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## Designing selective modulators for the nicotinic receptor subtypes: challenges and opportunities

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Nicotinic receptors are membrane proteins involved in several physiological processes. They are considered suitable drug targets for various CNS disorders or conditions, as shown by the large number of compounds which have entered clinical trials. In recent years, nonconventional agonists have been discovered: positive allosteric modulators, allosteric agonists, site-specific agonists and silent desensitizers are compounds able to modulate the receptor interacting at sites different from the orthodox one, or to desensitize the receptor without prior opening. While these new findings can further complicate the pharmacology of these proteins and the design and optimization of ligands, they undoubtedly offer new opportunities to find drugs for the many therapeutic indications involving nicotinic receptors.

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**Keywords:** allosteric modulators • drug design • nicotinic receptors

Nicotinic acetylcholine receptors (nAChR) are ligand-gated ion channels (LGIC), members of a family called Cys-loop receptors owing to the presence, near the N-terminal extracellular domain, of a conserved disulfide bridge between two Cys residues separated by 13 amino acids. These proteins have a pentameric structure, formed of five subunits assembled around a central pore. nAChRs have been known for more than a century, but their fundamental role in the pathophysiology of the CNS emerged only in the last part of the 20th century, after cloning and expression of the neuronal subtypes [1]. The importance of these proteins as drug targets is proven by the high number of compounds that have entered clinical trials for several different CNS applications, some of which are listed in Table 1. However, despite all the effort, success has only been achieved so far for smoking cessation; in many instances, for other diseases or conditions, drug candidates were found endowed with insufficient efficacy and adverse effects.

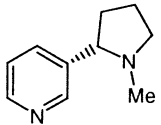
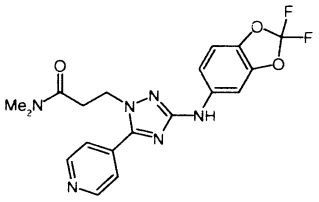
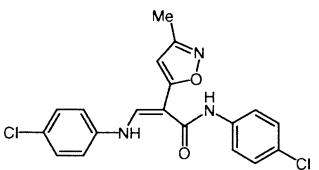
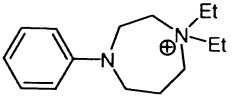
The design of nAChR drugs has been hampered by the complexity of these proteins, and by a lack of structural information on the whole channel, information which has only recently been obtained [50]. On the one hand, complexity refers to the high number of possible subtypes and many physiological processes in which these proteins are involved. Several excellent reviews have been published on this topic, which will only be partly addressed in this paper, and readers are advised to refer to them for in-depth information. On the other hand, some intriguing properties of the protein itself have emerged in recent times, such as the increased numbers of binding sites and their different ability to modulate receptor activity, which will be mentioned in this review. While this complexity may create difficulties for drug design, it also offers opportunities which are yet to be exploited.

### Subunit composition

nAChR subtypes consist of five subunits assembled to form a channel. Twelve subunits have been cloned from neuronal tissues, classified as nine alpha ( $\alpha 2$ – $\alpha 10$ ) and three beta ( $\beta 2$ – $\beta 4$ ); they can be joined into homomeric nAChRs ( $\alpha 7$ – $\alpha 9$ ); the  $\alpha 8$  subunit has been found in avian but not in mammalian tissue) or heteromeric nAChRs,

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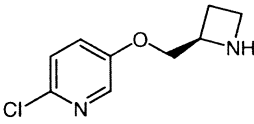
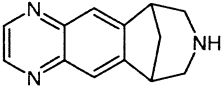
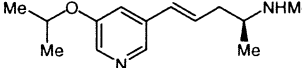
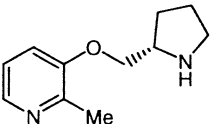
Table 1. Nicotinic agents that are or have been studied in clinical trials by the US NIH<sup>†</sup>.

Drug	Action on nAChR	Pathology; Phase (status)	Results <sup>‡</sup>	Ref.
 <b>1 Nicotine</b>	Agonist	Down syndrome; I, II (R)		
		Mild cognitive impairment; I, II (R)		
		Parkinson's disease; I, II (C)		
		Major depressive disorder; IV (R)		
		ASD; I (R)		
		Chemo brain; II (R)		
		Schizophrenia <sup>§</sup>	Low or medium doses of nicotine i.v. did not alter symptoms of schizophrenia or attention. Modest improvement of nicotine on attention, not in other cognitive functions, in smoking but not in nonsmoking patients	[2,3]
 <b>3 JNJ39393406</b>	α7 PAM	Schizophrenia, smoking cessation in schizophrenia; II	Failed to reverse basic deficits of information processing	[4]
 <b>4 AVL-3288</b>	α7 PAM	Schizophrenia; I	Positive although nonsignificant effects on cognition	[5]
 <b>9 ASM024</b>	α7 silent agonist	Asthma; II	No significant inhibition of allergen-induced asthmatic response and related airway inflammation. Side effect: cough	[6]
		COPD; II (C)		

<sup>†</sup>[42].<sup>‡</sup>Information was taken from peer-reviewed papers or from Web news.<sup>§</sup>Phase nonreported.<sup>¶</sup>From [7].<sup>¶¶</sup>Healthy volunteers.<sup>¶¶¶</sup>[43]<sup>¶¶¶¶</sup>[44]<sup>¶¶¶¶¶</sup>[45].<sup>¶¶¶¶¶¶</sup>Formula and activity retrieved from [46].<sup>¶¶¶¶¶¶¶</sup>Definition reported on www.clinicaltrial.gov (NCT01293669).<sup>¶¶¶¶¶¶¶¶</sup>[47,48].<sup>¶¶¶¶¶¶¶¶¶</sup>[49].<sup>¶¶¶¶¶¶¶¶¶¶</sup>Low-dose nicotine gel, NCT00316537.

A: Active; AChEI: Acetylcholinesterase inhibitors; AD: Alzheimer's disease; ADHD: Attention-deficit hyperactivity disorder; ASD: Autism spectrum disorder; b.i.d.: Twice daily; C: Completed; COPD: Chronic obstructive pulmonary disease; fNMR: Functional Nuclear Magnetic Resonance Imaging; METH: Methamphetamine; nAChR: Nicotinic acetylcholine receptor; PAM: Positive allosteric modulator; R: Recruiting; T: Terminated; U: Unknown.

**Table 1. Nicotinic agents that are or have been studied in clinical trials by the US NIH<sup>†</sup> (cont.).**

Drug	Action on nAChR	Pathology; Phase (status)	Results <sup>‡</sup>	Ref.
 <b>17 ABT-594</b>	$\alpha 4\beta 2$ agonist	Diabetic neuropathic pain; II	Significant efficacy in the treatment of diabetic peripheral neuropathic pain. Side effects: nausea, dizziness, vomiting, abnormal dreams and asthenia	[7]
 <b>21 Varenicline</b>	$\alpha 4\beta 2$ partial agonist; $\alpha 7$ agonist	Nicotine dependence in depression; IV	Increase in smoking cessation in smokers with current or past depression without exacerbation of depression or anxiety	[8]
		Schizophrenia; III	Cognitive improvements as adjunctive treatment with antipsychotics. Side effects: nausea and headache	[9]
		Alzheimer's disease; II	No improvement of cognition in Korean patients with mild-to-moderate Alzheimer's disease. Side effects: gastrointestinal	[10]
		Parkinson's disease; II,IV (R, A)		
		Obesity; II,III (R)		
		Alcohol use disorder <sup>§</sup>	Inhibition of alcohol consumption in a population of heavy drinkers	[11]
		Methamphetamine dependence; I	Significant improvement of reaction time on the n-back for visual stimuli in METH-dependent volunteers	[12]
		Cocaine dependence; II (C)		
		Smoking cessation in schizophrenia; III	Significant efficacy in smoking reduction in people with schizophrenia	[13]
 <b>23 AZD3480, TC1734, ispronicline</b>	$\alpha 4\beta 2$ partial agonist	ADHD; II	Significant improvement of cognitive and clinical ADHD symptoms. Dose: 50 mg	[14]
		Schizophrenia; II	No efficacy. Psychiatric side effects recorded	[15]
		Alzheimer's disease; II	The study was inconclusive	[16]
 <b>24 ABT089</b>	$\alpha 4\beta 2$ agonist	Nicotine addiction; II (T)		
		Alzheimer's disease; II (T)		
		ADHD; II	Effective in a pilot study but non in a larger trial	[17]

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<sup>§</sup>Phase nonreported.

<sup>¶</sup>From [7].

<sup>\*</sup>Healthy volunteers.

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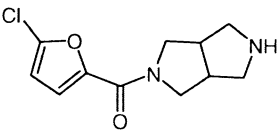
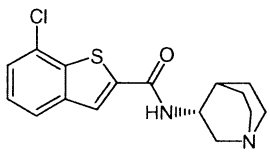
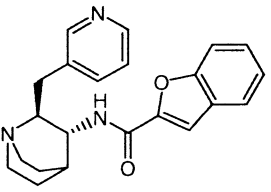
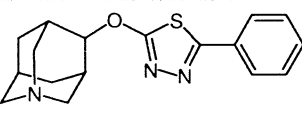
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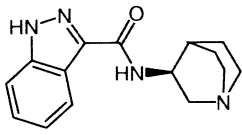
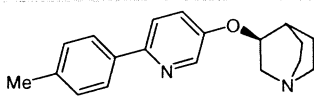
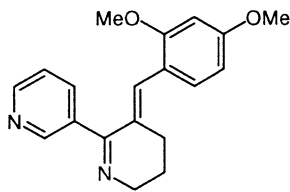
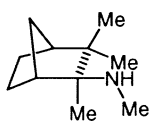
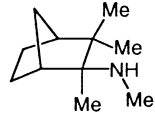
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Drug	Action on nAChR	Pathology; Phase (status)	Results <sup>‡</sup>	Ref.
 <p>25 AZD1446, TC6683</p>	α4β2 agonist	*, I	Side effects: nausea, headache and dizziness	[18]
		ADHD; II	No significant improvements of ADHD symptoms after 2 weeks of treatment	[19]
 <p>26 EVP6124, MT4666, encenicline</p>	α7 partial agonist	Schizophrenia; I	Positive effect on cognitive functions in patients treated with antipsychotic drugs	[20]
		Alzheimer's disease; IIb	After 23 weeks 26 showed improved cognitive performance with respect to placebo. Dose: 2 mg/die	[21]
 <p>27 TC5619</p>	α7 agonist	Schizophrenia; II	The positive results of exploratory study were not confirmed in larger trials	[22]
		Alzheimer's disease; I	Discontinued	**
		ADHD; II	Discontinued	**
 <p>28 ABT126</p>	α7 agonist	Schizophrenia; II	Lack of a consistent effect on cognition in nonsmoking subjects with schizophrenia; positive effect on negative symptoms	[23,24]
		Alzheimer's disease; IIb	No significant improvement in subjects with mild-to-moderate AD, alone or with AChEI. Side effects: agitation, constipation, diarrhea, fall, headache	[25,26]

<sup>†</sup>[42].<sup>‡</sup>Information was taken from peer-reviewed papers or from Web news.<sup>§</sup>Phase nonreported.<sup>¶</sup>From [7].<sup>¶</sup>Healthy volunteers.<sup>\*\*</sup>[43]<sup>\*\*</sup>[44]<sup>\*\*</sup>[45].<sup>¶¶</sup>Formula and activity retrieved from [46].<sup>¶¶</sup>Definition reported on www.clinicaltrials.gov (NCT01293669).<sup>¶¶</sup>[47,48].<sup>¶¶¶</sup>[49].<sup>¶¶¶</sup>Low-dose nicotine gel, NCT00316537.

