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Title: Adaptive profile of Garfagnina goat breed assessed through physiological, haematological, biochemical and hormonal parameters

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Abstract: This study was conducted to investigate the adaptive profile of the Garfagnina goat breed in two different seasons (spring and summer) through physiological, biochemical, haematological, and hormonal parameters. Fifty adult lactating females were studied twice a day (morning and afternoon) in each season. The air temperature, black globe temperature and air relative humidity was recorded using an automatic weather station. Physiological parameters recorded were rectal temperature, respiratory rate, heart rate, skin temperature and rectal-skin temperature gradient. The results of this study showed that there was a significant effect of season and period on all environmental variables. Physiological variables, rectal temperature, respiratory rate, heart rate and skin temperature showed higher values in the afternoon in both seasons. Both biochemical and hormonal parameters were significantly affected by season of the year. Biochemical and hormonal characteristics undergo changes during different seasons such that metabolism is reduced during heat stress and accelerated during cold stress; these metabolic changes are controlled by the thyroid hormones and cortisol. It has been shown from this study that these hormones facilitates the physiological parameters involved with the adaptation process confirming that adaptive capacity of animals cannot be described solely by rectal temperature and respiratory rate.

Revision note

Ms. No. Rumin-D-15-7359R1

Title: Adaptive profile of Garfagnina goat breed assessed through physiological, haematological, biochemical and hormonal parameters

Responses to reviewer 2:

1. - Line 50: considerer removing the sentence "Seasonal weather change has adverse effects on livestock production" is repeated on line 52-53.

The sentence has been removed

2. - Lines 52-53: don't agree with the replacement of "Changes in environmental variables..." by "Changes in seasonal variables ..."

The word "environmental" has been placed back

3. Line 231-232: don't agree with the replacement of "environmental" by "seasonal"

The word "environmental" has been placed back

Response to the Editor:

The reference style has been revised following the Guide for Authors

Highlights

- At high temperatures the thyroid hormones synthesis decreased while cortisol increased
- Physiological parameters alone are not good variables to discriminate adaptive profile
- Goats under heat stress maintained rectal temperature
- Thyroid hormones and cortisol facilitated the understanding of the adaptive process of the animals in the semiarid
- Seasonal changes affected blood biochemical parameters

1 **Adaptive profile of Garfagnina goat breed assessed through physiological,**
2 **haematological, biochemical and hormonal parameters**

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21 **Abstract**

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23 different seasons (spring and summer) through physiological, biochemical, haematological, and
24 hormonal parameters. Fifty adult lactating females were studied twice a day (morning and afternoon)
25 in each season. The air temperature, black globe temperature and air relative humidity was recorded
26 using an automatic weather station. Physiological parameters recorded were rectal temperature,
27 respiratory rate, heart rate, skin temperature and rectal-skin temperature gradient. The results of this
28 study showed that there was a significant effect of season and period on all environmental variables.
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30 higher values in the afternoon in both seasons. Both biochemical and hormonal parameters were
31 significantly affected by season of the year. Biochemical and hormonal characteristics undergo
32 changes during different seasons such that metabolism is reduced during heat stress and accelerated
33 during cold stress; these metabolic changes are controlled by the thyroid hormones and cortisol. It has
34 been shown from this study that these hormones facilitates the physiological parameters involved with
35 the adaptation process confirming that adaptive capacity of animals cannot be described solely by
36 rectal temperature and respiratory rate.

37

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39

40 **1. Introduction**

41 Over centuries natural selection has acted on local breeds resulting in improved animal fitness in
42 different environmental conditions. Consequently, changes in environmental variables are recognized
43 as potential hazard in livestock growth and production. In particular, seasonal variations are
44 considered physiological stressors, which affect the animal's biological systems (Aengwanich et al.,
45 2009; Al-Eissa et al., 2012). During this process, animals have acquired unique adaptive traits such as
46 disease resistance and tolerance to heat and cold (Silanikove, 2000).

47 Goats are warm-blooded animals and as such are characterized by the ability to maintain body
48 temperature within narrow limits, for this purpose, in addition to the exchange with the environment,
49 they need to alter their metabolism for internal heat production (Nardone et al., 2010). Few studies
50 have been conducted on the adaptive profile of local goat population, especially in rural marginal
51 areas. These studies are extremely important for the definition of management and conservation
52 strategies. Even in some developed countries, many local populations were not adequately
53 characterized in this aspect (Ribeiro et al., 2015). To improve farming efficiency, the interaction
54 between animals and the environment must be considered; knowledge on climate variables and their
55 effects on the physiological, haematological, blood biochemical, hormonal and genetic responses is
56 critical for the optimization of livestock-raising systems.

57 Animals respond differently to drastic temperature changes by changing several aspects of their
58 physiology and behaviour (Silva et al., 2010). These include alterations in physiological parameters
59 (rectal temperature, heart rate, respiratory rate and surface temperature) (Marai et al., 2007),
60 erythrogram (Al-Eissa et al., 2012), blood biochemical parameters (Abdelatif et al., 2009), cortisol
61 (Sejian et al., 2010) and thyroid hormones (Helal et al., 2010). These parameters may vary within the
62 same species due to factors such as diet, age, physiological status, breed, level of production, handling
63 and especially climate stress. Therefore, the aim of this study was to investigate the adaptive profile of
64 Garfagnina goat breed in two different seasons (spring and summer) by means of physiological,
65 biochemical, haematological, and hormonal parameters.

66

67 **2. Materials and Methods**

68 *2.1 Experimental site and animals*

69 The study was performed in Bagni di Lucca, Italy (44°01' latitude and 10°58' longitude) at an altitude
70 of approximately 634 m. According to Thornthwaite's classification, the climate at this location is type
71 A with average annual temperatures ranging from 2.0 to 29.0°C, average relative humidity of 68% and
72 average annual rainfall of 1851-2050 mm.

73 The study was carried out with 100 lactating (30 primiparous and 70 multiparous) females of the
74 Garfagnina goat breed: 50 animals were evaluated during spring (two days in March) and 50 were
75 evaluated during summer (two days in July) (Mattiello et al., 2011; Srikandakumar et al., 2003).
76 Measurements were made twice a day at morning (9:00h) and at afternoon (15:00h). The study was
77 performed in one family farm using semi-extensive farming practices. The key part of the goat diet
78 was natural pasture and water *ad libitum*. The goats were on pasture between morning and evening
79 milking while they were confined overnight. Few feed integrations with mixed hay were given during
80 the unfavourable periods of the year. The lambing period (beginning of the lactation) took place
81 generally in January and February. The age of the animals was estimated indirectly by dental
82 chronology, and all animals were classified as adult (two - five years old). Females with body
83 condition score of 2.0 in the spring and summer 2.25 and average weight of 45.5 - 50.0 Kg.

