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KIN SP: A boundary element method based code for single pile kinematic bending in layered soil

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ABSTRACT

In high seismicity areas, it is important to consider kinematic effects to properly design pile foundations. Kinematic effects are due to the interaction between pile and soil deformations induced by seismic waves. One of the effect is the arise of significant strains in weak soils that induce bending moments on piles. These moments can be significant in presence of a high stiffness contrast in a soil deposit. The single pile kinematic interaction problem is generally solved with beam on dynamic Winkler foundation approaches (BDWF) or using continuous models. In this work, a new boundary element method (BEM) based computer code (KIN SP) is presented where the kinematic analysis is preceded by a free-field response analysis. The analysis results of this method, in terms of bending moments at the pile-head and at the interface of a two-layered soil, are influenced by many factors including the soil–pile interface discretization. A parametric study is presented with the aim to suggest the minimum number of boundary elements to guarantee the accuracy of a BEM solution, for typical pile–soil relative stiffness values as a function of the pile diameter, the location of the interface of a two-layered soil and of the stiffness contrast. KIN SP results have been compared with simplified solutions in literature and with those obtained using a quasi-three-dimensional (3D) finite element code.

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1. Introduction

1.1. Literature overview

In seismic areas, piles are commonly designed to resist inertial forces due to the superstructure. Nevertheless, it is important to consider the kinematic effects to properly design pile foundation.

Arise of kinematic interaction phenomena is due to the seismically induced deformations of the soil that interacts with the pile. One of the main important effects of these deformations is the arise of significant strains in soft soil that induce bending moments (kinematic bending moments) on piles.

Pile kinematic response has been studied, among others, by Blaney et al. (1976), Flores-Berrones and Whitman (1982), Kaynia and Kausel (1982), Dobry and O’Rourke (1983), Nogami et al. (1991), Kavvadas and Gazetas (1993), and Tabesh and Poulos (2001). These studies have focused on the motion of the pile-head and only more recently pile bending and curvature have been explored.

Further studies proposed simplified formulations and methods to estimate the maximum kinematic bending moment at the interface of a two-layered soil and/or at the pile-head (Castelli and Mauger, 2009; Dezi et al., 2010; Dobry and O’Rourke, 1983; Kavvadas and Gazetas, 1993; Maiorano et al., 2009; Mylonakis, 2001; Nikolaou et al., 2001; Sica et al., 2011) using beam on dynamic Winkler foundation (BDWF) approaches.

On the other hand, some authors proposed methods able to study the single pile kinematic problem using continuum-based approaches, such as the boundary element method (BEM) (Tabesh and Poulos, 2001; Liang et al., 2013), the finite element method (FEM) (Bentley and El Naggar, 2000; De Sanctis et al., 2010; Di Laora et al., 2013; Di Laora and Rovithis, 2015; Maiorano et al., 2007; Wu and Finn, 1997a,b) or procedures based on the stiffness method and dynamic stiffness matrices of layered soils (Cairo and Dente, 2007) and hybrid BEM–BDWF approaches (Kampitsis et al., 2013).

Considering the available technical literature about the pile kinematic interaction, it can be outlined that the internal forces generated due to the seismic waves propagation in a pile are...
affected by the pile–soil relative stiffness \((E_p/E_s)\), the pile-head restraint condition (free-head, fixed-head), the thickness and the mechanical properties of the subsoil layers, and the seismic event used as input, while the pile slenderness ratio \((L/D, \text{where } L \text{ is the length, and } D \text{ is the diameter})\) has a minor effect on layered soils with respect to the above aspects. It is well-established that for pile embedded in a layered soil deposit, the bending moment values along the pile-shaft increase at the interface between two adjacent soil-layers with different shear moduli \((G)\) and that the bending moment increment becomes higher as the mechanical impedance increases. More recently, Di Laora et al. (2012) investigated the moment increment becomes higher as the mechanical impedance along the pile-shaft increase at the interface between two adjacent embedded in a layered soil deposit, the bending moment values length, and \(D\) is the diameter). In this work, it was found that while the bending strain becomes significant especially in the case of high stiffness contrast in a soil deposit.