A: Active; AChEI: Acetylcholinesterase inhibitors; AD: Alzheimer's disease; ADHD: Attention-deficit hyperactivity disorder; ASD: Autism spectrum disorder; b.i.d.: Twice daily; C: Completed; COPD: Chronic obstructive pulmonary disease; fNMR: Functional Nuclear Magnetic Resonance Imaging; METH: Methamphetamine; nAChR: Nicotinic acetylcholine receptor; PAM: Positive allosteric modulator; R: Recruiting; T: Terminated; U: Unknown.

**Table 1. Nicotinic agents that are or have been studied in clinical trials by the US NIH<sup>†</sup> (cont.).**

Drug	Action on nAChR	Pathology; Phase (status)	Results <sup>‡</sup>	Ref.
 29 MEM3454, RG3487	α7 agonist	Alzheimer's disease; II (C)		
		Schizophrenia; II	No improvement of cognitive deficits but improvement of negative symptoms. Side effect: constipation	[27]
 30 AQW051	α7 partial agonist	Schizophrenia; II	Following treatment with 32, brain activation, measured by means of fMRI, was not associated with changes in cognitive performance	[28]
		Levodopa-induced dyskinesia in Parkinson's Disease; II	No significant reduction of dyskinesia or Parkinsonian severity	[29]
		Alzheimer's disease; II (T)		
 31 GTS21, DMXB-A	α7 partial agonist and α4β2 antagonist	ADHD; II (C)		
		Alzheimer's disease; II (C)		
		Schizophrenia; II	Significant effects on attention/vigilance and working memory; improvement of negative symptoms	[30]
		Tobacco use disorder; II (A)		
		Obesity; I (R)		
 S(+)-32 TC5214	Antagonist	Major depressive disorder; III	No efficacy was shown in patients with inadequate response to prior antidepressant therapy. Side effects: constipation, dizziness, dry mouth	[31,32]
 32 mecamlamine	Antagonist	Cognition in schizophrenia <sup>‡</sup>	Worsening of performance relative to placebo and varenicline, to a greater extent in participants with schizophrenia than in healthy controls	[33]

<sup>†</sup>[42].

<sup>‡</sup>Information was taken from peer-reviewed papers or from Web news.

<sup>§</sup>Phase nonreported.

<sup>¶</sup>From [7].

<sup>\*</sup>Healthy volunteers.

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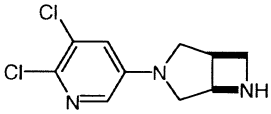
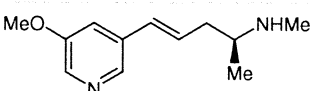
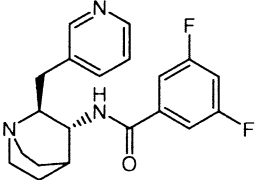
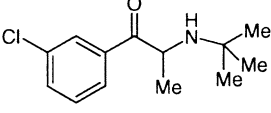
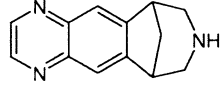
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Drug	Action on nAChR	Pathology; Phase (status)	Results <sup>‡</sup>	Ref.	
		Alcohol dependence; II	No significant effect on alcohol consumption in patients with alcohol use disorders	[34]	
		Autism; I	No significant benefit was suggested	[35]	
		Smoking cessation; II	No evidence of efficacy as adjuvant with nicotine-replacement therapy	[36]	
	α4β2 agonist	Diabetic neuropathic pain; II	No efficacy at the dose of 1–4 mg b.i.d.	[37]	
<b>33 ABT894, sofinicline</b>		ADHD; II	Significant reduction of ADHD symptoms in adults. Dose: 4 mg b.i.d. Side effects: nausea, dizziness, headache and fatigue	[38]	
	α4β2 agonist	Pain; II	In postoperative dental pain, the primary end point was not met	§§	
<b>34 TC2696</b>		α7 agonist; ¶¶ open channel stabilizer**	Asthma; II (C)	Some end points were met	***
		Type 2 diabetes mellitus; II (C)	Discontinued	***	
		Smoking cessation; III	Combined use of 21 and 35, compared with 21 alone, increased prolonged abstinence at 12 and 26 weeks but not at 52 weeks. Side effects: anxiety and depressive symptoms	[39,40]	
		Alcohol use disorder; II (A)	Higher efficacy, after 12-week treatment, in patients not responding to prequit nicotine patch treatment		
<b>36, bupropion and 21, varenicline</b>					

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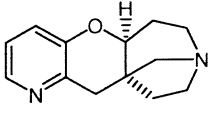
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Table 1. Nicotinic agents that are or have been studied in clinical trials by the US NIH<sup>†</sup> (cont.).

Drug	Action on nAChR	Pathology; Phase (status)	Results <sup>‡</sup>	Ref.
	$\alpha$ 4 $\beta$ 2 Partial agonist	Smoking cessation; III	No efficacy for smoking cessation beyond the initial treatment phase. Side effects: diarrhea, nausea	[41]
37 SSR591813, Dianicline				
ATG002 <sup>§§§</sup>	Agonist	Diabetic foot ulcers; I,II (T)		

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‡Information was taken from peer-reviewed papers or from Web news.

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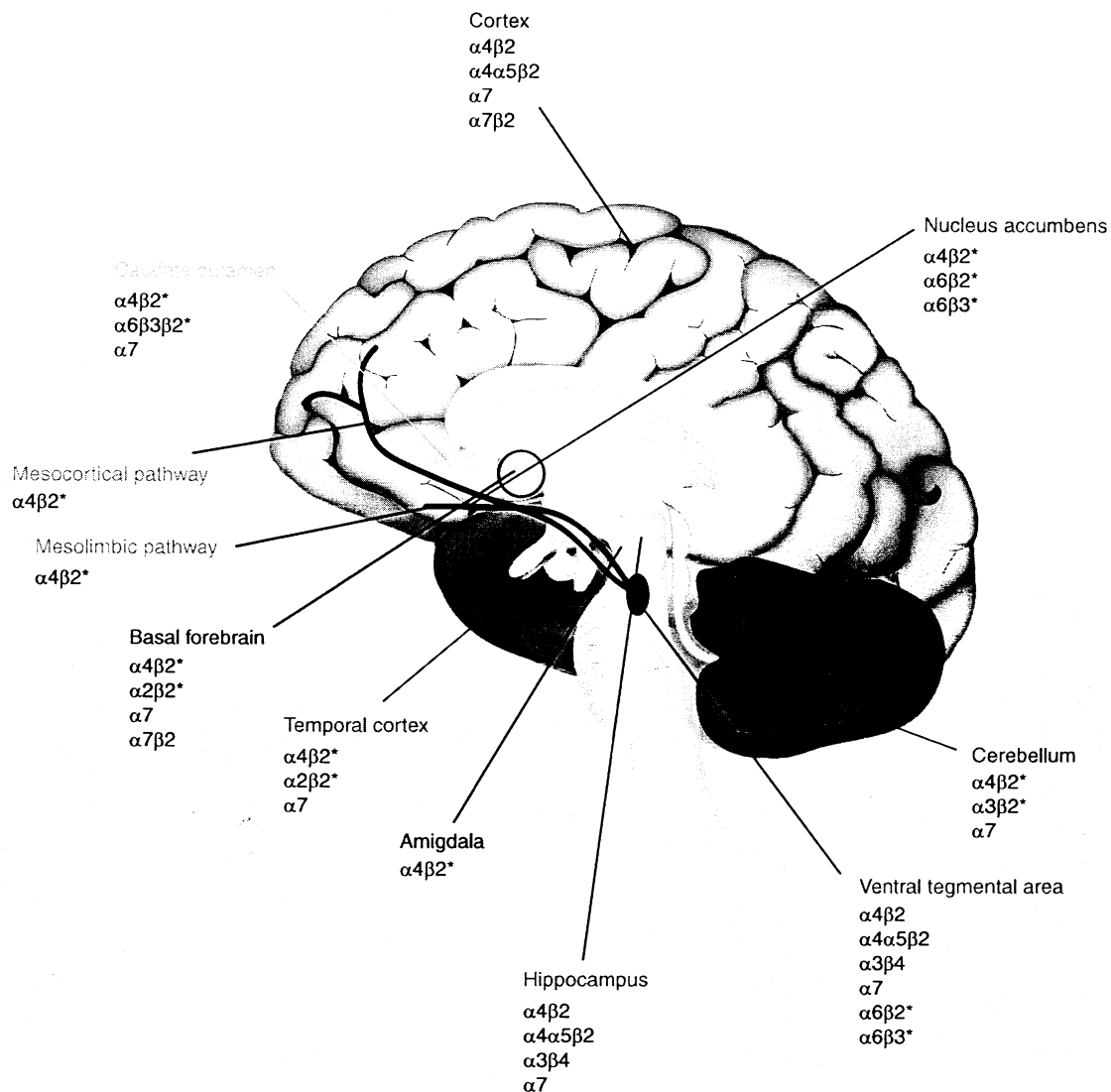
the latter being composed by  $\alpha$ ( $\alpha$ 2– $\alpha$ 7) and  $\beta$ ( $\beta$ 2– $\beta$ 4) subunits or by  $\alpha$ 9 and  $\alpha$ 10 [51]. At the neuromuscular junction, the muscle-type nicotinic receptor is formed by  $\alpha$ 1,  $\beta$ 1,  $\delta$  and  $\gamma$  (fetal) or  $\epsilon$  (adult) [1].