84

85 *2.2 Climatological data and thermal comfort index*

86 On the days of data collection climatological data were also recorded using an automated
87 meteorological station installed at the location where the animals spent the day. Dry-bulb temperature
88 (DBT), wet-bulb temperature (WBT), black globe temperature (BGT) and relative humidity (RH)
89 were recorded every 15 seconds. The black globe humidity index (BGHI) was then derived using
90 black globe temperature (BGT) and dew point temperature (DPT), according to Buffington et al.
91 (1981). The thermal radiation load (TRL) was calculated according to Esmay (1969) where the mean
92 radiant temperature (MRT) is the temperature of a surrounding region considered uniformly black
93 capable of eliminating the effect of reflection, with which the body (black globe) exchanges so much
94 energy as the environment under consideration (Bond et al., 1954). The time at the beginning and at
95 the end of each animal's sampling were registered and the average values of the environmental
96 variables registered between these periods were assigned to the corresponding animal. The values
97 obtained were then used to estimate the differences between season and period of the day for the
98 environmental parameters.

99

100 *2.3 Physiological parameters*

101 Rectal temperature (RT) of animals was measured with a digital clinical thermometer with range
102 32.0°C to 43.9°C. The thermometer was inserted into the rectum of each animal, with the bulb in
103 contact with the mucosa, remaining in the rectum until the thermometer made a beep, which was
104 indicative of temperature stabilization. The respiratory rate (RR) and heart rate (HR) were measured
105 through auscultation of the heart sounds with the aid of a flexible stethoscope at the level of the
106 laryngo tracheal region by counting the number of movements and beats for 20 seconds, and the
107 results multiplied by 3 to report at a minute time scale. The skin temperature (ST) was recorded using
108 a digital infrared thermometer Minipar MT-350 at a distance within 10 to 50 cm from the body (there
109 is no difference in measurements between those distances), measured in left flank. The RT – ST
110 gradient was calculated.

111

112 *2.4 Haematological, blood biochemical and hormonal parameters*

113 Blood samples were collected from each animal only during the afternoon sampling (15:00h) by
114 puncturing the jugular vein after disinfection with iodine alcohol, the analysis of the samples was
115 performed on the day following collection. The animals were also evaluated for the presence of
116 ectoparasites, lymphadenitis or other types of skin problems just after the blood collection. For
117 haematological analysis, blood was collected in 5 ml vacuum tubes containing 10% anticoagulant
118 ethylene diamine tetra acetic acid (EDTA). The haematological studies were carried out according to
119 Jain (1993).

120 For the analysis of biochemical and hormonal parameters, blood was collected in vacuum tubes of 7ml
121 containing separating gel and sodium fluoride (used for glucose analysis), and then centrifuged in a
122 digital centrifuge at 4°C at 3000 rpm (1100XG) for 15 minutes. After centrifugation, the supernatant
123 was separated into 1.5-ml aliquots for biochemical and hormonal tests; the analysis was performed on
124 the day following collection. Analyses were carried out using a biochemical-analysis apparatus
125 (VegaSys) with a multiple wave length photometer, on the following biochemical parameters: total
126 protein (PRT), albumin (ALB), glucose (GLU), triglycerides (TRI), cholesterol (CHO), urea (URE),
127 creatinine (CRE), gamma glutamyl transferase SL (GGT), and aspartate aminotransferase (AST). All

128 tests were performed using commercial kits (ASSEL S.r.l.). Intra- and interassay coefficients of
129 variation (CV) were 1.35% and 2.39%, 0.79% and 1.78%, 1.59% and 4.54%, 2.08% and 2.00%,
130 1.86% and 2.76%, 3.3% and 3.8%, 1.07% and 2.15%, 1.5% and 3.0%, 2.9% and 3.1% for PRT, ALB,
131 GLU, TRI, CHO, URE, CRE, GGT and AST respectively. The analytical sensitivity of the assays
132 were 1 g/dL for PRT and ALB, 1 mg/dL for GLU, TRI, CHO, URE, CRE and 1 UI/I for GGT and
133 AST respectively. The plasma concentrations of cortisol (COR), total thyroxine (T4) and total
134 triiodothyronine (T3) were measured in duplicate and quantified by the method Enzyme Linked
135 ImmunoSorbent Assay (ELISA by competition) using kits (In Vitro diagnostic Ltda.) developed for
136 quantitative evaluation of hormones (Uribe-Velasquez et al., 1998). The sensitivity is reported to be
137 lower than 0.05 ng/dL, 0.22 g/dL and 1.1 ng/dL for T3, T4 and COR respectively. Intra and inter-
138 assays coefficients of variation are 2.3-7.7%, 1.6-5.0% and 4.58-6.33% for T3, T4 and COR
139 respectively.

140

141 *2.5 Statistical analyses*

142 All the data were analyzed with the Statistical Analysis System (SAS, 2004) using GLM procedures,
143 and the Tukey test for significant variables was applied. The following mathematical model was used:
144 $y_{ijk} = \mu + S_i + P_j + (SP)_{ij} + e_{ijk}$ in which: y_{ijk} is the dependent variable; μ is the overall mean; S_i is the
145 fixed effect of season (summer and spring), P_j is the fixed effect of period (morning and afternoon),
146 $(SP)_{ij}$ the interaction between i^{th} season and j^{th} period and e_{ijk} is the random error with mean 0 and
147 variance σ^2 . The haematological, blood biochemical and hormonal parameters were analysed using a
148 similar model including season as the only fixed effect. Pearson correlations among all variables were
149 estimated using the CORR procedure of the Statistical Analysis System (SAS, 2004). Relationship
150 between thermal gradient (RT-ST), cortisol, T3 and T4 with air temperature was analysed through a
151 linear regression analysis using the REG procedure (SAS, 2004).

152

153 **3. Results and Discussion**

154 *3.1 Climatological data and thermal comfort index*

155 Average air temperature (AT), relative humidity (RH), black globe humidity index (BGHI) and
156 thermal radiation load (TRL) recorded both in the morning and in the afternoon for the two seasons
157 considered are shown in Table 1. The different AT values observed between seasons and periods
158 considered resulted in significant changes in both physiological and blood profile parameters
159 measured in these animals. Regarding the RH, significant difference was observed between seasons
160 and periods of the day. The data on RH shows higher values in the morning due to the lower AT
161 values observed in this period.

162 The BGHI were higher both in the afternoon and during the summer with a significant interaction
163 between season and period. Despite the absence of reference values for goat, these values cannot be
164 considered dangerous, since RT values are normal, demonstrating the absence of heat storage. TRL
165 was statistically higher at afternoon and during the summer and also for this parameter a significant
166 interaction between season and period of the day was observed. The higher TRL value in the afternoon
167 was due to the high AT and black globe temperature and low RH.

168

169 *3.2 Physiological parameters*

170 As shown in Table 2 respiratory rate (RR), heart rate (HR), skin temperature (ST) and thermal gradient
171 (RT-ST) were significantly different between periods and seasons, whereas RT showed differences
172 only between periods of the day (higher in the afternoon). Goats are active during the day, which
173 causes alterations in their physiological parameters. The maximum daily variation for RT was 1.2°C in
174 spring, value within the limit, according to Piccione and Refinetti (2003), should be range from 0.3°C
175 to 1.9°C.