All the research works on this topic have demonstrated that kinematic bending moments can be responsible for pile damage, especially in the case of high stiffness contrast in a soil deposit profile (Fig. 1).

### 1.2. Simplified design methods

Dobry and O’Rourke (1983) developed a BDWF method that assumes a linear elastic behaviour for the pile and the soil deposit, and the proposed equations are useful to estimate the maximum bending moment at the interface between two layers with different stiffnesses. In this method, it is assumed that the contact between pile and soil is perfect and the soil is subjected to a uniform static stress field.

Nikolaou et al. (2001) on the basis of a parametric study using a BDWF method proposed simplified expressions to evaluate the bending moment at the interface between two soil layers with different stiffnesses in steady-state condition with a frequency approximately equal to the natural frequency of the soil deposit. These expressions are valid when the interface between the two soil layers is located at a depth greater than the pile active length \(L_a\). \(L_a\) can be estimated using the formulation suggested by Randolph (1981).

One of the weaknesses of the expressions proposed by Nikolaou et al. (2001) is that infinite bending moment is predicted for very slender piles and for layered soils having high stiffness contrast.

Mylonakis (2001) proposed a simplified method for predicting the kinematic bending at the interface of a two-layered soil profile based on response analysis of a mechanistic model. The assumptions are the same as those in Dobry and O’Rourke (1983) method. The improvements are as follows:

1. The seismic excitation is a harmonic horizontal displacement imposed on the bedrock.
2. Both the radiation and material damping are considered.
3. The soil layers are thick, but not unbounded.

The maximum kinematic bending moment is evaluated as

\[
M = \left(\frac{E_p}{E_s}\right)\frac{(\epsilon_p/\gamma_1)}{r}\gamma_1
\]

where \(E_p\) is the pile elastic modulus, \(h_p\) is the area moment of inertia of the pile section, \(\epsilon_p\) is the peak pile bending strain, \(\gamma_1\) is the peak shear strain in the upper layer at the interface depth, \(r\) is the pile radius, and \(\phi\) is a coefficient that takes into account the effect of frequency. The parameter \(\phi\) can be considered equal to 1 and in general it is less than 1.25 (Mylonakis, 2001).

The ratio \(\epsilon_p/\gamma_1\) is a strain transmissibility function (Mylonakis, 2001) expressed as

\[
\frac{\epsilon_p}{\gamma_1} = \frac{1}{C_1^4} \left(\frac{E_p}{G_1}\right)^{1/4} \left(\frac{h_1}{D}\right)^{1/8} \left(\frac{h_2}{D}\right)^{1/8} \left(\frac{G_2}{G_1}\right)^{-1/30}
\]

where \(c\) is equal to \((G_2/G_1)^{1/4}\); \(G_1\), \(h_1\) and \(G_2\), \(h_2\) are the shear modulus and thickness of the upper and lower soil layer, respectively; \(L\) is the pile length; \(D\) is the pile diameter; and \(E_1\) is the elastic modulus of the upper layer.

More recently, Di Laora et al. (2012) found that the overall bending moment at the interface can be viewed as the superposition of two counteracting moments: a negative moment that the pile would experience in homogeneous soil having stiffness equal to that of the first layer, and a positive moment due to the restraining action of the increased soil stiffness below the interface.

The possible drawback in Mylonakis expression lies in its difficulty in separating the contributions of the negative and positive mechanisms.

Di Laora et al. (2012) presented a set of harmonic steady-state elastodynamic results obtained by a rigorous finite element analysis and proposed a new semi-analytical formula for evaluating the strain transmissibility function \(\left(\epsilon_p/\gamma_1\right)\) (Eq. (4)), and hence the pile bending at an interface separating two soil layers:

\[
\frac{\epsilon_p}{\gamma_1} = \chi \left[ -\frac{1}{2} \left(\frac{h_1}{D}\right)^{-1} + \left(\frac{E_p}{E_1}\right)^{-0.25} (c - 1)^{0.5} \right]
\]

where \(\chi\) is a regression coefficient that is found to be 0.93. Assuming \(\chi\) close to unity can provide less satisfactory results in the pile bending estimate for shallow interfaces for fixed-head piles, due to the interplay among head and interface moment.