Based on binding studies, the nicotinic receptors can also be classified as sensitive and insensitive to  $\alpha$ -Bungarotoxin ( $\alpha$ Bgtx).  $\alpha$ Bgtx-sensitive receptors contain  $\alpha$ 7– $\alpha$ 10 subunits, but also the muscle-type receptor is  $\alpha$ Bgtx-sensitive. The  $\alpha$ Bgtx-insensitive receptors are made up of combinations of neuronal  $\alpha$  and  $\beta$  subunits [52]. More than 90% of these receptor subtypes in rodent brain are  $\alpha$ 4 $\beta$ 2\* nAChRs (\* indicates the possible presence of other subunits): autoradiographic studies of [<sup>3</sup>H]-nicotine binding sites in brain showed correspondence with an expression pattern for  $\alpha$ 4 and  $\beta$ 2 subunits ([53] and references therein).

The 12 neuronal subunits can theoretically associate into many combinations, raising the number of possible receptor subtypes; however, it is not known if all possible combinations give functional receptors. There is evidence that some particular combinations are more abundant and physiologically relevant: for instance, in neurons the  $\alpha$ 7 subtype is mainly homomeric; the  $\alpha$ 4 $\beta$ 2 subtype is the most abundant heteromeric subtype in brain of several species; the  $\alpha$ 3 $\beta$ 4 is the most expressed at ganglions (see [1,54] and references therein). In many instances, the initial screening of new nicotinic ligands, including some of the compounds reported in Table 1, has been performed on heterologously expressed homomeric  $\alpha$ 7 and heteromeric  $\alpha$ 4 $\beta$ 2 subtypes.

However, the definition of selectivity may change over time due to new experimental findings on subunit assembly, among which stoichiometry, which will be discussed later. For instance, it has recently been shown that the  $\alpha$ 7 subunit also forms heteromeric channels:  $\alpha$ 7 and  $\beta$ 2 subunits can coassemble in both heterologously expressed systems and in native brain neurons, showing, with respect to homomeric  $\alpha$ 7 channels, different functional properties and sensitivity to agonists (reviewed in [55]). Tissue and subcellular localization, or sensitivity to oligomeric amyloid- $\beta$ <sub>1–42</sub> protein (A $\beta$ <sub>1–42</sub>) are some intriguing properties of this subtype, suggesting a different role with respect to homomeric  $\alpha$ 7 receptors [55,56].

Heteromeric receptors may be formed of two, three or four different subunits, giving functional combinations differing in pharmacological and biophysical properties [52]. It is difficult to study these receptors in native tissues because different combinations can colocalize in the same area [57]. Expression in recombinant systems may speed up receptor characterization and may help in finding new ligands to be used as pharmacological tools to study the receptors in native tissues. For instance, the ( $\alpha$ 3 $\beta$ 4)<sub>2</sub> $\alpha$ 5 subtype has been expressed in HEK293 and CHO cells and a library of compounds was tested by means of high-throughput screening (HTS) to discover compounds able to discriminate between the ( $\alpha$ 3 $\beta$ 4)<sub>2</sub> $\alpha$ 5 and ( $\alpha$ 3)<sub>2</sub>( $\beta$ 4)<sub>3</sub> subtypes [58,59]. Also the  $\alpha$ 6 $\beta$ 2 $\beta$ 3 has been expressed in HEK293 cells and studied by means of HTS. In this case, in order to overcome problems of functional expression, a chimeric  $\alpha$  subunit was used that was formed of the extracellular domain of  $\alpha$ 6 (containing the acetylcholine



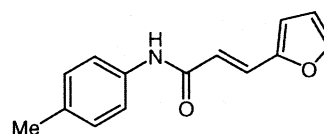
**Figure 1. Nicotinic acetylcholine receptor distribution in human brain.**  
 Reproduced with permission from [57], and implemented.

[ACh]-binding site) and the transmembrane domain of the  $\alpha 3$  subunit, and a mutation was introduced into the  $\beta 3$  pore-lining region [60].

### nAChR distribution & function

The  $\alpha$  or  $\beta$  subunits are differently expressed in various neuroanatomical regions (Figure 1). The  $\alpha 4\beta 2^*$  receptors are widely distributed throughout the brain; the  $\alpha 7^*$  subtypes are also highly expressed, particularly in the hippocampus, while other subunits have a more restricted localization [1,52,54,57]. nAChRs may have a pre-, post- or nonsynaptic location, which accounts for different modulating activities on transmission and neuronal excitation. Most nAChRs have a presynaptic localization and modulate the release of both excitatory and inhibitory neurotransmitters. Therefore, the activation of nAChRs can have opposite modulatory effects on the same circuits depending on whether they induce the release of excitatory or inhibitory neurotransmitters [1]. In particular, dopamine release is modulated by  $\alpha 4\beta 2^*$ ,  $\alpha 3\beta 2^*$  and  $\alpha 6^*$  nAChRs in nigrostriatal terminals [61], while presynaptic  $\alpha 7$  nAChRs regulate





2 (PAM2)

**Figure 2.** Structure of the positive allosteric modulator, PAM-2 (2).

glutamate and GABA release [62]. Presynaptic nAChRs are also involved in regulating the release of ACh, serotonin, and noradrenaline [63].

Nicotinic receptors play a role in synaptic plasticity and development, and participate in cognitive processes. Several diseases or conditions have been associated with changes in function or expression of nicotinic receptors (see [64] and references therein, and section on 'Therapeutic potential' in this paper), some of which such as epilepsy or schizophrenia, may have a genetic cause. In many instances, the involvement of nAChRs has been evidenced by using knock-out mice [65,66].

### nAChR modulators

Nicotinic ligands are usually classified as agonists, antagonists or allosteric modulators; the prototypical agonist is nicotine (**1**, structure in Table 1). Agonists bind to the orthosteric site, fully or partially activating the receptor. Competitive antagonists bind at or near the ACh-binding site and their interaction is mutually exclusive with that of agonists, while pore blockers behave as noncompetitive antagonists, binding within the channel and thus hindering the ion flow. The majority of the compounds that have entered clinical trials are full or partial agonists interacting at the orthosteric site. The difficulty in designing subtype-selective ligands lies in the high degree of homology within the orthosteric sites: several compounds are known which are able to activate or block more than one subtype, or that behave as agonist on one subtype and as antagonist on another.

Allosteric modulators interact with sites different from those of the neurotransmitter, and affect the transition between functional states [64]. Positive allosteric modulators (PAM) increase the apparent affinity of the receptor for the agonist and reduce the concentration required to achieve channel opening, while negative allosteric modulators behave in the opposite way. The  $\alpha 7$  nAChR PAMs have been classified as either type I or type II on the basis of a difference in their effect on receptor desensitization. Type I PAMs increase the apparent peak amplitude of agonist-evoked responses with little effect on desensitization kinetics, whereas type II PAMs reduce desensitization and reactivate, to a certain extent, desensitized  $\alpha 7$  nAChRs [67].

Receptor modulation with allosteric ligands is gaining interest because these molecules have the potential to be more subtype selective than orthosteric modulators since the neurotransmitter-binding site is highly conserved, while the allosteric-binding sites are not. In addition, PAMs may have more than one binding site [68]; interaction with those sites may modulate differently activation/desensitization mechanisms, which can also affect selectivity. PAMs are also attractive molecules because they are able to stimulate the receptor up to a physiological level, their action depending upon the concentration of the endogenous agonist. At the same time, this property may be a limitation in conditions where availability of the endogenous agonist is impaired, for instance, due to neuronal loss. Moreover, the ability of type II  $\alpha 7$  PAM to reactivate desensitized  $\alpha 7$  receptors may cause some trouble due to the high calcium permeability of these proteins, which in turn may cause calcium overload and toxicity [69].

In animal models, several  $\alpha 7$  PAMs have demonstrated efficacy in different experimental conditions. As an example, compound **2** (PAM-2, Figure 2), a recently discovered type II PAM at  $\alpha 7$  receptors, showed procognitive and antidepressant activity in rodents, reduced neuropathic and inflammatory pain, and ameliorated ketamine-induced cognitive impairments [70–73]. However, two PAMs (**3**, JNJ-39393406 and **4**, AVL-3288, Table 1) have entered clinical trials, giving contrasting results (see later in this review). Therefore, more work is needed to understand the real therapeutic potential of PAMs.

### Silent agonists (silent desensitizers)

nAChRs can be desensitized by continuous or repeated exposure to an agonist (e.g., **1**), resulting in a progressive decrease in response to a subsequent stimulus.  $\alpha 4^*$  receptors desensitize slowly after exposure to a low amount of

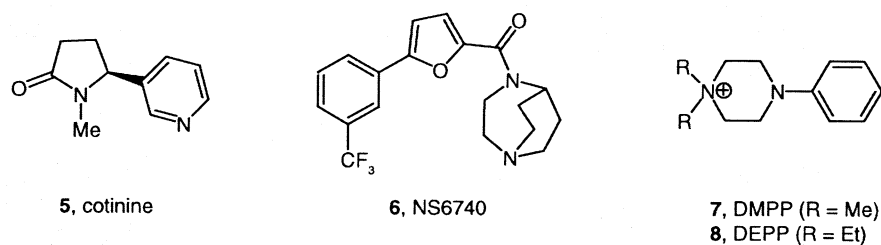


Figure 3. Chemical structure of silent agonists.

agonist, but desensitization is long lasting;  $\alpha 7^*$  receptors desensitize after exposure to high agonist concentration, but desensitization is readily reversible [74].