176 In this study the RR was significantly higher in the afternoon and during the summer. RR is influenced
177 by the time of day because AT is higher in the afternoon than in the morning, which increases RR
178 (Sejian et al., 2010; Silva et al., 2010). At morning, RR values were within the limit considered normal
179 (below 40 mov/min) for goats (Silanikove, 2000) whereas in the afternoon RR was 40.0 mov/min,
180 indicating that the animals could potentially be subjected to high stress in this period.

181 Heart rate was higher in the afternoon with 102.0 beats/min and during the spring with 96 beats/min
182 with a significant interaction between season and period. Normally the HR increases with air

183 temperature, which is always higher in the afternoon (Silva et al., 2010). ST was significantly higher
184 in the afternoon and in the summer season (Table 2) and the animal responded to this heat stress
185 dissipating heat by increasing the RR. When the AT increased the gradient decreased because these
186 parameters are inversely correlated (Figure 1) and the body heat is dissipated primarily because of the
187 temperature gradient. There was a strong correlation between AT and ST (87%) and a weak
188 correlation between AT and RT (3%), indicating the independence of the latter variables, which
189 suggested that these animals are well adapted to the local climate conditions where they are raised.

190

191 *3.3 Haematological and blood biochemical parameters*

192 The mean values of haematological and blood biochemical parameters assessed in Garfagnina goats
193 are shown in Table 3. There was no effect of season on haematocrit (Hct) and mean corpuscular
194 volume (MCV) whereas erythrocytes (RBC) were significantly higher in spring ($P<0.05$). The values
195 obtained in this study are in agreement with those reported in previous studies (Kaneko et al., 2008;
196 Piccione et al., 2010) and the changes are likely to be adaptive or acquired by the breed as a response
197 to the local climate over several generations. The blood biochemical parameters varied significantly
198 between the seasons with glucose and cholesterol levels significantly higher in spring. During stress,
199 the cholesterol level decreased in response to the demand for the synthesis of cortisol. The cortisol
200 limits the use of glucose by mobilizing other energy reserves such as triglycerides and proteins (Sejian
201 et al., 2010).

202 The creatinine level was significantly lower in summer, most likely due to the increased air
203 temperature, which promotes increased respiratory rate. The creatinine level was positively
204 correlated with RR (0.33 $P<0.05$) and negatively correlated with AT (-0.46 $P<0.05$); these
205 observations were consistent with the results of Piccione et al. (2010) who studied native Italian
206 Girgentana goats. The total protein and globulin levels were significantly higher in the summer and
207 they were positively correlated with AT (0.43 $P<0.05$). Abdelatif et al. (2009) and Al-Eissa et al.
208 (2012) related higher levels of globulin in the summer, resulting in a lower level of the
209 albumin/globulin ratio during the spring which was not observed in the present work. In both

210 seasons GGT and AST values remained within the optimal limit for this species, as already stated
211 by Kaneko et al. (2008). However the two mentioned variables had higher values in summer.

212

213 *3.4 Hormonal parameters*

214 The hormone levels varied between the two seasons, which revealed the combined action of
215 meteorological and physiological parameters of the animals (Table 4). The thyroid and adrenal glands
216 play key roles in the adaptation mechanism, and well-adapted animals respond quickly to
217 environmental changes with necessary physiological adjustments (Coelho et al., 2008; Sejian, 2013;
218 Uribe-Velasquez et al., 1998). Plasma levels of T3 and T4 were lower in the summer and the result is
219 consistent with other studies in which an inverse correlation was observed between the concentration
220 of thyroid hormones and the air temperature ($-0.93 P<0.05$) in goats, suggesting that this decrease is an
221 adaptive parameter to reduce heat stress (Costa et al., 2015; Helal et al., 2010; McNabb, 1995). RT of
222 the animals remained within the optimal limits for goats. In spring, with lower temperatures and
223 relative humidity (Table 1), T3 and T4 concentrations were higher.

224 The highest levels of cortisol was observed in summer when the AT is higher. High air temperature
225 influenced the concentration of cortisol in the blood because it negatively affects thermolysis in
226 animals (Yousef et al., 1967). The level of cortisol found in this study corresponds to the values
227 reported in other papers in different goat breeds mostly ranging from 3 to 15 ng/mL (Al-Busaidi et al.,
228 2008; Aoyama et al., 2008; Ortiz-de-Montellano et al., 2007). The cortisol concentration was
229 positively correlated with air temperature ($0.91 P<0.05$), whereas thyroid hormone levels were both
230 (T3 and T4) inversely correlated with air temperature ($-0.93 P<0.05$). Cortisol concentration resulted
231 positively associated with thermal load (Figure 2) whereas the increase of AT, as already stated by
232 other authors (McFarlane et al., 1995; Vasquez and Herrera, 2003; Yousef et al., 1967), negatively
233 affects thyroid activity.

234 In the environment studied, Garfagnina goats showed adaptation to cold and heat stress. During the
235 hot periods, the animals have a higher ability to maintain rectal temperature increasing the respiratory
236 rate with a great capacity for heat dissipation whereas in the colder periods the animals showed an
237 increased heat-production capacity. Physiological (RR, HR, ST, RT-ST), biochemical (glucose, urea,

238 cholesterol, triglycerides, creatinine, total protein, globulin, A/G, GGT and AST) and hormonal (T3,
239 T4 and cortisol) characteristics undergo changes during different seasons. In particular, metabolism is
240 reduced during heat stress and accelerated during cold stress and these changes are controlled by the
241 thyroid hormones and cortisol (Helal et al., 2010). These hormones facilitate the physiological changes
242 involved in adaptation. Endocrine and physiological changes occurred in Garfagnina goat reflecting
243 the endogenous adaptive processes reacting in advance to the environmental changes associated with
244 the change of seasons (Piccione et al., 2009).

245

246 **4. Conclusions**

247 In the environment studied the Garfagnina goat showed adaptation to seasonal weather ~~climate~~
248 changes. In the hottest period (i.e. afternoon summer) the animals showed high ability to maintain a
249 constant rectal temperature slightly increasing the respiratory rate and they also showed a great
250 capacity for heat dissipation. On the other hand, they easily react to colder temperature increasing the
251 heat-production capacity. Biochemical and hormonal characteristics undergo changes during different
252 seasons such that metabolism is reduced during heat stress and accelerated during cold stress; these
253 changes are controlled by the thyroid hormones and cortisol. The study of these hormones facilitates
254 the understanding of the physiological parameters involved in the adaptation process. In fact, the
255 adaptive capacity of animals cannot be described solely by rectal temperature and respiratory rate.
256 Therefore, proper assessment of the adaptive profile requires consideration of the physiological,
257 hormonal and behavioural responses of animals to environmental conditions.