![Fig. 1. Pile–soil system scheme: Free-head pile embedded in a two-layered soil with a high stiffness discontinuity.](image-url)
1.3. Pile discretization effect on kinematic analysis

In all the available continuum-based methods (BEM and FEM), the results are sensitive to the element size. Di Laora et al. (2013) observed that the computed bending moments tend to increase with decreasing element size and increasing accuracy. They found that an element size equal to 0.1D could provide a satisfactory accuracy.

However, in most of the works mentioned previously, even if the influence of element size was recognised and a proper sensitivity analysis was performed to select the pile element height able to guarantee the solution accuracy, no general suggestions have been proposed.

For example, in the BEM proposed by Tabesh and Poulos (2001), the analyses refer to a pile with a slenderness ratio (L/D) equal to 20 with a diameter D ranging between 0.3 m and 1.5 m, and the element size was kept constant to 0.75 m to compare the results with those obtained by Kavvadas and Gazetas (1993).

In the work of Liang et al. (2013), the pile slenderness ratio was also equal to 20 and it was considered adequate to use 21 pile segments to obtain a good accuracy. However, the above discretization was not adequately justified. Kampitsis et al. (2013) performed the analyses with their hybrid BDWFE-BEM model to discretize the column pile with beam elements of 1 m length.

In this work, a computer code (called KIN SP) for the single pile kinematic analysis, based on the BEM, will be presented and validated. Then some results of a parametric study will be discussed, with the aim to suggest the minimum number of boundary elements to guarantee the accuracy of a BEM solution, for typical pile—soil relative stiffness values as a function of the pile diameter, the location of the interface of a two-layered soil profile, and the stiffness contrast.

2. BEM based method for the kinematic analysis of a single pile (KIN SP)

2.1. Model assumptions

The method (computer code KIN SP, Stacul et al., 2017) for the kinematic analysis of a single pile described here is solved using the BEM. The kinematic analysis is preceded by a seismic ground response analysis performed in the time domain with the computer code ONDA (Lo Presti et al., 2006), which provides the soil relative displacements and relative velocities at the centre of each pile block at each time step. In ONDA, the nonlinear soil behaviour is modelled using the Ramberg–Osgood constitutive law. KIN SP has been completely merged with the code ONDA to provide a stand-alone analysis tool. The analysis results presented here are limited to the kinematic bending moments. The following model assumptions are made:

(1) The soil deposit has a linear elastic behaviour (the soil nonlinear behaviour is considered in the seismic ground response analysis performed with ONDA).
(2) The soil elastic moduli are equivalent moduli corresponding to the secant moduli at shear strains equal to 65% of the maximum shear strains obtained in the free-field response analysis.
(3) The stresses developed between the pile and the soil are normal to the pile axis.
(4) Each pile block is subjected to a uniform horizontal stress.
(5) The pile is modelled as a thin strip using the Euler–Bernoulli beam theory and is discretized in n blocks.

(6) The soil displacement induced by a uniform pressure acting over a pile block is computed by integrating the Mindlin equation (Mindlin, 1936).
(7) The equilibrium and the pile—soil displacements compatibility are assumed.

In addition to the above assumptions, the proposed model assumes also that Mindlin solutions are valid both in non-homogeneous soils and in dynamic conditions. Nevertheless, as stated by Tabesh and Poulos (2001), satisfactory results can be obtained for non-homogeneous soil by assuming in Mindlin equation an average value of soil modulus at the influencing and influenced points. Moreover, the Mindlin equation is not valid for dynamic loading, however, it can be still considered valid if the characteristic wavelength in the soil medium is long compared with the horizontal distance across the zone of higher influence resulting from interaction (Tabesh and Poulos, 2001).

2.2. The code KIN SP

A linear elastic behaviour is assumed for the pile. This assumption neglects the actual behaviour of reinforced concrete pile sections such as the development of cracks, the tension stiffening effect and the post-yielding or “inelastic” phase. As observed in Morelli et al. (2017), the influence of tension stiffening becomes higher for reinforced concrete piles with diameters lower than 60 cm and reinforcement ratio lower than 1%. In order to introduce a more advanced constitutive model for reinforced concrete piles with cyclic degradation for dynamic analyses, the model proposed by Andreotti and Lai (2017) may be considered.