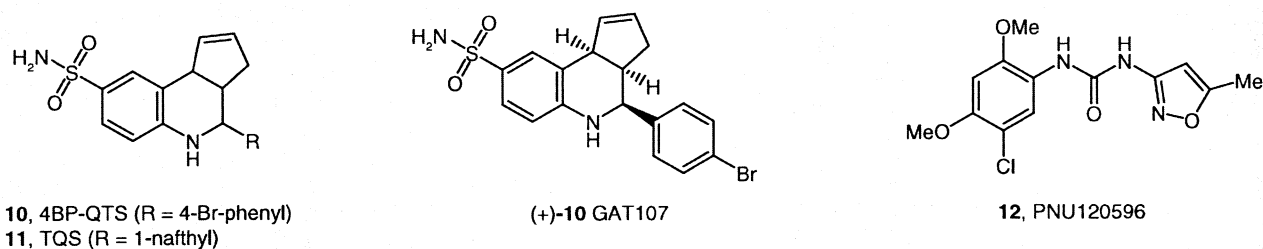
Receptor desensitization is a conformational transition to a nonconducting agonist-bound state: after an initial receptor activation producing an ion current, the system is actually blocked, with the channel being in a conformation that has high affinity for agonist [74]. Recently, two different desensitized states have been recognized for the  $\alpha 7$  receptors, obtained under different conditions of agonist application, one sensitive and the other insensitive to type II PAM [75]. Desensitization has been suggested as playing an important role in shaping the effects of nicotinic receptor activation, and altered desensitization mechanisms have been associated with some disease conditions such as autosomal dominant nocturnal frontal lobe epilepsy, congenital myasthenic syndrome and cancer (see [76] and references therein).

Since the consequence of desensitization is a nonconducting state of the receptor, it is not clear if agonists produce a particular pharmacological effect and actually function as inhibitors. Indeed, in several instances, some nAChR antagonists have been shown to evoke agonist-like nicotinic effect [77]. In this context, the activity of ligands affecting desensitization, in a way that is different with respect to classical agonists, could cast some light. For instance, type II  $\alpha 7$ -PAMs, as mentioned above, when coadministered with an agonist (i.e., ACh) reactivate one of the desensitized states of the receptor; allosteric agonists, which do not induce desensitization, will be discussed in the next section.

Compounds able to directly desensitize the receptor without prior activation are called 'silent agonists' or 'silent desensitizers' [77,78]; such behavior has been reported for nicotine (**1**) at very low concentration (10 nM) on  $\alpha 4\beta 2$  receptors expressed in HEK293 cells [79], or for **5** (cotinine, Figure 3), a nicotine metabolite, on ganglionic nicotinic receptors in rats [80].

Recently, silent agonists have mainly been studied on  $\alpha 7$  receptors, and an example of such compounds is **6** (NS6740; Figure 3): *in vitro* characterization revealed a very weak activation (<2%) of the  $\alpha 7$  receptor and antagonistic properties toward ACh; agonist-like effects were revealed when coadministered with a type II PAM, which reactivates the conducting state, or when tested on a slowly desensitizing mutated receptor [81]. *In vivo*, this molecule did not improve cognitive performance in the mouse passive avoidance test [81], but it blocked the procognitive activity of the nicotinic partial agonist BMS-902483 in the mouse novel object recognition test [82]. These findings suggest that activation of  $\alpha 7$  receptors is an important step in  $\alpha 7$ -mediated cognitive improvement. On the other hand, **6** is active in different models of chronic (formalin test and chronic constrictive nerve injury-induced neuropathy) but not acute pain (tail-flick and hot-plate tests) [83]. As well as the  $\alpha 7$  antagonist methyllycaconitine, **6** is able to reduce lipopolysaccharide-induced TNF- $\alpha$  release from microglia [84]. Indeed, the  $\alpha 7$  nAChR-mediated modulation of TNF- $\alpha$  release has been associated with a desensitized, nonconductive state of this receptor [85].

Silent agonists are ligands binding to the orthosteric site, whose pharmacophore should, at least partially overlap with that of agonists. Some structure-activity relationships have been inferred by Papke *et al.*: while the simplest structure-activating nicotinic receptor is tetramethylammonium, replacing methyl with ethyl groups gave tetraethylammonium, which is the minimal pharmacophore for  $\alpha 7$  silent agonism [86]. In a similar way, by replacing the two methyl groups with ethyl moieties, the ganglion stimulating and  $\alpha 7$  full agonist dimethylphenylpiperazinium iodide (**7**) was transformed into the  $\alpha 7$  silent agonist diethylphenylpiperazinium (**8**, Figure 3) whose structure was then further manipulated to obtain more potent molecules [87].



**Figure 4.** Structure of positive allosteric modulators and allosteric activators.

Silent agonists can be either permanently charged compounds or tertiary amines. While amines are required to study receptors in the CNS, ammonium ions can be used for modulating peripheral  $\alpha 7$  receptors, for instance, in neuropathy and inflammation. Indeed, a permanently charged compound, the homopiperazinium derivative **9** (ASM024, Table 1), has entered clinical trials for stable moderate asthma (see section on 'Pain and inflammation').

### Allosteric agonists

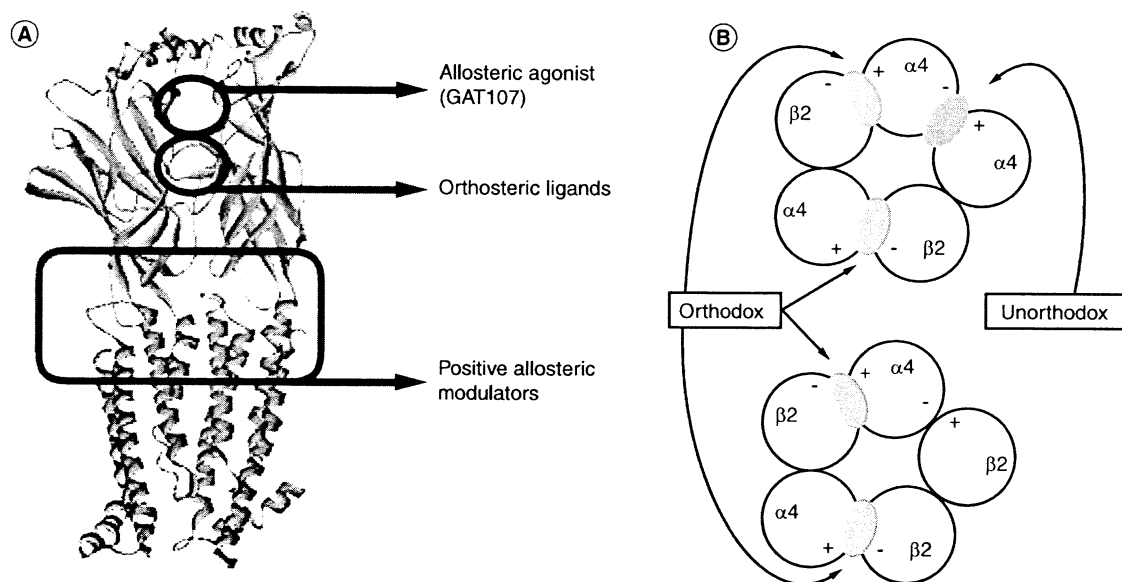
Recently, allosteric agonists have also been reported, in other words, molecules able to directly activate the receptor acting at a site different from that of the neurotransmitter. Compound **10** (4BP-TQS; Figure 4), a compound structurally related to the type II PAM TQS (**11**) [88], potentiated the activity of ACh after coapplication, exhibiting a type II PAM behavior. However, when administered alone, it was able to directly activate the  $\alpha 7$  receptor. Methyllaconitine antagonized the effect of **10** in a noncompetitive way, suggesting an interaction on a different binding site, which was confirmed by the activity on mutated receptors [89]. Several other analogs have been tested and some structure–activity relationships have been established, showing that small changes in structure can produce a different receptor modulation, going from direct activation to positive, negative or silent allosteric modulation [90–92]. Interestingly, contrary to ACh and other  $\alpha 7$  orthosteric agonists, **10** activated the receptor relatively slowly and it did not produce a rapid desensitization but rather a very slow desensitization that occurred over a period of minutes [90]. Subtype selectivity was checked on recombinant receptors in *Xenopus* oocytes: 4BP-TQS was able to activate only  $\alpha 7$  receptors, but it behaved as antagonist on  $\alpha 3\beta 4$ ,  $\alpha 4\beta 2$  and muscle-type receptors. In primary rat hippocampal neurons, **10** increased calcium influx with micromolar potency, therefore showing activity also on native  $\alpha 7$  receptors [93].

The binding site of (+)-**10** (GAT107, the active enantiomer [94]) has been studied by site-directed mutagenesis. The two kinds of activity, direct activation and positive allosteric modulation, seem to be produced by interaction at two distinct sites: one should be the same transmembrane site where PAMs like **12** (PNU-120596; Figure 4) bind, while the other site, required for direct activation, seems to be at the extracellular domain vestibule, in a solvent accessible position (Figure 5A) [92]. Up to now, (+)-**10** has been tested in models of acute and chronic pain, being active only on the latter, like **6** and also like other  $\alpha 7$  agonists and PAMs [83,95–96]. Interestingly, in the mouse formaline test, the antinociceptive activity of the selective  $\alpha 7$  agonist PNU-282987 was found dependent on the activation of peroxisome proliferator-activated receptors- $\alpha$  (PPAR $\alpha$ ), since it was blocked by the PPAR $\alpha$  antagonist GW6471. However, GW6471 was not effective on the antinociceptive activity of **12**, **6** or (+)-**10**, suggesting that PPAR $\alpha$  activity is involved only when the  $\alpha 7$  receptor is activated from the orthosteric site [97].

### Site-specific agonists

A feature greatly affecting receptor properties is subunit stoichiometry. When expressed in recombinant systems,  $\alpha 4$  and  $\beta 2$  subunits can coassemble in two different complexes, composed of  $3\alpha 4$  and  $2\beta 2$  or  $2\alpha 4$  and  $3\beta 2$  subunits and characterized, respectively, by low and high sensitivity to agonists [99,100]. This feature is not restricted to this subunit combination and it has also been found for  $\alpha 3\beta 4$ ,  $\alpha 2\beta 2$  and  $\alpha 6\beta 2$  [101–103]. Significantly, there is evidence that combinations of  $\alpha 4$  and  $\beta 2$  subunits with different stoichiometries exist in the brain [104,105], possibly associated with different modes of transmission or different physiological functions [106].