258

259 **Conflict of interest statement**

260 None declared.

261

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342

343

344 **Table 1** *Effect of season and period of the day on environmental parameters registered during sampling of Garfagnina goats (least squares means)*

345

346

Items	Season		Period		RSD	P-value		
	Spring	Summer	Morning	Afternoon		S	P	SxP
AT (°C)	20.3	29.1	18.6	30.8	3.1	<0.001	<0.001	<0.001
RH (%)	37.3	33.5	50.5	20.3	11.8	0.02	<0.001	0.05
BGHI	68.5	81.8	64.1	86.2	4.2	<0.001	<0.001	<0.001
TRL (W/m ²)	560	680	449	790	46	<0.001	<0.001	<0.001

347

348 AT = Air temperature; RH = Relative humidity; BGHI = Black globe temperature and humidity index; TRL = Thermal radiation load; RSD = residual

349 standard deviation; P = period of the day; S = season; ns= not significant.

350

351 **Table 2** *Effect of season and period of the day on physiological parameters of Garfagnina goats (least squares means)*

352

Items	Season		Period		RSD	P-value		
	Spring	Summer	Morning	Afternoon		S	P	SxP
RT (°C)	38.9	38.9	38.3	39.5	0.5	ns	<0.001	ns
RR (mov/min)	30	39	29	40	10	<0.001	<0.001	0.02
HR (beat/min)	96	87	81	102	14	<0.001	<0.001	<0.001
ST (°C)	27	31	27	30	3	<0.001	<0.001	<0.001
RT-ST (°C)	12	8	11	9	3	<0.001	<0.001	<0.001

353

354 RT = Rectal temperature; RR = respiratory rate; HR = heart rate; ST = skin temperature; RT-ST = skin temperature and rectal temperature thermal gradient;

355 RSD = residual standard deviation; P = period of the day; S = season; ns= not significant.

356

357 **Table 3** *Effect of season on blood biochemical parameters of Garfagnina goats (least squares means)*

358

Items	Season		RSD	P-value
	Spring	Summer		
RBC (x10 ⁶ /ml)	15.5	13.6	4.2	0.02
Hct (%)	29.7	29.0	5.0	ns
MCV (fl)	20.8	23.1	7.0	ns
Glucose (mg/dl)	57.3	51.1	7.5	<0.001
Urea (mg/dl)	46.0	42.8	7.1	0.02
Cholesterol (mg/dl)	73.1	67.3	13.4	0.03
Triglycerides (mg/dl)	14.2	24.4	7.6	<0.001
Creatinine (mg/dl)	0.9	0.8	0.1	<0.001
Total Protein (g/dl)	7.5	8.2	1.0	<0.001
Albumin (g/dl)	3.4	3.5	0.3	ns
Globulin (g/dl)	4.0	4.7	1.0	<0.001
A/G	0.9	0.8	0.3	0.02
GGT (U/l)	39.9	49.7	8.9	<0.001
AST (U/l)	111.1	132.9	20.6	<0.001

359

360 RBC = Erythrocytes; Hct = Haematocrit; MCV = Mean Corpuscular Volume; A/G = Albumin and

361 Globulin ratio; GGT = Gamma Glutamyltransferase; AST = Aspartate Aminotransferase;

362 RSD = residual standard deviation; ns = not significant.

363 **Table 4** *Effect of season on hormonal parameters of Garfagnina goats (least squares means)*

364

Items	Season		RSD	P-value
	Spring	Summer		
T3 (ng/mL)	1.2	0.7	0.2	<0.001
T4 (µg/dL)	1.4	0.7	0.3	<0.001
Cortisol (ng/mL)	6.9	9.0	1.8	<0.001

365

366 T3 = total triiodothyronine; T4 = total thyroxin; RSD = residual standard deviation.

367

368 **Fig. 1** Thermal gradient (RT-ST) according to air temperature in Garfagnina goats. Linear regression
369 equation and coefficient of determination are shown.

370 **Fig. 2** Concentrations of thyroid hormones and cortisol in Garfagnina goats according to air
371 temperature. Linear regression equation and coefficient of determination are shown.

1 **Adaptive profile of Garfagnina goat breed assessed through physiological,**
2 **haematological, biochemical and hormonal parameters**

3
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20

21 **Abstract**

22 This study was conducted to investigate the adaptive profile of the Garfagnina goat breed in two
23 different seasons (spring and summer) through physiological, biochemical, haematological, and
24 hormonal parameters. Fifty adult lactating females were studied twice a day (morning and afternoon)
25 in each season. The air temperature, black globe temperature and air relative humidity was recorded
26 using an automatic weather station. Physiological parameters recorded were rectal temperature,
27 respiratory rate, heart rate, skin temperature and rectal-skin temperature gradient. The results of this
28 study showed that there was a significant effect of season and period on all environmental variables.
29 Physiological variables, rectal temperature, respiratory rate, heart rate and skin temperature showed
30 higher values in the afternoon in both seasons. Both biochemical and hormonal parameters were
31 significantly affected by season of the year. Biochemical and hormonal characteristics undergo
32 changes during different seasons such that metabolism is reduced during heat stress and accelerated
33 during cold stress; these metabolic changes are controlled by the thyroid hormones and cortisol. It has
34 been shown from this study that these hormones facilitates the physiological parameters involved with
35 the adaptation process confirming that adaptive capacity of animals cannot be described solely by
36 rectal temperature and respiratory rate.

37

38 **Keywords:** climate, homeothermy, hormones, temperature, goat

39

40 **1. Introduction**

41 ~~Seasonal weather change has adverse effects on livestock production. However,~~ Over centuries
42 natural selection has acted on local breeds resulting in improved animal fitness in different
43 environmental conditions. **Consequently,** changes in **seasonal environmental** variables are recognized
44 as potential hazard in livestock growth and production. In particular, seasonal variations are
45 considered physiological stressors which affect the animal's biological systems (Aengwanich et al.,

46 2009; Al-Eissa et al., 2012). During this process, animals have acquired unique adaptive traits such as
47 disease resistance and tolerance to heat and cold (Silanikove et al., 2000).

48 Goats are warm-blooded animals and as such are characterized by the ability to maintain body
49 temperature within narrow limits, for this purpose, in addition to the exchange with the environment,
50 they need to alter their metabolism for internal heat production (Nardone et al., 2010). Few studies
51 have been conducted on the adaptive profile of local goat population, especially in rural marginal
52 areas. These studies are extremely important for the definition of management and conservation
53 strategies. Even in some developed countries, many local populations were not adequately
54 characterized in this aspect (Ribeiro et al., 2015). To improve farming efficiency, the interaction
55 between animals and the environment must be considered; knowledge on climate variables and their
56 effects on the physiological, haematological, blood biochemical, hormonal and genetic responses is
57 critical for the optimization of livestock-raising systems.

58 Animals respond differently to drastic temperature changes by changing several aspects of their
59 physiology and behaviour (Silva et al., 2010). These include alterations in physiological parameters
60 (rectal temperature, heart rate, respiratory rate and surface temperature) (Marai et al., 2007),
61 erythrogram (Al-Eissa et al., 2012), blood biochemical parameters (Abdelatif et al., 2009), cortisol
62 (Sejian et al., 2010) and thyroid hormones (Helal et al., 2010). These parameters may vary within the
63 same species due to factors such as diet, age, physiological status, breed, level of production, handling
64 and especially climate stress. Therefore, the aim of this study was to investigate the adaptive profile of
65 Garfagnina goat breed in two different seasons (spring and summer) by means of physiological,
66 biochemical, haematological, and hormonal parameters.