The pile flexibility matrix (H) is obtained using the elastic beam theory, and each coefficient of this matrix can be computed using the following equations (Fig. 2):

\[
\begin{align*}
\delta_{ij} &= \frac{z_i^2}{3E_p I_p} + \frac{z_j^2}{2E_p I_p} (z_i \leq z_j) \\
\delta_{ij} &= \frac{z_i^2}{3E_p I_p} + \frac{z_j^2}{2E_p I_p} (z_i > z_j)
\end{align*}
\]

In this way, the incremental horizontal displacements (Δy) of the pile blocks can be obtained:

\[
\Delta y = -H\{\Delta P_p\} + \Delta y_0 + \Delta \theta_0(z)
\]

where {ΔP_p} is a column vector, containing the incremental loads acting at each pile block, and {ΔP_p} = {Δp}(t/t), where {Δp} is the column vector of the incremental uniform pressures acting on each pile block, t is the height of each pile block, and D is the pile

![Fig. 2. Pile flexibility matrix using the auxiliary restraint method.](image)
diameter or the pile width; \( \Delta y_0 \) and \( \Delta \theta_0 \) are the unknown incremental displacement and rotation at the pile-head, respectively; \( \{z\} \) is the column vector containing the depth of the centre of each pile block.

The soil flexibility matrix \( \mathbf{B} \) is obtained using the Mindlin solution and each coefficient of this matrix can be calculated using the following equation (Fig. 3):

\[
b_{ij} = \frac{1 + \nu}{8\pi E_i(1-\nu)} \left[ \frac{3 - 4\nu}{R_{1ij}} + \frac{1}{R_{2ij}} + \frac{2cz}{R_{2ij}^2} + \frac{4(1 - \nu)(1 - 2\nu)}{R_{2ij}^2 + z + c} \right]
\]  

(7)

The incremental horizontal displacements \( \{\Delta s\} \) of the soil can be obtained as

\[
\{\Delta s\} = \mathbf{B}\{\Delta P_s\} + \{\Delta x\}
\]

(8)

where \( \{\Delta P_s\} \) is a column vector, containing the incremental loads acting on each pile—soil interface, and \( \{\Delta P_s\} = \{\Delta P_j\}(t)dt \), where \( \{\Delta P_j\} \) is the column vector of the incremental uniform pressures acting on each pile—soil interface; \( \{\Delta x\} \) is the column vector of the incremental soil displacements obtained in the ground response analysis using ONDA.

The relationship between \( \{\Delta P_s\} \) and \( \{\Delta P_j\} \) is expressed as

\[
\{\Delta P_j\} = \{\Delta P_s\} + \mathbf{M}\{\Delta \dot{y}\} + \mathbf{C}\{\Delta y\} - \{\Delta \dot{x}\}
\]

(9)

where \( \mathbf{M} \) is the diagonal mass matrix of the pile; \( \mathbf{C} \) is the diagonal damping matrix; \( \{\Delta \dot{y}\} \) and \( \{\Delta y\} \) are the column vectors of the incremental accelerations and of the incremental velocities at the pile interface, respectively; \( \{\Delta \dot{x}\} \) is the column vector of the incremental soil velocities obtained in the free-field analysis with ONDA.

The elements of the damping matrix are computed using the expression \( 5\pi V_s DT \) as proposed by Kaynia (1988) for radiation damping in his Winkler method, in which \( \rho_s \) is the soil mass density, and \( V_s \) is the soil shear wave velocity. The adoption of these coefficients is justified by the fact that they are rather conservative and are also frequency independent (Tabesh and Poulos, 2001).