The difference in properties between  $(\alpha 4\beta 2)_2\beta 2$  and  $(\alpha 4\beta 2)_2\alpha 4$  is due to the presence of an additional binding site: the  $\alpha 4/\alpha 4$  interface, evidenced by means of subunit concatamers with fixed arrangement order, which produces

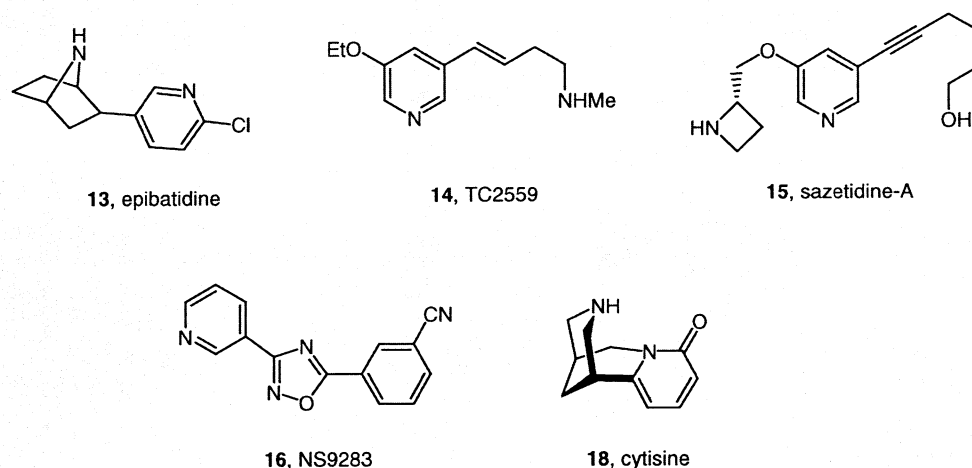


**Figure 5.** Binding sites on the nicotinic receptor. (A) Location of the binding site for nicotinic activators. The orthosteric-binding site is in the extracellular domain, at the subunit interface; orthosteric, site-specific and silent agonists bind in this region. The interaction site for the allosteric agonist GAT107 seems located nearby, in the vestibule [92]. Positive allosteric modulators bind in a region that comprises the gating interface and the transmembrane domain [98]. (B) The two different stoichiometries of  $\alpha 4\beta 2$  receptors, with indication of the orthodox- and unorthodox-binding sites.

a functional agonist binding site, called unorthodox as a way to differentiate it from those (i.e., the orthodox ones) at the  $\alpha 4/\beta 2$  interfaces (Figure 5B) [107]. The list of subunits that can form unorthodox-binding sites comprises  $\alpha 2$ –6 and  $\beta 3$  (reviewed by Wang and Lindstrom [98]), thus it includes  $\alpha 5$  and  $\beta 3$  subunits which were in the past thought unable of involvement in the formation of functional binding sites.

The number of ACh-binding sites has always been related to the number of  $\alpha$  subunits, which provide the principal component (positive face). For instance, homomeric  $\alpha 7$  receptors contain five equivalent binding sites: the occupancy of only one is required for maximal activation while higher occupancy increases agonist sensitivity [108]. The unorthodox site represents a third, nonequivalent agonist binding site on heteromeric receptor, affecting channel properties such as conductance, mean open lifetime and desensitization [109–112]. Pharmacological properties also change: at  $(\alpha 4\beta 2)_2\alpha 4$  (the low-sensitive subtype), agonists usually show lower potency (higher  $EC_{50}$  values) with respect to  $(\alpha 4\beta 2)_2\beta 2$  (the high-sensitive subtype). On the other hand, efficacy does not change in a parallel way. For instance, the efficacy of epibatidine (**13**) is greater on  $(\alpha 4\beta 2)_2\alpha 4$  than on  $(\alpha 4\beta 2)_2\beta 2$ , while the reverse is true for **14** and **15** (TC2559 and sazetidine-A, respectively, Figure 6) [107]. This can be explained by the ability of epibatidine to bind to both orthodox and unorthodox sites, the latter being responsible for the more efficacious activation. On the contrary, agonists such as **14** and **15** are selective toward the  $\alpha 4/\beta 2$  site: the low or absent affinity for the unorthodox  $\alpha 4/\alpha 4$  site limits or precludes activation of the  $(\alpha 4\beta 2)_2\alpha 4$  subtype.

Agonists which behave as  $\alpha 4/\alpha 4$  site-specific modulators are also known [111]. One such compound is **16** (NS9283; Figure 6), which was initially classified as  $\alpha 4\beta 2$  PAM for its potentiating activity toward nicotinic agonists *in vitro* and, *in vivo*, in different models for pain [113–115]; subsequent studies showed that it interacts with the  $\alpha 4/\alpha 4$ -binding site [116,117]. **16** is selective for the unorthodox site, with respect to the canonical  $\alpha 4/\beta 2$  one, and therefore is able to discriminate between the  $(\alpha 4\beta 2)_2\alpha 4$  and  $(\alpha 4\beta 2)_2\beta 2$  receptors. Unfortunately, it is not selective for the  $\alpha 4/\alpha 4$  interface. In fact, it was able to also activate receptors with unorthodox sites formed of  $\alpha 2/\alpha 4$ ,  $\alpha 4/\alpha 2$ ,  $\alpha 4/\alpha 3$ ,  $\alpha 4/\alpha 4$ ,  $\alpha 4/\alpha 6$  subunits, being however inactive on  $\alpha 3/\alpha 3$ ,  $\alpha 4/\beta 2$ ,  $\alpha 4/\beta 4$  and  $\alpha 7/\alpha 7$



**Figure 6.** Structure of epibatidine and of site-selective agonists.

sites [111,116]. From a structural point of view, **16** is not an allosteric ligand since it binds to one of the ACh-binding sites. However, binding only at the accessory site is not enough to activate the receptor [111], so the *in vivo* activity of this molecule depends on the endogenous ACh or on the addition of a nicotinic agonist. Administered alone, **16** showed procognitive activity in different models of cognition [116], and in medial prefrontal cortex it enhanced glutamate release mediated by the cholinergic neurons or evoked by low concentration of nicotine [118]. Moreover, in several animal models coadministration with **17** (ABT-594; Table 1) potentiates the analgesic activity but not adverse effects [115].

On the contrary, compound **14** (TC2559; Figure 6) is a selective modulator for the  $\alpha 4/\beta 2$ -binding site, activating the  $(\alpha 4\beta 2)_2\beta 2$  receptor but showing very low efficacy at the  $(\alpha 4\beta 2)_2\alpha 4$  subtype [107]. In addition, it shows *in vitro* selectivity for  $\alpha 4\beta 2$  compared with other subtypes [119,120] and displays interesting pharmacological properties: it increased dopamine release in rat ventral tegmental area slices [120] and exhibited analgesic activity in different rodent models of pain [121,122]. **14** significantly improved performances of rats in two different cognitive tasks [119] but, unexpectedly, suppressed stimulation-induced long-term potentiation in rat dentate gyrus, an effect that was prevented by the nicotinic antagonist dihydro- $\beta$ -erythroidine [123].

The binding ability of the agonist is dependent on the complementary face of the adjacent subunit, as demonstrated for **15** (Figure 6), **16**, and cytisine (**18**) by site-directed mutagenesis [124]. Three residues on the complementary component are hydrophobic (V109, F117 and L119) on  $\beta 2$  and can interact with the hexynyl group of **15** with higher affinity with respect to the analogous residues of the  $\alpha 4$  subunit (H114, Q122 and T124), which are hydrophilic. The triple mutation of the residues in  $\alpha 4$  to resemble  $\beta 2$  gave a receptor with stoichiometry  $(\alpha 4\beta 2)_2\alpha 4$ , which can be activated by **15**, while the wild type is not, but cannot be activated by **16**, while the wild type can be. In a similar way, mutation of F117Q in the  $\beta 2$  subunit gave a  $(\alpha 4\beta 2)_2\beta 2$  receptor that was activated by **18**, which is ineffective on the wild type.

These findings may be useful to design site-specific agonists, although more work is still needed to understand if these molecules are only pharmacological tools to study receptor stoichiometry or whether they have therapeutic potential.

### Structure of the binding site & mode of interaction of nicotinic agonists

The structure of the nicotinic receptor has been extensively studied by means of electron microscopy in the final decades of the last century, thanks to the possibility of purifying this protein from Torpedo ray, which contains the muscle-type receptor in large quantities. These studies led to the creation of a model of the whole channel at 4 Å resolution [125]. This was the only structure of the whole channel available up to 2016 when the crystal structure of the high affinity  $(\alpha 4\beta 2)_2\beta 2$  receptor was resolved in complex with nicotine, in the desensitized form, as the first example of crystal structure of a heteromeric pentameric LGIC [50]. This structure revealed important

features in the binding site and in the overall architecture which can be compared with other Cys-loop receptors and LGICs. Moreover, it also allowed exploration of the differences between the binding site and the  $\beta 2/\alpha 4$  and  $\beta 2/\beta 2$  interfaces and led to a possible explanation of why in these locations the binding of nicotine is precluded. The structural features emerging from recent crystal structures of  $(\alpha 4\beta 2)_2\beta 2$ , the extracellular domain of  $(\alpha 2)_5$  [126] and of monomeric  $\alpha 9$  [127] have recently been reviewed [128].

Until resolution of the  $(\alpha 4\beta 2)_2\beta 2$  receptor, the architecture of the orthosteric-binding site was known from the crystal structure of *Lymnaea stagnalis* acetylcholine-binding protein (AChBP) [129] and several other analogs (reviewed in [130]). AChBP is a structural homolog of the extracellular domain of nAChR, the region harboring the ACh-binding sites. Several nicotinic ligands have been shown to bind to AChBPs with high affinity [131]. The discovery of these proteins was important in the design of new nicotinic ligands, which was carried out not only by means of homology models of the binding site but also by exploiting the possibility of cocrystallization and by applying fragment-based techniques. As a matter of fact, in the Protein Data Bank (PDB), up to July 2017, the number of structures of AChBP, alone or in complex with ligands, was greater than 110. Some examples of successful strategies can be found in [132–136].