67

68 **2. Materials and Methods**

69 *2.1 Experimental site and animals*

70 The study was performed in Bagni di Lucca, Italy (44°01' latitude and 10°58' longitude) at an altitude
71 of approximately 634 m. According to Thornthwaite's classification, the climate at this location is type

72 A with average annual temperatures ranging from 2.0 to 29.0°C, average relative humidity of 68% and
73 average annual rainfall of 1851-2050 mm.

74 The study was carried out with 100 lactating (30 primiparous and 70 multiparous) females of the
75 Garfagnina goat breed: 50 animals were evaluated during spring (two days in March) and 50 were
76 evaluated during summer (two days in July) (Srikandakumar et al., 2003; Mattiello et al., 2011).
77 Measurements were made twice a day at morning (9:00h) and at afternoon (15:00h). The study was
78 performed in one family farm using semi-extensive farming practices. The key part of the goat diet
79 was natural pasture and water *ad libitum*. The goats were on pasture between morning and evening
80 milking while they were confined overnight. Few feed integrations with mixed hay were given during
81 the unfavourable periods of the year. The lambing period (beginning of the lactation) took place
82 generally in January and February. The age of the animals was estimated indirectly by dental
83 chronology, and all animals were classified as adult (two - five years old). Females with body
84 condition score of 2.0 in the spring and summer 2.25 and average weight of 45.5 - 50.0 Kg.

85

86 *2.2 Climatological data and thermal comfort index*

87 On the days of data collection climatological data were also recorded using an automated
88 meteorological station installed at the location where the animals spent the day. Dry-bulb temperature
89 (DBT), wet-bulb temperature (WBT), black globe temperature (BGT) and relative humidity (RH)
90 were recorded every 15 seconds. The black globe humidity index (BGHI) was then derived using
91 black globe temperature (BGT) and dew point temperature (DPT), according to Buffington et al.
92 (1981). The thermal radiation load (TRL) was calculated according to Esmay (1969) where the mean
93 radiant temperature (MRT) is the temperature of a surrounding region considered uniformly black
94 capable of eliminating the effect of reflection, with which the body (black globe) exchanges so much
95 energy as the environment under consideration (Bond et al., 1954). The time at the beginning and at
96 the end of each animal's sampling were registered and the average values of the environmental
97 variables registered between these periods were assigned to the corresponding animal. The values

98 obtained were then used to estimate the differences between season and period of the day for the
99 environmental parameters.

100

101 *2.3 Physiological parameters*

102 Rectal temperature (RT) of animals was measured with a digital clinical thermometer with range
103 32.0°C to 43.9°C. The thermometer was inserted into the rectum of each animal, with the bulb in
104 contact with the mucosa, remaining in the rectum until the thermometer made a beep, which was
105 indicative of temperature stabilization. The respiratory rate (RR) and heart rate (HR) were measured
106 through auscultation of the heart sounds with the aid of a flexible stethoscope at the level of the
107 laryngo tracheal region by counting the number of movements and beats for 20 seconds, and the
108 results multiplied by 3 to report at a minute time scale. The skin temperature (ST) was recorded using
109 a digital infrared thermometer Minipar MT-350 at a distance within 10 to 50 cm from the body (there
110 is no difference in measurements between those distances), measured in left flank. The RT – ST
111 gradient was calculated.

112

113 *2.4 Haematological, blood biochemical and hormonal parameters*

114 Blood samples were collected from each animal only during the afternoon sampling (15:00h) by
115 puncturing the jugular vein after disinfection with iodine alcohol, the analysis of the samples was
116 performed on the day following collection. The animals were also evaluated for the presence of
117 ectoparasites, lymphadenitis or other types of skin problems just after the blood collection. For
118 haematological analysis, blood was collected in 5 ml vacuum tubes containing 10% anticoagulant
119 ethylene diamine tetra acetic acid (EDTA). The haematological studies were carried out according to
120 Jain (1993).

121 For the analysis of biochemical and hormonal parameters, blood was collected in vacuum tubes of 7ml
122 containing separating gel and sodium fluoride (used for glucose analysis), and then centrifuged in a
123 digital centrifuge at 4°C at 3000 rpm (1100XG) for 15 minutes. After centrifugation, the supernatant
124 was separated into 1.5-ml aliquots for biochemical and hormonal tests; the analysis was performed on
125 the day following collection. Analyses were carried out using a biochemical-analysis apparatus

126 (VegaSys) with a multiple wave length photometer, on the following biochemical parameters: total
127 protein (PRT), albumin (ALB), glucose (GLU), triglycerides (TRI), cholesterol (CHO), urea (URE),
128 creatinine (CRE), gamma glutamyl transferase SL (GGT), and aspartate aminotransferase (AST). All
129 tests were performed using commercial kits (ASSEL S.r.l.). Intra- and interassay coefficients of
130 variation (CV) were 1.35% and 2.39%, 0.79% and 1.78%, 1.59% and 4.54%, 2.08% and 2.00%,
131 1.86% and 2.76%, 3.3% and 3.8%, 1.07% and 2.15%, 1.5% and 3.0%, 2.9% and 3.1% for PRT, ALB,
132 GLU, TRI, CHO, URE, CRE, GGT and AST respectively. The analytical sensitivity of the assays
133 were 1 g/dL for PRT and ALB, 1 mg/dL for GLU, TRI, CHO, URE, CRE and 1 UI/I for GGT and
134 AST respectively. The plasma concentrations of cortisol (COR), total thyroxine (T4) and total
135 triiodothyronine (T3) were measured in duplicate and quantified by the method Enzyme Linked
136 ImmunoSorbent Assay (ELISA by competition) using kits (In Vitro diagnostic Ltda.) developed for
137 quantitative evaluation of hormones (Uribe-Velasquez et al., 1998). The sensitivity is reported to be
138 lower than 0.05 ng/dL, 0.22 g/dL and 1.1 ng/dL for T3, T4 and COR respectively. Intra and inter-
139 assays coefficients of variation are 2.3-7.7%, 1.6-5.0% and 4.58-6.33% for T3, T4 and COR
140 respectively.

141

142 *2.5 Statistical analyses*

143 All the data were analyzed with the Statistical Analysis System (SAS, 2004) using GLM procedures,
144 and the Tukey test for significant variables was applied. The following mathematical model was used:
145 $y_{ijk} = \mu + S_i + P_j + (SP)_{ij} + e_{ijk}$ in which: y_{ijk} is the dependent variable; μ is the overall mean; S_i is the
146 fixed effect of season (summer and spring), P_j is the fixed effect of period (morning and afternoon),
147 $(SP)_{ij}$ the interaction between i^{th} season and j^{th} period and e_{ijk} is the random error with mean 0 and
148 variance σ^2 . The haematological, blood biochemical and hormonal parameters were analysed using a
149 similar model including season as the only fixed effect. Pearson correlations among all variables were
150 estimated using the CORR procedure of the Statistical Analysis System (SAS, 2004). Relationship
151 between thermal gradient (RT-ST), cortisol, T3 and T4 with air temperature was analysed through a
152 linear regression analysis using the REG procedure (SAS, 2004).