Combining Eq. (9) with Eq. (6) and considering the compatibility between pile and soil incremental displacements, \( \{\Delta y\} = \{\Delta s\} \), the following equation is obtained:

\[
-H\{\Delta P_s\} + \mathbf{M}\{\Delta \dot{y}\} + \mathbf{C}\{\Delta \dot{y} - \{\Delta \dot{x}\}\} + \mathbf{D}\{\Delta y\} - \{\Delta \phi\} = \mathbf{B}\{\Delta P_s\} + \{\Delta x\}
\]

(10)

This system is solved using the Newmark-\( \beta \) method. In this way, the incremental acceleration and the incremental velocity are respectively defined as

\[
\{\Delta \ddot{y}\} = \frac{4}{\Delta t^2}\{\Delta \dot{y}\} - \frac{4}{\Delta t}\{\Delta y\} - 2\{\dot{y}\}
\]

(11)

\[
\{\Delta \dot{y}\} = \frac{2}{\Delta t}\{\Delta y\} - 2\{y\}
\]

(12)

where \( \{\dot{y}\} \) and \( \{y\} \) are the column vector of the velocity and of the acceleration at the end of the previous time step, respectively; and \( \Delta t \) is the time step. It is then possible to substitute \( \{\Delta \dot{y}\} \) and \( \{\Delta y\} \) in Eq. (10). The compatibility equations are finally written as

\[
\mathbf{B} + \mathbf{H} + \frac{4}{\Delta t^2}\mathbf{HMB} + \frac{2}{\Delta t}\mathbf{HCB} - \Delta \mathbf{y}_0 - \Delta \mathbf{\theta}_0\{z\} = \{\Delta \phi\}
\]

(13)

The system defined in Eq. (13) is expressed as function of \( n + 2 \) unknowns: \( n \) incremental loads acting at each pile—soil interface and the unknown incremental displacement \( \Delta y_0 \) and rotation \( \Delta \theta_0 \) at the pile-head. The system in Eq. (13) is defined by \( n \) equations, and the other two equations required are the translational and rotational equilibrium equations. The system is solved at each time step and the results are plotted in terms of the envelope of the maximum bending moments along the pile shaft.

3. Influence of the pile discretization in BEM based kinematic analysis

As introduced in Section 1.2, the analysis results of BEM based approaches (like KIN SP), in terms of bending moments at the pile-head and at the interface of a two-layered soil, are influenced by many factors including the discretization of the problem domain. Here are presented some results of a parametric study with the aim to suggest the minimum number of boundary elements to guarantee the accuracy of a BEM solution for typical pile—soil relative stiffness values as a function of the pile diameter, the location of the interface of a two-layered soil profile and the stiffness contrast.

The parametric study has been realised on a simplified two-layered soil profile (Fig. 4), with a total thickness of 30 m and overlying a bedrock with a shear wave velocity equal to 1200 m/s and a unit weight of 22 kN/m². The soil unit weight and the Poisson’s ratio \( (\nu) \) for both layers were considered equal to 19 kN/m³ and 0.4, respectively, while the shear wave velocities \( (V_s1 \) and \( V_s2) \) and the layers thickness \( (h_1 \) and \( h_2) \) of the upper and lower layers are summarised in Table 1.

The pile had the following properties: the length \( L = 20 \) m, and the elastic modulus \( E_p = 25 \) GPa. The pile-head was fixed, and three pile diameter values were used \( (D = 0.6 \) m, 1 m and 1.5 m). All the kinematic analyses were preceded by a ground response analysis using the computer code ONDA. The acceleration time histories in Figs. 5–7 have been applied to the base of the soil deposit model.

The free-field response was computed in time domain considering linear elastic conditions and a soil damping \( \beta_s \) equal
to 10%. Each analysis has been repeated, using KIN SP, considering the following number of boundary elements: 12, 20, 40, 60, 100 and 200.

In Fig. 8, for instance, the results obtained with KIN SP are reported in terms of maximum bending moments at the pile-head and at the interface between the two-layered soil for a pile diameter equal to 0.6 m and a stiffness contrast $\frac{V_{s2}}{V_{s1}}$ equal to 4 using the input motion A-TMZ000.

It is noted that these plots are fitted by hyperbolic curves. This statement can be confirmed in Fig. 9, where the same data are plotted using along the x-axis the number of boundary elements ($n$) and along the y-axis the ratio between $n$ and the computed moment ($M$).