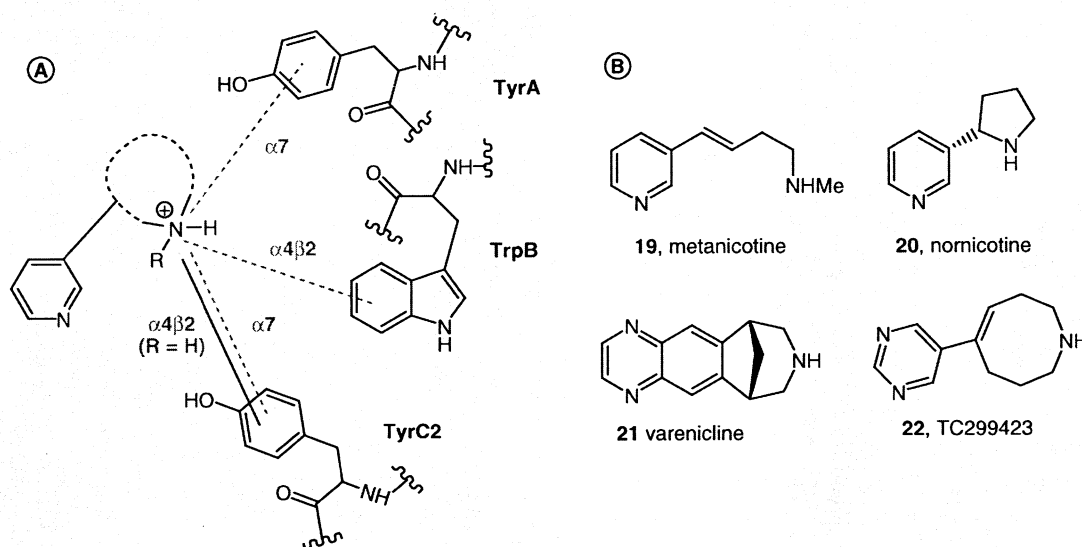
The structure of AChBP in complex with nicotinic ligands provided the first picture of the possible arrangement of the binding site, partly suggested from previous studies [137] and later confirmed by the structure of the whole channel. The ACh-binding site is made of six (A–F) loops: A–C are on the principal component (positive side, provided by the  $\alpha$  subunit), while D–F are on the complementary component (negative side, provided by the  $\beta$  subunit, by another  $\alpha$  subunit in a homomeric receptor, or by the  $\gamma$  or  $\delta$  subunits in the muscle-type receptor). This description is valid for the orthodox site of heteromeric receptors, while in the unorthodox site both components can be given by  $\alpha$  and  $\beta$  subunits, depending on the arrangement. Several aromatic residues (the aromatic cage, among which are a Trp residue in loop B, and three Tyr residues: one in loop A and two in loop C) are present in the active site and can establish the pivotal  $\pi$ -cation interaction with the ammonium group of ACh. Usually this interaction is made by the TrpB residue. When the cationic group is a protonated amine, there is in the AChBP-1 complex an additional interaction between the agonist and TrpB, consisting of a H-bond between the  $\text{NH}^+$  and the backbone CO. The other important interaction, as predicted by nicotinic pharmacophoric models (see [138] and references therein), is the H-bond formed by the acceptor group of the ligand (the pyridine nitrogen of nicotine or the carbonyl oxygen atom of ACh). In the complex AChBP-1, this H-bond is possible thanks to a water molecule, connected to the NH group of a methionine and to the CO moiety of a leucine in loop E. These two residues become Asn and Leu, respectively, in the nicotinic receptors, and are conserved in all subtypes.

Although in the recently resolved crystal structure of the 1- $(\alpha 4\beta 2)_2\beta 2$  receptor complex only the  $\pi$ -cation interaction is visible, all three interactions are important in agonist activation, as demonstrated by the studies performed by the group of Dougherty and Lester using nonsense suppression methodology [139]. This technique consists of replacing the key residues with unnatural amino acids showing lower abilities to be involved in H-bond or  $\pi$ -cation interactions: the potency of agonists to activate the mutated receptors, measured by means of electrophysiology and compared with wild-type receptor, indicates if that particular interaction is significant for the function of the protein. The results strongly support the idea that contribution of the three interactions varies depending on both agonist and receptor subtype (reviewed in [140]).

Limiting the analysis to the  $\pi$ -cation interaction (Figure 7), at the muscle-type receptor, ACh and **13** interact only with TrpB, and not with TyrA and TyrC2. On the contrary, and surprisingly, for **1** the  $\pi$ -cation interaction with TrpB is already weak, so that its reduction does not substantially affect nicotine potency. At the  $\alpha 4\beta 2$  receptor, both ACh and **1** interact strongly with TrpB, and not with the other residues of the aromatic cage. However, secondary amines such as **19** (metanicotine), **20** (nornicotine), **21** (varenicline) and **22** (TC299423, a  $\alpha 6$ -preferring agonist [141]) establish a functional  $\pi$ -cation interaction with both TrpB and TyrC2 [142]. On the  $\alpha 7$  subtype,  $\pi$ -cation interaction is formed between ACh and TyrA, and between **13** and both TyrA and TyrC2, but not with TrpB. On the  $\alpha 6\beta 2$  subtype,  $\pi$ -cation interaction with TrpB is strong for ACh but not for **1**, as is the case on the muscle-type receptor, nor for **22** [103]. These findings may give useful hints for designing selective nicotinic ligands, thus providing a structural basis for molecules with particular geometric or conformational features.

### Therapeutic potential

Below we discuss the role of nAChRs in the etiology and treatment of pathologies for which nicotinic ligands have reached clinical trials (compound structures are shown in Table 1).



**Figure 7.** Cation- $\pi$  interactions of protonated amines within the nAChR binding site, studied by means of nonsense suppression methodology. **(A)** The cationic nitrogen makes a cation- $\pi$  interaction (blue) with TrpB in the  $\alpha 4\beta 2$  receptors; when the nitrogen atom is in a secondary amine, a similar interaction is made also with TyrC2. On the  $\alpha 7$  receptor, the cation- $\pi$  interaction is made with TyrC2 and/or with a TyrA (red). See also text for explanation. **(B)** Structure of some of the ligands used in these studies.

### Neurological disorders

Alzheimer's disease (AD) is a neurodegenerative disorder characterized by loss of memory and other cognitive functions; histopathological markers include the presence of neuritic plaques, formed of  $\beta$ -amyloid protein ( $A\beta_{1-42}$ ), and of neurofibrillary tangles, due to hyperphosphorylated tau protein. Postmortem analysis of brains from AD patients showed an important decrease of nAChRs, in particular of the  $\alpha 4\beta 2^*$  subtype in the cerebral cortex and  $\alpha 7^*$  subtype in the hippocampus; the latter seems to correlate with the severity of cognitive impairment [143].  $A\beta_{1-42}$  interacts with nicotinic receptors [144]. Affinity of  $A\beta$  for  $\alpha 7$  nAChRs is in the picomolar range and  $\alpha 7$  subunits are colocalized with  $A\beta_{1-42}$  in senile plaques [145]; heteromeric  $\alpha 7\beta 2$  nAChRs were much more sensitive to  $A\beta_{1-42}$  inhibition than homomeric  $\alpha 7$  nAChRs [146]. There are some findings implicating  $\alpha 4\beta 2$  nAChRs in AD:  $A\beta$  has inhibitory effects on these and other  $\beta 2^*$  receptors [147], while no colocalization was found between  $A\beta$  with  $\alpha 4$  subunit [148]. The therapeutic benefits of employing  $\alpha 7$  nAChR agonists for AD therapy are due to the procognitive effects of  $\alpha 7$  nAChR agonists and to the protective role of  $\alpha 7$  nAChRs in relation to  $A\beta_{1-42}$  neurotoxicity.

However, also  $\alpha 7$  antagonists can interfere with nAChR- $A\beta$  interaction [148]. In fact, the interruption of  $\alpha 7$  nAChR function could be beneficial in the treatment of AD, as demonstrated on a transgenic mouse model of AD overexpressing amyloid precursor protein but lacking the  $\alpha 7$  nAChR gene [149]. At the moment, this approach is not being pursued. As a matter of fact, the compounds that have been tested in clinical trials act as full or partial agonists at  $\alpha 4\beta 2$  (23–25) and  $\alpha 7$  (26–30) receptors, or show a dual  $\alpha 7$  and  $\alpha 4\beta 2$  activity (21 and 31; Table 1). The compounds tested so far have limited success: only EVP6124 (26) seems effective in improving cognitive performance in patients with mild-to-moderate AD [21].

An AD-like pathology also occurs in adults with Down's syndrome, in the third decade and beyond. The production and deposition of amyloidogenic  $A\beta$  peptides are faster due to the extra copy of the *APP* gene on chromosome 21 [150].  $\alpha 7$  nAChR modulation has been proposed as treatment [151] but presently only the nonselective agonist nicotine (1) is being tested in a Phase I–II clinical trial.

Parkinson's disease (PD), characterized by a prominent decline in the nigrostriatal dopaminergic pathway, is characterized also by a pronounced decline in nAChR expression in the cerebral cortex of patients [152]. The decline is particularly evident in  $\alpha 6\beta 2^*$  nAChRs and less in the  $\alpha 4\beta 2^*$  receptor subtype, while there is no change in  $\alpha 7$  receptor expression. An explanation may be the diverse localization of these subtypes in this tissue:  $\alpha 6\beta 2^*$  nAChRs are primarily located on incoming dopaminergic afferents, while  $\alpha 7$  receptors are positioned on nondopaminergic

terminals, and  $\alpha 4\beta 2^*$  are on dopaminergic, GABAergic and serotonergic neurons [153]. The cholinergic and dopaminergic systems are closely connected and this finding supports the hypothesis that nAChR drugs may be useful for PD therapy, also because nicotine facilitates dopamine release on nigrostriatal neurons. Moreover, epidemiological studies have shown that smoking is inversely associated with PD [154] and the lower risk of contracting the disease depends on smoking duration, intensity and how recent is cessation. Nicotinic ligands that have entered clinical trials are **21** (varenicline), **30** (AQW051) and nicotine, tested for treatment of excessive daytime sleepiness, of gait and balance impairment and of levodopa-induced dyskinesia.