153

154 **3. Results and Discussion**

155 *3.1 Climatological data and thermal comfort index*

156 Average air temperature (AT), relative humidity (RH), black globe humidity index (BGHI) and
157 thermal radiation load (TRL) recorded both in the morning and in the afternoon for the two seasons
158 considered are shown in Table 1. The different AT values observed between seasons and periods
159 considered resulted in significant changes in both physiological and blood profile parameters
160 measured in these animals. Regarding the RH, significant difference was observed between seasons
161 and periods of the day. The data on RH shows higher values in the morning due to the lower AT
162 values observed in this period.

163 The BGHI were higher both in the afternoon and during the summer with a significant interaction
164 between season and period. Despite the absence of reference values for goat, these values cannot be
165 considered dangerous, since RT values are normal, demonstrating the absence of heat storage. TRL
166 was statistically higher at afternoon and during the summer and also for this parameter a significant
167 interaction between season and period of the day was observed. The higher TRL value in the afternoon
168 was due to the high AT and black globe temperature and low RH.

169

170 *3.2 Physiological parameters*

171 As shown in Table 2 respiratory rate (RR), heart rate (HR), skin temperature (ST) and thermal gradient
172 (RT-ST) were significantly different between periods and seasons, whereas RT showed differences
173 only between periods of the day (higher in the afternoon). Goats are active during the day, which
174 causes alterations in their physiological parameters. The maximum daily variation for RT was 1.2°C in
175 spring, value within the limit, according to Piccione and Refinetti (2003), should be range from 0.3°C
176 to 1.9°C.

177 In this study the RR was significantly higher in the afternoon and during the summer. RR is influenced
178 by the time of day because AT is higher in the afternoon than in the morning, which increases RR
179 (Silva et al., 2010; Sejian et al., 2010). At morning, RR values were within the limit considered normal

180 (below 40 mov/min) for goats (Silanikove, 2000) whereas in the afternoon RR was 40.0 mov/min,
181 indicating that the animals could potentially be subjected to high stress in this period.

182 Heart rate was higher in the afternoon with 102.0 beats/min and during the spring with 96 beats/min
183 with a significant interaction between season and period. Normally the HR increases with air
184 temperature, which is always higher in the afternoon (Silva et al., 2010). ST was significantly higher
185 in the afternoon and in the summer season (Table 2) and the animal responded to this heat stress
186 dissipating heat by increasing the RR. When the AT increased the gradient decreased because these
187 parameters are inversely correlated (Figure 1) and the body heat is dissipated primarily because of the
188 temperature gradient. There was a strong correlation between AT and ST (87%) and a weak
189 correlation between AT and RT (3%), indicating the independence of the latter variables, which
190 suggested that these animals are well adapted to the local climate conditions where they are raised.

191

192 *3.3 Haematological and blood biochemical parameters*

193 The mean values of haematological and blood biochemical parameters assessed in Garfagnina goats
194 are shown in Table 3. There was no effect of season on haematocrit (Hct) and mean corpuscular
195 volume (MCV) whereas erythrocytes (RBC) were significantly higher in spring ($P < 0.05$). The values
196 obtained in this study are in agreement with those reported in previous studies (Kaneko et al., 2008;
197 Piccione et al., 2010) and the changes are likely to be adaptive or acquired by the breed as a response
198 to the local climate over several generations. The blood biochemical parameters varied significantly
199 between the seasons with glucose and cholesterol levels significantly higher in spring. During stress,
200 the cholesterol level decreased in response to the demand for the synthesis of cortisol. The cortisol
201 limits the use of glucose by mobilizing other energy reserves such as triglycerides and proteins (Sejian
202 et al., 2010).

203 The creatinine level was significantly lower in summer, most likely due to the increased air
204 temperature, which promotes increased respiratory rate. The creatinine level was positively
205 correlated with RR (0.33 $P < 0.05$) and negatively correlated with AT (-0.46 $P < 0.05$); these
206 observations were consistent with the results of Piccione et al. (2010) who studied native Italian
207 Girgentana goats. The total protein and globulin levels were significantly higher in the summer and

208 they were positively correlated with AT (0.43 $P < 0.05$). Abdelatif et al. (2009) and Al-Eissa et al.
209 (2012) related higher levels of globulin in the summer, resulting in a lower level of the
210 albumin/globulin ratio during the spring which was not observed in the present work. In both
211 seasons GGT and AST values remained within the optimal limit for this species, as already stated
212 by Kaneko et al. (2008). However the two mentioned variables had higher values in summer.

213

214 *3.4 Hormonal parameters*

215 The hormone levels varied between the two seasons, which revealed the combined action of
216 meteorological and physiological parameters of the animals (Table 4). The thyroid and adrenal glands
217 play key roles in the adaptation mechanism, and well-adapted animals respond quickly to **seasonal**
218 **environmental** changes with necessary physiological adjustments (Uribe-Velasquez et al., 1998;
219 Coelho et al., 2008; Sejian, et al., 2013). Plasma levels of T3 and T4 were lower in the summer and the
220 result is consistent with other studies in which an inverse correlation was observed between the
221 concentration of thyroid hormones and the air temperature ($-0.93 P < 0.05$) in goats, suggesting that this
222 decrease is an adaptive parameter to reduce heat stress (McNabb, 1995; Helal et al., 2010; Costa et al.,
223 2015). RT of the animals remained within the optimal limits for goats. In spring, with lower
224 temperatures and relative humidity (Table 1), T3 and T4 concentrations were higher.

225 The highest levels of cortisol was observed in summer when the AT is higher. High air temperature
226 influenced the concentration of cortisol in the blood because it negatively affects thermolysis in
227 animals (Yousef et al., 1967). The level of cortisol found in this study corresponds to the values
228 reported in other papers in different goat breeds mostly ranging from 3 to 15 ng/mL (Ortiz-de-
229 Montellano et al., 2007; Al-Busaidi et al., 2008; Aoyama et al., 2008). The cortisol concentration was
230 positively correlated with air temperature (0.91 $P < 0.05$), whereas thyroid hormone levels were both
231 (T3 and T4) inversely correlated with air temperature ($-0.93 P < 0.05$). Cortisol concentration resulted
232 positively associated with thermal load (Figure 2) whereas thyroid activity is negatively affected by
233 the Increase of AT as already stated by other authors (Yousef et al., 1967; McFarlane et al., 1995;
234 Vasquez and Herrera, 2003).