This fact permits to evaluate, for each analysis case, the coefficients $a$ and $b$ of the hyperbolic law rewritten in the following terms:

$$ n = a + bn $$

(14)

The value assumed by $\frac{1}{b}$ represents a limit value of the maximum bending moment ($M_{lim}$) related with a specific analysis case (Fig. 8) for a number of boundary elements that tends to infinity. The $M_{lim}$ has not been considered as an exact solution but rather as a limit value for the maximum bending moment. Finally, the following expression was adopted to provide an estimate of the analysis result errors due to the discretization:

$$ \text{Err} = \frac{M_{lim} - M_{computed}}{M_{lim}} \times 100\% $$

(15)

Figs. 10 and 11 plot some results of the parametric study, representing the error (defined in Eq. (15)) in the estimation of the bending moments at the pile-head and at the interface of the two-layered soil using the input motion A-TMZ000.

Observing the parametric analysis results, the following remarks can be drawn:

1. The analysis error decreases with increasing pile diameter.
2. The error is larger for higher stiffness contrast.
3. The error in the evaluation of the maximum bending moments is lower when the interface between the two layers is located at higher depth.
4. In general, for typical pile diameters and pile—soil relative stiffness, a boundary element size lower than $0.33D$ (in m) can guarantee an error less than 10% in the evaluation of the maximum bending moments at the pile-head and at the interface of the two-layered soil.

### 4. Validation of KIN SP

The validation has been realised by comparing the KIN SP kinematic analysis results in terms of maximum bending moment with those computed using the simplified expressions suggested by Mylonakis (2001) and Di Laora et al. (2012) and in terms of bending.
Fig. 6. Acceleration time history (left) and Fourier spectrum (right), A-STU270 (scaled at 0.35 g).

Fig. 7. Acceleration time history (left) and Fourier spectrum (right), E-NCB090 (scaled at 0.35 g).

Fig. 8. Computed maximum kinematic bending moments as a function of the number of boundary elements.

Fig. 9. Relationship between $n/M$ and $n$ for the data presented in Fig. 8.
moment envelope with those obtained by Aversa et al. (2009) using the quasi-three-dimensional (3D) finite element code VERSAT-P3D (Wu, 2006). The VERSAT-P3D numerical model is able as KIN SP to obtain results considering a linear or a nonlinear soil response.

Piles are modelled using the ordinary Eulerian beam theory. Bending of the piles occurs only in the direction of shaking. Dynamic soil–pile interaction is maintained by enforcing displacement compatibility between the pile and soils. An eight-node brick element is used to represent the soil and an eight-node beam is used to simulate the piles. Direct step-by-step integration using the Wilson-\(\theta\) method is employed in VERSAT-P3D to solve the equations of motion.

An equivalent linear method is employed in VERSAT-P3D to model the nonlinear hysteretic behaviour of soil. The hysteretic behaviour of soil is approximated by a set of secant shear moduli and viscous damping ratios compatible with current levels of shear strain. To approximate the nonlinear behaviour of soil, the compatibility among the secant shear modulus, damping ratio, and shear strain is enforced at each time step during the integration of equations of motion. The VERSAT-P3D analysis results shown here have been obtained updating the shear moduli and damping ratios every 0.5 s based on the peak strain levels from the previous time interval (Maiorano et al., 2007). The damping is essentially of the Rayleigh type, which is both mass and stiffness dependent. The hysteretic damping ratio is prescribed as a function of element shear strain.

All the simulations were performed considering a simplified soil deposit described in the following section, using the same acceleration time histories selected on the Italian accelerometric archive. The results obtained with KIN SP were realised considering 100 boundary elements on the basis of the parametric study presented in the Section 3.

### 4.1. Reference soil deposit and pile properties for linear analyses

The validation of the computer code KIN SP has been realised on a simplified two-layered soil profile with a total thickness of 30 m and overlying a bedrock with a shear wave velocity of 1200 m/s and a unit weight of 22 kN/m³ (see Fig. 4). The shear wave velocities of the upper \((V_{s1})\) and lower \((V_{s2})\) soil layers were those indicated in Table 1, while the soil unit weight and the Poisson’s ratio \((\nu)\) for both layers were considered equal to 19 kN/m³ and 0.4, respectively.