### Psychiatric diseases & neurodevelopmental disorders

Schizophrenia is a neurodevelopmental disorder that shows symptoms that can be classified as positive, negative or cognitive. The high percentage of smokers among schizophrenic patients, the positive effect of nicotine on cognitive processes and other evidence (reviewed in [30]) have suggested the modulation of  $\alpha 7$  receptor as possible therapeutic intervention. As seen in AD, also in brains from schizophrenic patients,  $\alpha 7$  nAChR protein expression is decreased to different degrees according to the cortex region (frontal, parietal and dorsolateral prefrontal) [155,156]. Although there is less biological data linking the  $\alpha 4\beta 2$  nAChR to schizophrenia, this receptor has also been targeted for the treatment of cognitive impairments associated with this disease [64]. The systemic administration of type II  $\alpha 7$  and  $\alpha 4\beta 2$  nAChR PAMs has recently been suggested for the treatment of CNS disorders and in particular for schizophrenia [106,157]. Indeed  $\alpha 7^*$  nAChR agonists and PAMs currently appear to be the most effective hope for the treatment of schizophrenia. The amelioration of cognitive deficits is important to improve negative symptoms and the quality of life, also because antipsychotic medication has a slight effect on cognitive symptoms in schizophrenic patients.

Several  $\alpha 7$  agonists (**26–31**) and PAM (**3, 4**) entered clinical trials. Some interesting results were found for **28, 29** and **31**, especially on negative symptoms. Clinical trials on PAMs gave contrasting results: compound **3** (JNJ-39393406), a type II  $\alpha 7$ -PAM developed at Janssen Pharmaceutical, was tested on regularly smoking male patients with schizophrenia, but failed to reverse basic deficits of information processing [5]. A Phase I trial on healthy subjects for **4** (AVL-3288, a type I  $\alpha 7$ -PAM) indicated positive although nonsignificant effects on cognition, which need to be confirmed in Phase II trials [4]. Varenicline, partial agonist for the  $\alpha 4\beta 2$  nAChRs and full agonist for the  $\alpha 7$  nAChRs, improves cognition in schizophrenic patients [9,158]. Also **23** (AZD-3480,  $\alpha 4\beta 2$  partial agonist) and nicotine have completed clinical trials, but with disappointing results (Table 1).

Depression is a severe psychological condition that is usually treated with antidepressant drugs that target monoamine transporters regulating the uptake of neurotransmitters (dopamine, serotonin and norepinephrine). The hypothesis that there is a cholinergic hypertone in major depression is an old theory [159] supported by new studies on animals and humans. In fact, using MRI studies, levels of choline were shown to be increased in the brains of patients with depression, and choline levels have been related to alterations in cholinergic function [160]. Moreover, physostigmine, an acetylcholinesterase inhibitor that potentiates cholinergic transmission, produced depression-like symptoms [159], while the administration of  $\alpha 7$  or  $\alpha 4\beta 2$  nicotinic antagonists, or compounds that induce desensitization, reduced depressive symptoms [143]. Single photon emission computed tomography (SPECT) and positron emission tomography (PET) studies using  $\alpha 4\beta 2^*$  nAChR-specific radioligands revealed that, across all brain regions, the  $\beta 2^*$  nAChR availability in depressed patients or in PD patients with depressive symptoms was lower than in healthy subjects [161,162]. In general, the inhibition of nAChR activity is beneficial in the treatment of depression and the effects are synergistic with antidepressants such as imipramine, citalopram and reboxetine. Antagonists alone have also shown antidepressant-like effects in several animal models, suggesting that inhibition of nAChR function through processes such as desensitization also contributes to antidepressant efficacy [163]. However, in two Phase III clinical trials, TC-5214 [(S)-**32**] was tested as adjunct therapy in patients with depression but did not show efficacy [31]. On the contrary, varenicline, tested as smoking cessation agent in patients suffering or having suffered from depression, did not exacerbate the disease [8,92]. Trials with nicotine are on-going.

Neurodevelopmental disorders such as autism spectrum disorder (ASD) and attention-deficit hyperactivity disorder (ADHD), although most commonly considered in childhood, can be lifelong conditions. ASD is characterized by deficits in social interaction, stereotypic behavior, difficulties in verbal and nonverbal communication, and cognitive impairment. nAChR alterations are correlated to autism. In particular, a reduced expression of both  $\alpha 4$  and  $\beta 2$  subunits was observed in frontal, parietal and cerebellar cortex but not in thalamus [164].  $\alpha 4\beta 2$  nAChR loss results from an impaired post-translational mechanism regulating its expression, since there is no reduction in mRNA expression [165]. In contrast to the loss of  $\alpha 4$  and  $\beta 2$  subunits, there is a compensatory increase in the



expression of the  $\alpha 7$  subunit. The alterations in AChRs in ASD may also serve as an early molecular biomarker: monitoring, by means of PET, of  $\alpha 4\beta 2$  nAChR levels in the frontal, parietal and cerebellar cortex might provide a clinical tool to assess the effectiveness of pharmacotherapies for autism [166]. The extensive loss of  $\alpha 4\beta 2$  nAChRs provides a rationale for drugs that can upregulate and activate  $\alpha 4\beta 2$  nAChRs: agonists and PAMs for this subtype can help restore its levels of expression and may be beneficial in correcting deficits of ASD. So far, however, only  $\alpha 4\beta 2$  antagonists like mecamylamine **32** have been tested in clinical trials, with negative results [35], while the trial on nicotine is ongoing (Table 1).

ADHD is the most common psychiatric disorder of childhood. ADHD was classically attributed to dysregulation of the catecholamine system but the positive effects of cholinergic agonists on attention and memory have led pharmaceutical companies to explore the utility of nicotinic agonists for ADHD treatment. In this context, the  $\alpha 4\beta 2$  subtype seems to be of particular importance [167], even if the main challenge to progress in ADHD treatment remains the lack of knowledge regarding causes of the disease. Several  $\alpha 4\beta 2$  (**23–25**, **33**) and  $\alpha 7$  agonists (**27**, **31**) underwent clinical trials (Table 1). Promising results have been achieved for ispronicline (AZD3480, **23**) [14] and ABT894 (**33**) [38] but not for **24**, **25**, and **27** [17,19] (Table 1).

### Pain & inflammation

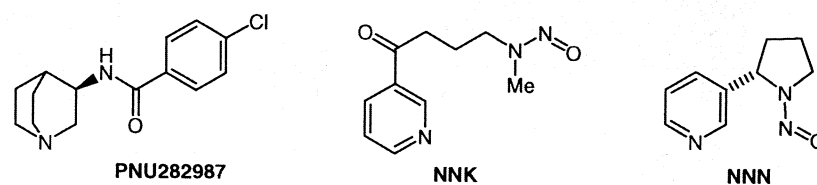
Many years ago, nicotinic receptors emerged as interesting drug targets for pain treatment [168]. More than 20 years ago, the analgesic properties of epibatidine (**13**) [169] were discovered. Since **13** is a potent agonist at  $\alpha 4\beta 2^*$  receptors, although not selective, research initially focused on this subtype and several potent  $\alpha 4\beta 2$  full agonists have been synthesized. These compounds, which include ABT-594 (**17**) and TC2696 (**34**), are endowed with strong analgesic properties but a narrow therapeutic window [95,170]. ABT-594 was tested in a Phase II clinical trial, showing efficacy but limited tolerability due to side effects [7]. Even though research is very active in this field, only the  $\alpha 4\beta 2$  agonist ABT-894 (**33**) has completed a Phase II clinical trial for diabetic neuropathic pain, and did not confirm the results of **17** [37]. Interestingly, as mentioned above, it has been shown that the safety profile of **17** can be improved by using the  $\alpha 4/\alpha 4$  site-selective ligand NS9283 (**16**), suggesting the combination of a  $\alpha 4\beta 2$  full agonist and a  $\alpha 4\beta 2$  selective potentiator as new therapeutic strategy [115].

It has also been known for a long time that  $\alpha 7$  stimulation produces antinociceptive activity [171]. However, the development of  $\alpha 7$  agonists may not be a priority given that long-term treatment can lead to receptor desensitization and/or inactivation, thus suggesting possible adaptations to their effects after chronic use [172]. More recently,  $\alpha 7$  type II PAMs and silent agonists have been evaluated in preclinical models for pain [83,96] and have confirmed that  $\alpha 7$  nAChR modulators can be an attractive and versatile alternative to  $\alpha 4\beta 2$  agonists.

In addition to  $\alpha 4\beta 2^*$  and  $\alpha 7^*$  receptors, other nAChRs are known to be involved in pain. In particular, the  $\alpha 5$  subunit plays a significant role in nociception and the  $\alpha 4\beta 2\alpha 5^*$  subtype may be a target for nicotinic analgesic agents [173]. Furthermore, dorsal root ganglion neurons coexpress the  $\alpha 9$  and  $\alpha 10$  nAChR subunits, suggesting a role for the  $\alpha 9/\alpha 10$  nAChRs in pain processing [174]. This was supported by a recent study showing that RgIA4, a peptide with high selectivity and potent inhibition of the  $\alpha 9\alpha 10$  nAChR subtype, prevented chemotherapy-induced neuropathic pain in rats [175].

Inflammatory states normally produce pain and the  $\alpha 7$  receptor plays an important role also in the cholinergic control of inflammation, regulating the production of proinflammatory cytokine through neuronal and non-neuronal mechanisms [176,177]. It has recently been reported that the activation of  $\alpha 7$  nAChRs on non-neuronal cells, such as macrophages, efficiently suppressed TNF- $\alpha$  synthesis [178]; high TNF- $\alpha$  levels are involved in delaying diabetic wound healing through an excessive fibroblast apoptosis and reduced fibroblast density [179]. The selective  $\alpha 7$  nAChR agonist PNU282987 (Figure 8) significantly reduced the TNF- $\alpha$  level of wounds in a murine model, by inhibiting its production through activation of  $\alpha 7$  nAChR on macrophages and accelerated the healing process. So far, only ATG002 (a nicotine-based gel) has been tested for diabetic foot ulcer in a clinical trial (Table 1). However, as said before, also modulation of  $\alpha 7$  receptors by means of silent agonists reduced TNF- $\alpha$  release, supporting the hypothesis that this action is associated with a desensitized, nonconductive state of this receptor [85].