235 In the environment studied, Garfagnina goats showed adaptation to cold and heat stress. During the
236 hot periods, the animals have a higher ability to maintain rectal temperature increasing the respiratory
237 rate with a great capacity for heat dissipation whereas in the colder periods the animals showed an
238 increased heat-production capacity. Physiological (RR, HR, ST, RT-ST), biochemical (glucose, urea,
239 cholesterol, triglycerides, creatinine, total protein, globulin, A/G, GGT and AST) and hormonal (T3,
240 T4 and cortisol) characteristics undergo changes during different seasons. In particular metabolism is
241 reduced during heat stress and accelerated during cold stress and these changes are controlled by the
242 thyroid hormones and cortisol (Helal et al., 2010). These hormones facilitate the physiological changes
243 involved in adaptation. Endocrine and physiological changes occurred in Garfagnina goat reflecting
244 the endogenous adaptive processes reacting in advance to the environmental changes associated with
245 the change of seasons (Piccione et al., 2009).

246

247 **4. Conclusions**

248 In the environment studied the Garfagnina goat showed adaptation to seasonal weather ~~climate~~
249 changes. In the hottest period (i.e. afternoon summer) the animals showed high ability to maintain a
250 constant rectal temperature slightly increasing the respiratory rate and they also showed a great
251 capacity for heat dissipation. On the other hand they easily react to colder temperature increasing the
252 heat-production capacity. Biochemical and hormonal characteristics undergo changes during different
253 seasons such that metabolism is reduced during heat stress and accelerated during cold stress; these
254 changes are controlled by the thyroid hormones and cortisol. The study of these hormones facilitates
255 the understanding of the physiological parameters involved in the adaptation process. In fact the
256 adaptive capacity of animals cannot be described solely by rectal temperature and respiratory rate.
257 Therefore proper assessment of the adaptive profile requires consideration of the physiological,
258 hormonal and behavioural responses of animals to environmental conditions.

259

260 **Conflict of interest statement**

261 None declared.

262

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346

347

348 **Table 1** *Effect of season and period of the day on environmental parameters registered during sampling of Garfagnina goats (least squares means)*

349

350

Items	Season		Period		RSD	P-value		
	Spring	Summer	Morning	Afternoon		S	P	SxP
AT (°C)	20.3	29.1	18.6	30.8	3.1	<0.001	<0.001	<0.001
RH (%)	37.3	33.5	50.5	20.3	11.8	0.02	<0.001	0.05
BGHI	68.5	81.8	64.1	86.2	4.2	<0.001	<0.001	<0.001
TRL (W/m ²)	560	680	449	790	46	<0.001	<0.001	<0.001

351

352 AT = Air temperature; RH = Relative humidity; BGHI = Black globe temperature and humidity index; TRL = Thermal radiation load; RSD = residual

353 standard deviation; P = period of the day; S = season; ns= not significant.

354

355 **Table 2** *Effect of season and period of the day on physiological parameters of Garfagnina goats (least squares means)*

356

Items	Season		Period		RSD	P-value		
	Spring	Summer	Morning	Afternoon		S	P	SxP
RT (°C)	38.9	38.9	38.3	39.5	0.5	ns	<0.001	ns
RR (mov/min)	30	39	29	40	10	<0.001	<0.001	0.02
HR (beat/min)	96	87	81	102	14	<0.001	<0.001	<0.001
ST (°C)	27	31	27	30	3	<0.001	<0.001	<0.001
RT-ST (°C)	12	8	11	9	3	<0.001	<0.001	<0.001

357

358 RT = Rectal temperature; RR = respiratory rate; HR = heart rate; ST = skin temperature; RT-ST = skin temperature and rectal temperature thermal gradient;

359 RSD = residual standard deviation; P = period of the day; S = season; ns= not significant.

360

361 **Table 3** *Effect of season on blood biochemical parameters of Garfagnina goats (least squares means)*

362

Items	Season		RSD	P-value
	Spring	Summer		
RBC (x10 ⁶ /ml)	15.5	13.6	4.2	0.02
Hct (%)	29.7	29.0	5.0	ns
MCV (fl)	20.8	23.1	7.0	ns
Glucose (mg/dl)	57.3	51.1	7.5	<0.001
Urea (mg/dl)	46.0	42.8	7.1	0.02
Cholesterol (mg/dl)	73.1	67.3	13.4	0.03
Triglycerides (mg/dl)	14.2	24.4	7.6	<0.001
Creatinine (mg/dl)	0.9	0.8	0.1	<0.001
Total Protein (g/dl)	7.5	8.2	1.0	<0.001
Albumin (g/dl)	3.4	3.5	0.3	ns
Globulin (g/dl)	4.0	4.7	1.0	<0.001
A/G	0.9	0.8	0.3	0.02
GGT (U/l)	39.9	49.7	8.9	<0.001
AST (U/l)	111.1	132.9	20.6	<0.001

363

364 RBC = Erythrocytes; Hct = Haematocrit; MCV = Mean Corpuscular Volume; A/G = Albumin and

365 Globulin ratio; GGT = Gamma Glutamyltransferase; AST = Aspartate Aminotransferase;

366 RSD = residual standard deviation; ns = not significant.

367 **Table 4** *Effect of season on hormonal parameters of Garfagnina goats (least squares means)*

368

Items	Season		RSD	P-value
	Spring	Summer		
T3 (ng/mL)	1.2	0.7	0.2	<0.001
T4 (µg/dL)	1.4	0.7	0.3	<0.001
Cortisol (ng/mL)	6.9	9.0	1.8	<0.001

369

370 T3 = total triiodothyronine; T4 = total thyroxin; RSD = residual standard deviation.

371

372 **Fig. 1** Thermal gradient (RT-ST) according to air temperature in Garfagnina goats. Linear regression
373 equation and coefficient of determination are shown.

374 **Fig. 2** Concentrations of thyroid hormones and cortisol in Garfagnina goats according to air
375 temperature. Linear regression equation and coefficient of determination are shown.