The pile had the following properties: the diameter \(D = 0.6\) m, 1 m and 1.5 m; the length \(L = 20\) m; and the elastic modulus \(E_p = 25\) GPa. The pile-head has been considered fixed against the rotation.

### 4.2. Linear analysis results

A preliminary ground response analysis was performed using the code ONDA. The acceleration time histories used in this work (identified by the codes A-TMZ000, A-STU270, and E-NCB090) have been selected from the database ITACA (Luzi et al., 2016), and the motions have been scaled to values of \(a_r\) equal to 0.35 g (Figs. 5–7) and applied to the base of the soil deposit model.

The free-field response was computed in time domain considering linear elastic conditions and a soil damping \(\xi\) equal to 10%. The analysis results have been compared (Figs. 12–14), in terms of maximum bending moment at the interface \((M_{int})\), with those obtained using the expressions suggested by Mylonakis (2001) and Di Loria et al. (2012).

In each subfigure of Figs. 12–14, a total of 27 cases (3 pile diameters, 3 stiffness contrasts and 3 interface depths) have been reported. The dotted lines represent a variation of ±20% with

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**Fig. 10.** Error vs. number of boundary elements with varying stiffness contrast \((V_{s2}/V_{s1})\).
respect to the continuous line. For all input motions, it can be noted that the KIN SP bending moments at the interface overestimate the values calculated using the solution proposed by Mylonakis (2001) and slightly underestimate those obtained with the formulation by Di Laora et al. (2012).

Using the Mylonakis formulation (Eq. (1)), the coefficient $\phi$ has been evaluated by taking into account the strain transmissibility dependency on frequency as described in Mylonakis (2001). The differences between KIN SP and Mylonakis solutions can be justified by the fact that the latter has been deduced considering harmonic excitations and not real seismic motions.

The KIN SP analysis results have been compared also in terms of bending envelope with those obtained by Aversa et al. (2009) using the quasi-3D finite element computer programme VERSAT-P3D. As shown in Figs. 15–17, a good agreement can be observed between the results computed using these two different methods.

In general, the bending envelope of VERSAT-P3D is well reproduced by KIN SP results, however, the maximum bending at the interface is underestimated by an average of 19%. These differences could be related to the different pile modelling and discretization adopted. Moreover, in KIN SP, the free-field and the kinematic interaction analyses are uncoupled, whereas in VERSAT-P3D, the pile–soil interaction problem is coupled with the ground response analysis.

Figs. 15–17 also show the maximum bending moment at the interface computed using the solutions proposed by Mylonakis (2001) and Di Laora et al. (2012).
4.3. Reference soil deposit and pile properties for nonlinear analyses

The nonlinear analyses with KIN SP have been realised on a simplified two-layered soil profile with a total thickness of 30 m and overlying a bedrock with a shear wave velocity equal to 1200 m/s and a unit weight of 22 kN/m³. The shear wave velocities of the upper layer \(V_{s1}\) are equal to 100 m/s and 150 m/s, while the lower layer has a \(V_{s2}\) equal to 400 m/s. The interface of the two soil layers is located at a depth of 15 m. The two resulting profiles can be classified as subsoil types D and C, respectively, according to EN 1998-1 (2005) on the basis of the parameter \(V_{s,30}\), which is the average shear wave velocity of the first 30 m in depth. The soil unit
weight and the Poisson’s ratio (ν) for both layers were considered equal to 19 kN/m³ and 0.4, respectively.

The pile had the following properties: the diameter \( D = 0.6 \) m, the length \( L = 20 \) m, and the elastic modulus \( E_p = 30 \) GPa. The pile-head has been considered fixed against the rotation. Nonlinear analyses are carried out employing the soil data provided by Maiorano et al. (2007), which are shown in Fig. 18 in terms of \( G_s/G_0 - \gamma \) curves. On the basis of these data, the parameters \( a \) and \( R \) of the Ramberg–Osgood model are obtained under the assumption that the reference strain \( \gamma_{\text{ref}} \) is 0.5% for the upper layer of soft clay and 0.067% for the lower layer of gravel. Specifically, values of \( a = 19.89 \) and \( R = 2.33 \) are determined for the clay, and \( a = 17.11 \) and \( R = 2.09 \) for the gravel (Cairo et al., 2008). The reference strain \( \gamma_{\text{ref}} \) is defined as the ratio of the maximum soil shear resistance (\( \tau_{\text{max}} \)) to the shear modulus at small strain level (\( G_0 \)). The modulus reduction curve, using the Ramberg–Osgood model, is defined as

