools are characterized by high  $a_{\text{CDOM}}(440)$  and low  $S$  but each with its own peculiarities which allow to distinguish them. They originated in very different ecological and trophic conditions characterized by the dominance of diatoms in winter, and picophytoplankton in summer deep layer with the bacterial component that most likely plays a very important role.

The described dynamics lead to strong differences in  $a_{\text{CDOM}}(440)$  along the year which, depending on the layer and year, can vary from 50% to 80%.

The results of this work highlight the strong dynamism of CDOM and its multiple implications in the functioning of the photic zone of marine ecosystem and confirm the complexity of the link between phytoplankton biomass and CDOM, involving different phases of the Carbon cycle. Moreover, these results suggest some important inputs to be introduced for the improvement of the Mediterranean satellite estimates of Chl and CDOM, such as the seasonal differentiation of the optical properties, particularly  $S$ , and the relationships between Chl and CDOM.

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