Also chronic inflammatory diseases of the airways, like allergic and nonallergic asthma, can be treated with  $\alpha 7$  agonists. Administration of  $\alpha 7$  nAChR agonists significantly attenuates the development and function of innate lymphoid cells (ILCs) and in particular group 2 ILCs (ILC2s), abolishing both airway hyper-reactivity and allergic inflammation. Therefore, the cholinergic pathways have a protective role in the pathogenesis of asthma and  $\alpha 7$  nAChR agonists can be useful for the treatment of ILC2-mediated asthma [180]. The  $\alpha 7$  modulator TC6987 (**34**)



**Figure 8.** Structure of PNU282987 and of carcinogenic nicotinic nitrosamines.

and the  $\alpha 7$  silent agonist **9** [181] have completed clinical trials in moderate and allergic asthma; the latter did not show efficacy [6].

### Food behavior

The involvement of nAChRs in food intake behaviors, regulating bodyweight, energy homeostasis and physical activity is due to central and peripheral sites of action. One mechanism proposed for the nicotine-induced reduction of appetite and bodyweight is an increased activity of  $\alpha 3\beta 4^*$ ,  $\alpha 7$  and  $\alpha 4\beta 2$  nicotinic receptors in pro-opiomelanocortin neurons of the hypothalamus, resulting in stimulation of the melanocortin system [182]. A primary potential pathway for  $\alpha 7$ nAChR mediation of eating behaviors involves hypothalamic cholinergic input. Cholinergic innervations are abundant in the hypothalamus and there are high levels of  $\alpha 7$  nAChR expression, leading to activation of pro-opiomelanocortin neurons. Another proposed mechanism is that a long-term sugar consumption causes an imbalance in expression of dopamine D1, D2 and D3 receptors and of  $\alpha 4\beta 2^*$  and  $\alpha 6\beta 2^*$  nAChRs in nucleus accumbens [183], a change similar to that observed in addiction to substances of abuse [184]. Therefore, targeting the  $\alpha 7$  nAChRs may be a useful therapeutic strategy to treat and manage obesity: GTS-21 (**31**) is in Phase I clinical trial in this regard. The  $\alpha 4\beta 2$  partial agonist and  $\alpha 7$  full agonist varenicline reduces sugar consumption, and it is in a Phase III clinical trial for control of weight gain after cessation of smoking. In mice, constant infusion of sazetidine-A (**15**) decreased food intake robustly as well as bodyweight; the proposed mechanism is desensitization of  $\alpha 4\beta 2^*$  subtype [182].

Recently, it has been reported that the regulation of glucose and energy homeostasis may be due to nAChRs in nonneuronal cells. Mice pancreatic islets present a predominant expression of  $\alpha 7$  and  $\beta 2$  nAChR subunits but at lower levels than in the CNS, while  $\alpha 5$  and  $\beta 2$  nAChR subunits are prevalent in human islets. Mice with double  $\alpha 7$  and  $\beta 2$  deficiency show increased spontaneous physical activity and no change in bodyweight, although a modification of body composition was observed (increased lean and bone mass and decreased fat pads) [185]. Compound **35** (TC-6987), an  $\alpha 7$  modulator, has been studied in Phase II clinical trial for the treatment of Type 2 diabetes mellitus.

### Substance abuse

nAChRs are important pharmacological targets for the development of medications to treat alcohol and drug dependence. The drugs approved for smoking cessation are the  $\alpha 4\beta 2$  partial agonists varenicline (**21**, approved by the US FDA) and cytisine (**18**, approved in Europe) and bupropion (**36**, approved by the FDA), which behaves as  $\alpha 3\beta 2/\alpha 4\beta 2$  antagonist [186]. Nicotinic ligands such as the  $\alpha 4\beta 2$  agonists ABT-089 (**24**) and dianicline (**37**), and the association of **21** and **36** have been studied in Phase II/III clinical trials for smoking cessation. Dianicline did not show efficacy beyond the initial treatments [41]. With respect to **21** alone, the association between **21** and **36** was more effective in the initial treatment phase but not over longer periods [39,40]. Recently, the involvement of  $\alpha 7$  and  $\alpha 6\beta 2^*$  have been investigated and there is preclinical evidence that inhibition of  $\alpha 6\beta 2^*$  and activation of  $\alpha 7$  can be novel therapeutic strategies with significant decreases in motivation to self-administer nicotine [187]. In animal models, selective  $\alpha 6$  antagonists were effective in reducing nicotine self-administration (see [186] and references therein). These substances should have fewer side effects compared with the nonselective antagonist mecamylamine since the localization of  $\alpha 6^*$  receptors is more restricted. Mecamylamine has been extensively tested in clinical trials for smoking cessation and has yielded contrasting results [36,188]. GTS-21 (**31**), with a dual  $\alpha 7$  agonist and  $\alpha 4\beta 2$  antagonist activity, is undergoing a Phase II clinical trial for tobacco use disorder, while the trial involving the  $\alpha 7$  agonist EVP-6124 (**26**) has been terminated.

Regarding other drug dependences, nAChR antagonists were found to decrease cocaine self-administration [189] and to play a critical role in regulating the rewarding effects of cannabinoids [190]. Alcohol dependence can be treated with  $\alpha 4\beta 2$  partial agonists such as cytisine (**18**) and varenicline (**21**); the latter was found efficacious in reducing alcohol consumption in both animal models and humans. Also sazetidine-A (**15**) was able to reduce alcohol intake in alcohol-preferring rats [191]. A Phase III clinical trial on mecamylamine (**32**) did not show significant effects on alcohol consumption [34].

## Cancer

nAChRs are expressed also in cancer cells and contribute to the development and progression of cancers either directly, through the activity of nicotine and its derived carcinogenic nitrosamines, or indirectly through the deregulation of the nAChRs. Chronic exposure to nicotine or nicotine-derived carcinogenic nitrosamines upregulates  $\alpha 7$  and  $\alpha 9$  nAChRs and desensitizes  $\alpha 4\beta 2$  nAChRs, leading to activation of oncogenic pathways, promoting tumor angiogenesis and inhibition of apoptosis in multiple types of cancers [192]. The  $\alpha 7^*$  and  $\alpha 4\beta 2^*$  receptors regulate differently the release of neurotransmitters that have opposite actions on cell proliferation. It has been proposed that an imbalance between the activity of these two receptors affects cancer growth in smokers [193]. The tobacco-specific carcinogenic nitrosamines, NNK and NNN (Figure 8), interact with nAChRs: NNK was found to bind with high affinity to  $\alpha 7$  receptors and to stimulate proliferation of small-cells lung cancer cells, an effect which was antagonized by  $\alpha$ -Bgtx, suggesting  $\alpha 7$  activation [194]. On  $\alpha 4\beta 2$  receptors, NNK behaved as a partial agonist, while NNN was an antagonist [195]. Several pieces of evidence suggest that  $\alpha 7$  antagonists may be useful in blocking cancer cell proliferation but their use *in vivo* could be problematic, considering the physiological processes in which  $\alpha 7$  receptor activation is involved. On the contrary,  $\alpha 7$  nAChR stimulation protects neurons from oxaliplatin toxicity through an astrocyte-mediated mechanism [196]. Both varenicline and nicotine have been tested in cancer patients but only for smoking cessation because smokers have a higher risk of treatment complications with respect to nonsmokers.

In cancer patients, during and following chemotherapy, cognitive deficits referred to as 'chemo-brain' may appear. The study of 'chemo-brain' is complicated by difficulties with animal models [197] and currently there is no approved treatment for this condition. At present, transdermal nicotine is being studied in a Phase II clinical trial for the treatment of chemobrain in patients who are one to 5 years postchemotherapy.

## Conclusion & future perspective

One of the main accomplishments in the last 10 years of research on nicotinic receptors is the discovery of compounds able to modulate nAChRs through different sites, either allosteric or orthosteric. This is significant for different reasons. First, modulation from allosteric sites should allow, in principle, achievement of subtype selectivity which has been difficult to obtain with orthosteric ligands, and which has been among the reasons for failure of early clinical trials. Second, PAMs may represent for the nicotinic receptors what benzodiazepines have been for the GABA-A receptor, in other words, the possibility to activate the receptor without exceeding the maximum physiological level of the tissue. Third, compounds affecting desensitization in different ways make it possible to study the contribution of this mechanism in the activity of the receptor. Fourth, the multiplicity of orthosteric-binding sites within heteromeric receptors offers the possibility to discriminate among receptors with similar subunits but in different stoichiometry. Medicinal chemists therefore can exploit these different druggable sites to design new ligands.

The challenges for the future are, however, numerous. Selectivity still may be the highest obstacle to overcome. In this regard, a great help may come from structural studies: it is likely that in the near future other crystal structures of nicotinic receptors will become available, providing an up-close look at different subunit interfaces. Optimization of PAMs is important but it may not be straightforward since they do not show a general pharmacophore: PAMs have been discovered by means of HTS techniques using different libraries of compounds and may bind to more than one site, thus giving multiple structure-activity relationships. Allosteric activators are a promising new class of nicotinic modulators but unfortunately at present only compounds showing dual agonistic-PAM activity are available. It will be necessary to discover in the future 'pure' allosteric agonists to understand the consequence of receptor activation by this mechanism. Last, but not least, optimization of potency and selectivity and careful preclinical and clinical investigations will clarify if silent desensitizers, site-selective agonists, PAMs and allosteric agonists could be useful only as pharmacological tools, or if they really possess a therapeutic potential that is exploitable within the many disorders or conditions in which nicotinic receptors are involved.

### Executive summary

- Nicotinic receptors are pentameric membrane proteins; 12 neuronal subunits have been cloned which can theoretically associate into many combinations, raising the number of possible receptor subtypes and making the design of selective ligands difficult.
- Nicotinic receptors are modulated by compounds interacting at orthosteric or allosteric sites, located in the extracellular domain or in the transmembrane region. Orthosteric ligands can act at orthodox and/or unorthodox sites; compounds acting only on the latter are able to discriminate among receptor subtypes made up of the same subunits but with different stoichiometry.
- Agonists activate and desensitize the receptors; silent agonists block the receptor in the desensitized state without prior activation. Positive allosteric modulators potentiate agonist activity and reactivate the desensitized receptor.
- Nicotinic receptors are involved in many different physiological and pathological processes and therefore are important drug targets for several disorders or conditions.
- Careful preclinical and clinical investigations are necessary to discover the therapeutic usefulness of nonconventional nicotinic receptor modulators.

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