\[
\frac{G_s}{G_0} = \frac{1}{1 + a(\tau/\tau_{\text{max}})^{R-1}}
\]

where \( G_s \) is the secant shear modulus.

### 4.4 Nonlinear analysis results

The acceleration time histories used in this work (identified by the codes A-TMZ000 and A-STU270) have been selected from the database ITACA (Luzi et al., 2016), and the motions have been scaled to values of \( a_r \) equal to 0.35 g (Figs. 5 and 6) and applied to the base of the soil deposit model.

The KIN SP analysis results have been compared in terms of bending envelope with those obtained by Maiorano et al. (2007) using the quasi-3D finite element computer programme VERSAT-P3D (Figs. 19–21).

As in the case of linear analyses, the bending envelope of VERSAT-P3D is well reproduced by KIN SP results. Figs. 19 and 20 report the analysis results related with the input motion A-TMZ000. In these cases, it can be observed that computed values of bending moments are in good agreement with those of VERSAT-P3D both at the interface and along the entire pile length. As shown in Fig. 21, the results referring to the input motion A-STU270 are qualitatively in agreement but not quantitatively. KIN SP overestimates the whole bending profile. These differences can be related to the different frequency contents of these two
acceleration time histories (Figs. 5 and 6) and to the approximated modelling of the nonlinear soil behaviour in VERSAT-P3D, in which the shear moduli and damping ratios are updated every 0.5 s (i.e. approximately every 50 or 100 points of the time history data, according to the sampling rate).

5. Conclusions

In this work, a BEM based computer code (called KIN SP) was presented, which is able to analyse the single pile kinematic problem. In the first section, the attention was focused on the influence of discretization on BEM analysis results, in terms of bending moments at the pile-head and at the interface of a two-layered soil.

A parametric study was carried out using the developed code KIN SP, with the aim to suggest the minimum number of boundary elements to guarantee the accuracy of a kinematic analysis using BEM.

The parametric analyses suggest that for typical pile diameters and pile—soil relative stiffness, a boundary element size lower than 0.33D can guarantee a reasonable error in the evaluation of the maximum bending moments. Based on the parametric study shown here, it is outlined that the results obtained using BEM and that presented on previously developed works can be affected by an underestimation of the maximum bending moments at the pile-head and at the interface of a two-layered soil ranging between 20% and 50% if the typical discretization with 21 elements was considered.

The proposed method was then validated considering both a linear and a nonlinear soil response. In the first case, the KIN SP results, in terms of bending envelope and maximum bending moment at the interface of a two-layered soil, have been compared with those obtained by simplified formulations (Mylonakis, 2001; Di Laora et al., 2012) and a quasi-3D FEM code (VERSAT-P3D). In the second case, the comparison has been carried out only with the solutions by nonlinear FEM analyses. For the pile—soil configurations and input motions considered in the linear analyses, KIN SP overestimates the bending moment values obtained using the solution proposed by Mylonakis (2001), probably because the latter has been deduced considering harmonic excitations and not real seismic motions, while slightly underestimates those obtained with the formulation by Di Laora et al. (2012).

In the case of linear analyses, the bending envelope of VERSAT-P3D is well produced by KIN SP and the differences in the maximum bending moments could be related to the different pile modelling and discretization adopted.

In the case of nonlinear analyses, the agreement with VERSAT-P3D results is good, however, some differences were noted for a specific acceleration time history. These differences may be due to the frequency content of the input motion and to the approximated modelling of the nonlinear soil behaviour in VERSAT-P3D.

Conflicts of interest

The authors wish to confirm that there are no known conflicts of interest associated with this publication and there has been no significant financial support for this work that could have influenced its outcome.

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