Figure 1

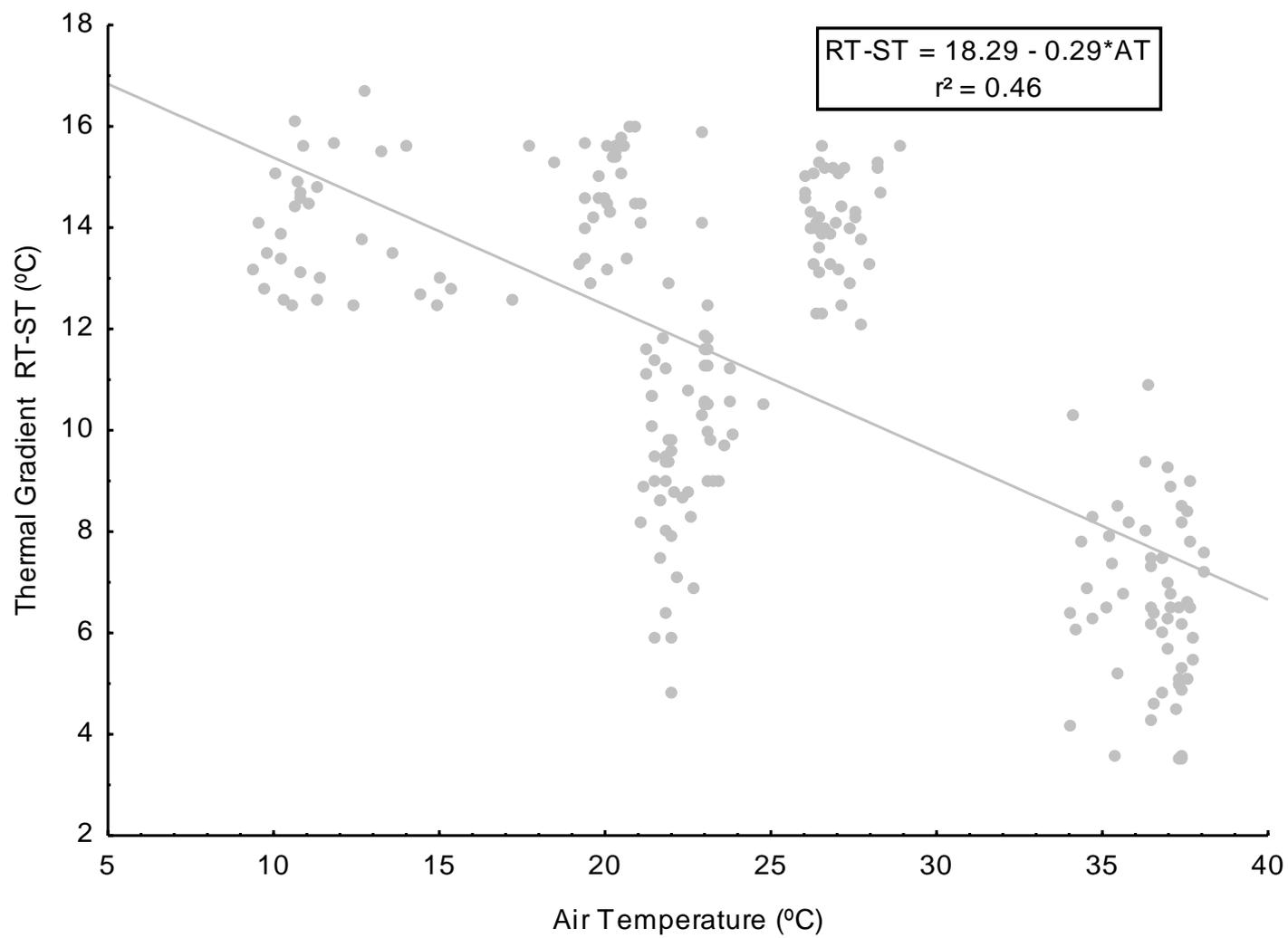
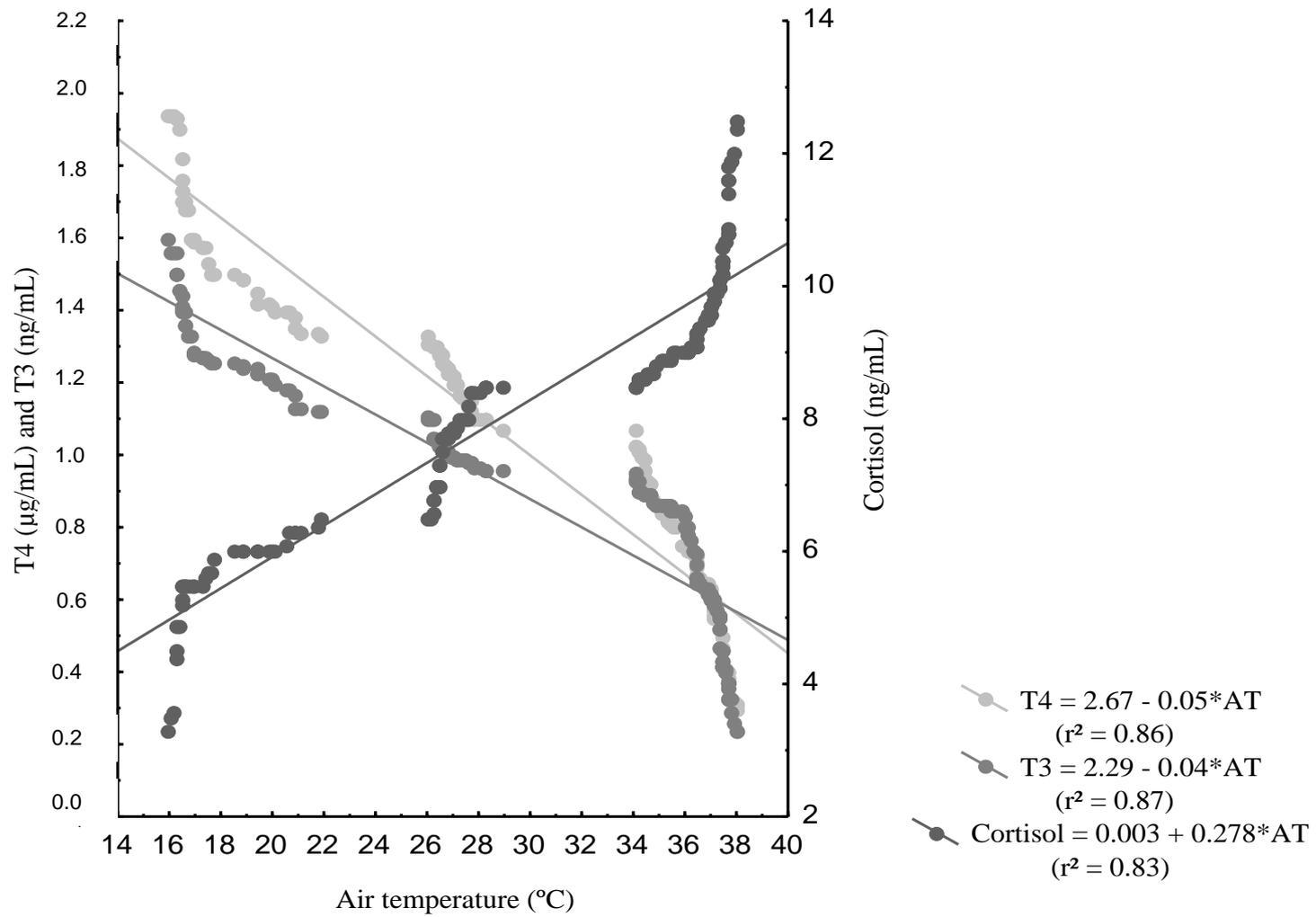


Figure 2



Firenze, 23/11/2015

Conflict of Interest Statement

We wish to confirm that there are no known conflicts of interest associated with this publication and there has been no significant financial support for this work that could have influenced its outcome.

We confirm that the manuscript has been read and approved by all named authors and that there are no other persons who satisfied the criteria for authorship but are not listed. We further confirm that the order of authors listed in the manuscript has been approved by all of us.

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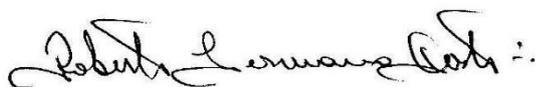
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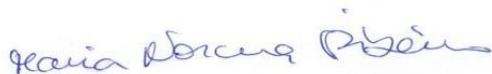
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